

MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK

HELD

SEPTEMBER 30, 2013

AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN

The Chairperson called the meeting to order at 4:32 P.M.

There were present:

Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson

Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz
Joseph J. Lhota

Peter S. Pantaleo
Kathleen M. Pesile
Carol A. Robles-Roman
Charles A. Shorter
Jeffrey S. Wiesenfeld

Kafui K. Kouakou, ex officio

Terrence F. Martell, ex officio (non-voting)

Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Steven Quinn
Anthony Vargas

Interim Chancellor William P. Kelly
EVC and University Provost Alexandra Logue
Executive Vice Chancellor and C.O.O. Allan H. Dobrin
President Diane Bova Call
President Lisa S. Coico
President Rudolph F. Crew
President Scott E. Evenbeck
President Ricardo R. Fernandez
Interim President William J. Fritz
President Karen L. Gould
President Russell K. Hotzler
President Carole Berotte Joseph
President Marcia V. Keizs
President James L. Muyskens
President Antonio Pérez
President Jennifer Raab
Interim President Chase F. Robinson

President Felix V. Matos Rodriguez
Interim President Stuart Suss
President Jeremy Travis
President Mitchel Wallerstein
Dean Michelle Anderson
Dean Ann Kirschner
Dean Ayman E. El-Mohandes
Dean Stephen Shepard
Senior Vice Chancellor Marc V. Shaw
Vice Chancellor Frank D. Sánchez
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small
Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall
Associate Vice Chancellor Matthew Sapienza
Senior University Dean John Mogulescu
RF President Richard F. Rothbard

The absence of Trustees Hugo M. Morales and Brian D. Obergfell was excused.

Chairperson Benno Schmidt called the meeting to order, and stated that the Board will go into Executive Session to discuss personnel matters after the conclusion of the Public meeting. The Board will then reconvene in Public Session following Executive Session. He read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

A. VIDEOTAPING OF BOARD MEETING: **Chairperson Schmidt** announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at www.cuny.edu/livestream providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

Chairperson Schmidt stated that on behalf of all the members of the Board, he would like to welcome **Medgar Evers College President Rudolph (“Rudy”) Crew** to his first Board meeting. He also welcomed the new **CUNY School of Public Health Dean Ayman El-Mohandes**.

Chairperson Schmidt stated that he would like to thank **Trustee Valerie Beal**, who chaired the Search Committee for a new President of **Medgar Evers College**, and all of the Trustees, faculty, students, the representatives of the Council of Presidents and the Presidents of the **Medgar Evers College** Community Advisory Council and Alumni Association who worked with the Trustees and the Chancellor on a successful outcome.

Chairperson Schmidt stated that he would also like to thank **Executive Vice Chancellor and University Provost Alexandra Logue** who chaired the Search Committee to find a new Dean of the **CUNY School of Public Health**, and all of the college presidents, faculty, staff and students who assisted in the successful outcome as well.

Chairperson Schmidt stated that on behalf of the Board, he would like to extend his warmest congratulations to **Trustee Carol Robles-Roman** on her selection to the well-regarded DirectWomen Institute’s Class of 2014.

Chairperson Schmidt stated that congratulations are also in order for **University Student Senate Chair and Trustee Kafui Kouakou** on his selection as a “Rising Star” by the publication “City and State” for its sixth annual upcoming “40 Under 40” issue.

Chairperson Schmidt stated that he would like to thank **Trustee Freida Foster** for representing the Board at the kick-off event for the **CUNY Service Corps** at **John Jay College of Criminal Justice** this past Friday. It was a spectacularly successful event attended by over 800 enthusiastic participants.

Chairperson Schmidt stated that he would like to thank **Trustee Wellington Chen** who has agreed to serve as Chair of the Search Committee for a new President for **Kingsborough Community College**. The other members of the Committee will be appointed consistent with the guidelines.

Chairperson Schmidt stated that the Board held its **Manhattan Borough Hearing** on Monday, September 23, 2013. **Trustee Freida Foster** chaired the hearing, which took place at **John Jay College of Criminal Justice**. The hearing was also attended by **Trustees Wellington Chen** and **Terrence Martell**, members of the Chancellery, and **CUNY's** Manhattan college presidents and professional school deans or their designees.

Chairperson Schmidt stated that he would like to extend the Board's deepest condolences to the family of Regina Resnick, the international Metropolitan Opera star and **Hunter College** Class of 1942 alumna, who passed away on August 8th.

Chairperson Schmidt stated that on behalf of the Board, he would also like to mourn the loss of Muriel ("Micky") Siebert, Wall Street trailblazer for women and a longtime member of **CUNY's** Business Leadership Council, who passed away on August 24th.

Chairperson Schmidt stated that on behalf of the Board, his deepest sympathies are also extended to the family of Dr. Marshall Berman, who passed away unexpectedly on September 11th. A **CUNY** Distinguished Professor of Political Science in the Colin L. Powell School of Civic and Global Leadership at **City College**; he had been a **CCNY** faculty member since 1967.

B. COLLEGE AND FACULTY HONORS: **Chairperson Schmidt** called on **Trustee Valerie Lancaster Beal**, who announced the following:

1. **Baruch College's** Masters in Financial Engineering Program ranked fourth in the 2013-2014 QuantNet ranking of financial engineering programs in the United States and Canada. With an overall score of 98 (out of 100), **Baruch College** was ranked above Columbia University, Cornell University, and Massachusetts Institute of Technology; **Baruch College** was also named as one of the nation's best undergraduate institutions in the *Princeton Review's* Annual "Best Colleges" Guide. Congratulations!
2. **Queens College** was ranked number two—with **Baruch College** close behind at number three—in *Washington Monthly's* 2013 "Best Bang-for-the-Buck Colleges" ranking. These rankings are based on the following criteria: number of students receiving Pell grants, graduation rates, loan default rate, and net price of attendance. Congratulations!
3. **City College's** Dr. Z. Johnny Luo, an Associate Professor of Earth and Atmospheric Sciences and NOAA-CREST Institute is the youngest of twelve lead scientists on a NASA airborne mission; and, **Sophie Davis School of Biomedical Sciences** Medical Lecturer David Lau was named 2013 New York State Physician Assistant of the Year by the New York Society of Physician Assistants. Congratulations!
4. **Hunter College** Professor Shanti Thakur's short film, "Red Tulips; A Story About Forgetting," won the Grand REMI Award for experimental film at the 46th Worldfest-Houston International Film Festival; and, **Hunter College** Professor Kenya Beard was named "Nurse Educator of the Year" by the National Black Nurses Association. Congratulations!

C. STUDENT AND ALUMNI HONORS: **Chairperson Schmidt** called on **Trustee Kathleen M. Pesile**, who announced the following:

1. Ten **City College** Humanities majors spent eight weeks at Stanford University this summer conducting graduate-level research with Stanford faculty mentors. This is part of an exchange program that is bringing three Stanford graduate students to teach classes at **CCNY** this semester. Congratulations!

2. **City College** Political Science major Oluwadamasi Atanda completed a successful White House Office of National Drug Control Policy internship; and, twenty-two members of **City College's Sophie Davis School of Biomedical Education** Physician Assistant Class of 2013 achieved a hundred percent pass rate on the Physician Assistant National Certifying Exam, placing twelfth among 134 schools nationally. Congratulations!
 3. **Hunter College** Chinese Flagship students Dillon O'Connor, Rossi Petrova and Crystal Yeh have won Boren Scholarships with a \$20,000 stipend to support their flagship capstone year of study in China; and, **Macaulay Honors College** student at **Hunter College** James Salem received a \$100,000 Jacobson Scholarship to attend NYU Law School. Congratulations!
- D. GRANTS: Chairperson Schmidt** presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the June 24, 2013 Board meeting.

BOROUGH OF MANHATTAN COMMUNITY COLLEGE

1. \$563,750 **UNITED STATES DEPARTMENT OF EDUCATION** to Erwin Wong and Janey Flanagan, for "Strengthening Academic Programs."
2. \$330,748.87 **HELMSLEY CHARITABLE TRUST** to Sadie Bragg and Leslie Leppert, for "ASAP Helmsley Scholars."
3. \$323,169 **UNITED STATES DEPARTMENT OF EDUCATION** to Cecilia Scott-Croff, for "Childcare Access Means Parents in School."
4. \$286,077 **UNITED STATES DEPARTMENT OF EDUCATION** to Michael Gillespie and Antonette McKain, for "Upward Bound Program."
5. \$100,000 **NEW YORK COMMUNITY TRUST** to Michael Hutmaker and Michael Gillespie, for "Liberal Arts Freshman Academics."

BRONX COMMUNITY COLLEGE

1. \$1,383,864 **NEW YORK STATE EDUCATION DEPARTMENT** to Carin Savage, for "Institutional Improvement."
2. \$582,363 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Althea Bailey, for "Training."
3. \$299,677 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Barbara Martin, for "Student Support."
4. \$139,481 **NEW YORK CITY OFFICE OF THE MAYOR** to Blanche Kellawon, for "Student Support."
5. \$122,781 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Barbara Martin, for "Education Collaborative."
6. \$120,000 **SINGLE STOP USA, INC.** to Barbara Martin, for "Student Support."
7. \$114,210 **NEW YORK STATE DEPARTMENT OF EDUCATION** to Thomas Brennan, for "Education Collaborative."
8. \$103,895 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Althea Bailey, for "Training."

BROOKLYN COLLEGE

1. \$376,800 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Andrew Delamater, for "A Multi-Component Approach to Extinction in Pavlov Learning."
2. \$374,975 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Alexander Greer, for "Site-Specific Delivery of Photosensitizer and Singlet Oxygen in Vivo."

3. \$353,250 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Maria Contel, for "Titanium-Gold-Based Chemotherapeutics for Prostate and Kidney Cancer."
4. \$329,524 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Anthony Sclafani, for "Carbohydrate Appetite, Fat Appetite and Obesity."
5. \$285,042 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Louise Hainline, for "MARC: Biomedical Research Training for Minority Honor Students at Brooklyn College."
6. \$157,000 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Elizabeth Chua, for "Cognitive and Neural Bases of Memory Confidence and Accuracy."
7. \$148,993 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Yu Gao, for "Biomarkers for Conduct Problems: Abnormal Conditioning to Punishments and Rewards."
8. \$126,422 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Anjana Saxena, for "Role of Nucleolin in Regulating mRNA Stability during DNA Damage."
9. \$112,493 **NATIONAL INSTITUTES OF HEALTH (NIH)** to Kathleen Axen, for "Metabolic Effects of Very Low-Carbohydrate Weight Reduction Diets on Obese Rats."
10. \$100,282 **MSKCC MEMORIAL SLOAN KETTERING CANCER CENTER/NIH** to Luis Quadri and Derek Tan, for "Rational Design of Adenylation Enzyme Inhibitors."

CITY COLLEGE

1. \$539,999 **UNITED STATES ARMY RESEARCH OFFICE** to Myriam Sarachik, for "Random Fields and Collective Effects in Molecular Magnets."
2. \$537,578 **UNITED STATES ARMY RESEARCH OFFICE** to Barry Gross, for "Development of a Real-Time Neural Network Estimator for Near Real Time Compensation of Meteorology to Improve Missile Warning and Defense..."
3. \$387,143 **NATIONAL INSTITUTES OF HEALTH** to Denise Hien, Robert Melara, and Lesia Ruglass, for "Translational Research Training on Addictions for Racial/Ethnic Minorities."
4. \$341,363 **NATIONAL SCIENCE FOUNDATION** to Ilona Kretzschmar and Joel Koplik, for "Patchy Particle Behavior Near Interfaces."
5. \$334,688 **NATIONAL INSTITUTES OF HEALTH** to John Martin, for "Repairing Maladaptive Corticospinal Tract Development."
6. \$331,512 **NATIONAL INSTITUTES OF HEALTH** to Paul Gottlieb, for "The Molecular Structure of the RNA Polymerase Portal of a Bacteriophage-Reovirus."
7. \$223,054 **NATIONAL SCIENCE FOUNDATION** to Marilyn Gunner, for "Calculating Ligand Binding and Charge Stabilization in Proteins."
8. \$210,450 **NATIONAL INSTITUTES OF HEALTH** to Adrian Rodriguez-Contreras, for "Neuronal Ensembles During Development of Tonotopic Maps in the Auditory Systems."
9. \$193,854 **NATIONAL INSTITUTES OF HEALTH** to Jonathan Levitt, for "MARC Undergraduate Student Training in Academic Research (U-STAR) National Research Service Award (NRSA) Institutional Research Training Grant (T34)."
10. \$160,000 **NATIONAL SCIENCE FOUNDATION** to V.Parameswaran Nair and Alexios Polychronakos, for "Collaborative Research: Topics in Gauge Theory, Gravity and Cosmology."
11. \$156,851 **NATIONAL SCIENCE FOUNDATION** to James Biles and Lawrence Bank, for "EAGER/Collaborative Research: Overcoming Barriers to Diffusion and Adoption of Sustainable and Resilient Buildings Materials in Coastal Areas of..."

12. \$154,604 **NATIONAL INSTITUTES OF HEALTH** to Christine Sheffer, for "Reducing Socioeconomic Disparities in Tobacco Dependence Treatment Outcomes."
13. \$150,000 **CRAIG NEILSEN FOUNDATION** to John Martin, for "Coordinated Motor Cortex and Spinal Cord Stimulation in SCI."
14. \$147,929 **UNITED STATES ARMY RESEARCH OFFICE** to Teresa Bandosz, for "Insight into Multifunctional Reactive Adsorbents: Engaging Chemistry, Porosity, Photoactivity and Conductivity into Decontamination Process."
15. \$147,073 **NATIONAL SCIENCE FOUNDATION** to Marco Castaldi, for "CAREER: Environmentally Significant Reforming Reactions Studied Using a Novel Catalytic Shock Tube."
16. \$143,312 **NATIONAL INSTITUTES OF HEALTH** to Bingmei Fu, for "Tumor Cell Arrest and Adhesion in the Microcirculation."
17. \$141,389 **UNITED STATES DEPARTMENT OF ENERGY** to Joel Koplik, for "Transport and Clogging of Particulate Flow in In Fracture Systems."
18. \$137,045 **OFFICE OF NAVAL RESEARCH** to YingLi Tian, for "Tracking and Predicting Fine Scale Sea Ice Motion by Constructing Super-Resolution Images and Fusing Multiple Satellite Sensors."
19. \$121,172 **UNIVERSITY OF IDAHO** to Julio Davalos, for "Energy Efficient Integrated FRp – Confined Sandwich Roof System."
20. \$120,000 **NATIONAL SCIENCE FOUNDATION** to Zhigang Zhu, Tony Ro, and YingLi Tian, for "EFRI-M3C: Mobility Skill Acquisition and Learning Through Alternative and Multimodal Perception for Visually Impaired People."
21. \$119,387 **NATIONAL INSTITUTES OF HEALTH** to Lucas Parra and Marom Bikson, for "CRCNS: Effects of Weak Applied Currents on Memory Consolidation During Sleep."

THE GRADUATE SCHOOL AND UNIVERSITY CENTER

1. \$626,157 **EDUCATION DEVELOPMENT CENTER, INC.** to Ellen Noonan, for "Zoom In: Curriculum & Professional Development Modules for Social Studies Teachers Using Common Core."
2. \$308,100 **GOVERNMENT OF AUSTRALIA** to Thomas Weiss, for "Global Centre for the Responsibility to Protect."
3. \$285,000 **GOVERNMENT OF NETHERLANDS** to Thomas Weiss, for "GCR2P."
4. \$181,646 **NEW YORK STATE EDUCATION DEPARTMENT** to Ricardo Otheguy, for "The New York State Initiative on Emergent Bilinguals (NYSIEB)."
5. \$170,900 **GOVERNMENT OF DENMARK** to Thomas Weiss, for "GCR2P: Denmark Ghana Conference."
6. \$162,125 **NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES** to John Mollenkopf, for "Homebase Homelessness Prevention Evaluation."
7. \$151,967 **ECONOMIC AND SOCIAL RESEARCH COUNCIL/UNIVERSITY OF LONDON** to Mary Clare Lennon, for "Home Moves in the Early Years: Impact in US and UK."
8. \$147,550 **GOVERNMENT OF THE UNITED KINGDOM** to Thomas Weiss, for "Global Center for the Responsibility to Protect."
9. \$119,846 **GOVERNMENT OF NORWAY** to Thomas Weiss, for "Global Centre for the Responsibility to Protect – Government of Norway."

HUNTER COLLEGE

1. \$633,969 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Syndemics & Resilience for HIV Transmission in a National Sample of Vulnerable Men."
2. \$613,880 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Multicomponent Intervention to Reduce Sexual Risk and Substance Abuse."
3. \$536,999 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Intervention Targeting Substance Abuse Using Older Adults with HIV."
4. \$429,088 **PHS/NIH/NATIONAL INSTITUTE OF MENTAL HEALTH** to Sarit Golub, for "Impact of PrEP Messaging on Comprehension, Acceptability and Risk among MSM."
5. \$236,795 **PHS/NIH/NATIONAL INSTITUTE ON DEAFNESS & OTHER COMMUNICATION DISORDERS** to Ofer Tchernichovski, for "Behavior Mechanisms of Vocal Imitation."
6. \$215,857 **NATIONAL SCIENCE FOUNDATION** to Diana Bratu, for "CAREER: Characterization of Translation Silencing Complexes during OSKAR mRNA Transport & Localization."
7. \$212,491 **JOSIAH MACY, JR. FOUNDATION** to Gail McCain, for "Developing Transdisciplinary Education at Cornell Hunter (ITEACH)."
8. \$208,494 **UNITED STATES EDUCATION DEPARTMENT** to Carol Oliver, for "Ronald E. McNair Postbaccalaureate Achievement Program."
9. \$181,688 **PHS/NIH/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Joel Erblich, for "Behavioral Dysregulation: Impact on Alcohol Cue-Reactivity and Demand for Alcohol."
10. \$160,000 **NATIONAL SCIENCE FOUNDATION** to Thomas Preuss, for "Multimodal Integration in a Decision-Making Neuron."
11. \$140,591 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Brooke Wells, for "A Theoretically Driven Study of Substance Use and HIV Decision-Making."
12. \$117,332 **LAVELLE FUND FOR THE BLIND, INC.** to Rosanne Silberman, for "Training Program for Teachers of Orientation & Mobility Skills for People with Visual Impairments."
13. \$110,734 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Diego Loayza, for "Roles of LIM Domain Proteins TRIP6 and LPP at Telomeres."
14. \$108,910 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Akira Kawamura, for "Structural Requirements for Immunostimulation by Plant Lipids."
15. \$102,350 **1199 SEIU LEAGUE TRAINING & UPGRADING FUND** to Jean Callahan, for "Skills Enhancement Training."
16. \$101,118 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jesus Angulo, for "Summer Program for Undergraduate Research at the Center for Gene Structure."

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$4,500,000 **NEW YORK CITY CENTER FOR ECONOMIC OPPORTUNITY** to Ann Jacobs, for "The New York City Justice Corps."
2. \$636,535.79 **PINKERTON FOUNDATION** to Ann Jacobs and Jeffrey Butts, for "Pinkerton Fellowship Initiative."
3. \$600,000 **THE JOHN D. AND CATHERINE T. MACARTHUR FOUNDATION** to David Kennedy, for "A Proposal to Address Violent Crime in Chicago: Years 3 to 5."
4. \$266,343 **NATIONAL SCIENCE FOUNDATION** to Daryl A. Wout, for "Creating a Diverse Society that Works: Investigating the Role of Social Identity Threat in Interracial Interactions."

5. \$174,508 **THE JACOB & VALERIA LANGELOTH FOUNDATION** to Stephen Handelman, for "Health Behind Bars: Changing Health Outcomes for Prisoners and Ex-Offenders."
6. \$137,476 **NEW YORK CITY DEPARTMENT OF PROBATION** to Jeff Mellow, for "The NeON Initiative: Evaluating the Impact of NYC Department of Probation's New Model of Probation."

KINGSBOROUGH COMMUNITY COLLEGE

1. \$530,000 **ROBIN HOOD FOUNDATION** to Marisa Schlesinger, for "Opening Doors Learning Communities."
2. \$299,933 **NATIONAL SCIENCE FOUNDATION** to Loretta Taras, for "On-Campus Discoveries in Science."
3. \$231,500 **NEW YORK CITY COUNCIL** to Michael Geller, for "ASAP – Lighthouse."
4. \$140,685 **HEALTH RESOURCES AND SERVICES ADMINISTRATION (HRSA)** to Bridget Weeks, for "Scholarships for Disadvantaged Nursing Students."
5. \$108,376 **NEW YORK STATE EDUCATION DEPARTMENT** to Craig Hinkley, for "C-STEP."
6. \$100,000 **CONSORTIUM FOR WORKER EDUCATION** to Saul W. Katz, for "Jobs to Build on FY2013."
7. \$100,000 **THE ASPEN INSTITUTE** to Regina S. Peruggi, for "Aspen Prize for Community College Excellence."

LAGUARDIA COMMUNITY COLLEGE

1. \$302,287 **NEW YORK STATE EDUCATION DEPARTMENT** to Bret Eynon, for "Enhancing Career and Technical Education through Curriculum Revision and Incorporating Technology."
2. \$216,452 **NEW YORK STATE EDUCATION DEPARTMENT** to Loretta Capuano, for "Student Information Center."
3. \$159,804 **NEW YORK STATE EDUCATION DEPARTMENT** to Beth Lord, for "Job Development Center."
4. \$134,728 **NEW YORK STATE EDUCATION DEPARTMENT** to Theresia Litvay-Sardou, for "Computer Tech Labs."
5. \$124,627 **NEW YORK STATE EDUCATION DEPARTMENT** to Beth Lord, for "Job Placement."
6. \$120,356 **NEW YORK STATE EDUCATION DEPARTMENT** to Jhony Nelson, for "Program for Disabled Students."
7. \$117,431 **NEW YORK STATE EDUCATION DEPARTMENT** to Andi Toce, for "Academic Peer Instruction."

LEHMAN COLLEGE

1. \$303,060 **SUNY: RESEARCH FOUNDATION** to Clarence Stanley, for "New York State Small Business Development Center."
2. \$157,295 **NATIONAL INSTITUTES OF HEALTH** to Stephen Redenti, for "Microvesicle Genetic Signaling Between Stem Cells, Retinal Neurons and Glia."
3. \$147,732 **PERFECT CHOICE STAFFING** to Marzie Jafari, for "RN Completion/MSN Program."
4. \$137,560 **HOSPITAL LEAGUE, LOCAL 1199** to Marzie Jafari, for "Health Care Careers Core Curriculum/Certificate in Alcohol and Substance Abuse Counseling."

5. \$134,808 **HEALTHPRO NURSING SOLUTIONS** to Marzie Jafari, for "RN Completion Program Korea."
6. \$133,666 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Stefan Becker, for "Teacher Education for Advanced Science Preparation."
7. \$129,020 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Marcie Wolfe, for "Literacy and Professional Development."
8. \$121,204 **BRONX LEBANON HOSPITAL** to Marzie Jafari, for "Master of Nursing Program."
9. \$108,325 **NATIONAL INSTITUTES OF HEALTH** to Prabodhika Mallikaratchy, for "Antibody Guided Cell-SELEX Technology."
10. \$107,246 **HOSPITAL LEAGUE, LOCAL 1199** to Marzie Jafari, for "BSN Cohort Classes."

MEDGAR EVERS COLLEGE

1. \$644,000 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Simone Rodriguez-Dorestant, for "Steps to Success, Out of School Youths."
2. \$408,228 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Simone Rodriguez-Dorestant, for "Beacon Center at IS 323."
3. \$305,629 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Simone Rodriguez-Dorestant, for "Crown Heights Beacon."
4. \$305,591 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Simone Rodriguez-Dorestant, for "Beacon Flatbush."
5. \$305,493 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Simone Rodriguez-Dorestant, for "PYE Beacon."
6. \$100,000 **CARROLL AND MILTON PETRIE FOUNDATION** to Derrick Griffith, for "The Petrie Foundation Emergency Fund."

NEW YORK CITY COLLEGE OF TECHNOLOGY

1. \$198,909 **NATIONAL SCIENCE FOUNDATION** to Reginald Blake, for "REU Site: Experiences for Undergraduates."
2. \$186,583 **RFSUNY** to Robert Piechotta, for "Hurricane Sandy Revitalization Project."
3. \$173,411 **UNITED STATES NAVY** to Delaram Kahrobaei, for "Information Security."
4. \$172,232 **NATIONAL SCIENCE FOUNDATION** to Bonne August, for "The City Tech I-Cubed Incubator."
5. \$156,668 **NATIONAL SCIENCE FOUNDATION** to Vasily Kolchenko, for "Infograms for Undergraduate Biology."

QUEENSBOROUGH COMMUNITY COLLEGE

1. \$235,971 **HRSA – BUREAU OF HEALTH PROFESSIONS** to Regina Cardaci, for "Nursing Workforce Diversity – Pathways to Nursing (P2N)."

COLLEGE OF STATEN ISLAND

1. \$299,921 **NATIONAL SCIENCE FOUNDATION** to Michal Kruk and Shuiqin Zhou, for "Design of Novel Large-Pore Nanoporous Materials Through Understanding of Micelle Templating Process."

2. \$220,756 **NEW YORK STATE EDUCATION DEPARTMENT** to Deborah Vess and Debra Evans-Greene, for "Collegiate Science and Technology Entry Program (CSTEP)."
3. \$168,906 **RESEARCH FOUNDATION/STATE UNIVERSITY OF NEW YORK/SMALL BUSINESS ADMINISTRATION** to Dean Balsamini, for "Development Disaster Assistance."
4. \$129,280 **NEW YORK CITY OFFICE OF THE MAYOR** to Hugo Kijne, for "Adult Literacy Program."

E. ORAL REPORT OF THE INTERIM CHANCELLOR: Interim Chancellor William P. Kelly presented the following report:

First let me welcome everyone back for the beginning of the new academic year. Heartfelt thanks to all for your commitment and service to this great University.

I join **Chairperson Schmidt** in welcoming **President Rudy Crew** and **Dean Ayman El-Mohandes**, and I thank all of the trustees, presidents, members of the chancellery and friends of **CUNY** who helped celebrate our two new colleagues at a reception last week at the Museum of the City of New York. These are deeply skilled and broadly experienced leaders and **CUNY** is fortunate to have them. We expect a great deal from them and I am confident that we will not be disappointed.

I enjoyed meeting with each president/dean this summer as part of the PMP review. I am impressed by the quality of leadership at **CUNY** and invigorated by the outstanding work done at the colleges.

I am pleased to report that the Invest in **CUNY** Campaign has reached the \$2.5 billion mark. The \$240 million raised by the colleges collectively last year was the second largest total in **CUNY's** history. Over \$50 million has been raised since July, including a \$25 million gift from Toby and Leon Cooperman to support the library and a scholars program at **Hunter College**, the largest gift in **Hunter's** history; \$3 million from the McGraw family in support of a Business Journalism Center at the **Graduate School of Journalism**; and \$11 million raised at **City College**. Congratulations to **President Jennifer Raab**, **Dean Stephen Shepard** and **President Lisa Coico**. **CUNY** is well on the way to achieving its \$3 billion campaign goal.

On the enrollment front, total enrollment at the **CUNY** colleges this fall will be approximately 270,000 matriculated students. That represents a slight increase from last year with growth primarily at the undergraduate level. I am concerned about the graduate enrollment numbers. Some of the decline we have experienced there is consistent with national trends, but an issue particular to The City University involves a lack of diversity in our graduate degree portfolios. We are hard at work to expand the range of those programs and to develop effective strategies to market them. The U.S. Department of Labor suggests at least a 25 percent rise in jobs requiring master's degrees across the next decade. This is a priority for the University to respond to that need and equip students to achieve their aspirations.

I am pleased to report as well that adult and continuing education enrollment at the University increased by 13 percent, to 248,000 students. That is a total fall enrollment of 517,000 students. **CUNY** continues to have an immense presence and impact in every neighborhood of this great city.

As you all know, President Obama delivered a speech on August 22 at the University of Buffalo to announce his plan for higher education. There were four major aspects to that address: a college rating system termed the "College Scorecard" that measures three factors: affordability, access and completion. The goal is to have the scorecard in place by 2015. It does not require congressional approval so that date may in fact be realized. The president called for an infusion of \$1.2 billion to support the initiative both in terms of enhanced Pell grants and Race to the Top incentive funding targeted for 2018. That would require congressional approval. The president's plan also challenges states to fund colleges based on performance. Such measures are already in place in Indiana, Ohio, and Tennessee. Finally, the plan puts forth a call to promote innovation through grants to selective innovative projects that will make education more affordable. The funding is uncertain at best, but the College Scorecard is certainly underway. **CUNY** does extraordinarily well on a preliminary understanding of these measures, but as in all things the devil is in the details.

To that end, I have created a Council of Presidents Ad Hoc Committee, chaired by **President Ricardo Fernández**, to take a look at the higher education plan announced by President Obama and to help ensure that the University is well positioned as to discussions about the ways in which the College Scorecard will be calculated. As an early iteration of that process, **CUNY** co-sponsored a federal legislative briefing in Washington, D.C., with CICU, SUNY, and congressional staff on financial aid and the Higher Education Act. I would like to thank **Associate Vice Chancellor Matthew Sapienza** and Director of Financial Aid Alice Murphey for representing **CUNY** very well at that conference.

I am pleased to announce that **Kingsborough Community College** and **LaGuardia Community College** won funding from the U.S. Department of Labor in round three of the Trade Adjustment Assistance Community College and Career Training grant program. They are a part of a consortium of institutions from New York, New Jersey, Connecticut and Massachusetts that focuses its attention on training programs in health care, IT and environmental technologies. The consortium was awarded a total of \$23 million. Of that sum, **LaGuardia Community College** will receive \$3.1 million and **Kingsborough Community College** \$3.8 million.

Meanwhile, the potential shutdown of the federal government continues to loom. Thankfully, the shutdown would have very minimal short-term impact on the University. Most of the federal student aid programs are forward-funded. Of greater concern, however, is the potential for continuing funding constraints from further sequestration, and the threat of additional erosion to financial aid programs, including Pell Grants, in future years. We will continue to monitor this, of course, and we are also working closely with others in the higher education community to keep the Board closely apprised of developments in this area.

Later on in the meeting we will hear an important report about the study of the Tuition Assistance Program (TAP) that **CUNY** was required by law to conduct and present. We have worked with SUNY and with CICU in this matter. **Associate Vice Chancellor Sapienza** will have a full report on this important issue. In that regard, I met over the summer with SUNY Chancellor Nancy Zimpher and CICU President Laura Anglin. We hope to move forward on trans-sector issues with a unified approach.

As you will remember, Governor Cuomo convened an Education Reform Commission in 2012, bringing together leaders from across the state—including then Chancellor Matthew Goldstein—to identify ways to strengthen the state's education system. The commission's preliminary recommendations were adopted by the governor and enacted within the state's budget process. One of the primary recommendations was the raising of admission standards for teacher education programs. The governor has emphasized quite correctly the importance of strong teachers in enhancing student performance. He has specifically called for the recruitment of exceptional candidates for educator preparation programs throughout the state, most recently in his 2013 State of the State address.

We at **CUNY** support and salute the governor's actions. Indeed, **CUNY** has been ahead of the curve in raising admission standards for educator preparation programs. **CUNY** already requires an accepted cohort of candidates to achieve a 3.0 GPA, consistent with the recommendations of the Council for the Accreditation of Educator Preparation (CAEP). We are working across the colleges to fully implement all of the CAEP standards including raising group average performance on nationally normed assessments. We are delighted to work in close alignment with Governor Cuomo on this initiative and salute his bold agenda for education reform in New York State.

On another state matter, the FY2014 State Adopted Budget provides \$55 million in challenge grant funding as part of the first year of the **CUNY** 2020 Challenge Grant program. Twenty proposals were received before the September 20 deadline. The value of the proposals totaled approximately \$169 million. The problem, of course, is that the program has \$55 million total available. The panel reviewing the proposals will be made up of representatives from **CUNY**, Empire State Development and the State Division of Budget. That process will begin in October and the awards are expected to be made in December. I thank **Vice Chancellor Iris Weinshall** for her leadership in that regard.

Let me also mention that the Start-up NY program was initiated last June. The initiative incentivizes companies to bring ventures to New York State by offering new businesses the opportunity to operate tax-free while partnering with higher education institutions. For **CUNY**, the legislation permits a tax-free community on one campus per borough. We are still not certain about the regulations but we are working with the appropriate authorities to ensure that **CUNY**

takes advantage of this initiative.

On the city side, I joined Mayor Bloomberg on August 12, along with U.S. Secretary of the Interior Sally Jewell and U.S. HUD Secretary Shaun Donovan, to announce the section of a **CUNY**-led consortium to create a new Jamaica Bay Science and Resilience Institute. The institute will be a top-tier research center promoting an understanding of resilience in urban ecosystems. Our partners will include Columbia, Cornell, NASA Goddard, Rutgers, Stony Brook and Stevens Institute, among others. **CUNY** has received significant support on this project from the Rockefeller Foundation and we look forward to great things from the institute. I would like to publicly commend **Vice Chancellor Gillian Small**, **President Karen Gould** and **Interim President Stuart Suss** for their work on this important project.

On August 15 I participated in a press conference with Chancellor Dennis Walcott to announce new early college and career technical education high schools. These are programs that have three partners: a high school, a community college and a business partner. Students who graduate from them receive a high school degree, an associate degree and are first in line for jobs with the business partner. Two new schools will open in the fall—Energy Tech High School in partnership with **LaGuardia Community College**, National Grid and Con Edison; and Health Education & Research Opportunities (HERO) High School in partnership between **Hostos Community College** and Montefiore Medical Center. New partners who will help us launch four new schools in 2014 include SAP, Microsoft, The American Association of Advertising Agencies, and New York Presbyterian Hospital. I commend Dean John Mogulescu and the presidents at the partner colleges for their work with the Department of Education.

On August 11 I visited with the **University Student Senate** Leadership in Hunter, NY, at a wonderful event attended by **Vice Chairperson Philip Berry** and **Trustee Kathleen Pesile**. I also want to thank **University Student Senate** Chair and **Trustee Kafui Kouakou** for the invitation to participate in this really terrific conference.

Mr. Chairman, you have already mentioned the **CUNY** Service Corps, which officially kicked off this past Friday at **John Jay College**. I was present and I can tell you that your description of it being extremely successful was accurate. Nearly 800 students who comprise the corps were on hand, representing **BMCC**, **College of Staten Island**, **John Jay College**, **Kingsborough Community College**, **Lehman College**, **New York City College of Technology** and **Queens College**. The students will work with over a hundred community partners and city agencies. I would like to thank **Trustee Freida Foster** for representing the Board at this event.

I draw your attention to the calendar of events on the table, which includes important occasions taking place this fall semester, provided for your convenience.

Finally, let me offer congratulations to **President Félix Matos Rodríguez**, who was honored by the Bronx Chamber of Commerce at their Hispanic Heritage Leadership Luncheon on September 18; **President Marcia Keizs**, who will be honored by the Greater Jamaica Development Corporation on October 21; **Vice Chancellor Gillian Small**, who will be honored by The Feminist Press at **CUNY** at their annual benefit dinner on November 11; **Dean Michelle Anderson**, who will receive the Women in Public Service Award from the Center for Women in Government & Civil Society at their 35th Anniversary Celebration on November 19; and **Associate Vice Chancellor Brian Cohen** for being nominated and selected by the Center of Digital Education to be included among the Top 50 Innovators in Education this year.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 3)

NO. 1. CHANCELLOR'S UNIVERSITY REPORT: RESOLVED, That the Chancellor's University Report for September 30, 2013 (including Addendum, and Errata Items) be approved:

NO. 2. APPROVAL OF MINUTES: RESOLVED, That the minutes of the regular Board meetings and Executive Session of June 24, 2013 be approved.

Report of Fiscal Affairs Committee Chair Joseph Lhota:

The **Committee on Fiscal Affairs** and the **Subcommittee on Investment** met in a joint session on September 9,

2013, with **Trustee Peter Pantaleo** chairing the meeting. The minutes of both the **Committee on Fiscal Affairs** and the **Subcommittee on Investment** meetings of June 3, 2013 were approved in the public session. **Associate Vice Chancellor Matthew Sapienza** gave a status update on general budget and financial Issues. Following **Associate Vice Chancellor Sapienza's** presentation, the meeting was adjourned to go into Executive Session, where a performance update and management presentation of the University's Investment Pool were provided. Following this discussion, a presentation was given on the University's draft study of the effectiveness of the Tuition Assistance Program (TAP), which is mandated by the State of New York.

Report by Associate Vice Chancellor Matthew Sapienza:

**New York State Tuition Assistance Program (TAP)
Effectiveness and Adjustments for Improvement
Report on TAP is Legislatively Mandated by New York State**

- The New York State Agreement on Higher Education, announced in June 2011, requires the Boards of Trustees of both CUNY and SUNY conduct a study to report on the effectiveness of the Tuition Assistance Program (TAP).
- The legislation requires that these reports examine the cost of undergraduate study, TAP eligibility criteria, and award threshold levels.
- Proposals are to be made on how to improve the TAP program in order to maintain and enhance student affordability and access.

The CUNY and SUNY reports are required to be issued by October 1, 2013.

Report on TAP - Activity to Date

- The University's TAP Report has been produced through a collaborative effort between the Office of Budget and Finance and the Office of Academic Affairs.
- Several meetings and discussions have taken place with key SUNY personnel to create a synergy of ideas and proposals between the two University systems.
- Collaborations with CUNY college financial aid directors have proven extremely beneficial in recognizing areas for improvement to the TAP program.
- Status reports have been provided to the CUNY Administrative Council and Council of Presidents. Briefings have also taken place with the Professional Staff Congress and the New York Public Interest Research Group (NYPIRG).
- At last month's University Student Senate retreat, much discussion centered on financial aid concerns for undocumented students and the elimination of Vallone Scholarships.
- A draft report was presented to the Board of Trustees' Committee on Fiscal Affairs at its meeting on September 9th.

**New York State TAP Program
Key Facts**

- Created in 1974.
- The program is administered by the New York State Higher Education Services Corporation (HESC).
- TAP provides need-based grants ranging from \$500 to \$5,000 to students whose household New York State net taxable income is less than \$80,000.
- Statewide cost in Fiscal Year 2012 was \$920 million.
- Of that total, \$252 million (27 percent) went to approximately 75,000 students attending CUNY.

**TAP Administrative Adjustments:
Simplify and Provide Equity Eligibility Process**

Reduce number of TAP Schedules

Currently there are seven schedules for degree granting colleges, and eleven schedules overall. It is proposed to reduce the number of schedules to four.

Use Federal Financial Independence Criteria

Students who are not included as exemptions on parent's tax returns will need only to meet federal criteria. This will simplify application and verification process for students and colleges.

Make Changes to Ability to Benefit Requirement

Recent changes to federal requirements for Title IV aid have removed "Ability to Benefit" (ATB) examinations as a substitute for a high schools diploma. State statute should be amended to substitute acceptable grades from the SAT or ACT for these students, or to provide testing for students who did not take the SAT or ACT and who have no high school diploma/GED.

Use of Assets in TAP Calculation

Federal Title IV programs, Pell in particular, use financial assets to determine awards and eligibility for programs. Students whose families have large assets and low income may be ineligible for Pell, but still be eligible for substantial TAP awards.

***TAP Student Support Adjustment:
Increase Maximum TAP Award to \$6,500,
to be phased in over multiple years***

Dependent Students, Independent Students with Children, Married Students

- Students who are financially dependent on their parent(s) make up a considerable majority of students who receive TAP.
- Our proposal is that the neediest students will receive TAP awards of \$6,500 or tuition, whichever is less. The current maximum award is \$5,000.
- Our recommendation proposes that the increases in the maximum award level be phased in over multiple years and be subject to fiscal availability.
- Effective Fall 2013, CUNY's annual tuition for a full-time resident undergraduate student at a senior college is \$5,730.

Single Independent Students with no dependents

- The maximum award for these students has been \$3,025 per year for over 20 years, which leaves a huge gap between the award and CUNY/SUNY tuition.
- There will be an \$1,800 gap between the current maximum award and community college tuition in 2015.
- We also propose to make small awards available to students who make more than \$10,000 in Net Taxable Income, who currently receive no awards.

Other TAP Proposals for Consideration

TAP for Undocumented Students

If passed, the Federal DREAM Act would afford undocumented students additional opportunity to higher education. In the event that the DREAM Act does not become law, New York State should study and consider allowing undocumented students who meet admission standards and financial aid eligibility requirements to participate in the Tuition Assistance Program.

Reorganize TAP Regulations

A complete review of the HESC's regulations should be undertaken in order to refocus priorities and responsibilities, and to increase transparency.

HESC TAP Payment System

The current system, unchanged since the late 1970s, is slow, cumbersome and does not interface well with software currently used at most institutions. Consideration should be given to modernizing the system.

Students with Disabilities

The satisfactory academic progress requirements for students with disabilities to remain TAP eligible are based on 12 credits per semester, creating additional challenges for these students. CUNY submitted a proposal to the New York State Education Department to modify this requirement. Although we are hopeful that the Regents will accept our proposal and are encouraged by the reaction thus far, if they do not we would suggest the state consider adjusting the statute as it pertains to students with disabilities.

Credits Attempted

Further consideration should be given to awarding TAP based on credits attempted. This would allow students who, for financial or academic reasons, require more than eight semesters to complete their studies.

Report on TAP – Next Steps

- After feedback from the Board of Trustees, as required the report will be issued to:
 - the Governor's Office,
 - the New York State Assembly,
 - the New York State Senate.

In response to a question from **Trustee Carol Robles-Roman, Associate Vice Chancellor Sapienza** stated that the Governor and the heads of the Senate and Assembly are the actual audience of the planning report, however they have not provided a timeline in terms of the next steps to follow as it is not mandated in the legislation that was passed in 2011.

In response to a question from **Chairperson Schmidt, Associate Vice Chancellor Sapienza** stated that as mentioned earlier, **CUNY** has been working very closely with SUNY in sharing draft reports and the expectation is that their proposed adjustments will line up with the recommendations that **CUNY** is planning to make.

In response to another question from **Chairperson Schmidt, Associate Vice Chancellor Sapienza** stated that compared to SUNY, undocumented students' access to financial aid is definitely more of a **CUNY** problem.

In response to a question from **University Faculty Senate Chair** and **Trustee Terrence Martell**, University Associate Dean James Murphy stated that the main reason there is not more emphasis on per credit TAP is because of the program cost. The program would basically allow a student to receive TAP for twelve semesters. However, if they took the average of six years to graduate, the cost would really be astronomical at this point. A student can receive TAP in summer school currently as long as they take six or more credits, but they do use up the semester of eligibility.

In response to a question from **Trustee Shorter, Associate Vice Chancellor Sapienza** stated that the HESC is a state payment system. There are no recommendations to the city, there are only state recommendations.

NO. 3. COMMITTEE ON FACULTY, STAFF, AND ADMINISTRATION: RESOLVED, That the following items be approved:

A. THE CITY UNIVERSITY OF NEW YORK - AMENDMENT TO ARTICLE VI OF THE BYLAWS OF THE BOARD OF TRUSTEES:

RESOLVED, That effective August 27, 2013, Section 6.1 of Article VI of The Bylaws of The Board of Trustees of The City University of New York be amended as follows:

SECTION 6.1. INSTRUCTIONAL STAFF.

The board hereby establishes the following instructional staff titles:

Chancellor
Chancellor emeritus
Executive vice chancellor
Chief operating officer
Senior vice chancellor
Vice chancellor
Associate vice chancellor
University provost
Secretary of the board
University administrator
University associate administrator
University assistant administrator
President
Dean of the City University School of Law
Dean of the Graduate School of Journalism
Dean of the Sophie Davis School of Biomedical Education
Senior vice president
Vice president
Assistant vice president
[Senior university dean](#)
University dean
University associate dean
University assistant dean
Dean
Associate dean
Assistant dean
Administrator
Associate administrator
Assistant administrator
Distinguished professor
University professor
Professor
Associate professor
Assistant professor
Einstein professor
Visiting distinguished professor
Clinical Professor

Distinguished lecturer
Distinguished lecturer – medical series
Distinguished lecturer – law school series
Medical professor (basic sciences)
Associate medical professor (basic sciences)
Assistant medical professor (basic sciences)
Medical lecturer
Adjunct medical professor (basic sciences)
Adjunct associate medical professor (basic sciences)
Adjunct assistant medical professor (basic sciences)
Adjunct medical lecturer
Medical professor (clinical)
Associate medical professor (clinical)
Assistant medical professor (clinical)
Adjunct medical professor (clinical)
Adjunct associate medical professor (clinical)
Adjunct assistant medical professor (clinical)
Law school distinguished professor
Law school university professor
Law school non-teaching adjunct
Law school professor
Law school associate professor
Law school assistant professor
Law school instructor
Law school library professor
Law school library associate professor
Law school library assistant professor
Law school adjunct professor
Law school adjunct associate professor
Law school adjunct assistant professor
Law school adjunct instructor
Law school lecturer
Visiting professor
Visiting associate professor
Visiting assistant professor
Adjunct professor
Adjunct associate professor
Adjunct assistant professor
Adjunct lecturer
Adjunct lecturer (doctoral student)
Lecturer (full-time)
Lecturer (part-time)
Visiting lecturer
Instructor
Instructor (nursing science)
Research associate
Research assistant
Graduate assistant (a-d)
Senior registrar
Associate registrar
Chief college laboratory technician
Adjunct chief college laboratory technician
Senior college laboratory technician
Adjunct senior college laboratory technician

College laboratory technician
Adjunct college laboratory technician
College physician
Higher education officer
Higher education associate
Higher education assistant
Assistant to higher education officer
Continuing education teacher
Non-teaching adjunct (I-V)
Non-teaching adjunct (doctoral student)
Affiliated professional
Professor of Military Science

and in the Hunter College Elementary School and Hunter College High School

Principal
Chairperson of department
Assistant principal
Teacher
Assistant Teacher
Temporary teacher
Campus schools college laboratory technician
Campus schools senior college laboratory technician
Guidance counselor
Placement director
Education and vocational counselor
Librarian
Substitute teacher
Occasional per diem substitute teacher

and in the childhood centers

Teacher
Assistant teacher
And in the educational opportunity centers (EOC)
Educational opportunity center adjunct lecturer
Educational opportunity center adjunct college laboratory technician
Educational opportunity center college laboratory technician
Educational opportunity center higher education officer
Educational opportunity center higher education associate
Educational opportunity center higher education assistant
Educational opportunity center assistant to higher education officer
Educational opportunity center lecturer

[*and in the School of Journalism and in the Executive MBA Programs of the Zicklin School of Business at Baruch College:](#)

[Professional programs adjunct professor](#)
[Professional programs adjunct associate professor](#)
[Professional programs adjunct assistant professor](#)
[Professional programs adjunct lecturer](#)
[Professional programs professor \(H\)](#)
[Professional programs associate professor \(H\)](#)
[Professional programs assistant professor \(H\)](#)

[Professional programs lecturer \(H\)](#)
[Professional programs Non-Teaching Adjunct 1](#)
[Professional programs Non-Teaching Adjunct 2](#)
[Professional programs Non-Teaching Adjunct 3](#)

and be it further

RESOLVED, That the Board of Trustees waive the requirement in Section 5.1 of Article V that an amendment to the *Bylaws* be proposed at a meeting preceding the meeting at which a vote is taken, in order to permit a vote at this meeting.

EXPLANATION: On April 24, 2013, the University reached an agreement with the Professional Staff Congress/CUNY that, effective the first day of the fall 2013 semester, adjuncts in the CUNY Graduate School of Journalism and in the Executive Programs of the Zicklin School of Business at Baruch College will be compensated using the same salary ranges as are used for adjunct titles at the CUNY School of Law. These new titles, which may only be used in the CUNY Graduate School of Journalism and in the Executive Programs of the Zicklin School, are being created to effectuate that agreement. The title Senior University Dean was incorporated in the Executive Compensation Plan (ECP) that was adopted at the Board of Trustees' June 25, 2012 Board meeting.

The Board of Trustees is asked to waive the requirement in Section 5.1 of Article V to permit implementation of these new salary ranges effective the first day of the fall 2013 semester.

NOTE: New language is denoted in red underlined font.

B. THE CITY UNIVERSITY OF NEW YORK - AMENDMENTS TO THE CONFLICT OF INTEREST POLICY:

RESOLVED, That the Board of Trustees of The City University of New York approve the amendments to the Conflict of Interest Policy, effective immediately.

EXPLANATION: The proposed amendments to CUNY's Conflict of Interest Policy relate primarily to the section dealing with research. The changes, which are set forth in the attached document, are designed to address certain concerns raised by the Executive Committee of the University Faculty Senate in response to the revised version of the Conflict of Interest Policy approved by the Board of Trustees on September 24, 2012.

CUNY CONFLICT OF INTEREST POLICY

1. General Statement of Policy.

It is the policy of the University that all of its activities shall be conducted in accordance with the highest standards of integrity and ethics and in a manner that will not reflect or appear to reflect adversely on the University's credibility, objectivity, or fairness. Every individual to whom this Policy is applicable (each, a "Covered Individual") must maintain the highest standards of honesty and integrity and must refrain from any use whatsoever of his or her position at the University, or the information, privileges, or influence such position may provide, when such use is motivated by, or gives the appearance that it is motivated by, the desire for private gain or advantage for the Covered Individual, or for other persons, institutions, or corporations with which he or she has family, professional, business, or financial connections. Accordingly, no Covered Individual shall have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity, or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his or her duties and responsibilities at the University.

Sections 2 and 3 of this Policy, which set forth the general standards of conduct and the rules regarding hiring, employment, and contracting decisions and supervisory responsibility involving Family Members, apply to all Covered Individuals. Section 4 sets forth specific obligations of Investigators, whether or not they are Covered Individuals, who are involved in research or similar educational or community outreach activities at the University (collectively, "research") and the University's procedures for reviewing and managing Financial Conflicts of Interest that may arise in connection with such activities. Section 5 sets forth provisions regarding records retention requirements and sanctions for violations of this Policy. Section 6 sets forth the definitions of "Covered Individual", "Family Members", "Financial Conflict of Interest", "Investigator", and other terms used in this Policy. The provisions of this Policy are to be interpreted in light of the paramount importance of academic freedom in the activities of the University.

In the event that Federal, state, or local laws or regulations are enacted (or amended) that require changes in this Policy, the University may amend this Policy, and any related document officially issued by the University to set forth procedures for the

implementation of this Policy (each, a "Conflict of Interest Procedural Document"), in order to comply with the new requirements. Any such amendment shall not require approval of the University's Board of Trustees but shall be promptly reported to the Board.

College and University officials with responsibilities under this Policy are identified by titles that are current as of this Policy's effective date. If the title for a particular position changes at any time, the responsibilities under this Policy shall be performed by the individual having responsibilities within the College or the University similar to the individual who held the former title. If there is a vacancy at any time in the position, the responsibilities under this Policy shall be assumed by the individual to whom such position reports or to his or her designee.

2. General Standards of Conduct.

Although not all possible situations within the scope of this Policy are included in this Section 2, the following standards, which are primarily based on provisions in New York State Public Officers Law §§ 73 and 74, shall serve as general guidance for Covered Individuals. All Covered Individuals are encouraged to consult the advisory opinions of the New York State Joint Commission on Public Ethics interpreting these provisions at <http://www.jcope.ny.gov>, and all Covered Individuals should bring questions about the applicability or interpretation of any of these standards to the University's Office of the General Counsel at ogc@unyu.edu.

- 2.1. No Covered Individual shall accept other employment that will impair his or her independence of judgment in the exercise of his or her duties or responsibilities at the University.
- 2.2. No Covered Individual shall accept other employment or engage in any business or professional activity that will require him or her to disclose confidential information gained by reason of his or her position or authority at the University.
- 2.3. No Covered Individual shall disclose confidential information acquired in the course of his or her duties or responsibilities at the University, except as required by such duties or responsibilities or by law, nor use such information to further his or her personal interests.
- 2.4. No Covered Individual shall use or attempt to use his or her position at the University to secure unwarranted privileges or exemptions for himself or herself or others.
- 2.5. No Covered Individual shall engage in any transaction as a representative or agent of the University with any business entity in which he or she has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his or her duties or responsibilities at the University.
- 2.6. A Covered Individual shall not by his or her conduct give reasonable basis for the impression that any person can improperly influence him or her or unduly enjoy his or her favor in the performance of his or her duties or responsibilities at the University, or that he or she is affected by the kinship, rank, position, or influence of any party or person in the performance of those duties or responsibilities.
- 2.7. A Covered Individual shall abstain from making personal investments in enterprises that he or she has reason to believe may be directly involved in decisions to be made by him or her at the University or that will otherwise create substantial conflict between his or her duty or responsibility at the University and his or her private interest.
- 2.8. No Covered Individual, nor any firm or association of which he or she is a member, nor any corporation ten percent (10%) or more of the stock of which is owned or controlled directly or indirectly by such Covered Individual, shall sell any goods or services having a value in excess of \$25 to the University, or contract for or provide such goods or services with or to any private entity where the power to contract, appoint, or retain on behalf of the private entity is exercised, directly or indirectly, by the University, unless such goods or services are provided pursuant to an award or contract let after public notice and competitive bidding.
- 2.9. No Covered Individual shall accept gifts of more than nominal value where the circumstances would permit the inference that (a) the gift was intended to influence the Covered Individual in the performance of his or her duties or responsibilities at the University, or (b) the gift constituted a tip, reward, or sign of appreciation for any act by the Covered Individual in connection with those duties or responsibilities.

3. Policy regarding Nepotism in Hiring, Employment, Supervisory Responsibility, and Contracting.

3.1. General. The University seeks to foster a work environment conducive to a satisfying family life for all members of the University community and to accommodate, wherever appropriate, individual needs relating to family life. At the same time, there are a number of situations where the personal interests of a member of the University community may conflict with the proper discharge of his or her duties and responsibilities at the University. In keeping with New York State Public Officers Law §§ 73(14) and (15), this Policy recognizes that conflicts may be inherent in the hiring, employment, and supervision of a Covered Individual's Family Members as well as in entering into contracts that relate to Family Members or with entities in which Family Members have a personal or financial interest. Accordingly, this Section 3 sets forth certain specific prohibitions against a Covered Individual's participation in the conduct of University business involving his or her Family Members.

3.2. Hiring and Employment Decisions. No Covered Individual shall take part in any decision to hire, promote, discipline, or discharge any of his or her Family Members with respect to any position at the University. If such a hiring or

employment matter arises relating to a Family Member, then the Covered Individual must advise his or her supervisor of the relationship in writing and must be recused from any and all discussions or decisions relating to the matter.

3.3. **Supervisory Responsibility.** No Covered Individual shall have Supervisory Responsibility for any of his or her Family Members at the University, except as provided by a written waiver as set forth in this Section 3.3, or in the case of research projects, as provided in Section 3.6 below. (For the purpose of this Section 3.3, a Covered Individual shall be deemed to have "Supervisory Responsibility" for a Family Member if the Family Member (a) directly reports to the Covered Individual, or (b) directly reports to a supervisor who reports ultimately to the Covered Individual, regardless of the number of reporting levels that separate the Family Member from the Covered Individual.) Although Department Chairs are Covered Individuals, for the purpose of this section, they shall not be deemed to have any Supervisory Responsibility for full-time faculty members in their department under this section, but they shall be deemed to have Supervisory Responsibility for their department's adjunct faculty members.

If at the time of the initial implementation of this Section 3.3 following the effective date of this Policy, a Covered Individual has Supervisory Responsibility for a Family Member at the University, or subsequent to the initial implementation of this section a Covered Individual may come to have such Supervisory Responsibility, the Covered Individual shall make reasonable efforts to effect a change in the organization or have the Family Member reassigned in order to avoid a violation of this section. If, however, such a change or reassignment is not feasible (e.g., as a result of civil service rules or provisions of the applicable collective bargaining agreement) or would result in significant harm to the operations or activities of the University, the Covered Individual may file a written request for a waiver with the Vice President for Administration of the College where the Covered Individual is employed or such other College official as may be designated by the College President. Such request shall set forth the efforts that have been made to effect a change in the organization, the reasons why a reassignment of the Family Member is not feasible, and the reasons why such a change or reassignment would result in significant harm to the University's operations or activities. If, after a review of all the relevant circumstances, a waiver in writing is granted, the Covered Individual may continue or assume, as the case may be, Supervisory Responsibility for his or her Family Member.

Notwithstanding any waiver regarding Supervisory Responsibility for a Family Member pursuant to the preceding paragraph, no Covered Individual shall participate under any circumstances in any action that directly affects his or her Family Member as an employee of the University individually, and not as a member of a group, including, but not limited to, performance evaluations, position reclassifications, and determination of promotional opportunities or eligibility for additional compensation of any kind from the University.

3.4. **Contracting Decisions.** No Covered Individual shall take part in any contracting decision at the University (a) relating to a Family Member, or (b) relating to any entity in which a Family Member is an officer, director, or partner, or in which a Family Member owns or controls ten percent (10%) or more of the stock of such entity. If a contracting matter arises involving either clause (a) or (b), then the Covered Individual must advise his or her supervisor of the relationship in writing and must be recused from any and all discussions or decisions relating to the matter.

3.5. **Family Members.** The definition of Family Member, which appears in Section 6.11 of this Policy, is very broad. It covers not only spouses and domestic partners, parents, children, and siblings, but it also extends as far as great grandparents and great grandchildren and many other relatives of a Covered Individual and his or her spouse or domestic partner, as well as to other individuals living in the same household as the Covered Individual. If a Covered Individual has any questions about whether the provisions of this Section 3 apply to his or her situation, it is incumbent upon the Covered Individual to consult the University's Office of the General Counsel at ogc@cuny.edu.

3.6. **Applicability to Research.**

3.6.1. **Research Positions Administered through the Research Foundation.** Sections 3.1 through 3.5 shall not apply to any research positions administered through the Research Foundation. Hiring, employment, and contracting decisions and supervisory responsibility in connection with such positions shall be governed by the Code of Ethics of the Research Foundation.

3.6.2. **Research Positions Not Administered through the Research Foundation.** Sections 3.1 through 3.5 shall not apply to any research positions not administered through the Research Foundation if (a) the Investigator files a written request for a waiver with the Vice Chancellor for Research setting forth the description of the research project, the nature of the involvement of the Investigator's Family Member, and the reasons why it would be appropriate for the waiver to be granted; and (b) the Vice Chancellor for Research, after a review of all the relevant circumstances, grants the waiver in writing.

4. Policy on Financial Conflicts of Interest in Research.

4.1. **Scope.** This Section 4 applies to all research projects, regardless of the source of funding or support or the commercial exploitation of the results of such projects.

4.2. **General.** A particular purpose of this Policy is to promote integrity in research by establishing standards and procedures to ensure, to the extent possible, that any Significant Financial Interest (as defined in Section 6.16) that could directly and significantly affect the design, conduct, reporting, or regulatory review of a research project at the University (each, a "Financial Conflict of Interest" or "Financial Conflict") does not bias the design, conduct, reporting, or regulatory review of the research project.

The University recognizes that interactions between Investigators (as defined in Section 6.14) in a research project at the University and commercial entities have many beneficial results for the University and its faculty, staff, post-doctoral associates, and students, as well as the commercial entities themselves. For example, such interactions are an especially effective means of

facilitating the commercial development of University intellectual property, which benefits the public with new goods and services and stimulates economic development. Nevertheless, these interactions may raise conflicts between the personal financial interests of the Investigator and the interests of the University. In some cases, such conflicts may reasonably appear to be likely to affect the judgment of an Investigator.

The University has determined that a strict prohibition of Financial Conflicts of Interest does not serve the public interest because potentially beneficial interactions with industry would be lost. Moreover, the University recognizes that Financial Conflicts of Interest will inevitably arise in a modern research university and that the mere existence of such Financial Conflicts, in the absence of wrongful behavior, is not necessarily improper. As a result, consistent with Federal regulations dealing with objectivity in research and investigatory financial disclosure policy, this Policy seeks to manage Financial Conflicts of Interest in order to minimize the potential harm that could result either from Financial Conflicts that actually impair the judgment of Investigators or from the appearance that the judgment of Investigators has been or will be impaired. The University reserves the right to prohibit the existence of Financial Conflicts of Interest that present unmanageable risks or that would require excessive resources to manage.

The complex situations that may arise involving Financial Conflicts of Interest require management using specialized knowledge and a multi-disciplinary, problem-solving approach. Therefore, this Policy provides for qualified individuals designated at each College, as well as a University-wide Conflicts Committee, to review disclosures by Investigators of Significant Financial Interests related to the Investigators' Institutional Responsibilities (as defined in Section 6.13) to determine whether such Significant Financial Interests are related to the Investigator's research and constitute Financial Conflicts of Interest, and if so, to manage those Financial Conflicts in a fair, objective, and confidential manner in accordance with applicable laws and regulations, this Policy, and any applicable Conflict of Interest Procedural Document.

4.3. Disclosure of Significant Financial Interests. In addition to any obligation under other University policies, including the University's Intellectual Property Policy, any applicable Conflict of Interest Procedural Document, or any Federal, state, or local laws or regulations, each Investigator must disclose to the College Conflicts Officer at his or her College each Significant Financial Interest that is related to his or her Institutional Responsibilities in any situation designated in this Section 4.3. All disclosures must be made in writing to the College Conflicts Officer (with a copy to the Grants Officer at the College if the Significant Financial Interest is related to a sponsored research project) using the disclosure forms provided by the Office of the Vice Chancellor for Research.

The following situations require disclosure at the time noted in each paragraph:

4.3.1. Proposals and Applications for Research Funding or Support - If (a) an Investigator intends to design, conduct, or report research at the University, or participate in the regulatory review of such research, and (b) funding or other support for the research is being sought directly or indirectly from a government agency, non-profit institution, Company (as defined in Section 6.5), or the University, and (c) at the time a proposal or application for such funding or support is being prepared, the Investigator has a Significant Financial Interest that is related to his or her Institutional Responsibilities, then the Investigator must disclose the Significant Financial Interest to the College Conflicts Officer and the Grants Officer at his or her College before the proposal or application is submitted to the agency, institution, Company, or the University. The Investigator must also comply with any disclosure and approval procedures required by the agency, institution, Company, or the University in connection with any such proposal or application.

Although a Significant Financial Interest relating to a proposal or application for research funding or support must be disclosed prior to the submission of the proposal or application, the review of the Significant Financial Interest by the College Conflicts Officer and the Conflicts Committee may take place after such submission. If, however, a proposal or application for research funding or support is submitted before such review, the Significant Financial Interest must be reviewed, and any related management or oversight plan developed by the Conflicts Committee under Section 4.5.1 must be implemented, prior to the expenditure of any awarded funds or support. Notwithstanding the foregoing, in the case of research at the University involving multi-year funding or support that requires an annual renewal or progress report, the Investigator must disclose any previously undisclosed Significant Financial Interest and updated information regarding any previously disclosed Significant Financial Interest, and also receive approval in writing in accordance with this Policy, before the renewal application or progress report is submitted to the agency, institution, Company, or the University.

4.3.2. Ongoing Funded or Supported Research - If (a) an Investigator designs, conducts, or reports ongoing research at the University, or participates in the regulatory review of such research, and (b) the research is funded or supported directly or indirectly by a government agency, non-profit institution, Company, or the University, and (c) the Investigator has or subsequently acquires a Significant Financial Interest that is related to his or her Institutional Responsibilities, then the Investigator must disclose the Significant Financial Interest to the College Conflicts Officer and Grants Officer at his or her College and receive approval in writing in accordance with this Policy before the Significant Financial Interest is acquired, or as soon thereafter as possible if prior disclosure is impossible. The Investigator must also comply with any disclosure and approval procedures required by the agency, institution, Company, or the University in connection with such research.

4.3.3. Licensing to Certain Companies - If (a) an Investigator responsible for developing, discovering, or creating any University-owned intellectual property becomes aware, or should reasonably have become aware, of a Company's acquisition or intention to acquire ownership of or a license to that intellectual property, and (b) at such time the Investigator has a Significant Financial Interest from or in that Company, or subsequently acquires such a Significant Financial Interest, then the Investigator must disclose the Significant Financial

Interest to the College Conflicts Officer at his or her College and receive approval in writing in accordance with this Policy before the Significant Financial Interest is acquired, or as soon thereafter as possible if prior disclosure is impossible.

- 4.3.4. Supervision of Students and Post-Doctoral Associates - If an Investigator has a Significant Financial Interest from or in a Company or subsequently acquires such a Significant Financial Interest, and he or she teaches, supervises, or otherwise has control over any students or post-doctoral associates at the University who might be involved in related work for the Company, then the Investigator must disclose the Significant Financial Interest and the planned student or post-doctoral associate involvement to the College Conflicts Officer at his or her College and receive approval in writing in accordance with this Policy before the assistance of any students or post-doctoral associates in such work may commence or continue.
- 4.3.5. Changes in a Significant Financial Interest - All Investigators must disclose to the College Conflicts Officer any material change in a previously disclosed Significant Financial Interest within thirty (30) days after the change.

No disclosure is required under this Section 4.3 unless the Significant Financial Interest is within one of the above situations. As required by Federal, state, or local laws or regulations, or as otherwise approved by the University's Board of Trustees, the Conflicts Committee may add to this list. In such event, the Office of the Vice Chancellor for Research shall issue an official advisory to provide notice of the change and shall update the disclosure forms for Significant Financial Interests to reflect the change.

- 4.4. Review of Significant Financial Interests and Determination of Financial Conflicts at the College.
- 4.4.1. Designation and Duties of College Conflicts Officers - Each College President, after consultation with the appropriate faculty governance body at the College and approval by the Vice Chancellor for Research, shall designate an employee of the College as the College Conflicts Officer. Each College Conflicts Officer shall perform the following functions:
- (a) promote awareness at the College of (i) the requirement to disclose Significant Financial Interests in accordance with this Policy and all applicable Conflict of Interest Procedural Documents, and (ii) the availability of disclosure forms on the website of the Office of the Vice Chancellor for Research;
 - (b) receive disclosures of Significant Financial Interests of all Investigators at the College and send copies of those disclosures to the Office of the Vice Chancellor for Research;
 - (c) review each disclosure of a Significant Financial Interest, investigate the disclosure and consult with the College Provost and appropriate Department Chair as necessary, determine whether or not any Financial Conflict involving a Significant Financial Interest exists, and prepare a written report regarding such determination;
 - (d) whether or not a Financial Conflict of Interest is determined to exist, send the report and the complete written record of the review and decision-making to the individuals specified in Section 4.4.2; and
 - (e) assist in the implementation and monitoring of any management and oversight plans developed by the Conflicts Committee for Financial Conflicts of Interest at the College.

If any of the situations described in Section 4.3 apply to a College Conflicts Officer in his or her role as an Investigator, he or she must disclose the Significant Financial Interest to the College Provost or the Provost's designee at the required time. In such a case, or in the case where a College Conflicts Officer is the supervisor of an Investigator who discloses a Significant Financial Interest, the College Conflicts Officer shall not participate in any review or decision regarding the Significant Financial Interest, and the College President shall designate the College Provost or another individual to perform the review and decision-making process instead.

- 4.4.2. College Review and Decision-Making Process - Upon receipt of a disclosure of a Significant Financial Interest, the College Conflicts Officer shall review the Significant Financial Interest and make a determination whether the Significant Financial Interest: (a) is related to the Investigator's research (i.e., could be affected by the research or is in an entity whose financial interest could be affected by the research), and if so, (b) whether a Financial Conflict of Interest exists. Whether or not the College Conflicts Officer determines that a Financial Conflict exists, he or she shall promptly prepare a written report regarding the determination and send the report and the complete written record of the review and decision-making to the University Director for Research Compliance in the Office of the Vice Chancellor for Research, the College Provost, the Investigator, and if the case involves a sponsored project administered through the Research Foundation, to the Legal Department of the Research Foundation.
- 4.5. The Conflicts Committee.
- 4.5.1. Review and Management of Financial Conflicts of Interest - The University's Conflicts Committee shall promptly review the College Conflicts Officer's report and the complete written record of his or her review and decision-making in connection with each Financial Conflict of Interest determined by the College Conflicts Officer and decide whether or not a Financial Conflict of Interest does in fact exist. The Conflicts Committee shall also have the discretion to review reports of the College Conflicts Officer where no Financial Conflict of Interest is found to

exist and decide otherwise. If the Conflicts Committee determines that a Significant Financial Interest is related to the research in question and that a Financial Conflict of Interest exists, the Committee and its staff shall promptly develop and implement a conflict management and oversight plan that specifies the actions that have been, and shall be, taken to manage the Financial Conflict in accordance with the requirements of the research sponsor and the University. Examples of conditions or restrictions that might be imposed to manage, reduce, or eliminate the Financial Conflict include but are not limited to the following:

- (a) Public disclosure of the Financial Conflict (e.g., when presenting or publishing the research);
- (b) For research projects involving human subjects research, disclosure of the Financial Conflict directly to participants;
- (c) Appointment of an independent monitor capable of taking measures to protect the design, conduct, reporting, and review of the research against bias resulting from the Financial Conflict;
- (d) Modification of the research plan;
- (e) Change of personnel or personnel responsibilities, or disqualification of personnel from participation in all or a portion of the research;
- (f) Reduction or elimination of the Significant Financial Interest (e.g., sale of an equity interest); or
- (g) Severance of relationships that create the Financial Conflict.

The Conflicts Committee Administrator shall promptly send notice of the decision by the Conflicts Committee of whether or not a Financial Conflict exists, including a statement of the basis for the decision, to the College Conflicts Officer, the College Provost, the Investigator, any other individuals determined by the Vice Chancellor for Research, and, if the case involves a sponsored project administered through the Research Foundation, the Legal Department of the Research Foundation. If the Conflict Committee decides that a Financial Conflict of Interest exists, such notice shall include a copy of the related management and oversight plan. The Investigator shall have up to ten (10) days to notify the Conflicts Committee in writing of any objections to the initial management and oversight plan issued by the Committee, and the Committee shall promptly review such objections and notify the individuals who previously received a copy of the plan, and any other individuals determined by the Vice Chancellor for Research, of any resulting changes in the plan that the Committee decides to make.

- 4.5.2. Composition of the Conflicts Committee - The University shall establish a seven-member University-wide Conflicts Committee consisting of the Vice Chancellor for Research or his or her designee, the President of the Research Foundation or his or her designee, a College Provost designated by the Vice Chancellor for Research, and four tenured University faculty members. These faculty members, who shall be actively involved in sponsored research projects and shall have such other qualifications as may be determined by the Vice Chancellor for Research, shall be nominated by a College President or the University Faculty Senate and selected by the Vice Chancellor for Research. The Committee shall include faculty members from at least three different Colleges and represent at least three different academic disciplines. The College Provost and the faculty members on the Committee shall serve a term of three years; provided, however, that two of the faculty members nominated at the time of the formation of the Committee shall serve initial terms of one and two years, respectively, so that the terms of the faculty members will be staggered. The Provost and the faculty members may not serve more than two consecutive terms. The Vice Chancellor for Research or his or her designee shall be the Chair of the Committee. The Chair shall be a voting member of the Committee but shall vote only in the case of a deadlock or to achieve a majority of the members of the Committee required for a decision under Section 4.5.5.
- 4.5.3. Meetings of the Conflicts Committee - The Conflicts Committee shall hold meetings with appropriate notice to all participants on a schedule determined by the Chair based on the number of Financial Conflicts of Interest that need to be reviewed and any applicable deadlines for review and reporting established by research sponsors. Committee meetings shall require a quorum of a majority of the members of the Committee. Members of the Committee may participate in meetings using voice or video-conferencing technology, provided that all members receive copies of the materials to be discussed at the meetings in advance. The Chair or his or her designee shall be responsible for setting the agendas for the meetings and distributing to Committee members in advance of the meetings the disclosures of Investigators, the reports and complete written records of the review and decision-making of the cases at the Colleges, and other relevant materials. The College Conflicts Officer shall attend the portions of Committee meetings devoted to the consideration of Financial Conflicts at his or her College, and an Investigator shall be entitled to attend the portion of the Committee meeting devoted to the consideration of his or her Financial Conflict, but neither the College Conflicts Officer nor the Investigator may be present for any discussion regarding the Committee's determination of whether or not a Financial Conflict exists or the development of a management and oversight plan. A member of the University's Office of the General Counsel and/or a legal representative of the Research Foundation shall attend all meetings of the Committee.
- 4.5.4. Recusal Procedures - The Conflicts Committee shall establish written procedures for the recusal of a Committee member from participating in the Committee's consideration of a particular Financial Conflict of Interest in appropriate circumstances. The Committee shall make these procedures available to interested parties upon request.
- 4.5.5. Decisions of the Conflicts Committee - The Committee's decisions regarding the disposition of Financial Conflicts of Interest shall be made by a majority of the members of the Committee present at the meeting. The Committee's decisions shall be recorded in written minutes, which shall not include any identifying information

about the votes of the Committee members. The Committee shall make decisions regarding the disposition of Financial Conflicts of Interest, including the development and implementation of a management and oversight plan, within thirty (30) days after receiving the written report and complete written record of the review and decision-making process at the College from the College Conflicts Officer; provided, however, that earlier dates may be required to meet the notice requirements and reporting deadlines established by a research sponsor. Subject to an Investigator's right to object to a management and oversight plan under Section 4.5.1, all decisions of the Committee shall be final.

- 4.5.6. Authority of the Conflicts Committee - The Committee shall have discretion in reviewing and making decisions regarding the disposition of all Financial Conflicts of Interest and may request and review additional relevant information from Investigators and other sources. The Committee shall annually review the definition of Significant Financial Interest in Section 6.16 and shall have authority to revise the definition in order to comply with new requirements of Federal, state, or local laws or regulations and, subject to approval by the University's Board of Trustees, otherwise revise the definition. The Committee shall have authority to interpret this Policy and shall give Investigators and College Conflicts Officers notice of its interpretative statements through periodic advisories as well as access to the written minutes of Committee meetings appropriately redacted to preserve the confidentiality of the individuals involved.

4.6. Confidentiality of Disclosures. Many of the matters brought to the College Conflicts Officers, other officials at the College, the Office of the Vice Chancellor for Research, the Research Foundation, and the Conflicts Committee for review and decision-making will include information of a personal and private nature concerning the financial interests of Investigators, proprietary business information, and other information of a highly confidential nature. Accordingly, except as specifically provided in this Section 4 or as otherwise required by Federal, state, or local laws or regulations, all College Conflicts Officers, other officials at the College, the Office of the Vice Chancellor for Research, the Research Foundation, the Conflicts Committee, and others who participate in Committee meetings as provided herein shall maintain all disclosed information in strict confidence and take necessary precautions and actions to preserve the confidentiality of such information, and the Conflicts Committee shall meet in closed session. Information disclosed under this Section 4 may be disclosed outside the University only as required by the source of funding or support for the applicable research or by applicable Federal, state or local laws or regulations, and only after the University's Office of the General Counsel approves such disclosure and the affected Investigator is notified that the information will be released.

4.7. Monitoring of Management and Implementation Plans. Whenever the Conflicts Committee develops and implements a management and oversight plan, the Office of the Vice Chancellor for Research, with the assistance of the College Conflicts Officer, shall monitor the Investigator's compliance with the plan on an ongoing basis until the completion of the research project.

4.8. Reporting and Liaison to Research Sponsors. The Office of the Vice Chancellor for Research shall prepare all reports regarding Financial Conflicts of Interest that may be required by research sponsors and shall send copies of those reports to the research sponsors (with copies to the Legal Department of the Research Foundation) in compliance with the notice requirements and reporting deadlines established by the research sponsors. The Office of the Vice Chancellor for Research shall function as liaison to research sponsors regarding these Financial Conflicts.

4.9. Knowledge of Policy and Applicable Laws and Regulations. The University requires each Investigator and College Conflicts Officer to be familiar with this Policy on Financial Conflicts of Interest and all applicable Federal, state, and local laws and regulations. The University shall provide and require training of Investigators and College Conflicts Officers in accordance with any such laws and regulations.

4.10. Periodic Review of Policy. Not less frequently than every three years following adoption of this Policy on Financial Conflicts of Interest, the Conflicts Committee shall conduct an evaluation of the provisions set forth in this Section 4 and, if appropriate, amend this Policy, and any related Conflict of Interest Procedural Documents, in order to comply with new requirements of Federal, state, or local laws or regulations or, subject to the approval of the University's Board of Trustees, for any other reason. The Conflicts Committee shall solicit comments from the University Faculty Senate with respect to any such amendments to this Policy.

5. Miscellaneous.

5.1. Records Retention Requirements. All Covered Individuals shall retain all records regarding Conflicts of Interest in accordance with The City University of New York Records Retention and Disposition Schedule, which is available at http://policy.cuny.edu/records_retention_schedule/pdf. Specifically with respect to disclosures of Significant Financial Interests related to a sponsored research project and the review of, and response to, such disclosures by Colleges and University officials (whether or not a disclosure resulted in a determination of a Financial Conflict of Interest), and all actions under Section 4 of this Policy, records shall be retained for at least three years from the date the final expenditures report is submitted to the research sponsor.

5.2. Sanctions for Violations of Policy. Any failure to comply with the provisions of this Policy may result in disciplinary sanctions consistent with applicable collective bargaining agreements, up to and including termination of employment. In addition, any failure by an Investigator to comply with the requirement to disclose Significant Financial Interests or with a management and oversight plan regarding a Financial Conflict of Interest may lead to a loss and/or withdrawal of grant funding or support, ineligibility to submit grant applications to research sponsors or to participate in research on behalf of the University, and/or ineligibility to supervise the work of Investigators in research projects.

6. Definitions.

As used in this Policy, the following words shall have the following meanings:

- 6.1. Chair - The Chairperson of the Conflicts Committee, as described in Section 4.5.
- 6.2. College - An educational unit of the University, including all senior colleges and community colleges, the Graduate School and University Center (including, without limitation, the School of Professional Studies, the Graduate School of Journalism, and the CUNY School of Public Health at Hunter College), and the City University School of Law, and the University's Central Office.
- 6.3. College Conflicts Officer - The individual at each College designated by the College President to perform the functions described in Section 4.4.1.
- 6.4. College President - The President or Dean of each College, as applicable. For purposes of this Policy, the Executive Vice Chancellor for Academic Affairs and University Provost or his or her designee shall be deemed to be the College President of the University's Central Office, except that the Chancellor of the University shall be deemed to be the College President of the University's Central Office with respect to Section 3.3.
- 6.5. Company - Any corporation, partnership, association, or other legal entity, excluding entities controlled by the United States government, the State of New York, the City of New York, or the University. A Company shall be deemed to include all of its affiliates and other associated entities.
- 6.6. Conflict of Interest or Conflict - (a) An actual or potential conflict between the personal interests of a Covered Individual and the interests of the University; or (b) the reasonable appearance of such a conflict.
- 6.7. Conflict of Interest Procedural Document - Any document officially issued by the University to set forth procedures for the implementation of this Policy.
- 6.8. Conflicts Committee or Committee - The University-wide committee that reviews disclosures by Investigators of Significant Financial Interests, determines whether or not Financial Conflicts of Interests exist, and develops and implements management and oversight plans with respect to Financial Conflicts of Interest, as described in Section 4.5.
- 6.9. Conflicts Committee Administrator - The individual designated by the Chair of the Conflicts Committee to perform various administrative functions for the Committee.
- 6.10. Covered Individual - Any individual who is, or at any time becomes: (a) an officer of the University; (b) a full-time or part-time employee of the University; (c) a post-doctoral associate at the University; or (d) a student engaged in faculty-directed research at the University other than as part of his or her coursework, whether or not the student is paid for such engagement.
- 6.11. Family Member - Any person living in the same household as the Covered Individual, and any person related to the Covered Individual within the third degree of consanguinity or affinity. Such related persons include the Covered Individual's spouse and the parents, children, siblings, grandparents, grandchildren, aunts and uncles, nieces and nephews, cousins, great grandparents, and great grandchildren of the Covered Individual and his or her spouse, and the spouses of these relatives as well. (For purposes of this Policy, a "spouse" includes a domestic partner under applicable laws governing domestic partnerships and civil unions.) Please refer to Section 3.5. A Covered Individual should consult the University's Office of the General Counsel at ogc@cuny.edu if he or she has any questions about whether the provisions of Section 3 apply to his or her situation.
- 6.12. Financial Conflict of Interest or Financial Conflict - A Significant Financial Interest that could directly and significantly affect the design, conduct, reporting, or regulatory review of research at the University.
- 6.13. Institutional Responsibilities - An Investigator's professional responsibilities on behalf of the University, performed in the course of and within the scope of the Investigator's appointment or employment by the University, which may include, for example, activities such as research, research consultation, teaching, professional practice, institutional committee memberships, and service on panels such as Institutional Review Boards.
- 6.14. Investigator - The project director or principal investigator and any other person, regardless of title or position, who is responsible for the design, conduct, or reporting of a University research project, which may include, for example, collaborators or consultants, whether or not such project director, principal investigator, or other person is employed by the University or the Research Foundation.
- 6.15. Research Foundation - The Research Foundation of The City University of New York.
- 6.16. Significant Financial Interest - The Conflict of Interest Procedural Document for research projects funded or supported by a particular sponsor will set forth the definition of Significant Financial Interest applicable to such

projects. In the absence of such a definition in an applicable Conflict of Interest Procedural Document, the following definition shall apply:

Anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options, or other ownership interests); and intellectual property rights (e.g., patents, copyrights, and royalties from such rights).

The term does not include:

- (a) Salary, royalties, or other remuneration from the University;
- (b) Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities;
- (c) Income from service on advisory committees or review panels for public or nonprofit entities;
- (d) An equity interest that, when aggregated for the Covered Individual and the Covered Individual's spouse and dependent children, meets both of the following tests: (i) does not exceed \$10,000 in value, as determined through reference to public prices or other reasonable measures of fair market value; and (ii) does not represent more than a five percent (5%) ownership interest in any single entity; or
- (e) Salary, royalties, or other payments that, when aggregated for the Covered Individual and the Covered Individual's spouse and dependent children over the next twelve months, are not expected to exceed \$10,000.

6.17. University - The City University of New York.

6.18. Vice President for Administration - The Vice President for Administration (or individual with similar responsibilities) of each College, as applicable. For purposes of this Policy, the Executive Vice Chancellor and Chief Operating Officer of the University or his or her designee shall be deemed to be the Vice President for Administration of the University's Central Office.

University Faculty Senate Chair and Trustee Martell stated that he would like to thank **General Counsel and Senior Vice Chancellor Frederick Schaffer** for his willingness to cooperate in this important piece of legislation.

C. HUNTER COLLEGE - NAMING OF THE LEON AND TOBY COOPERMAN LIBRARY AND THE LEON AND TOBY COOPERMAN SCHOLARS PROGRAM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of "The Leon and Toby Cooperman Library" and "The Leon and Toby Cooperman Scholars Program."

EXPLANATION: Leon and Toby Cooperman have pledged \$25 million to the Hunter College Foundation, Inc., to be paid over a five-year period starting in 2013 to fund two major initiatives. Fifteen million dollars will be used to renovate and upgrade the College's main library located in Hunter College's East Building at the southeast corner of Lexington Avenue and 68th Street. The remaining ten million will fund a Scholars Program to provide financial assistance to academically qualified candidates who have demonstrated financial need that is unmet by other financial scholarship programs.

Leon G. Cooperman has a B.A. from Hunter College (Class of 1964) in chemistry and physics, and he earned an M.B.A. from Columbia Business School in 1967. Mr. Cooperman is Founder and Chairman of Omega Advisors, Inc., a major New York hedge fund investment firm. Toby Alowitz Cooperman received a B.A. in history from Hunter College (Class of 1964). For more than 20 years, Toby Cooperman was a learning disabilities specialist at the Early Childhood Learning Center for special education students in Chatham, New Jersey.

The Coopermans have made other gifts to Hunter College, having previously established The Leon Cooperman Pre-Business Program, and contributing to the Hunter College Mother's Day Scholarship Campaign. The Coopermans have pledged this gift to celebrate the approach of the fiftieth anniversary of their marriage and their graduation from Hunter College, where they first met.

To recognize their generosity, Hunter College requests that the Board approve the naming of Hunter's main library as "The Leon and Toby Cooperman Library," and the naming of "The Leon and Toby Cooperman Scholars Program."

D. JOHN JAY COLLEGE OF CRIMINAL JUSTICE - NAMING OF THE LABRENDA GARRETT-NELSON '75 CLASSROOM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of Room 1.76 at John Jay College of Criminal Justice as the "LaBrenda Garrett-Nelson '75 Classroom."

EXPLANATION: Ms. LaBrenda Garrett-Nelson is an exemplary alumnus of John Jay College from the class of 1975. She serves on the College's Alumni Campaign Committee. Ms. Garrett-Nelson recently signed a pledge of \$75,000 to the John Jay Foundation to name a classroom at the College with funds benefitting the Pre Law Institute. Her pledge combines earlier gifts totaling \$11,000, a personal pledge to pay \$34,000 in cash or readily marketable securities and \$30,000 through the Ernst & Young Employee Gift Matching program, both to be paid over the next 4 years.

Ms. Garrett-Nelson recently retired from Ernst & Young, where she was a Partner and represented clients before Congress and at the Treasury on a broad range of federal income tax issues, providing services related to corporate and international taxation. Her gift will benefit students who participate in the Pre Law Institute at John Jay College by providing LSAT preparation and other essential programming.

In recognition of her generosity, John Jay College recommends naming Classroom 1.76 in honor of LaBrenda Garrett-Nelson.

E. KINGSBOROUGH COMMUNITY COLLEGE - NAMING OF THE REGINA PERUGGI ACADEMIC CENTER:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the Academic Village at Kingsborough Community College as the "Regina Peruggi Academic Center."

EXPLANATION: Respected as an experienced and creative leader in higher education, Regina Peruggi has led Kingsborough Community College to new levels of achievement with an emphasis on improving institutional effectiveness and student learning outcomes. Since Dr. Peruggi assumed the presidency of Kingsborough in August 2004, the College has instituted a strategic planning process, expanded an innovative Learning Communities model, instituted a Workforce and Economic Development Center, founded one of the first Single Stop USA centers on a community college campus, developed new academic programs, engaged its KCC Foundation Board members and alumni in College advancement efforts, and re-energized faculty development programs. These achievements, among others, were recognized by the Aspen Institute for College Excellence Program in 2013 by naming Kingsborough one of the top four community colleges in the nation.

Prior to her presidential appointment at Kingsborough, Dr. Peruggi served CUNY in other capacities. In 1974 she joined York College, where she developed and directed the York College Community Learning Center. In 1984, she moved to the CUNY Office of Academic Affairs and in 1986 assumed the position of University Associate Dean for Adult and Continuing Education. As Associate Dean, she expanded literacy programs for adults, initiated programs for the University with business, industry and major labor unions, and was responsible for starting several special faculty development projects.

Dr. Peruggi has been an active member of many civic boards and academic associations and, most recently, has been contributing to New York City resiliency efforts through her work on the Board of the Jamaica Bay/Rockaway Parklands Conservancy.

Upon President Peruggi's retirement from Kingsborough Community College and in recognition of her lasting contributions to The City University of New York and to thousands of Kingsborough students, the College recommends the naming of its Academic Village, in which reside many of the programs that she instituted, in her honor.

F. [ADDED ITEM] CUNY GRADUATE SCHOOL OF JOURNALISM - NAMING OF THE HAROLD W. MCGRAW, JR. CENTER FOR BUSINESS JOURNALISM:

RESOLVED, That the Board of Trustees approves the naming of the Harold W. McGraw, Jr. Center for Business Journalism at the CUNY Graduate School of Journalism.

EXPLANATION: The Harold W. McGraw, Jr. Family Foundation has pledged to give \$3,000,000 to the CUNY Graduate School of Journalism to establish and endow in perpetuity the Harold W. McGraw, Jr. Center for Business Journalism. This endowed gift will provide \$150,000 in annual support (5% of the principal every year) for the development and operation of the academic center and its activities. The gift instrument has been reviewed and approved by the University's General Counsel, who will sign it on behalf of the University.

One of the main reasons for establishing the Harold W. McGraw, Jr. Center for Business Journalism would be to commission important, complex business and economic stories that are less likely to be produced these days due to the diminished resources of many news organizations. The Center would provide stipends to each journalist for three to six months of work at the McGraw Center to produce a distinguished piece of long-form journalism on an important business or economics topic. Further, to help promote the development of future business journalists, the Center would fund scholarships for students who choose the School's business reporting concentration and provide stipends for those who undertake a summer internship in business journalism at a media company.

Finally, as part of its mission to serve the greater journalism community, the Center would provide networking and educational opportunities to business reporters and editors. This would take the form of a major annual conference, the McGraw Symposium, on a topic important to business journalists, as well as professional development seminars on a variety of topics, such as the use of social media in business reporting and distribution or how to mine databases to find story ideas.

The Harold W. McGraw, Jr. Family Foundation was founded in 2010 by Suzanne, Terry, and Bob McGraw, the children of Harold W. McGraw, Jr., former chairman and CEO of The McGraw-Hill Companies. The foundation makes grants to institutions and causes dear to the late Mr. McGraw, including literacy projects, business journalism, education, hospitals, and the New York Public Library.

In recognition of this generous gift, the CUNY Graduate School of Journalism requests that this Center be named the Harold W. McGraw, Jr. Center for Business Journalism.

Upon motion duly made, seconded and carried, the public meeting was adjourned to go into Executive Session at 5:30 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

MINUTES OF THE EXECUTIVE SESSION OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK

HELD

SEPTEMBER 30, 2013

AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN

The Executive Session was called to order at 5:33 P.M.

There were present:

Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson

Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz
Joseph J. Lhota

Peter S. Pantaleo
Kathleen M. Pesile
Carol A. Robles-Roman
Charles A. Shorter
Jeffrey S. Wiesenfeld

Kafui K. Kouakou, ex officio

Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary

Interim Chancellor William P. Kelly
EVC and University Provost Alexandra Logue
Executive Vice Chancellor and C.O.O. Allan H. Dobrin
Senior Vice Chancellor Marc V. Shaw
Vice Chancellor Frank D. Sánchez
Vice Chancellor Pamela Silverblatt

Vice Chancellor Gillian Small
Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall
Associate Vice Chancellor Matthew Sapienza
Senior University Dean Robert Ptachik

The absence of Trustees Hugo M. Morales, Brian D. Obergfell, and Terrence F. Martell was excused.

The Board went into Executive Session to discuss personnel matters.

Upon motion duly made, seconded and carried, the Executive Session was adjourned at 5:40 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

SEPTEMBER 30, 2013

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Public Session reconvened at 5:43 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz
Joseph J. Lhota**

**Peter S. Pantaleo
Kathleen M. Pesile
Carol A. Robles-Roman
Charles A. Shorter
Jeffrey S. Wiesenfeld**

Kafui K. Kouakou, ex officio

Terrence F. Martell, ex officio [non-voting]

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Steven Quinn
Anthony Vargas**

**Interim Chancellor William P. Kelly
EVC and University Provost Alexandra Logue
Executive Vice Chancellor and C.O.O. Allan H. Dobrin
Senior Vice Chancellor Marc V. Shaw
Vice Chancellor Frank D. Sánchez
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small**

**Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall
Associate Vice Chancellor Matthew Sapienza
Senior University Dean Robert Ptachik
President James L. Muyskens
President Antonio Pérez
Dean Stephen Shepard**

The absence of Trustees Hugo M. Morales and Brian D. Obergfell was excused.

Upon motions duly made, seconded and carried, the following resolution was adopted: (Calendar Nos. 4 and 5)

NO. 4. CUNY GRADUATE SCHOOL OF JOURNALISM – APPOINTMENT OF DEAN:

RESOLVED, That the Board of Trustees of The City University of New York approve the appointment of Sarah Bartlett as Dean of the CUNY Graduate School of Journalism, effective January 1, 2014, at a compensation to be recommended by the Chancellor to the Board, subject to financial ability.

EXPLANATION: The search committee, chaired by Trustee Peter Pantaleo, at the conclusion of an extensive national search, recommended three finalists for the Dean of the CUNY Graduate School of Journalism. The Chancellor is recommending the appointment of Sarah Bartlett. Ms. Bartlett is currently Professor and Director of the Urban Reporting Program at the CUNY School of Journalism. She previously held the Bloomberg Chair of Business Journalism at Baruch College. Ms. Bartlett has held a number of positions as Editor and Reporter at *Business Week*, the *New York Times*, *Oxygen Media*, *Inside* magazine, and *Inc.* magazine. In addition to numerous newspaper and magazine articles, Ms. Bartlett is the author of two books in the areas of business and environmental health. She earned a B.A. in political science and an M.Phil. in development studies, both from the University of Sussex.

Statement of Ms. Sarah Bartlett:

First of all thank you so much. I am completely delighted to have this honor. It is incredibly exciting for me and it seems very fitting to me that I receive this honor at **Baruch College** which is, of course, where I started over eleven years ago. When I walked in, I was pretty wet behind the ears, but I was fortunate enough to become the Bloomberg Chair of Business Journalism. The diversity of **Baruch College** is known nationwide, and I have wonderful colleagues here and they have taught me so much. I also had the opportunity to meet **Senior Vice Chancellor and Secretary Jay Hershenson** and Bob Isaacson at **CUNY-TV** where I was offered the opportunity to have my own show for three years, which was another great experience. Then the former Chancellor had the wisdom to start a new journalism school and the wisdom to hire my former boss from *Business Week* **Stephen Shepard** as the first Dean. There are all these wonderful ties in my life that just keep coming together. To be able to go and build that school with **Dean Shepard**, Associate Dean Judy Watson, and the faculty and staff there has been such a privilege. We have accomplished a tremendous amount and as the next Dean I have this incredible gift of a school that is already off to such a great start and my job will be to first do no harm and hopefully take it to an even higher level. In the middle of all of this transformation in the industry, it is certainly going to be a challenge, but I can count on all of you, **Dean Shepard**, and my colleagues to help.

NO. 5. QUEENS COLLEGE - APPOINTMENT OF INTERIM PRESIDENT:

RESOLVED, That the Board of Trustees of The City University of New York approve the appointment of Dr. Evangelos John Gizis as Interim President of Queens College, effective January 1, 2014, at a compensation to be recommended by the Chancellor to the Board, subject to financial ability.

EXPLANATION: Dr. James Muyskens will step down as President of Queens College, effective December 31, 2013. Pending the completion of a search for a new president, Dr. Evangelos John Gizis will serve as Interim President.

During his career, the University has previously called upon Dr. Gizis to serve as Acting President at Hostos Community College, as Interim President at Borough of Manhattan Community College, and as Interim President at Hunter College. He has held many other high level positions during his career at CUNY, including Dean of faculty at Hostos Community College, Vice President for Administration at Hunter College and Provost and Senior Vice President for Academic Affairs at Queens College. Following his retirement, Dr. Gizis has continued his association with the University, providing his academic perspective on the implementation of CUNYfirst and Pathways.

Dr. Gizis holds a B.S. in chemistry from Athens University and earned an M.S. in food science and a Ph.D. in food science and biochemistry, both at Oregon State University.

Statement of Dr. Evangelos John Gizis:

Mr. Chairman, Chancellor, and members of the Board, I would like to thank you for giving me the opportunity to serve my third appointment at **Queens College**. The College has made significant progress in the last twelve years under the stewardship of **President James Muyskens**. It attracts well-prepared and dedicated students. The graduation rate is high in comparison with the rates of peer institutions. The number of full-time faculty members has increased significantly in the last ten years and the College attracts outstanding scholars in all the disciplines. The interim administration will strive to provide a stable environment so that all activities will continue without delays or loss of momentum.

Chairperson Schmidt noted that at the next **Board of Trustees'** meeting on November 25th there will be a formal resolution to show the University's appreciation for **President James Muyskens** lengthy service to **CUNY** and **Queens College**. **President Muyskens**, **CUNY** is profoundly grateful to you for your leadership over these dozen years.

Upon motion duly made, seconded and carried, the reconvened public meeting was adjourned at 5:50 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

(This is a detailed summary of the Board of Trustees' meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)

