MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK
HELD
NOVEMBER 18, 2002
AT THE BOARD HEADQUARTERS BUILDING
535 EAST 80TH STREET – BOROUGH OF MANHATTAN

The Vice Chairperson called the meeting to order at 4:35 P.M.

There were present:

Benno C. Schmidt, Jr., Vice Chairman
Valerie Lancaster Beal
John J. Calandra
Alfred B. Curtis, Jr.
Joseph J. Lhota
Randy M. Mastro
Hugo M. Morales

Kathleen M. Pesile
Carol A. Robles-Roman
Nilda Soto Ruiz
Marc V. Shaw
Jeffrey S. Wiesenfeld

Shamsul Haque, ex officio
Susan O’Malley, ex officio

Secretary Genevieve Mullin
Frederick P. Schaffer, General Counsel and Vice Chancellor for Legal Affairs
Hourig Messerlian, Executive Assistant
Lorrie Christian
Towanda Lewis

Chancellor Matthew Goldstein
Executive Vice Chancellor Louise Mirrer
Senior Vice Chancellor Allan H. Dobrin
President Fred W. Beaufait
President Dolores Fernandez
President Ricardo Fernandez
President Frances Degen Horowitz
Interim President Russell K. Hotzler
President Christoph M. Kimmich
President Gerald W. Lynch
President Eduardo J. Marti
President Byron N. McClennen
President James L. Muyskens

President Antonio Perez
President Jennifer Raab
President Edward V. Regan
President Carolyn G. Williams
President Gregory H. Williams
Dean Kristin Booth Glen
Dean Stanford A. Roman, Jr.
Vice Chancellor Jay Hershenson
Vice Chancellor Otis O. Hill
Vice Chancellor Emma E. Macari
Interim Vice Chancellor Ernesto Malave
Vice Chancellor Brenda Richardson Malone

The absence of Trustees John S. Bonnici, Wellington Z. Chen, and Kenneth E. Cook was excused.
A. VIDEOTAPING OF BOARD MEETING: Vice Chairman Schmidt announced that as usual, CUNY-TV is transmitting this afternoon’s meeting of the Board of Trustees on Channel 75. CUNY-TV is continuing to make available this community service that gives the public an opportunity to observe the work of the Board and the University.

At this point, Trustee Randy Mastro joined the meeting.

B. TRUSTEE HONORS: Vice Chairman Schmidt stated that it is my pleasure to announce that our fellow Trustee, Kay Pesile, has been appointed by Dr. Benjamin K. Chu, President of the New York City Health and Hospitals Corporation, to the Seaview Hospital Rehabilitation and Home Community Advisory Board, to serve for a term of three years starting November 1, 2002, and concluding August 31, 2005. Congratulations to Trustee Pesile.

C. FACULTY HONORS: Vice Chairman Schmidt called on Trustee Alfred B. Curtis, Jr., who announced the following:

Distinguished Professor Sheldon Weinbaum of City College was named a member of the Institute of Medicine. He is now one of a handful of people who have been elected to all three of the national academies in Science, Engineering, and Medicine.

At this point, Trustee Jeffrey Wiesenfeld joined the meeting.

Hospitality Management Professor Frank Castantino of the New York City College of Technology, is the 2002 winner of the American Culinary Federation’s National Championship held this summer in Nevada.

Dr. Donna V. Boccio, a Professor in the Mathematics and Computer Science Department at Queensborough Community College, was a summer faculty fellow at the NASA-Marshall Flight Center in Huntsville, Alabama for ten weeks. She worked in the Advanced Projects Group in the Flight Projects Directorate and conducted research on asteroid deflection and gamma ray absorption grids.

Dr. Robert Bittmann, Distinguished Professor of Chemistry and Biochemistry at Queens College, has been selected to receive the Avanti Award in Lipids by the American Society for Biochemistry and Molecular Biology. This award recognizes outstanding research contributions in the area of lipids, and will be presented to Dr. Bittmann at the Society’s annual meeting in April 2003.

Professor David Rindskopf, of The Graduate School and University Center, was named a fellow of the American Statistical Association, the nation’s leading professional association for statistics and statisticians.

D. STUDENT HONORS: Vice Chairman Schmidt called on Trustee Kay Pesile, who announced the following:

Cynthia Talbert, a senior at Bronx Community College, won a Jack Kent Cooke Foundation Scholarship to attend a four-year college of her choice. Jack Kent Cooke, a sports promoter and owner of the Los Angeles Lakers and Washington Redskins, established this Foundation to award promising college students with scholarships.

Luis Perez, a journalism major at Brooklyn College, was awarded a 2002 Founders Scholarship from Unity Journalists of Color, Inc., for demonstrating a track record of experience and excellent potential in the field of journalism, an interest and commitment to understanding multicultural issues, and a solid academic record.

Donna Crayne, Fermin Martinez and Sara Fagan, seniors at New York City College of Technology, each received scholarships from “Brooklyn Eats,” an event sponsored by the Brooklyn Chamber of Commerce to celebrate the diversity of Brooklyn restaurants.
E. GRANTS: Vice Chairman Schmidt presented for inclusion in the record a list of grants of $100,000 or above received by the University subsequent to the October 21, 2002 Board meeting.

**BRONX COMMUNITY COLLEGE**

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
<th>Entitling Department</th>
<th>Recipient Institution</th>
<th>Description</th>
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<td>B</td>
<td>$728,400</td>
<td>NEW YORK CITY DEPARTMENT FOR THE AGING</td>
<td>Resnick, E., Institutional Development</td>
<td>for &quot;Project SOS.&quot;</td>
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<td>C</td>
<td>$694,513</td>
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<td>Meleties, P., Academic Affairs</td>
<td>for &quot;Bronx Community College Pharmaceutical Manufacturing Program.&quot;</td>
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<td>$538,589</td>
<td>UNITED STATES DEPARTMENT OF EDUCATION</td>
<td>Rose, A., Student Development</td>
<td>for &quot;Student Support Services.&quot;</td>
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<td>E</td>
<td>$504,129</td>
<td>UNITED STATES DEPARTMENT OF EDUCATION</td>
<td>Wenzel, M., Academic Affairs</td>
<td>for &quot;Title V – Strengthening Institutions: Hispanic Serving Institutions.&quot;</td>
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<td>$485,920</td>
<td>NEW YORK CITY HUMAN RESOURCES ADMINISTRATION</td>
<td>Napper, J., Institutional Development</td>
<td>for &quot;Employment Assessment Project.&quot;</td>
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<td>G</td>
<td>$408,398</td>
<td>UNITED STATES DEPARTMENT OF EDUCATION</td>
<td>Danvers-Foust, M., Academic Affairs</td>
<td>for &quot;Upward Bound.&quot;</td>
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<td>UNITED STATES DEPARTMENT OF EDUCATION</td>
<td>Levine, C., Institutional Development</td>
<td>for &quot;GEAR UP.&quot;</td>
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<td>I</td>
<td>$300,000</td>
<td>UNITED STATES DEPARTMENT OF EDUCATION</td>
<td>Wenzel, M., Academic Affairs</td>
<td>for &quot;Title V – Cooperative.&quot;</td>
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<td>$278,680</td>
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<td>Kellowan, B., Institutional Development</td>
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<td>$253,917</td>
<td>NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES</td>
<td>Norwood, C., Institutional Development</td>
<td>for &quot;La Familia Unida Aids Outreach Program.&quot;</td>
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<td>L</td>
<td>$250,000</td>
<td>POST GRADUATE CENTER FOR MENTAL HEALTH</td>
<td>Norwood, C., Institutional Development</td>
<td>for &quot;HOPWA-Federal Housing for Persons with Aids Program.&quot;</td>
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<td>M</td>
<td>$250,000</td>
<td>UNITED STATES DEPARTMENT OF COMMERCE/NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION</td>
<td>Strieby, R., Academic Affairs</td>
<td>for &quot;NOAA Educational Partnership Program with Minority Serving Institutions.&quot;</td>
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<td>N</td>
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<td>NEW YORK CITY HUMAN RESOURCES ADMINISTRATION</td>
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<td>$180,000</td>
<td>NEW YORK STATE EDUCATION DEPARTMENT</td>
<td>Abdellatif, N., Physics &amp; Technology and Keizs, J., Academic Affairs</td>
<td>for &quot;Tech Prep.&quot;</td>
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<td>$177,730</td>
<td>NATIONAL DEVELOPMENT AND RESEARCH INSTITUTES, INC.</td>
<td>Norwood, C., Institutional Development</td>
<td>for &quot;Peer Mentoring for Early Adolescents.&quot;</td>
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<td>$148,560</td>
<td>NEW YORK STATE EDUCATION DEPARTMENT</td>
<td>Hogg, L., Academic Affairs</td>
<td>for &quot;Liberty Partnership Program.&quot;</td>
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<td>S</td>
<td>$144,392</td>
<td>NEW YORK STATE DEPARTMENT OF LABOR</td>
<td>Self, G., Institutional Development</td>
<td>for &quot;Youth Work Skills.&quot;</td>
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<td>T.</td>
<td>$130,000</td>
<td>UNITED STATES DEPARTMENT OF HEALTH AND HUMAN SERVICES to Shaddai, J., Academic Affairs, for “Head-Start – Higher Education Hispanic/Latino Services Partnerships.”</td>
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<td>U.</td>
<td>$117,099</td>
<td>NEW YORK STATE EDUCATION DEPARTMENT to Joyner, W., Bronx Community College Educational Opportunity Center, for “Perkins III Vocational and Applied Technology Education Act Program.”</td>
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<td>V.</td>
<td>$106,000</td>
<td>NEW YORK STATE EDUCATION DEPARTMENT to Abdellatif, N. and Akinmoladun, A., Academic Affairs, for “STEP Program.”</td>
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</table>

**BROOKLYN COLLEGE**

| A. | $537,022 | LILLY ENDOWMENT to Stevens-Arroyo, A., Puerto Rican & Latino Studies, for “AMANGER: Latino Leadership Research Planning for a New Century.” |
| B. | $436,392 | NATIONAL INSTITUTES OF HEALTH to Hainline, L., Psychology, for “Biomedical Research Training for Minority Honor Students.” |
| D. | $285,373 | UNITED STATES DEPARTMENT OF EDUCATION to Marquis, J. and Brown, S., Educational Services, for “Talent Search.” |
| E. | $264,250 | NATIONAL INSTITUTES OF HEALTH to Scalfani, A., Psychology, for “Carbohydrate Appetite, Fat Appetite and Obesity.” |
| G. | $213,474 | UNITED STATES DEPARTMENT OF EDUCATION to Korn-Bursztyn, C., School of Education, for “Campus Based Childcare Tuition Scholarship Enhancement: Childcare Access Means Parents in School.” |
| H. | $206,941 | NATIONAL INSTITUTES OF HEALTH to Magliozzo, R., Chemistry, for “Catalase-Peroxidase Catalysis in Antibiotic Activation.” |
| I. | $188,750 | NATIONAL INSTITUTES OF HEALTH to Lepore, S., Psychology, for “Training Minorities in Bio-Behavioral Cancer Research.” |
| J. | $181,180 | NATIONAL SCIENCE FOUNDATION to Magliozzo, R., Chemistry, for “Acquisition of an Electron Paramagnetic Resonance Spectrometer for Use in Research Undergraduate & Graduate Curricula at Brooklyn College.” |
| K. | $146,665 | NATIONAL INSTITUTES OF HEALTH to Eshel, D., Biology, for “Academic Research Enhancement Award.” |

**CITY COLLEGE**

| A. | $1,042,000 | NSF to Akins, D., Chemistry, for “IGERT: Nanostructural Materials and Devices.” |
| B. | $500,000 | NASA to Khanbilvardi, R., Civil Engineering, for “Integration of Research and Education in Remote Sensing.” |
| D. | $366,100 | NSF to Lakshman, M. and Gilchrist, L., Chemistry, for “Acquisition of a 500 MHz NMR Spectrometer for Research and Education.” |
| E. | $332,800 | US DEPT. OF ED. to Shapiro, N., Education, for “Consortium or the Advancement of Teaching with Technology.” |
F. $315,221 NIH to Gunner, M., Physics, for “HemeCu Oxidase: Calculated Electron and Protein Transfers.”

G. $296,461 US DEPT. OF ED. to Job, Y., Education, for “Upward Bound.”

H. $265,895 NIH to Gomes, H., Psychology, for “Attention in Children with Language Impairments.”

I. $235,000 NYS ED. DEPT. to Roth, M., Administration, for “Collegiate Science and Technology Entry Program (C-STEP).”

J. $225,877 NYS HRA to MacKillop, J., Adult and Continuing Ed., for “POISE: A Program for Pregnant TANT Participants.”

K. $204,587 NIH to Alfano, R., IUSL, for “NIR Tunable Laser Tissue Welding.”

L. $200,000 NASA to Weiner, M., Chemistry, for “Technology-Integrated Program for the Preparation of Tomorrows MSET Teachers.”

M. $171,956 NYS ED. DEPT. to Rogers, W., Administration, for “Liberty Partnership Program.”

N. $143,631 US DEPT. OF ED. to Weiner, M., Chemistry, for “Science & Mathematics Teachers for the New Millenium: An Online Virtual Classroom for Tomorrow’s Urban Teachers.”

O. $141,721 U OF CALIFORNIA DAVIS to Weinbaum, S., Mechanical Engineering, for “New Approach to Indothelial Clef Structures.”

P. $140,000 NSF to Gunner, M., Physics, for “Importance of Buried Charges in Protein.”

Q. $133,888 NYC OFF. OF THE MAYOR to MacKillop, J., Adult and Continuing Ed., for “Adult Literacy Program.”

R. $132,700 NIH to Busby, A. and Wallman, J., Biology, for “The Role of Visual Stimuli in Emmetropization and Myopia.”

S. $115,428 US DEPT. OF ED. to Cowin, S., Mechanical Engineering, for “GAANN: Graduate Training in Mathematical Model of Biological Training.”

T. $105,900 NYS OCFS to Anthony-Tobias, S. and Thornton, L., Administration, for “City College Child Development Center.”

THE GRADUATE SCHOOL AND UNIVERSITY CENTER

A. $165,528 NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATIVE DISORDERS (NIDCD) to Schwartz, R., for “Research Training in Speech-Language-Hearing Science.”

B. $112,288 UMBANET, INC. to Baker, M., for “UMBANET/ATC Subcontract.”

C. $100,000 ROCKEFELLER FOUNDATION to Gittell, M., for “Greater NYC Project.”

HUNTER COLLEGE

A. $1,700,000 NYC DEPARTMENT OF INFORMATION TECHNOLOGY & TELECOMMUNICATIONS to Ahearn, S., Geography, for “Maintenance of New York City Base-map, GIS Application Development and Training.”

B. $1,190,996 W.K. KELLOGG FOUNDATION to Matos-Rodriguez, F., Centro de Estudios Puertorriquenos, for “Develop Coordinated Program & Services that can Better Meet Needs of Latino Students & Families; Systemic Change.”

C. $794,346 NATIONAL SCIENCE FOUNDATION to Mills, P. and Sweeney, W., Chemistry, Laurensen, D., Campus Schools, and DeMeo, S., Curriculum & Teaching, for “Development of Three New Five-Year BA/MA Programs in Secondary Science Teaching.”
<table>
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<th></th>
<th>Amount</th>
<th>Organization</th>
<th>Recipient</th>
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<tr>
<td>D</td>
<td>$544,673</td>
<td>NEW YORK CITY DEPARTMENT OF MENTAL HEALTH</td>
<td>to Salmon, R. and Graziano, R., School of Social Work</td>
<td>for “An Education Program for Minority Social Workers.”</td>
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<td>E</td>
<td>$500,000</td>
<td>INSTITUTE OF MUSEUM AND LIBRARY SERVICES</td>
<td>to Matos-Rodriguez, F., Centro de Estudios Puertorriqueños</td>
<td>for “Preserving and Dissemination of New York’s Puerto Rican Latino Heritage.”</td>
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<td>F</td>
<td>$410,101</td>
<td>U.S. EDUCATION DEPARTMENT</td>
<td>to Ray, L. and Fishman, S., Student Services</td>
<td>for “Student Support Services Program.”</td>
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<td>H</td>
<td>$285,500</td>
<td>NEW YORK STATE EDUCATION DEPARTMENT</td>
<td>to Walsh, E., Urban Affairs and Planning</td>
<td>for “Liberty Partnership Program.”</td>
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<td>I</td>
<td>$245,687</td>
<td>U.S. EDUCATION DEPARTMENT</td>
<td>to de Gaetano, Y., Curriculum &amp; Teaching</td>
<td>for “Professional Development: Effective Teaching for English Language Learners.”</td>
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<td>J</td>
<td>$226,105</td>
<td>U.S. EDUCATION DEPARTMENT</td>
<td>to Ray, L., Student Services</td>
<td>for “Ronald E. McNair Post Baccalaureate Achievement Program.”</td>
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<td>K</td>
<td>$200,000</td>
<td>U.S. EDUCATION DEPARTMENT</td>
<td>to Silberman, R., Special Education</td>
<td>for “Preparation of Teachers for Learners with Severe Disabilities, including Deaf-Blindness, from Diverse Urban Populations.”</td>
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<td>L</td>
<td>$200,000</td>
<td>NEW YORK CITY COUNCIL SPEAKER’S FUND</td>
<td>to Klitzman, S., Health Sciences</td>
<td>for “Bedford-Stuyvesant Health Homes Initiative.”</td>
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<td>$197,475</td>
<td>U.S. EDUCATION DEPARTMENT</td>
<td>to de Gaetano, Y., Curriculum &amp; Teaching</td>
<td>for “Hunter College Bilingual Teacher Education Project.”</td>
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<tr>
<td>N</td>
<td>$166,668</td>
<td>NEW YORK CITY DEPARTMENT OF HEALTH</td>
<td>to Krauss, B., Center For AIDS, Drugs and Community Health</td>
<td>for “R &amp; D for Pilot Test of an Intervention at Rikers Island Detention Center Designed to Reduce Risk Behavior of Men Who Have Sex with Men.”</td>
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<td>O</td>
<td>$150,315</td>
<td>NATIONAL ENDOWMENT FOR THE HUMANITIES</td>
<td>to Perez, N., Centro de Estudios Puertorriqueños</td>
<td>for “Arranging and Describing Records of Puerto Rican Migration History.”</td>
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<td>P</td>
<td>$135,000</td>
<td>NATIONAL SCIENCE FOUNDATION</td>
<td>to Goss, D., Chemistry</td>
<td>for “Characterization of the Interactions of Eukaryotic Initiation Factors, Ribosomes, and mRNA.”</td>
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<td>Q</td>
<td>$126,924</td>
<td>NATIONAL SECURITY AGENCY</td>
<td>to Cohen, L., Physics &amp; Astronomy</td>
<td>for “Time-Frequency/Scale Signal Analysis.”</td>
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<td>R</td>
<td>$122,100</td>
<td>NEW YORK STATE OFFICE OF CHILDREN’S &amp; FAMILY SERVICES</td>
<td>to Papa, Z., Child Care Center</td>
<td>for “Child Care and Development Block Grant.”</td>
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<td>T</td>
<td>$110,000</td>
<td>NATIONAL SCIENCE FOUNDATION</td>
<td>to Bargonetti, J., Biological Sciences</td>
<td>for “Growth Control Regulated by P53 and MDM2.”</td>
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<td>V</td>
<td>$100,000</td>
<td>NEW YORK STATE DEPARTMENT OF HEALTH</td>
<td>to Roberts, L., Center on AIDS, Drugs &amp; Community Health</td>
<td>for “AIDS/HIV Prevention Peer Intervention Program: School-Based Initiative.”</td>
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</table>
W.  $100,000  NEW YORK CITY COUNCIL SPEAKER’S FUND to Roberts, L., Health Sciences, for “Awareness/Experience of Oppression, Dating Violence, HIV Risk Behavior Among African American/Latina High School Students.”

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

A.  $437,916  U.S. DEPARTMENT OF EDUCATION to Texeira, K., for “Upward Bound Program.”
B.  $413,177  U.S. DEPARTMENT OF EDUCATION to Kobilinsly, L., Carpi, A., and Rothchild, R., Science Department, and Flores, N., ESL, for “Strengthening Institutions–Hispanic Serving Institutions.”
C.  $150,000  NEW YORK STATE OFFICE OF CHILDREN FAMILY SERVICES to Altham, W., Children’s Center, for “A Child Care Center at John Jay College.”

LAGUARDIA COMMUNITY COLLEGE

A.  $1,519,988  U.S. DEPARTMENT OF EDUCATION to Kahn, A., Academic Affairs Division, for “Gear Up Program.”
B.  $995,716  BILL AND MELINDA GATES FOUNDATION to Cunningham C., Academic Affairs Division, for “Early College High School Initiative.”
C.  $861,034  NYC HUMAN RESOURCE DEPARTMENT to Watson, S., Adult and Continuing Education Division, for “FIA/CUNY Professional Training Academy.”
D.  $544,000  NYS EDUCATION DEPARTMENT (VATEA) to Zaritsky, J., for “Supplemental Academic Support for Selected Majors.”
E.  $473,540  U.S. DEPARTMENT OF EDUCATION (Title V) to Arcario, P., Academic Affairs Division, for “Strengthening Institutions – Hispanic Serving Institutions (Year 4).”
F.  $412,500  CARNEGIE CORPORATION OF NEW YORK to Cunningham, C., Academic Affairs Division, for “Early College High School Initiative.”
G.  $364,829  U.S. DEPARTMENT OF EDUCATION to Levine, R., Academic Affairs Division, for “Upward Bound Program.”
H.  $354,403  U.S. DEPARTMENT OF EDUCATION (Title V) to Arcario, P., Academic Affairs Division, for “Electronic Student Portfolio Program (HIS) [year 2].”
I.  $275,000  NYS EDUCATION DEPARTMENT (VATEA) to Gazzola, J., Student Affairs Division, for “Retention and Career Advancement.”
J.  $269,523  W.K. KELLOGG FOUNDATION to Cunningham, C., Academic Affairs Division, for “Early College High School Initiative.”
K.  $255,500  NYS DEPARTMENT OF EDUCATION to Galvin, S., Academic Affairs Division, for “Liberty Partnership Program.”
L.  $242,980  NYS EDUCATION DEPARTMENT (VATEA) to Eynon, B., Academic Affairs Division, for “Creation of a Professional Development Center.”
M.  $234,000  NYS EDUCATION DEPARTMENT (VATEA) to Zaritsky, J., Academic Affairs Division, for “Supplemental Academic Support for Selected Majors.”
N.  $199,935  U.S. NATIONAL SCIENCE FOUNDATION to Muller, L., Academic Affairs Division, for “ATE Articulation Grant.”
O.  $181,081  NYS DEPARTMENT OF EDUCATION to Cyril, J., Academic Affairs Division, for “CUNY Catch Program.”
**Board of Trustees Minutes of Proceedings, November 18, 2002**

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<tr>
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<td>P.</td>
<td>$176,423</td>
<td><strong>U.S. DEPARTMENT OF EDUCATION (FIPSE Year II)</strong></td>
<td>Arcario, P., Academic Affairs Division</td>
<td>for “e-Transfer Program.”</td>
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<td>Q.</td>
<td>$174,940</td>
<td><strong>U.S. DEPARTMENT OF EDUCATION</strong></td>
<td>Kahn, A., Academic Affairs Division</td>
<td>for “ESL Academy.”</td>
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<td>R.</td>
<td>$166,667</td>
<td><strong>FORD FOUNDATION</strong></td>
<td>Cunningham, C., Academic Affairs Division</td>
<td>for “Early College High School Initiative.”</td>
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<td>$155,866</td>
<td><strong>U.S. DEPARTMENT OF EDUCATION</strong></td>
<td>Kranis, J., Adult and Continuing Education Division</td>
<td>for “Interpreter Education Program.”</td>
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<td>T.</td>
<td>$150,000</td>
<td><strong>NYS EDUCATION DEPARTMENT (VATEA)</strong></td>
<td>Kydd, J., Adult and Continuing Education Division</td>
<td>for “Gender Equity in Information Technology.”</td>
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<td>U.</td>
<td>$144,076</td>
<td><strong>NYS DEPARTMENT OF LABOR</strong></td>
<td>Watson, S., Adult and Continuing Education Division</td>
<td>for “Project Achieve.”</td>
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<td>V.</td>
<td>$131,835</td>
<td><strong>NYC DEPARTMENT OF EMPLOYMENT</strong></td>
<td>Watson, S., Adult and Continuing Education Division</td>
<td>for “Summer Youth Employment Program.”</td>
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**LEHMAN COLLEGE**

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<tr>
<td>A.</td>
<td>$2,340,115</td>
<td><strong>NATIONAL INSTITUTES OF HEALTH</strong></td>
<td>Swartz, K. B.</td>
<td>for “Minority Biomedical Research Support for Continuous Research Excellence at Lehman College.”</td>
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<td>B.</td>
<td>$642,408</td>
<td><strong>W.K. KELLOGG FOUNDATION</strong></td>
<td></td>
<td>for “Engaging Latino Communities for Education Initiative.”</td>
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<td>C.</td>
<td>$475,000</td>
<td><strong>U.S. DEPARTMENT OF EDUCATION</strong></td>
<td>Kreuzer, P.</td>
<td>for “Strengthening Institutions–Hispanic Serving Institutions.”</td>
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<td>D.</td>
<td>$298,365</td>
<td><strong>NEW YORK CITY BOARD OF EDUCATION</strong></td>
<td>Wolfe, M.</td>
<td>for “New York City Writing Project.”</td>
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<td>E.</td>
<td>$250,000</td>
<td><strong>U.S. DEPARTMENT OF EDUCATION</strong></td>
<td>Martinez, H.</td>
<td>for “Intel/Training for All Teachers.”</td>
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<td>I.</td>
<td>$173,673</td>
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<td>Fisher, D.</td>
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**NEW YORK CITY COLLEGE OF TECHNOLOGY**

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<td>A.</td>
<td>$318,706</td>
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<td>LaPerla, J. and Bonsignore, K.</td>
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<td>$299,029</td>
<td><strong>NYS DEPARTMENT OF EDUCATION (WIA Title 2)</strong></td>
<td>Mannes, J.</td>
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C. $168,509 **U.S. DEPARTMENT OF EDUCATION (FIPSE)** to Hudesman, J., for “Comprehensive Program.”

D. $151,161 **RESEARCH FOUNDATION STATE UNIVERSITY OF NEW YORK** to Hoffman, C., for “Bridge X.”

**QUEENS COLLEGE**

A. $420,780 **U.S. DEPARTMENT OF EDUCATION** to Anderson, P., The Dean of Students Office, for “Upward Bound Program.”

B. $240,845 **U.S. DEPARTMENT OF EDUCATION** to Rosa, C., The Dean of Students Office, for “Student Support Services at Queens College.”

C. $150,343 **NEW YORK CITY BOARD OF EDUCATION/U.S. DEPARTMENT OF EDUCATION** to Gerwin, D., The Secondary Education Department, for “Enlivening American History through Primary Sources.”

D. $135,602 **THE NEW YOUR TIMES** to Beveridge, A., The Sociology Department, for “1990 U.S. Census: A Proposal for Collaboration.”

E. $104,100 **NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES** to Pearsall, B., The Dean of Students Office, for “The Child Development Center at Queens College.”

F. $100,834 **NIH/ALBERT EINSTEIN COLLEGE OF MEDICINE** to Bittman, R., The Chemistry and Biochemistry Department, for “Inhibition of Mycolic Acid Biosynthesis – M. Tuberculosis.”

**QUEENSBOROUGH COMMUNITY COLLEGE**

A. $294,601 **U.S. DEPARTMENT OF EDUCATION** to Parra, M., for “Project Bridge IV.”

**THE COLLEGE OF STATEN ISLAND**

A. $223,280 **USED** to Ciaccio, L. and Sanders, J., for “Teacher Recruitment Grant.”

B. $128,999 **NSF** to Poje, A., for “Collaborative Research: CMG: Langrangian Analysis of Oceanic Transport.”

C. $110,000 **USDA** to Batteas, J. and Stark, R., for “Influence of Environment on the Structure and Dynamics of Plant Cuticular Surfaces.”

**F. ORAL REPORT OF THE CHANCELLOR:** Chancellor Matthew Goldstein presented the following report:

1. Let me start by saying that we had a wonderful retreat with the presidents on November 7th and 8th. The primary emphases that we dealt with were on fund raising, which is clearly very much on all of our minds as we seek to engage our presidents and the administration and others to understand that the world that we live in today requires that we develop resources beyond those which are provided by the state and city. We talked extensively about administrative efficiencies and technology, about the flagship environment, which is a cornerstone, if you will, of the current Master Plan, and the challenges in managing the university in the fiscally difficult period ahead.

2. I also would like to just mention a few things about security. Actually we began this before the terrorist attacks on September 11, 2001. We believed that it was important that we have a thorough review of our security initiatives here at the university. The review was done in two major phases; one on emergency planning and the second on security policy and operations.

With respect to security planning, we engaged Kroll Associates and they drafted a common format for the university for college emergency plans. The emergency plans cover subjects such as floods, chemical spills, electrical outages, or other emergencies. Their plans for us are now under review. Second, following the ad hoc University Security
Committee recommendations, we engaged the Bratton Group, headed by Bill Bratton, former NYPD Police Commissioner and the current Police Commissioner of the Los Angeles Police Department, as consultants to review CUNY-wide issues of security policy and organization.

Their set of recommendations are under review and some of them have already been implemented. We will be working very closely with the University Faculty Senate and the University Student Senate under the direction and advice and counsel of Senior Vice Chancellor Allan Dobrin. I have asked the Council of Presidents’ Committee, chaired by President Gregory Williams, to review those recommendations and we await their report. We continue to be very focused on security here at this great university. We do have two important reports that are being reviewed. Parts of them are being implemented, and other parts we will implement where appropriate iteratively over the next few months.

3. We are coming to the end game of the Board of Regents’ review of the 1999 Master Plan Amendment. This was an amendment that was approved by the Board of Regents with the understanding that the University would monitor the results of this plan for a three-year period working very closely with the State Education Department. I think the university overall ought to be very proud of the very good work that has been done with the support of the Council of Presidents, with our faculty who have worked tirelessly, and especially with the guidance of Executive Vice Chancellor Louise Mirrer, who has really taken it upon herself to shepherd this important policy over the last three years. We are hopeful that when the Regents consider this towards the end of next month that the university will proceed accordingly as we fully implement that policy and get on with some of the other important work that needs to be done.

4. I would like, at this point, to spend a fair amount of time on a progress report on CUNY’s performance management process, which has been a hallmark of this administration and which derives from some of the important recommendations that the Schmidt report provided when it was received by the University community in 1997-1998. I thought it was important that we institute this process at The City University of New York.

Shortly after I was appointed as Chancellor in September 1999, we brought together some consultants, had very extensive discussions with our presidents, and moved forward with what I think is the most forward-thinking performance management process that exists anywhere in higher education in these United States, so much so that when Stan Eikenberry, who is the former president of the American Council on Education, reviewed what it is that we propose to do and saw some of the mechanisms that we were using, said that this was clearly trailblazing for higher education and would probably be emulated by other university systems along the way.

We first looked at what our aims in adopting the process would be and what were some of our utilities that we hoped to accomplish with this process. First, was to transform a federation of colleges into a truly integrated University. The great power and potential of this University, I have always believed, really evolves from a true integration of our campuses rather than our campuses going out and not being informed by what others are doing. Second, was to ensure that our Master Plan guides the priorities of the colleges, while each retains its own identity, mission, and governance. Third, we all wanted to inject accountability into the system. We wanted to recognize and acknowledge progress at all levels and to ensure clarity about University and college priorities and expectations for the year.

You will recall the five-year CUNY Master Plan for 2000-2004, was approved by the Trustees and shortly after that we developed university performance objectives and indicators for University goals and targets. We issued those performance objectives and indicators in the academic year 2000-2001, and the first planning and assessment cycle began at that time. We are now in the third cycle of the performance management process.

The process really has three objectives, planning for success, assessing performance, and recognizing high performance. All of the processes really integrate the Master Plan, which is really the core of where our planning begins. It is followed with University targets that develop from that Master Plan, which are then encompassed by college targets, and then executive targets, which in this case represent what the presidents, with the assistance of their faculties and other administrators of their campuses, hope to do for their campus. So it all relates to overall planning that starts here at the Board level and works its way down to the individual campuses.
The university goals and targets plan for year 2002-2003 has ten objectives: promoting a CUNY flagship environment, using program reviews and assessment outcomes to enhance and update our programs, pedagogy and the use of instructional technology, increasing the instruction by full-time faculty, increasing retention and graduation rates, improving post-graduate outcomes, improving college readiness, improving the quality of student support services, meeting our enrollment goals, increasing revenues from external sources, and making administrative services more efficient and increasing entrepreneurial efforts, and applying revenues to student instruction-related activities.

We have ten objectives that are driving this entire process and the way that we address those goals is with a certain set of objectives, the indicators of what those objectives are, and the targets that we set for all of those objectives and how those indicators relate to them.

These very extensive efforts that go on with our campuses start with the university central administration engaging in a dialogue with our presidents. I meet with each of the presidents in the summertime and spend at least an hour going over each of the objectives of the campuses related to the Master Plan. Those objectives then are vented through the college community with faculty and administrative groups. We watch the progress of the campus over the academic year. That progress is then audited by the central administration. The presidents then meet with me again to review the progress that has been made and, at that particular time, we look for the next year’s indicators and targets as well.

I have selected three campuses and one objective for each campus that I would like to share with you so that you can get a flavor for how the presidents are addressing these objectives, what indicators that they are using, and the kinds of targets that they are setting.

Let me start with Hunter College. Objective 1 in our set of objectives for 2002-2003 is to promote flagship programs and strengthen premier campus programs on our campuses. After meeting with President Raab, she engaged her entire community and spoke extensively with faculty and students, and was advised by all appropriate groups that would inform the target set for Objective 1 at Hunter College.

What President Raab has done, and which I very, very strongly and genuinely supported, was a concentration of Hunter’s premier programs and its schools of arts and sciences, education, and health professions, and targeting cluster hires and regular hires to address needs in the three schools that she has targeted for flagship programs here at the University. The indicator in this particular focused objective was getting the outstanding faculty that we all want for this University because its faculty is really the core intellectual strength of the University. Unless you can have a strong full-time faculty, targeted where you want to really build up your academic programs, you really don’t have the opportunity to develop programs and colleges of great distinction. Here is a well thought out set of Hunter College targets around the objective of promoting a CUNY flagship environment by the targeted hiring of outstanding faculty.

Next we move to Queensborough Community College and Objective 4 which is to increase retention and graduation rates. President Marti did a very interesting thing, I believe, and that’s why I very strongly supported his plan. Objective 4 truly integrates not only the Master Plan but the strategic plan for Queensborough Community College and the targets that we set for that particular year. I wanted you to hear about it or be aware of it because I thought this was an example of something that was very well thought through and I think will have a material benefit for Queensborough.

We have enrollment goals that were established in the 2000-2004 Master Plan for the university. We have exceeded our enrollment targets and that, I think, is very much an indicator of how the marketplace is responding to this University. They see it as an important institution in which to make an investment in their academic futures.

The next small snapshot of what each campus is doing with each of these objectives is Lehman College and Objective 8, which is to meet enrollment targets.

Lehman College has, in a very focused way, decided to increase its total head count by 3% and they have a target for a growth in SAT’s, and for a high school average from 80.7% to 81.2%. In addition, they are focusing on their
continuing education program, which is bringing great strength and accountability to an important area of the college. They are interested in increasing their show rate for accepted students from 43.2% to 45.2% for the 2002-2003 year, and they are interested in demonstrative actions with respect to better coordinating transfer students from CUNY’s associate to baccalaureate institutions.

These are goals that are set and will be audited. At the end of this fiscal year, we will sit down with Presidents Raab, Marti, and Ricardo Fernandez to go through each of these targets and see if, indeed, the colleges attained the targets that they said they would.

Bronx Community College is a good example of what happens typically at a college, and how when it leaves the central administration and the Board level, presidents take these challenges and create machinery at their individual campus to make something happen.

When President Carolyn Williams and I met in my office we agreed that her target was to increase passing rates in writing on the exit from remediation exam by 3%. President Williams took that challenge and worked with her provost, who put in those particular plans and set their own objectives to get those goals created. Then the provost worked with the chair of the Department of English at Bronx Community College to put in an action plan as well. So, there are a whole series of interactive moves that happened here as we move the University forward.

The next item is assessing performance. Performance data are gathered and presidents submit year-end reports to the chancellery. The chancellery evaluates the college performance. The chancellor meets with each president to discuss past year performance and set new goals and targets for the following year. The presidents then meet with their top executives to review past year performance and set new goals and targets. We recognize performance in lots of different ways. When funds are available, the chancellor recommends presidential raises according to college performance. Automatic raises for any executive throughout the entire City University system do not exist anymore. Everything now is performance driven. It is merit driven and it derives from this process that we are developing here. In fact, in the last round of raises, there was a 25% spread between and among what executives received at the university, which is the first time in history that we have created a variance and really vested it in a total merit based performance.

When funds are available for executive raises, our portion to a college is based on performance, and the president distributes those raises. I feel very strongly that the president really needs to take responsibility for that without micromanaging from this building and I think the presidents have done that in a very exemplary way. The chancellor also distributes funds where available for the chancellery on the basis of individual performance as well.

We use the process to improve performance in a number of ways. Student outcome data being collected and studied by the colleges are the driving change, as we would believe in pedagogy, as to how we provide instruction on our campuses. The annual college planning process is stimulated through the entire college community. Some colleges actually tied performance plan to budget, which I think is a terrific idea and we are seeing that done more and more and several colleges issue annual performance report cards to the community.

This is a work in progress. The process continues to evolve in consultation with our presidents, college executives, faculty, and students. As new performance data develop and base lines are established, the indicators are refined.

We did add a student survey in the spring of 2002 and an alumnus survey in 2002 as well, in order to build this into the performance assessment instrument as well. New CUNY data gives colleges ongoing access to updated student data and we have started on a new Master Plan to guide CUNY planning and assessment for the next five years.

In conclusion, we hope to have a cultural change at this University from a loose federation of colleges to a truly integrated university. Where there was great focus on activities, now the focus is on outcomes. Where there was some confusion about priorities now there is clarity about priorities. Outstanding performance oftentimes was unnoticed. Everybody was treated exactly the same way. Now outstanding performance is recognized through a merit system. The Master Plan in the past sat on a shelf, and now the Master Plan is a living document.
This is just a brief review of what we put in place and any comments or suggestions from the Board as we improve this process would be wonderfully received.

I should acknowledge that Trustee Alfred B. Curtis, Jr., was there right at the very beginning with a lot of encouragement as we brought this idea to the Committee on Faculty, Staff, and Administration.

Vice Chairman Schmidt stated that I very seriously doubt that there is a university anywhere in the country that can point to the amount of progress that is reflected in this report. The second thing I want to say is that the culture change has happened, Mr. Chancellor. It is not over and the work is not done. But in 1997, many of the presidents with whom I spoke at that time said that they had never had a conversation with 80th Street on strategic objectives and goals. That's not to say they didn't have them, but there was no integration or sense of purpose, or not in this formal way. And to build this out of essentially a void is, I think, a remarkable achievement. I think this is probably the main reason that the distinguished committee of college and university presidents which the Board of Regents brought in to review the implementation of the remediation and related admission issues said in their report that this was the best run public urban university in the United States. And I think that's a compliment that is well deserved and it is very, very high praise for you and your colleagues and the presidents with whom you have worked out this accountability system. I think it is a very impressive piece of work and you and all your colleagues are to be congratulated.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 9)

**NO. 1. UNIVERSITY REPORT:** RESOLVED, That the University Report for November 18, 2002 (including Addendum Items) be approved:

(a) **ERRATA:** Revise the following:

    MEDGAR EVERS COLLEGE – P B2 – APPOINTMENT OF PROFESSORIATE STAFF (AFFIRMATIVE ACTION REPORT ON FILE EXCEPT ACTING, VISITING AND SUBSTITUTE APPOINTMENTS) (SW INDICATES WAIVER OF SEARCH). The SW entry for Minnie K. McDuffie is removed.

(b) **ADDENDUM:** Revise the following:

    LAGUARDIA COMMUNITY COLLEGE – SPECIAL INCREMENT WITHIN TITLE (OTHER THAN NEW STEPS). The entry for Peter Rondinone is withdrawn.

**NO. 2. CHANCELLOR’S REPORT:** RESOLVED, That the Chancellor’s Report for November 18, 2002 (including Addendum Items) be approved:

(a) **ERRATA:** Revise the following:

    QUEENS COLLEGE – P A7 and A8 – Items AI.5A.10 and AI.5A.11 are withdrawn. Further information is required by the Office of Academic Affairs.

**NO. 3. APPROVAL OF MINUTES:** RESOLVED, That the minutes of the regular Board meeting of October 21, 2002 be approved.

**NO. 4. COMMITTEE ON FISCAL AFFAIRS:** RESOLVED, That the following item be approved:

A. **THE CITY UNIVERSITY OF NEW YORK – 2003-2004 OPERATING BUDGET REQUEST:**

Chancellor Goldstein stated that the structure of this budget message in some way parrots what we did last year, showing that the university has an ability through efficiencies, through redistribution of resources, and for being somewhat entrepreneurial, to help support the budget needs of the university. We do have a problem for this fiscal
year that still is a work in progress and we are working our way out. We have asked each of our senior colleges to encumber 2% of their operating budget. We have not had any guidance as yet from Albany with respect to the possibility of further cuts in our existing budget. But we are hopeful that we will be able to weather whatever problems we have this year from agreements that we made with the Division of the Budget last year, mostly in a true integration, where appropriate, with parts of our capital budget and within our operating budget that I think will be helpful.

Clearly for next year, all of us are watching very carefully the progress that the city and state are making with respect to providing the necessary resources for the needs that this city and state have. We have discussed this now with the presidents for well over a year and we are trying to do things in innovative ways that will help to buffer some of the problems. But I think this budget message is going to go a long way in at least, in the very minimum, talking about the legitimate needs that this University has.

On the city side, we have recent directives from Mayor Bloomberg with respect to cutting our budget for this year by proposed reductions of a little under $9.8 million. For next year, the financial plan posits a reduction of almost $16 million. So, we have a lot of work to do.

We are concerned this year with the Hunter Campus schools and I have had a great discussion with Trustee Shaw on that matter. That one is particularly problematic for the University because if that is not righted for this year and next year, that will have effects on that school that I think will have dire consequences and we have to find a way to work ourselves out of it.

The other issue is the Vallone Scholarship Program which provides tuition to students who maintain a B average. This has been an extraordinarily important program for this university to attract and retain students of high ability.

I think that we just have to work together as a community with our Board, our presidents, our faculty, and our students in ways that will enable the university to continue the progress that we have made to do the good things that we have been talking about in the last half hour or so.

Interim Vice Chancellor Malave stated that we had an opportunity to meet with the State Budget Division last week and we reviewed the parameters of the budget request and had a pretty good hearing. They, of course, advised us of the difficulties ahead, but were receptive to some of the initiatives that we had and the manner in which we created the request.

The budget request is anchored in the University's 2000-2004 Master Plan that was approved by the Board of Regents. Second, that budget request, consistent with the budget request we had last year and the one before, and the year before last, has five essential elements: the creation of a flagship environment for the university, the support of student success and academic achievement, the investment in technology and economic development, and the wide-ranging needs we have in management information and infrastructure.

The replenishment of full-time faculty, one of the key elements of the flagship environment, is the centerpiece of the request. In addition, the continuing support for doctoral student fellowships is a very significant need for our graduate program to stay competitive in New York. The college presidents throughout the system have indicated a tremendous need for instructional equipment. The fact that we were able to succeed in obtaining the technology fee last year went a long way to meeting a lot of the computing needs. Many of the instructional needs, particularly at our high-end programs, required extensive equipment upgrades, including the need for a research library. Part of the integration of the University is to establish a high-end research library.

We continue to seek continued funding for academic support services as well as some modest enhancements. The collaborative programs with K-12 have been tremendous successes. The State Education Department considered the breadth of support that we provide in our collaborations with K-12 the most wide-ranging in the country. We continue to seek additional support for disabled student's services. In order for us to stay competitive, we need to continue developing our CUNY on-line program. In both those areas we seek modest improvements.
As you know we lost the anchor of our economic development initiatives and the Telemedia Center at BMCC when Fiterman Hall went down on 9/11. We are working to rebuild that network. We recently obtained $7.5 million in support from the Legislature for the incubator at LaGuardia Community College and we hope to continue that effort.

We continue to marvel at the facilities that we have obtained, but we need to make investments in their maintenance in order to preserve them. Some of our campuses are in tremendous need of funds for maintenance and repair and we seek some significant support there.

This Board adopted a policy at the last meeting seeking to have an agreement with the Environmental Protection Agency to self-audit our colleges to make sure, that unlike Columbia University and New Jersey College of Technology, which were cited a number of days ago in the New York Times for having been fined $800,000 for being in violation of EPA rules, that we are not in that position where we would require additional resources.

We have identified $100.9 million in total budgetary priorities including the programmatic needs that I have identified. As you can see the flagship environment of $30.8 million and student success in academic achievement continue to be the two significant items there. Of the $30.8 million, half of that, virtually $15 million, is devoted for the hiring of full time faculty. The student success in academic achievement category includes $6 to $10 million for collaborative programs and the academic support services that have been provided for a couple of years now.

And, finally, the management information and infrastructure of $8.2 million reflects virtually $5 million in additional support facility improvements. So, that $53.5 million represents our program needs.

$44.4 million in mandatory needs reflects largely the continuing need that we have to support health care costs and pension costs. The $39.3 million figure at the senior colleges represents the tremendous cost increase in health benefits and pensions that need to be financed. The smaller number at the City of New York of $9.1 million reflects the fact that the City of New York, in the last budget that was adopted, provided additional support for health insurance.

The mandatory needs we consider to be largely contractual obligations and we are seeking state and city support to meet those needs. It is on the program side that we are essentially suggesting that the university will self-finance virtually three-quarters of the budget request of $53.9 million through additional revenue from enrollment to $10 million in productivity initiatives and $7 million in revenue policy changes.

Last year the Board voted to phase out the last semester free program at the university and that actually will generate $7 million in additional support that we have already committed to financing full-time faculty needs at the university. So that three-quarters represents our self-help, so that at the end of the day we are only seeking an additional $14.8 million or a 1.9% increase in state support to meet our programmatic priorities.

RESOLVED, That consistent with the call letter sent to all state agencies, CUNY requests continuation of funding at the 2002-2003 level. However, subject to the availability of resources, the Board of Trustees of The City University of New York seeks additional funding and support consistent with the university’s 2000-2004 Master Plan.

Consistent with the call letter sent to all state agencies, CUNY’s request provides for continuation of senior college funding at the 2002-2003 level. However, subject to the availability of resources, CUNY seeks a total of $1.151 billion for the senior colleges. Additional funding being sought totals $78 million: $39.3 million for baseline needs and $38.7 million for programmatic increases. However, due to the fiscal constraints the state is facing, the university is seeking only a total of $46.8 million in state aid. Of the $78 million in new funds needed, CUNY will seek to fund $31.2 million through the redistribution of existing funds, productivity improvements, and the generation of additional revenue.

Consistent with the call letter sent to all state agencies, CUNY’s request provides for continuation of community college funding at the 2002-2003 level. However, subject to the availability of resources, CUNY seeks a total of $412.2 million for the community colleges. Additional funding being sought totals $23.9 million: $9.1 million for baseline needs and $14.8 million in programmatic increases. Of the $23.9 million in new needs, CUNY is seeking $16.4 million from the state and city. The university will seek to fund $7.5 million of the total community college
requirement through productivity improvements and the generation of additional revenue. All additional funds generated will be allocated in accordance with a strategic multi-year plan.

**EXPLANATION:** The 2003-2004 Budget Proposal is based on the university’s 2000-2004 Master Plan approved by the New York State Board of Regents. It is focused on five areas that are critical to the continued implementation of the Master Plan and strengthening of CUNY. The Proposal, subject to the availability of resources, supports 300 new full-time faculty; 60 instructional support staff; Academic and Student Support Services; Workforce Development; the Digital Research Library; Doctoral Student Support; Management Information Technology; and Infrastructure Improvements.

- **Creating a Flagship Environment:** In September 1999, CUNY initiated a focused strategy to enable it to take its place among the top public institutions of higher education in the country. Consonant with its Master Plan the university is establishing a “flagship environment” that fosters national prominence in targeted undergraduate arts and science programs as well as professional and graduate programs. The flagship environment draws on the multitude of resources available to the system as a whole and the richness of the colleges’ combined strengths. The concept has evolved in a way that underscores the high academic quality of the university’s programs and accents the expertise and prominence of its faculty.

- **Supporting Student Success and Academic Achievement:** The quality of a CUNY education depends as much on the preparation and support of its students as it does on the excellence of its programmatic offerings. The university will continue to emphasize and invest in pre-collegiate and collegiate academic advising, counseling, and tutoring. Pre-collegiate activities will include the expansion of the College Now program, which provides academic enrichment services and advisement concerning the demands of college-level work, to students in every public high school in New York City.

- **Expanding the Use of Technology in Teaching and Learning:** The university is mounting technology initiatives designed to enhance instruction and equip graduates with the skills they need to contribute to the city’s and state’s economic vitality in the new information-based economy. Well-placed initiatives and grant-funded projects, notably CUNY Online (supported by a grant from the Sloan Foundation), have created a base from which to build.

- **CUNY-wide Economic Development:** The university is continuing to expand and deepen its commitment to stimulate the development of the New York Region’s economy and workforce. The university has started and continues to support a number of initiatives that have the potential to impact strongly on the region’s economic and workforce development, including the CUNY Economic Development Corporation and a network of campus-based business incubators.

- **Information Management for Productivity, Accountability and E-Procurement; Infrastructure:** Over the past several years, the university has addressed aggressively issues of strategic planning and implementation. As a result of these efforts, CUNY has a launch pad for efficiently tackling the major technology upgrades that these planning and evaluation efforts have charted as institutional priorities over the next five years. New initiatives that require support are: 1) the CUNY Portal, a new web-based gateway that will provide students, faculty, and others with seamless, easy, and 24 hour access to the university’s educational, informational, and administrative services; 2) the Enterprise Resource Program (ERP), a comprehensive administrative system integrating human resources, financial, and student systems utilizing state-of-the-art architecture and a modern delivery platform; and 3) an E-Procurement system that builds efficiencies into the purchasing process that provide for improved goods and services delivery while reducing transaction costs.

Educational quality is directly impacted by the quality of the facilities in which such education is provided. The physical environments of the campuses must be functional, well utilized, safe, accessible, cost effective, well maintained, and responsive to the changing needs of academic programs. The university’s multi-year capital budget has enabled CUNY to create new state-of-the-art facilities and to renovate and upgrade existing facilities. However, the university must have sufficient funding to maintain these facilities. Funding
is also requested for a major new Environmental Health and Safety initiative. This initiative involves the creation of a system whereby staff will be hired to ensure that the university operates in compliance with the myriad federal regulations governing air quality, hazardous materials, chemical management, water treatment, toxic substances, community right to know laws, etc.

**NO. 5. COMMITTEE ON ACADEMIC POLICY, PROGRAM, AND RESEARCH:** RESOLVED, That the following items be approved:

**A. YORK COLLEGE – BS/MS IN OCCUPATIONAL THERAPY:**

RESOLVED, That the program in Occupational Therapy leading to the combined Bachelor of Science and Master of Science degree to be offered at York College be approved effective September 2003, subject to financial ability.

RESOLVED, That a Master Plan Amendment be approved for York College, to offer the Master of Science degree in Occupational Therapy.

**EXPLANATION:** Occupational Therapy develops, improves, sustains, or restores the highest possible level of independence to individuals who have injury, illness, physical or psychosocial dysfunction, developmental or learning disabilities, or other conditions or disorders. York College has successfully offered a Bachelor of Science degree in Occupational Therapy for more than twenty-five years. This program is accredited by the American Occupational Therapy Association (the nationally recognized accrediting body for Occupational Therapists), which qualifies graduates to sit for the national certification examination. In April 1999, the Accreditation Council for Occupational Therapy Education (ACOTE) mandated that the educational requirements for licensed Occupational Therapists be upgraded to the masters’ degree level no later than January 2007. Thus, York College, in order to assure continuity of service to CUNY students, has prepared this program in response to the ACOTE mandate.

The proposed program is designed in accordance with the Standards for an Accredited Educational Program for the Occupational Therapist adopted by ACOTE.

Since this is the college’s first registration request for a graduate program, the New York State Education Department requires that a Master Plan Amendment be approved for York College to offer this degree.

**B. THE CITY UNIVERSITY OF NEW YORK – INTELLECTUAL PROPERTY POLICY:**

RESOLVED, That a new policy regarding ownership, management and distribution rights associated with intellectual property created or developed by members of the CUNY community be adopted by the Board of Trustees of The City University of New York. The new policy replaces the Policy on Patents and Inventions adopted by the Board of Trustees at its meeting on March 27, 1972, Cal. No. 5., and amended by the Board of Trustees at its meeting on June 28, 1982, Cal. No. 7.B., and the Policy on Copyrights adopted by the Board of Trustees at its meeting on March 27, 1972, Cal. No. 5.

**EXPLANATION:** In Fall 2000, the chancellor appointed a committee composed of CUNY faculty and staff and representatives from the Research Foundation to review and revise the university’s policies on intellectual property. The new policy addresses in a single policy not only patents, inventions, and copyrights, but also other forms of intellectual property such as trade secrets, know-how, and tangible research property. The policy continues the university’s prior practice of generally deeming copyrightable works to be owned by their creator and patentable works to be owned by CUNY. However, it increases the share of proceeds to the creator and strengthens the creator’s rights in the event that CUNY fails to protect or commercialize CUNY-owned intellectual property disclosed to it. The new policy also specifically addresses issues such as electronically published course materials and CUNY and faculty ownership of equity. The policy lodges the duty to manage CUNY-owned intellectual property with the chancellor, who may appoint the Research Foundation as his/her or CUNY’s designee for performance of duties under the policy.
During the preparation of this new policy, drafts were posted on the CUNY web site and disseminated to the University Faculty Senate and the Professional Staff Congress for comment. Two public forums were also held. Comments received from a broad spectrum of the CUNY community were incorporated into the policy.

This new policy will be presented for adoption by the Board of Directors of the CUNY Research Foundation at its meeting of December 12, 2002.

NOTE: See Appendix G

Chancellor Goldstein stated that the Board’s general counsel, Rick Schaeffer, really needs to be commended for the work that he did tirelessly with various faculty groups listening to and amending where appropriate. So, I think we have a very fine policy.

What I did want to mention was my commitment to Trustee O’Malley that whenever a new policy is adopted by this Board or whenever there exists a policy that is amended by this Board, this administration is always committed to consult widely with various bodies around the university and that certainly would include the University Faculty Senate, the University Student Senate, and any other bodies where appropriate. If this policy is amended at some point in the future, or any other policy is amended in the future, we will certainly consult on those existing changes.

NO. 6. COMMITTEE ON FACULTY, STAFF, AND ADMINISTRATION: RESOLVED, That the following item be approved:

A. THE CITY UNIVERSITY OF NEW YORK – THE CONTINUATION OF MILITARY LEAVE AND EMPLOYER-PAID HEALTH AND WELFARE BENEFITS COVERAGE FOR UNIVERSITY EMPLOYEES RECALLED FROM THE RESERVES TO ACTIVE MILITARY SERVICE:

RESOLVED, That the Board of Trustees of The City University of New York, in response to the continuing need for employees in the National Guard and Reserves to be activated for Military Service in response to the events of September 11, 2001, hereby authorizes the continuation of Military Leave and Health and Welfare benefits for University employees in accordance with the following policies. These policies apply to employees of The City University of New York who are members of the National Guard, Reserve forces, or Reserve components of the armed forces of the United States who have been federally activated or activated by the Governor of New York State for military service on or after September 11, 2001:

1. Supplemental Military Leave

(a) The City University of New York will provide a temporary leave category called Supplemental Military Leave at full pay. Employees of The City University of New York who have been federally activated or activated by the Governor of New York State for military service on or after September 11, 2001 will be granted Supplemental Military Leave with full pay after the exhaustion of military leave with full pay pursuant to Section 242 of the New York State Military Law. While on such Supplemental Military Leave, the eligible employee will be in full pay status for a period not exceeding 30 calendar days or 22 working days, whichever is greater, in addition to the leave that had been granted pursuant to section 242 of the New York State Military Law.

(b) Employees shall be eligible to receive Supplemental Military Leave through December 31, 2003. Supplemental Military Leave shall not be granted for military service performed after December 31, 2003. In no event shall more than one such grant of Supplemental Military Leave be credited to any employee for activation related to the events of September 11, 2001 regardless of the number of times the employee is activated between September 11, 2001 and December 31, 2003.
2. **Military Leave at Reduced Pay**

   (a) Employees shall be eligible to receive Military Leave at Reduced Pay through December 31, 2003. Military Leave at Reduced Pay status commences after the employee has exhausted Military Leave pursuant to Section 242 of the New York State Military Law, Supplemental Military Leave as set forth in paragraph 1 above, and any leave credits, other than temporary disability leave or sick leave, which the employee elects to use.

   (b) Employees in Military Leave at Reduced Pay status will be paid their regular City University of New York salary (base pay) reduced by military pay (base pay plus housing and food allowances). The determination of rate of payment for the Military Leave at Reduced Pay will be based upon the employee's regular City University of New York salary as of his/her last day in full pay status and the employee’s military pay on the first day of his/her activation, and shall not be subject to adjustment during the period of leave at reduced pay.

   (c) Employees eligible for Military Leave at Reduced Pay will not be eligible to earn annual and temporary disability leave/sick leave accruals or to receive credit for holidays.

   (d) In no event shall Military Leave at Reduced pay be granted for military service performed after December 31, 2003.

3. **Continued Health Insurance Coverage**

   Covered dependents of eligible employees of The City University of New York, who are currently enrolled in a health plan with family coverage through The City University of New York and who have been federally activated or activated by the Governor of New York State for military service in response to the events of September 11, 2001 shall continue to receive health insurance coverage with no employee contribution for a period not to exceed 12 months from the date of activation, less any period in which the employee remains in full-pay status. Contribution-free health insurance coverage will end at such time as the employee’s active duty is terminated or the employee returns to City University of New York employment or December 31, 2003, whichever occurs first.

4. **Other Provisions**

   The provisions of this resolution shall not apply to those employees who have voluntarily separated from City University of New York service or who are terminated for cause. Furthermore, the provisions of this resolution shall apply only for the period of time that the employee has an appointment with The City University of New York.

**EXPLANATION:** On October 22, 2001, the Board of Trustees passed a resolution extending Military Leave and employer-paid health and welfare benefits coverage for university employees recalled from the Reserves to active military service in response to the events of September 11, 2001. This resolution authorizes the continuation of these benefits through December 31, 2003 in response to the continuing need for employees to serve in the Armed Forces.

This resolution, consistent with the policies being followed by the State of New York for its employees, authorizes, under certain conditions, the continuation of Supplemental Military Leave at full pay for the greater of 30 calendar days or 22 working days, in addition to the Military Leave provided pursuant to Section 242 of the New York State Military Law. Further, after exhaustion of the Supplemental Military Leave, this resolution authorizes a Military Leave at Reduced Pay for military service performed through December 31, 2003, whereby the employee will receive salary from The City University of New York equal to the difference between the employee’s regular CUNY salary and the military pay he/she is receiving. Finally, this resolution authorizes the continuation (through December 31, 2003) of dependent health care coverage, under certain conditions, for employees recalled to active service as a result of the events of September 11, 2001 (less any period in which the employees remains in full-pay status).
NO. 7. COMMITTEE ON FACILITIES, PLANNING, AND MANAGEMENT: RESOLVED, That the following items be approved:

A. KINGSBOROUGH COMMUNITY COLLEGE – WINDOWS AND GLASS CURTAINWALL REPLACEMENT:

RESOLVED, That the Board of Trustees of The City University of New York authorize the Secretary of the Board to execute a consultant contract with the firm of Evans Heintges Architects for the Windows and Glass CurtainWall Replacement at Kingsborough Community College, CUNY Project No. KG136-000 and KG006-001. The contract cost shall be chargeable to the City Capital Budget, Project No. KG136-000 and KG006-001 for an amount not to exceed $57,000.00. The contract shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: Kingsborough Community College will engage Evans Heintges Architects to design energy efficient replacement windows and glass curtainwall for the existing Physical Education facility.

The proposed firm was selected in accordance with law and procedures established by the university.

B. THE CITY UNIVERSITY OF NEW YORK – FY 2003-04 TO FY 2007-08 – CAPITAL BUDGET REQUEST:

Chancellor Goldstein stated that this request is approximately $2.6 billion. The legitimate needs of the university at this point in time probably are a multiple of three times that amount. I just take you back to 1997, when the request of the university was $2.5 billion. That was a time of great liquidity and wealth in the state and the city and we got $1 billion, and even then the needs of the university were quite in excess of $2.5 billion.

We have a serious problem in this university, maintaining our facilities and the need to build other facilities. One of the things in this budget request, which is totally different than what we have ever had in this university, is the call for a serious look at working with developers, looking at the opportunities to capitalize on assets that the university has, which could be air rights or they could be development rights. These are opportunities for developers to monetize a piece of property where we could do some joint transactions with them. I think the time has come for this university to look at approaches like this. It would require legislation to do something like this. We have had some preliminary conversations with the Dormitory Authority. I have had fairly extensive conversations with SUNY which has been doing things of this nature for some time. Other than that, I hope that we do get some opportunity in this budget. When we went up to Albany last week and Allan Dobrin led the team with Ernesto Malave and Emma Macari, and there was some encouragement on the capital program, because this is an area where jobs certainly are created when buildings are refurbished and new buildings are constructed. We are hoping that we will get some positive reaction to this approach and we will see where it goes.

Vice Chancellor Macari stated that as we began the preparation of this new long-range capital building program for the university, the list of our facility needs totaled over $7 billion over a ten-year plan, with the highest priorities consistent with the university’s Master Plan. We addressed a serious deficit of science facilities at several colleges by consolidating multiple science building requests into one shared advanced research center. Building this single advanced center would be more affordable than building these three or four individual structures at the colleges. And just as importantly, it will exemplify the spirit of a truly integrated university by fostering collaboration amongst scientists in multiple disciplines such as physics and computational sciences and with different college affiliations. Another approach that we are doing is to look for opportunities for public partnerships in the development of our projects. Our intention is to leverage some of the university’s real estate resources like the air rights by monetizing some of our resources.

The total amount of our request, which as it was in the previous five-year plan, would be funded in five consecutive years. The first year is $550 million in bonded funds. Of this amount $397 million is for senior colleges and $153 million for community colleges, where funding is to be shared 50/50 by the city and the state.

This request for the first year also includes the unfunded balance of the appropriations provided in the last $1.0 billion five-year plan. The state’s balance is for $97 million for senior colleges and $75 million for the community college’s, which also must be matched by the city by $75 million.
The university’s total five-year capital budget request is $2,588,805,000. The university must receive the capital funding support that we are requesting from both the city and the state if we are to plan, design, and construct the facilities needed by our colleges to fulfill their academic missions.

**RESOLVED**, That the Board of Trustees of The City University of New York approve a five-year Capital Budget Plan for Fiscal Years 2003-04 through 2007-08 of approximately $2.6 billion, and a Capital Budget Request for Fiscal Year 2003-04 of approximately $576 million, including $550 million for major bonded projects authorized by The City University Construction Fund and funded through bonds sold by the Dormitory Authority of the State of New York with debt service payments appropriated by the State of New York and the City of New York, and $26 million for minor rehabilitation projects funded through city and state capital appropriations; and be it further

RESOLVED, That the Master Plan for the university be and is hereby amended as necessary to provide for the capital proposal.

**EXPLANATION**: The FY 2003-04 to FY 2007-08 Capital Budget Plan includes funds for major new construction, rehabilitation, and capital equipment in support of the university’s mission. As in previous plans, this request focuses on critical health, safety, code compliance, and rehabilitation projects, while recognizing the need for expansion and modernization of facilities as called for in campus master plans. In formulating specific projects in this plan, an effort will be made, where possible, to leverage available University real estate resources through public-private development.

The total amount of this request includes the unfunded balance of appropriations provided in the FY 1998-99 State Budget Bill. That bill appropriated a five-year (FY 1998-99 through FY 2002-03) capital budget for The City University of New York that totaled approximately $1 billion in bonded projects and $25 million in minor rehabilitation projects. The community college portion of that funding was to be matched by the city with approximately $110 million for bonded projects and $5 million for minor rehabilitations. In the past five fiscal years, actual funding totaled approximately $875 million for bonded projects ($840 million from the state and $35 million matching funds from the city for community colleges) and $40 million for minor rehabilitation projects ($25 million from the state and $15 million from the city for community colleges). The university is requesting from the state $172 million in bonded funds that were appropriated in the FY 1998-99 State Budget Bill and $75 million from the city in matching funds for community colleges.

**THE CITY UNIVERSITY OF NEW YORK**

**SUMMARY OF ACTIVE AND PROPOSED CAPITAL PROJECTS**

Over the past nine years, The City University has received more than $2 billion from the City and State to upgrade existing facilities and to build major expansions. Of the projects fully funded in previous plans, most have been completed while several, including those listed below, are well under way.

- Kingsborough Community College – Construction of Academic Village (DCE $18,200,000)
- Queens College – Renovation of Powdermaker Hall (DCE $54,600,000)
- York College – Renovation of St. Monica’s Church for Day Care Center (DCE $5,000,000)
- Lehman College – Consolidated Computer Center, Phase II (DCE $13,033,000)
- College of Staten Island – Building 2M Renovations, Phase I (DCE $5,000,000)

The current request includes completion funding for previously approved projects which were started with partial funding from previous years, including the following:

- Brooklyn College – West Quad Building (CE $19,000,000)
- John Jay College – Phase II (CE $136,650,000)
- LaGuardia Community College – Center III Renovation, Phase I (CE $7,795,000)
- Medgar Evers College – Academic Building I (CE $78,910,000)
• City College – School of Architecture (CE $37,200,000)

Also requested is funding to continue successful CUNY-wide programs initiated in prior budget plans, including:

• CUNY-Wide Senior Colleges – Condition Assessment (DC $61,000,000)
• CUNY-Wide Senior Colleges – Energy Conservation/Performance (DC $18,000,000)
• CUNY-Wide Senior Colleges – Educational Technology Initiatives, Phase IV (E $3,000,000)
• CUNY-Wide Senior Colleges – NIT, Information Systems & Administrative Applications ($40,000,000)
• CUNY-Wide Senior Colleges – Science & Technology Equipment (E $5,000,000)
• CUNY-Wide Community Colleges – Condition Assessment (DC $23,994,000)

A = Acquisition, D = Design, C = Construction, E = Equipment

NOTE: See Appendix H

Trustee Valerie Lancaster Beal abstained.

NO. 8. COMMITTEE ON STUDENT AFFAIRS AND SPECIAL PROGRAMS: RESOLVED, That the following item be approved:

A. THE CITY UNIVERSITY OF NEW YORK – AMENDMENT TO THE POLICY ON WITHHOLDING STUDENT RECORDS:

RESOLVED, That the existing Board of Trustees policy with respect to the withholding of student records as last amended on February 22, 1993, Cal. No. 7.C., be amended as follows:

Students who are delinquent and/or in default in any of their financial accounts with the college, the university or an appropriate state or federal agency for which the university acts as either a disbursing or certifying agent, and students who have not completed exit interviews as required by the federal Perkins Loan Program, the federal Family Education Loan Programs, the William D. Ford Federal Direct Loan Program, and the Nursing Student Loan Program, are not to be permitted to complete registration, or issued a copy of their grades, a transcript of academic record, certificate, or degree, nor are they to receive funds under the federal campus-based student assistance programs or the federal Pell Grant Program unless the designated officer, in exceptional hardship cases and consistent with federal and state regulations, waives in writing the application of this regulation.

NOTE: Matter underlined is new.

EXPLANATION: The purpose of this amendment is to formally incorporate all of the applicable federal loan programs.

NO. 9. HONORARY DEGREES: RESOLVED, That the following honorary degrees, approved by the appropriate faculty body and recommended by the Chancellor, be presented at the commencement exercise as specified:

<table>
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<tr>
<th>COLLEGE</th>
<th>DEGREE</th>
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<tr>
<td>HUNTER COLLEGE</td>
<td>Síla Calderón</td>
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<td></td>
<td>Doctor of Humane Letters</td>
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</tbody>
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(To be awarded at the January 23, 2003, Winter Commencement)
MEDGAR EVERS COLLEGE

Ivan Van Sertima    Doctor of Humane Letters
Maurice DuBois     Doctor of Humane Letters
Barbara Ross Lee   Doctor of Science

(To be awarded at the December 4, 2002, Convocation)

Upon motions duly made, seconded and carried, the Public meeting was adjourned at 6:01 P.M. to go into Executive Session.

SECRETARY GENEVIEVE MULLIN
The Executive Session was called to order at 6:02 P.M.

There were present:

Benno C. Schmidt, Jr., Vice Chairman

Valerie Lancaster Beal
John J. Calandra
Alfred B. Curtis, Jr.
Joseph J. Lhota
Randy M. Mastro

Hugo M. Morales
Kathleen M. Pesile
Nilda Soto Ruiz
Marc V. Shaw
Jeffrey S. Wiesenfeld

Shamsul Haque, ex officio
Susan O’Malley, ex officio

Secretary Genevieve Mullin
Frederick P. Schaffer, General Counsel and Vice Chancellor for Legal Affairs

Vice Chancellor Brenda Richardson Malone

The absence of Trustees John S. Bonnici, Wellington Z. Chen, Kenneth E. Cook, and Carol A. Robles-Roman was excused.

The Board went into Executive Session to discuss personnel matters.

Upon motion duly made, seconded and carried, the Executive Session was adjourned at 6:42 P.M. to go into Public Session.

SECRETARY GENEVIEVE MULLIN
MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK
HELD
NOVEMBER 18, 2002
AT THE BOARD HEADQUARTERS BUILDING
535 EAST 80TH STREET – BOROUGH OF MANHATTAN

The Public Session reconvened at 6:44 P.M.

There were present:

Benno C. Schmidt, Jr., Vice Chairman

Valerie Lancaster Beal
John J. Calandra
Alfred B. Curtis, Jr.
Joseph J. Lhota
Randy M. Mastro

Hugo M. Morales
Kathleen M. Pesile
Nilda Soto Ruiz
Marc V. Shaw
Jeffrey S. Wiesenfeld

Shamsul Haque, ex officio

Secretary Genevieve Mullin
Frederick P. Schaffer, General Counsel and Vice Chancellor for Legal Affairs
Hourig Messerlian, Executive Assistant
Lorrie Christian
Towanda Lewis

Susan O'Malley, ex officio

Vice Chancellor Brenda Richardson Malone

The absence of Trustees John S. Bonnici, Wellington Z. Chen, Kenneth E. Cook, and Carol A. Robles-Roman was excused.

NO. 10. THE CITY UNIVERSITY OF NEW YORK – CHANCELLOR’S COMPENSATION:

RESOLVED, That the Board of Trustees of The City University of New York authorizes Vice Chairman Benno C. Schmidt, Jr., to determine and implement an appropriate increase in Chancellor Matthew Goldstein’s compensation, based upon the consultant reports provided by Heidrick & Struggles, Inc., and the Pappas Consulting Group, Inc., and within the salary range for the title of chancellor provided by the Executive Compensation Plan of The City University of New York; and further authorizes Vice Chairman Schmidt to determine what proportion, if any, of such increase in compensation shall be paid from enterprises connected with The City University of New York, and directs Vice Chairman Schmidt to report back to the Board at its January meeting as to the actions he has taken regarding this matter.

EXPLANATION: Dr. Matthew Goldstein has now served for more than three years without any increase in compensation. It is recognized both within and without The City University that Dr. Goldstein has done an outstanding job since his appointment on September 1, 1999. The consultant’s report on the Evaluation of
Chancellor Matthew Goldstein provided by Heidrick & Struggles, Inc., confirms that the chancellor’s job performance and effectiveness have been extraordinary, and based upon the independent report of the Pappas Consulting Group, Inc., concludes that he is significantly undercompensated when measured against the chief executive officers of comparable institutions.

Upon motions duly made, seconded and carried, the meeting was adjourned at 6:45 P.M.

SECRETARY GENEVIEVE MULLIN

(This is a detailed summary of the Board of Trustees’ meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)
THE CITY UNIVERSITY OF NEW YORK
INTELLECTUAL PROPERTY POLICY

I. Purpose

- To serve the public good by promoting and facilitating the dissemination of the products of research, authorship and invention by the University community

- To recognize and encourage research, authorship and invention by the University community by providing for the sharing of tangible rewards resulting from the commercialization of such research, authorship and invention

- To define the ownership, distribution and commercialization rights associated with the products of research, authorship and invention by the University community, and to define the policies and procedures for managing such products

II. Applicability

This policy shall apply to all forms of Intellectual Property created or developed, in whole or in part, by Members of the University (1) making Substantial Use of University Resources, (2) as a direct result of University duties, (3) pursuant to the terms of an agreement to which the University is a party, or (4) in the course of or related to activities on grants or contracts administered by the Research Foundation.

III. Ownership Of Intellectual Property

A. General Rule:

1. The Creator shall own all rights in Copyrightable Works.

2. The University shall own all rights in other Intellectual Property.

B. Exceptions and Clarifications to the General Rule:

1. Sponsored Research. Ownership of Intellectual Property resulting from Sponsored Research shall be determined pursuant to the terms of the agreement between the University or the Research Foundation, as the case may be, and the Sponsor, or as otherwise required by applicable law. If ownership is not defined in the agreement, Intellectual Property shall be owned pursuant to the General Rule.

2. Commissioned Work. The University shall normally own Intellectual Property resulting from Commissioned Work. In all cases of Commissioned Work, ownership and royalty rights shall be specified in a written agreement, a copy of which shall be submitted to the OGC for review and approval as to form. Any such agreement which provides for ownership by other than the University shall also provide the University with a royalty-free, non-exclusive license to use the Intellectual Property for internal educational and research purposes.

3. Copyrightable Work Created Within the Scope of Employment. Copyrightable Work, other than Scholarly or Pedagogical Work, prepared by an employee of the University or the Research Foundation within the scope of his or her employment shall be owned by the University or the Research Foundation, as the case may be. Examples of Copyrightable Work subject to this provision include, without limitation, personnel manuals, written policies, administrative handbooks, public relations materials, archival audio and video recordings of College events, and official

1. This and other capitalized terms are defined in Section XIII.
College and University web pages.

4. **Electronically Published Course Materials.** Courses designed to be delivered over the internet, by computer or through similar technologies may involve both Copyrightable Works and other Intellectual Property. Consistent with its intent to recognize the Creator as the owner of Scholarly or Pedagogical works, the University claims no ownership rights in either the intellectual content of such courses, or the tools and technologies used to present them, unless the work was the result of Sponsored Research or is Commissioned Work, in which case B.1 or B.2 of this Part shall apply.

5. **Negotiated Agreements.** A Member of the University who wishes to conduct work making Substantial Use of University Resources under terms different from those set forth in the General Rule above may enter into an agreement for the use of such resources with the College(s) where such work shall be conducted. Such agreement shall be negotiated by the President(s) of the College(s) with the advice of the OGC, and must be approved by the Chancellor. The agreement shall specify who shall own any Intellectual Property resulting from such work, any arrangement as to equity sharing, royalty-sharing, and/or the amount of the fee, if any, to be paid for use of the University Resources.

6. **University Media.** Copyrightable Work prepared for publication in official University and College media, such as television and radio stations, newspapers, magazines and journals, shall be owned pursuant to the individual policies of such media or as defined in their contracts with Creators. In the absence of a policy or contract, copyright shall be owned by the University.

C. **Creator=s Non-Commercial Use.** Where the University owns the Intellectual Property under this policy, the Creator is permitted to continue to use the work for his or her own non-commercial purposes. Any distribution by the Creator to academic colleagues outside the University beyond the limits of "fair use", as defined in Section 107 of the Copyright Act of 1976, shall be permitted pursuant to written agreement from the University through the Chancellor or Designated Individual at each College.

IV. **Administration of this Policy**

Authority and responsibility for this policy shall reside with the Chancellor. The Chancellor may seek the advice and assistance of the Intellectual Property Committee (as described in Section V.E.). The responsibility for administration of the policy at the college level is delegated to the Designated Individual at each College.

V. **Management of Intellectual Property**

A. **Disclosure of Intellectual Property.**

1. **Disclosure Required.** Creators shall disclose to the Chancellor any Intellectual Property that is owned by the University under this policy, including improvements and reductions to practice and Intellectual Property created under Sponsored Research.

2. **Timing.** Disclosure shall be made prior to submission of the Intellectual Property for publication, other release to the public domain or attempt to license, distribute or manufacture the Intellectual Property commercially.

3. **Multiple creators.** Where more than one Member of the University has participated in the creation of Intellectual Property, all participants must sign the disclosure notice.

B. **Determination of Ownership Rights.**

1. **Determination of Rights.** The Chancellor shall determine whether the University has reason to exercise ownership rights over Intellectual Property disclosed to it, and, if the University has such rights, whether it desires to obtain protection for or pursue licensing of such Intellectual Property.
2. **Notice to Creator.** The Chancellor shall promptly notify the Creator of his/her determination to disclaim or assert ownership of the Intellectual Property.

3. **Time Limit.** The University shall make every reasonable effort to act expeditiously under the circumstances in arriving at all decisions and taking all actions under Sections B, C and D of this Part.\(^2\)

C. **Legal Protection and Commercialization.**

1. **Forms of Protection.** Intellectual Property determined by the Chancellor to be owned by the University may be patented, registered with the U.S. Copyright Office or otherwise legally protected by the University.

2. **Assignments.** The Creator of such Intellectual Property shall cooperate with the University in the application for legal protection of the Intellectual Property, including executing appropriate assignments, declarations and/or other documents required to set forth effectively the ownership and rights to the Intellectual Property pursuant to this policy. In the event of any dispute between the Creator and the University, the Creator’s obligations under this provision shall be without prejudice to the Creator’s rights under Part IX.

3. **Costs of Protection.** All costs involved in obtaining and maintaining legal protection of University-owned Intellectual Property shall be borne by the University, unless the University disclaims, releases or waives its ownership rights or unless a licensee accepted by the Creator agrees to bear such costs pursuant to the terms of a written license agreement.

4. **Commercialization.** The Creator has primary responsibility for identifying parties having an interest in using, developing or commercializing University-owned Intellectual Property.

5. **Progress Reports.** The Chancellor shall regularly inform the Creator of the progress of the University’s protection efforts and licensing of University-owned Intellectual Property disclosed by such Creator.

D. **Creator’s Additional Rights.**

1. **Request for Release.** The Creator may request assignment to the Creator of some or all of the University’s rights in Intellectual Property (a “Release”) under the following circumstances:

   a. if the University notifies Creator that it elects not to protect or commercialize University-owned Intellectual Property, or that it has decided to abandon protection or commercialization; or

   b. if, within 90 calendar days of disclosure to the Chancellor, the Creator has not received notice from the Chancellor that the University: (i) disclaims ownership of the Intellectual Property or (ii) has taken steps to protect or commercialize University-owned Intellectual Property.

   Such request shall disclose the date of any publication of the Intellectual Property.

2. **Release or Proof of Continuing Effort.** Within 30 calendar days of the date of a request from a Creator for a Release, the University shall either (a) disclaim ownership of the Intellectual Property, (b) execute a Release, or (c) document that the University has exercised and continues to exercise due diligence in attempting to protect or commercialize the Intellectual Property.

3. **Form of Release.** The University may condition the granting of a Release on the assignment

\(^2\)For more specific time limits following the Creator’s request for an assignment of the University’s rights in Intellectual Property, see Section V.D.
to the University of a share, not to exceed 10%, of net proceeds. For purposes of this section, "net proceeds" shall mean earnings to the Creator from the Intellectual Property over and beyond reasonable costs incurred in the process of legal protection and management. The University shall retain a royalty-free, non-exclusive license to use any Intellectual Property released to the Creator under this Section D for internal educational and research purposes. Pursuant to 37 C.F.R. Part 401, as amended (regulations implementing the Bayh-Dole Act), the U.S. government shall also retain certain rights.

4. **University Waiver.** The University shall be deemed to have waived its rights in the Intellectual Property if: (a) it fails to meet the deadline specified in Section D.2, or a mutually agreeable extended time period; or (b) in the case of a patentable Invention, it fails to file a patent application within 90 calendar days of the date of publication as set forth in the Creator's request for a Release under Section D.1.

5. **Creator's Right to Protect.** If the University disclaims, releases or waives ownership of Intellectual Property, the Creator shall have the right to obtain protection for or pursue licensing of such Intellectual Property in his or her own name and at his or her own cost.

E. **Intellectual Property Committee.**

1. **Purpose.** An Intellectual Property Committee shall be established to advise the Chancellor on disclosures made to him or her, to make recommendations for updates and changes to this policy, and to consider such other matters as may be described in this policy or may be referred to the Committee by the Chancellor.

2. **Composition.** The Intellectual Property Committee shall consist of at least nine members. The Executive Vice Chancellor for Academic Affairs (who shall serve as chair of the Committee) and the President of the Research Foundation or designee, shall be members. The Chair of the University Faculty Senate, or a person designated by the Chair, shall select the remaining members of the Committee, taking into account the recommendations of the Chancellor. Membership of the Committee shall, insofar as possible, consist of individuals who have generated copyrighted or patented materials and should collectively represent a diversity of types of such materials (i.e., textbooks, biotechnology, software, etc.) The General Counsel of the Research Foundation shall serve as staff to the Committee.

3. **Subcommittees.** The Chair of the Intellectual Property Committee shall appoint from among the membership of the Intellectual Property Committee a Copyright Subcommittee responsible for the review of disclosures of Copyrightable Works, and a Patent and Technology Subcommittee responsible for the review of disclosures of Inventions, Trade Secrets and Know-How, and Tangible Research Property. Each subcommittee shall, insofar as possible, consist of at least five members, a majority of whom shall have generated copyrighted materials, in the case of the Copyright Subcommittee, or patented materials, in the case of the Patent and Technology Subcommittee.

4. **Term.** Intellectual Property Committee Members are appointed for three-year terms with non-concurrent expiration dates and may serve successive terms.

F. **Sponsored Research Requirements.** The Research Foundation shall monitor disclosure and reporting requirements and other obligations to Sponsors regarding University-owned Intellectual Property developed under a Sponsored Research agreement or grant, including but not limited to obligations to the U.S. government under 37 C.F.R. Part 401, as amended (regulations implementing the Bayh-Dole Act).

G. **Management Organization.** The University may make an agreement with one or more intellectual property management organizations to undertake the legal protection and/or, with the permission of the Creator, commercialization activities, described in this Part V. Any such management organization shall be required to abide by the time limits set forth in Section V.D.

H. **Not Applicable.** This Part does not apply to University-owned Intellectual Property described in Sections III.B.2 and III.B.3, or in Section III.B.5 to the extent this Part conflicts with the terms of the negotiated agreement.
VI. Distribution of Income from Intellectual Property

A. Policy. A Creator of University-owned Intellectual Property is entitled to share in the income, including royalties, equity interests (subject to any University conflict of interest policy), and dividends, earned from the commercialization of that Intellectual Property.

B. Distribution. Subject to the terms of any Sponsored Research agreement and unless otherwise agreed by the University and the Creator, gross income created from commercialization of University-owned Intellectual Property shall be distributed as follows:

1. First, to pay for any out-of-pocket expenses incurred by the University or the Research Foundation in connection with the administration, protection and commercialization of such Intellectual Property, including, but not limited to, fees paid to outside legal, consulting, marketing and licensing organizations and any other out-of-pocket costs incurred by the University or the Research Foundation.

2. Then, 10% applied to a fund at the University for payment of costs related to patent filing, prosecution and maintenance fees.

3. The resulting "Net Proceeds" shall be distributed as follows:
   - 50% to the Creator;
   - 25% to the University for the support of research and scholarly activity; and
   - 25% to the Creator's College(s), with 50% of such amount going to the Creator's academic or research unit(s) for the support of research and scholarly activity.

C. Multiple Creators. Where two or more Members of the University contributed to the creation of Intellectual Property, the Creator's share of revenues shall be divided among them equally, unless the Members of the University agree upon a different distribution among themselves and notify the University in writing of their agreement.

VII. Issues Relating to Ownership of Equity and Conflict of Interest

A. University's Equity Interest. Subject to the review and approval of the Chancellor, and after a diligent effort to identify prospective sponsors or licensees, the University is permitted to take an equity interest in sponsors or licensee companies under the following terms and conditions:

1. Sponsors and licensees shall demonstrate management and technical capability, and have the financial resources necessary to meet their development objectives and their obligations to the University.

2. License agreements shall include measures of performance that must be met in order to maintain the license granted by the University.

3. Equity shall not be held in sufficient amount to confer management power, which generally would limit ownership to less than 20% of equity.

4. Equity shall represent a fair valuation for the Intellectual Property.

5. The University shall not accept a position on the board of directors of a licensee, but may accept and exercise observer rights on such boards. Exceptions to this policy require the approval of the Chancellor.

B. Member’s Significant Interest.

1. Conflicts of interest may arise when a Member of the University has a Significant Interest in an entity:
   a. to which the University has granted a license of Intellectual Property created by the Member;
   b. with whom the University has entered into a Sponsored Research agreement;
   c. which has contracted with the University for use of the Member’s University office or laboratory or other University Resources; or
   d. which employs or otherwise involves the Member’s student advisees.

2. A Member of the University with a Significant Interest in an entity described in B.1, above:
   a. shall disclose the Significant Interest to the University prior to the execution of any agreement between the entity and the University;
   b. shall not represent the University in negotiating the agreement; and
   c. shall not employ or otherwise involve such Member’s student advisees at the entity unless they are paid at market rate.

3. A Member’s Significant Interest in a licensee shall not prohibit the Member from receiving Creator’s royalties pursuant to VI.B.3 of this policy.

4. Nothing in this Section shall lessen the obligation of a Member to comply with the requirements of state and federal law, the Research Foundation and any other University policy, regarding the disclosure of conflicts of interest.

C. Consulting Agreements. Conflicts involving Intellectual Property may arise when a Member of the University enters into a personal consulting or other agreement with a third party. Such agreements may include provisions as to the licensing or assignment of Intellectual Property and may come into conflict with this policy. Prior to signing any consulting or other agreement that deals with Intellectual Property owned by the University pursuant to this policy, a Member of the University shall have the agreement reviewed by the Chancellor to be certain such agreement does not inappropriately assign University rights to third parties. If the agreement is in conflict with this policy, the Member must either obtain from the Chancellor a waiver of any University rights, or otherwise modify the consulting agreement to conform with this policy. This requirement is in addition to, and does not eliminate the necessity for, any approval required by any University conflict of interest policy.

VIII. Exceptions to and Waiver of Policy

A. Any Member of the University is entitled to request an exception or waiver to the provisions of this policy, including in the event that a publisher or other outside organization proposes terms which are exceptions to this policy.

B. A Member of the University wanting an exception or waiver shall, in the first instance, send a written request to the Chancellor, who shall circulate the request to the Member’s College President, the University Dean for Research and the President of the Research Foundation. If these three individuals agree, the Chancellor shall notify the Member that the request is granted.

C. In the event that a request for exception or waiver is not granted pursuant to B, above, the Member of
the University shall have the right to appear, accompanied by representatives of such individual's choice, before the Intellectual Property Committee for further consideration of the request. This hearing shall occur no later than 30 calendar days from the date of the request for such hearing. The Intellectual Property Committee shall prepare a report of its findings and submit an advisory recommendation to the Chancellor for review within 30 calendar days of the hearing. The decision of the Chancellor on the findings and recommendations of the Intellectual Property Committee shall be rendered within 30 calendar days and shall be final.

D. Parties to the process described in this Part VIII (including without limitation the University Dean for Research, the College President(s), the President of the Research Foundation, the Chancellor, and the members of the Intellectual Property Committee) shall maintain the confidentiality of any Intellectual Property contained in documents submitted as part of this process.

IX. Resolution of Disputes

This policy constitutes an understanding which is binding on the University and Members of the University for the use of University Resources and for participating in research programs at the University. Any questions of interpretation or claims arising out of or relating to this policy, or dispute as to ownership rights of Intellectual Property under this policy, shall be settled by the following procedure: The issue must first be submitted, in the form of a letter setting forth the grievance or issue to be resolved, to a review panel of five members, including a representative of the Creator, and designees of the Executive Vice Chancellor for Academic Affairs, the President of the Research Foundation, the Chair of the Faculty Advisory Council of the Research Foundation, and the Provost of the Creator's College. The panel shall review the matter and then advise the parties of its decision within 30 calendar days of submission of the letter. The decision of the panel may be appealed by either side to the Chancellor. The decision of the Chancellor shall be final.

X. Trademarks

The University owns all right, title and interest in Trademarks related to an item of Intellectual Property owned by the University, or to a program of education, service, public relations, research or training program of the University.

XI. Role of the Research Foundation

The University hereby assigns its ownership rights in Inventions resulting from Sponsored Research to the Research Foundation. The Research Foundation may file patent applications, as named assignee, for such Inventions, subject to the terms of this policy, including the distribution provisions set forth in Section VI, with respect to income earned from the commercialization of such Inventions. Furthermore, nothing in this policy shall prevent the Chancellor from appointing the Research Foundation as the Chancellor's designee for performance of the functions assigned to the University in general or the Chancellor in particular, or to retain distribution of income from commercialization of Intellectual Property.

XII. Effective Date

This policy is effective from the date of approval by the University Board of Trustees with respect to Intellectual Property created after that date and shall remain in effect until modified or revoked.

XIII. Definitions

"Chancellor" shall mean the Chancellor of the University or his or her designee.

"College" shall mean a "senior college" or "community college" of the University or a component thereof, as such terms are defined in Section 6202 of the New York Education Law.

"Commissioned Work" shall mean work commissioned by the University in writing from a Member of the University, outside the scope of his or her employment.
"Copyrightable Work" shall mean an original work of authorship, including any Scholarly or Pedagogical Work, which has been fixed in any tangible medium of expression from which it can be perceived, reproduced, or otherwise communicated, either directly or with the aid of a machine or device, and may include, but is not limited to, books, journals, musical works, dramatic works, multimedia products, computer programs or codes, videos, films, sound recordings, pictorial and graphical works and sculpture.

"Creator" shall mean a Member of the University whose creative activity results in the development of Intellectual Property. As used in this policy, the term "Creator" also includes groups of researchers, authors or inventors whose joint efforts produce Intellectual Property.

"Designated Individual" shall mean the College officer who has been appointed by the College President to be in charge of Intellectual Property matters.

"Intellectual Property" shall mean all forms of intellectual property, including but not limited to Inventions, Copyrightable Works, Trade Secrets and Know-How, and Tangible Research Property, but excluding Trademarks.

"Invention" shall mean a process, method, machine, manufacture, discovery, device, plant, composition of matter or other invention that reasonably appears to qualify for protection under the United States patent law, whether or not actually patentable. "Invention" shall also include computer programs and codes, but only to the extent they are patentable.

The term "Member of the University" shall include full-time and part-time faculty, staff, and graduate students engaged in faculty-directed research, whether paid or unpaid, as well as individuals compensated by grant funds made available to the University by or through the Research Foundation. Any other person who develops Intellectual Property while making extraordinary use of University Resources shall also be deemed a Member of the University, unless there is an agreement providing that such person shall not be subject to this policy.

"OGC" shall mean the Office of the General Counsel and Vice Chancellor for Legal Affairs.

"Research Foundation" shall mean The Research Foundation of the City University of New York.

"Scholarly or Pedagogical Works" shall mean Copyrightable Works created for traditional academic purposes. Examples include scholarly books (including text books), instructional materials including lecture notes, classroom presentations, research articles, research monographs, student theses and dissertations, novels, poems, films, videos, musical compositions and performances, dramatic works and performances, visual works of art including paintings, drawings, sculpture, installations and performance art, and other scholarly publications or works of artistic imagination, whether such works are disseminated in print, electronically or through some other tangible medium.

"Significant Interest" shall mean the following:

(1) the position of director, officer, partner, employee, or agent, or other managerial position; or

(2) anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria), equity interest (e.g., stock, stock options or other ownership interests), and Intellectual Property rights and royalties from such rights.

The term does not include: (1) income from seminars, lectures or teaching engagements sponsored by public or nonprofit entities; (2) income from service on advisory committees or review panels for public or nonprofit entities; (3) financial interests in business enterprises or entities that, when aggregated for the Member and the Member's spouse and dependent children, meet both of the following tests: (a) do not exceed $10,000 per year in value, as determined through reference to public prices or other reasonable measures of fair market value and (b) do not represent more than a 5% percent ownership interest in any single enterprise or entity; or (4), salary, royalties or other continuing payments that, when aggregated for the Member and the Member's spouse and dependent children, are not expected to exceed $10,000 per year in value.
“Sponsor” shall mean an organization, agency or individual which or who provides funding, equipment, or other support for the University, directly or through the Research Foundation, to carry out a specified project in research, training, or public service pursuant to a written agreement (“Sponsored Research”). Sponsors include Federal, State, local and other governmental entities, as well as private industry, individuals, educational institutions and private foundations.

“Substantial Use of University Resources” shall mean unreimbursed use of University Resources at a level not ordinarily used by or available to, all or virtually all, faculty, staff or graduate students, as the case may be. Ordinarily available University Resources include assigned office, laboratory and studio space and standard office, laboratory and studio equipment, office computer workstations, library and other general use information resources and the means of network access to such resources. The University does not construe the payment of salary in the form of release time or sabbatical as constituting substantial use of University Resources, except in those situations where the release time or sabbatical is granted specifically to support the development of Commissioned Work. Use of ordinarily available University Resources for private, commercial purposes is considered substantial use.

“Tangible Research Property” shall mean tangible items produced in the course of research including, but not limited to, biological materials, research notes and reports, laboratory notebooks, computer databases and software, circuit chips, equipment and engineering drawings.

“Trade Secrets and Know-How” shall mean facts, information, data, designs, business plans, customer lists and other secret knowledge which give the owner a competitive edge.

“Trademark” shall mean a distinctive word, design or graphic symbol, or combination of the same, that distinguishes and identifies the goods and services of one party from those of another. The term “Trademark” shall include service marks.

“University” shall mean The City University of New York.

“University Resources” shall mean any resources available to a Member of the University as a direct result of his or her affiliation with the University and which would not otherwise be available to a non-University-affiliated individual, including but not limited to, funds and financial support, facilities, equipment, supplies, services, non-faculty University personnel, students, release time and sabbaticals.
Attachments to the Resolution

Attachment A  State and City Capital Budget Funding History

Attachment B  FY 2003-04 Project Requests by Funding Category:
   • Major Bonded Projects (those greater than approximately $2 million in total project cost and funded with Dormitory Authority bonds)
   • Moderate Rehabilitation Projects (those less than $2 million in total project cost)

Attachment C  Criteria for Prioritizing the Requested Projects
ATTACHMENT A
STATE AND CITY
CAPITAL BUDGET FUNDING HISTORY

($ in Millions)
ATTACHMENT B
FY 2003-04 PROJECT REQUESTS BY PROJECT CATEGORY

MAJOR BONDED PROJECTS (SENIOR AND COMMUNITY COLLEGES) - $591 MILLION

- New Construction to Remedy Space Deficits: 59%
- Energy Conservation: 4%
- Facility Preservation: 4%
- Technology Upgrades: 12%
- Health & Safety: 5%
- ADA/Asbestos: 3%
- Renovations: 13%

MINOR REHABILITATION PROJECTS (SENIOR AND COMMUNITY COLLEGES) - $26 MILLION

- New Construction to Remedy Space Deficits: 10%
- Facilities Preservation: 23%
- Upgrade Technology: 12%
- Life Safety: 29%
- Renovations: 26%
ATTACHMENT C

CRITERIA FOR PRIORITIZING THE FY 2003-04 CAPITAL BUDGET REQUEST

*Priority Order for Proposed Projects:* (See *Priority List Tab* for order of projects)

1. CUNY-Wide projects to ensure health, safety, security and compliance with codes, including ADA and asbestos-related regulations

2. CUNY-Wide facility and infrastructure preservation projects

3. CUNY-Wide technology projects, including infrastructure upgrades, systems engineering and new equipment

4. Individual campus renovation projects similar in scope to the CUNY-wide project types listed above

5. Individual campus projects which were started with partial earlier funding and need completion funding

6. New construction of space needed for academic programs, with projects ranked in order of overall campus space deficiency. Highest priority is assigned to classroom and instructional laboratory facilities and to the replacement of temporary or leased space with permanent space

7. CUNY-Wide projects to meet energy conservation and performance objectives

8. CUNY-wide and individual campus projects for purposes other than those listed above
<table>
<thead>
<tr>
<th></th>
<th>Major Bonded Projects</th>
<th>Minor Rehabilitation Projects</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Senior Colleges</td>
<td>$397,292</td>
<td>$20,000</td>
<td>$417,292</td>
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<tr>
<td>Community Colleges*</td>
<td>$152,588</td>
<td>$6,000</td>
<td>$158,588</td>
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<tr>
<td>Total by Fund Type</td>
<td>$549,880</td>
<td>$26,000</td>
<td>$575,880</td>
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* Funded 50% City and 50% State.
## FY2003-04 Capital Budget Request

### Priority List
(Order of Projects Subject to Revision and Dollars Expressed in Thousands)

### Senior Colleges - Major Bonded Projects

<table>
<thead>
<tr>
<th>Trustees</th>
<th>Priority</th>
<th>College</th>
<th>Project Name</th>
<th>Preliminary Total Cost</th>
<th>Prior Funding To Date</th>
<th>FY 03-04 Request Design Construction Equipment</th>
<th>FY 03-04 Request</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>CUNY Wide</td>
<td>Condition Assessment Health &amp; Safety</td>
<td>$141,652</td>
<td>$1,091</td>
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<td>2</td>
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<td>CUNY Wide</td>
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<td>DC $15,000</td>
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<td>4</td>
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<td>CUNY Wide</td>
<td>NIT/Info Systems/Administrative Functions</td>
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<td>$7,304</td>
<td>DCE $40,000</td>
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<td>CUNY Wide</td>
<td>Science Research Center</td>
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<td>$15,000</td>
<td>$32,188</td>
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<td>6</td>
<td>6</td>
<td>Brooklyn</td>
<td>West Quad Bldg.</td>
<td>$95,300</td>
<td>$76,300</td>
<td>$15,712</td>
<td>$3,288</td>
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<td>7</td>
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<td>John Jay</td>
<td>Phase II</td>
<td>$457,917</td>
<td>$225,650</td>
<td>$136,650</td>
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<td>8</td>
<td>City</td>
<td>School of Architecture, Phase II</td>
<td>$42,298</td>
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<td>$33,328</td>
<td>$3,870</td>
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<td>9</td>
<td>City</td>
<td>New Science Facility, Phase I</td>
<td>$88,768</td>
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<td>$11,994</td>
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<td>10</td>
<td>Hunter</td>
<td>New Science Lab Building, Phase I</td>
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<td>Lehman</td>
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<td>Queens</td>
<td>Bioscience Facility, Phase I</td>
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<td>NYCCT</td>
<td>Academic Complex I</td>
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<td>14</td>
<td>Hunter</td>
<td>Roosevelt House</td>
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<td>Upgrade Bathroom Facilities</td>
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<td>Hunter</td>
<td>Visual and Performing Arts</td>
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<td>18</td>
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<td>Grad School</td>
<td>Feasibility Study/Student Housing</td>
<td>TBD</td>
<td>$0</td>
<td>$1,000</td>
<td>D $1,000</td>
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</tbody>
</table>

Subtotal | $1,856,785 | $697,239 | $82,794 | $242,152 | $39,346 | $364,292 |

### Senior Colleges - Special Funding Initiatives - Major Bonded Projects

<table>
<thead>
<tr>
<th>Trustees</th>
<th>Priority</th>
<th>College</th>
<th>Project Name</th>
<th>Preliminary Total Cost</th>
<th>Prior Funding To Date</th>
<th>FY 03-04 Request Design Construction Equipment</th>
<th>FY 03-04 Request</th>
</tr>
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<tbody>
<tr>
<td>CUNY Wide</td>
<td>1</td>
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<td>Energy Conservation/Performance</td>
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<td>Science &amp; Technology Equipment</td>
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<td>Educational Technology Initiative</td>
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<td>$0</td>
<td>$8,000</td>
<td>$8,000</td>
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</table>

Subtotal | $0 | $127,587 | $1,248 | $16,752 | $8,000 | $26,000 |

### CUNY Wide Project Administration

Subtotal | $7,000 |

Grand Total | $397,292 |
## FY2003-04 Capital Budget Request

### Priority List
(Order of Projects Subject to Revision and Dollars Expressed in Thousands)

**Immunity Colleges - Major Bonded Projects**

<table>
<thead>
<tr>
<th>Priority</th>
<th>College</th>
<th>Project Name</th>
<th>Preliminary Total Cost</th>
<th>Prior Funding To Date</th>
<th>FY 03-04 Request Design</th>
<th>Construction</th>
<th>Equipment</th>
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<td>4</td>
<td>CUNY Wide</td>
<td>Asbestos Abatement</td>
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<td>CUNY Wide</td>
<td>Network Infrastructure/Telecommunications</td>
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**Subtotal** | **$326,806** | **$51,088** | **$7,161** | **$135,919** | **$8,856** | **$152,588**

Medgar Evers is a Senior College; its capital projects are funded 50/50 City/State.
## FY2003-04 CAPITAL BUDGET REQUEST
(Order of Projects Subject to Revision and Dollars Expressed in Thousands)

### SENIOR COLLEGES - HARD DOLLAR MINOR REHABILITATIONS

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<th>Priority</th>
<th>College</th>
<th>Project Name</th>
<th>Preliminary Total Cost</th>
<th>Prior Funding To Date</th>
<th>FY 03-04 Request Design</th>
<th>FY 03-04 Request Construction Equipment</th>
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### COMMUNITY COLLEGES - HARD DOLLAR MINOR REHABILITATIONS

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<th>Priority</th>
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<th>Project Name</th>
<th>Preliminary Total Cost</th>
<th>Prior Funding To Date</th>
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