

MINUTES OF THE MEETING OF THE BOARD OF  
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK

HELD

NOVEMBER 25, 2013

AT BARUCH COLLEGE VERTICAL CAMPUS  
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN

The Chairperson called the meeting to order at 4:30 P.M.

There were present:

Benno Schmidt, Chairperson  
Philip Alfonso Berry, Vice Chairperson

Valerie Lancaster Beal  
Wellington Z. Chen  
Freida D. Foster  
Judah Gribetz  
Joseph J. Lhota  
Hugo M. Morales

Brian D. Obergfell  
Peter S. Pantaleo  
Kathleen M. Pesile  
Carol A. Robles-Roman  
Charles A. Shorter  
Jeffrey S. Wiesenfeld

Muhammad W. Arshad, ex officio

Terrence F. Martell, ex officio (non-voting)

Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs  
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board  
Hourig Messerlian, Deputy to the Secretary  
Towanda Lewis  
Steven Quinn  
Anthony Vargas

Interim Chancellor William P. Kelly  
EVC and University Provost Alexandra Logue  
Executive Vice Chancellor and C.O.O. Allan H. Dobrin  
President Lisa S. Coico  
President Rudolph F. Crew  
President Scott E. Evenbeck  
President Ricardo R. Fernandez  
Interim President William J. Fritz  
President Karen L. Gould  
President Russell K. Hotzler  
President Carole Berotte Joseph  
President Gail O. Mellow  
President James L. Muyskens  
President Antonio Pérez  
President Jennifer Raab  
Interim President Chase F. Robinson  
President Felix V. Matos Rodriguez

Interim President Stuart Suss  
President Mitchel Wallerstein  
Dean Michelle Anderson  
Dean Ann Kirschner  
Dean Ayman E. El-Mohandes  
Dean Stephen Shepard  
Senior Vice Chancellor Marc V. Shaw  
Vice Chancellor Frank D. Sánchez  
Vice Chancellor Pamela Silverblatt  
Vice Chancellor Gillian Small  
Vice Chancellor Gloriana Waters  
Vice Chancellor Iris Weinsall  
Associate Vice Chancellor Matthew Sapienza  
Associate Vice Chancellor Andrea Shapiro Davis  
Senior University Dean John Mogulescu  
RF President Richard F. Rothbard

The absence of Trustee Rita DiMartino was excused.

**Chairperson Benno Schmidt** called the meeting to order, and stated that the Board will go into Executive Session to discuss personnel and legal matters after the conclusion of the Public meeting. The Board will then reconvene in Public Session following Executive Session. He read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

**A. VIDEOTAPING OF BOARD MEETING:** **Chairperson Schmidt** announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at [www.cuny.edu/livestream](http://www.cuny.edu/livestream) providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

**Chairperson Schmidt** stated that he would like to welcome **Associate Vice Chancellor for Corporate, Foundation and Major Gifts Development Andrea Shapiro Davis**. Welcome back to **CUNY**!

**Chairperson Schmidt** stated that he would like to express his collective appreciation to Kafui Kouakou on the completion of his two years of outstanding service as Chairperson of the **University Student Senate (USS)** and the student member of the **Board of Trustees**; and, added that he would like to extend his warmest congratulations to the new **University Student Senate** chairperson and student Trustee, **Mr. Muhammad Arshad** on his election on October 13<sup>th</sup>. **Mr. Arshad** is a graduate student in the Masters in Public Administration program at **CCNY** and he has served as a member of the Board’s **Committee on Fiscal Affairs**. Welcome!

**Chairperson Schmidt** stated that he has scheduled a Trustee and Chancellery Dinner along with **Interim Chancellor William Kelly** for the newly elected **CUNY** student leaders in early December, and looks forward to meeting the new members of the **USS** Steering Committee.

**Chairperson Schmidt** reported that the Board held its Operating and Capital Budget and Public Hearing on Monday, November 18, 2013. **Trustee Valerie Beal** chaired the hearing that was also attended by **Trustees Charles Shorter** and **Muhammad Arshad**, and members of the Chancellery. A summary of the proceeding has been circulated to the Trustees and the Chancellor’s cabinet, and a transcript is available in the **Office of the Secretary**.

**Chairperson Schmidt** stated that he would like to thank **Trustees Kathleen Pesile** and **Rita DiMartino** for presenting "Moving the Needle! CUNY’s Newest Community College: Gifted, Named And Soaring A Year After Its Establishment," to an enthusiastic audience on October 3<sup>rd</sup> at the 44<sup>th</sup> Annual Association of Community College Trustees’ Leadership Congress.

**Chairperson Schmidt** stated that he would like to thank **Trustees Valerie Beal, Freida Foster, and Kathleen Pesile** for their presentations at the 9<sup>th</sup> Annual CUNY/New York Times/J.P. Morgan Chase Women's Leadership Conference on October 25<sup>th</sup> at **Hunter College**, under the direction of the Council of Presidents' Women's Leadership Initiative Committee chaired by **President Marcia Keizs** and co-sponsored with the New York City Commission on Women's Issues.

**Chairperson Schmidt** stated that he would like to thank **Trustee Wellington Chen**, who has agreed to serve as Chair of the Search Committee for a New President of **Kingsborough Community College**; he thanked **Trustees Freida Foster, Hugo Morales, Kathleen Pesile** and **Carol Robles-Roman** for agreeing to serve as members of this important committee. The other members of the committee are being appointed consistent with Board guidelines.

**Chairperson Schmidt** stated that he also would like to thank **Vice Chairperson Phillip Berry**, who has agreed to serve as Chair of the Search Committee for a New President of **Queens College**; he thanked **Trustees Rita DiMartino, Judah Gribetz, Charles Shorter** and **Jeffrey Wiesenfeld** for agreeing to serve as members of this important committee. The other members of the committee are being appointed consistent with Board guidelines.

**Upon motions duly made, seconded and carried, the following resolution was adopted: (Calendar No. 7)**

**NO. 7. RESOLUTION OF APPRECIATION - DR. JAMES L. MUYSKENS:**

WHEREAS, Dr. James L. Muyskens was unanimously named the ninth president of Queens College in July 2002, upon recommendation by Chancellor Matthew Goldstein, by the Board of Trustees; and

WHEREAS, Dr. Muyskens previously served The City University of New York as Associate Provost and Acting Provost at Hunter College from 1984 to 1987 where he spearheaded a revision of the undergraduate curriculum; and

WHEREAS, under Dr. Muyskens' leadership, Queens College has been ranked highly in a number of surveys of the nation's colleges and universities in the applicable categories, including second place in the new "best bang for the buck" rankings compiled by Washington Monthly, and was among the five colleges nationwide chosen by the Education Trust advocacy group for doing right by low-income students; and

WHEREAS, During his tenure at Queens College, Dr. Muyskens worked tirelessly and successfully to guide the recruitment of hundreds of new faculty, oversee the completion of the renovation of Powdermaker Hall, and the addition to Ramsen Hall, and in 2009, welcomed students to Queens College's first-ever residence hall; and,

WHEREAS, Dr. Muyskens was prominently involved with the opening of the CUNY Higher Education Center in Flushing, and achieved a strategic alliance with Nurture New York's Nature to sponsor programs, research, and classes to promote public awareness of New York City's natural places; and

WHEREAS, Dr. Muyskens recently oversaw Queens College's ambitious new strategic planning initiative that established goals for the next twenty years; and

WHEREAS, Dr. Muyskens, a nationally prominent educator whose career spans over 25 years, has demonstrated his deep commitment to public higher education through his outstanding work in senior administrative positions at the University System of Georgia and the University of Kansas; therefore be it

RESOLVED, That the Board of Trustees of The City University of New York expresses its heartfelt appreciation to Dr. James L. Muyskens for his exemplary dedication and distinguished leadership as president of Queens College.

**Chairperson Schmidt** stated that on behalf of the Board, he would like to extend his warmest congratulations to **Dean Michelle Anderson** and to the faculty, staff and students of the **CUNY Law School** for achieving an 83 percent pass rate—higher than the statewide average of 78 percent. These are great results, consistent with last year's record-breaking pass rate of 83.5 percent! Bravo **Dean Anderson!**

**Chairperson Schmidt** stated that he would like to extend his deepest condolences to the family of former Congressman Major Owens, who passed away on October 21<sup>st</sup>. His prominent career in public service included heading the Community Development Agency in New York City, serving in the New York State Senate, and, for twenty-four years in the U.S. House of Representatives. Mr. Owens was a distinguished lecturer of public administration at **Medgar Evers College**.

**B. COLLEGE AND FACULTY HONORS:** **Chairperson Schmidt** called on **Trustee Valerie Lancaster Beal**, who announced the following:

1. Several **CUNY** colleges were nationally recognized recently:
  - **CUNY School of Law** was one of 56 recipients of INSIGHT Into Diversity magazine's, 2013 annual Higher Education Excellence in Diversity (HEED) Award.
  - **Baruch College** was ranked among the ten best schools in the nation for math whizzes by Businessinsider.com and Find the Best Data website; and, among the "Top 25 Undergraduate Program for Entrepreneurship" by the Princeton Review and *Entrepreneur* magazine.
  - Both **Brooklyn College** and **John Jay College** were listed by the Chronicle of Higher Education among the top producers of U.S. Fulbright Scholars by Type of Institution, 2013-14 Under Masters; **Brooklyn College** also ranked high among the top research institutions producing U.S. Fulbright Scholars.
  - **City College** was one of eleven colleges and universities nationwide cited for excellence in physics teacher preparation by the National Task Force on Teacher Education in Physics. Congratulations to all!
2. Several **City College** faculty received national recognition as follows:
  - Distinguished Professor of Biomedical and Mechanical Engineering Emeritus Sheldon Weinbaum was inducted into the American Academy of Arts and Sciences.
  - Distinguished Professor of Science and Engineering Robert R. Alfano received the American Physical Society's 2013 Arthur L. Schawlow Prize at the APS/Optical Society of America Laser Science Frontier in Optics Conference for the supercontinuum.
  - Professor of Biology Sally Hoskins was the 2013 recipient of the National Association of Biology Teachers (NABT) Research in Biology Education Award.
3. **LaGuardia Community College** Biology Professor Thomas Onorato is partnering with Brown University on TRAINING (team-research and inter-institutional networking) for success in biomedical research careers funded by a three-year grant from the National Institute of General Medical Sciences that will expose ten minority students to the sciences. Congratulations!

**C. STUDENT AND ALUMNI HONORS:** **Chairperson Schmidt** called on **Trustee Kathleen M. Pesile**, who announced the following:

1. Twelve graduates from **Baruch College**'s School of Public Affairs were inducted into Governor Andrew Cuomo's new Excelsior Service Fellowship Program to work with state agencies; Fifteen **Baruch College** students participated in the MSNBC Education National Town Hall Program which aired on October 6<sup>th</sup>. Congratulations!
2. A team of students from **City College** took second place in the American Institute of Chemical Engineers' "Chem-E-Car" competition for their vehicle, dubbed "Reakter", which runs on green battery technology developed by the **CUNY** Energy Institute; and, Class of 2013 student Widline Cadet received a Mortimer Hays-Brandeis Travel Fellowship, with a \$19,000 supporting grant. Congratulations!
3. **LaGuardia Community College** NIH-Bridges to the Baccalaureate Research Scholar Sherise Martin won Best Poster Presentation in the category of neuroscience at the Annual Biomedical Research Conference for Minority Students (ABRCMS). Congratulations!

D. **GRANTS:** Chairperson Schmidt presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the September 30, 2013 Board meeting.

**BARUCH COLLEGE**

1. \$531,039 **NATIONAL SCIENCE FOUNDATION** to Bryan Jones, for "SEES Fellows: Developing New Models to Understand Human Vulnerability to Climate-related Hazards at Multiple Scales."

**BRONX COMMUNITY COLLEGE**

1. \$1,383,864 **NEW YORK STATE DEPARTMENT OF EDUCATION** to Carin Savage, for "Institutional Improvement."
2. \$1,181,000 **NEW YORK STATE OFFICE OF TEMPORARILY AND DISABILITY ASSISTANCE** to Stephen Adolphus, for "Student Support."
3. \$175,000 **NEW YORK STATE DEPARTMENT OF STATE** to Blanche Kellawon, for "Institutional Improvement."
4. \$151,185 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Elizabeth Payamps, for "Student Support."

**BROOKLYN COLLEGE**

1. \$400,353 **NATIONAL INSTITUTES OF HEALTH** to Louise Hainline, for "RISE Option 2: Increasing URM Student Success in Science and Science Careers."
2. \$316,674 **OFFICE OF POSTSECONDARY EDUCATION** to Sharona Levy, for "Brooklyn College Educational Talent Search Program."
3. \$309,226 **NEW YORK STATE EDUCATION DEPARTMENT** to Diane Reiser, for "Extended School Day."
4. \$299,980 **NATIONAL INSTITUTES OF HEALTH** to Roberto Sanchez-Delgado, for "SC1: Ruthenium-Based Antimalarial Agents."
5. \$284,301 **UNITED STATES DEPARTMENT OF EDUCATION** to Lorraine Mondesir, for "Child Care Access Means Parents in School Program (CCAMPIS)."
6. \$162,906 **NATIONAL SCIENCE FOUNDATION** to Stefano Ghirlanda, for "Collaborative Research: Multi-Ancestor Coalescent Theory for Cultural Evolution."
7. \$155,557 **NATIONAL SCIENCE FOUNDATION** to Stacey Brenner-Moyer, for "CAREER: I. Organocatalyzed Cascade Reactions as Sustainable Synthetic Methods and II. Sustaining Students' Interest in Science with the Help of "WISE" Students."
8. \$151,030 **NATIONAL SCIENCE FOUNDATION** to Theodore Muth and Avrom Caplan, for "Urban Microbial Community Dynamics: A Classroom Approach."
9. \$128,855 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Diane Reiser, for "NDA Project Peace/DEEDS (Robeson)."
10. \$117,750 **NATIONAL INSTITUTES OF HEALTH** to Amy Ikui, for "Inhibition of DNA Re-Replication by Cyclin/CDK and GSK-3 Kinase in *S. Cerevisiae*."
11. \$117,750 **NATIONAL INSTITUTES OF HEALTH** to Laura Juszczak, for "Edge-on/Face-on: Trp Tripeptides Model Residue Interactions in Proteins."

## CITY COLLEGE

1. \$2,613,661 **UNITED STATES DEPARTMENT OF COMMERCE** to Reza Khanbilvardi, Samir Ahmed, and Fred Moshary, for "NOAA CREST Center."
2. \$677,494 **NEW YORK CITY DEPARTMENT OF SANITATION** to Vasil Diyamandoglu, for "NYC Materials Exchange Development Program."
3. \$569,273 **VARIOUS** to Vincent Boudreau, for "Colin Powell Center for Policy Studies."
4. \$498,404 **UNITED STATES DEPARTMENT OF EDUCATION** to Elizabeth Thangaraj, for "City College Student Support Services Program."
5. \$448,957 **NEW YORK CITY HUMAN RESOURCES ADMINISTRATION** to Sharon Mackey-McGee, for "Poised for Success."
6. \$418,528 **NATIONAL INSTITUTES OF HEALTH** to Asohan Amarasingham, for "Collaborative Research: Identification and Plasticity of Neuronal Microcircuits: Experiments and Robust Inference."
7. \$395,535 **NATIONAL SCIENCE FOUNDATION** to Sally Hoskins, for "CREATE: Cornerstone to Capstone – Integrating a Transformative Paradigm for Undergraduate Biology Education Throughout the Curriculum."
8. \$364,999 **NATIONAL SCIENCE FOUNDATION** to Luis Cardoso, for "Poroelastic Wave Propagation in Anisotropic Bone."
9. \$334,688 **NATIONAL INSTITUTES OF HEALTH** to John Martin, for "Lesion and Activity Dependent Corticospinal Tract Plasticity."
10. \$300,000 **NATIONAL SCIENCE FOUNDATION** to Hansong Tang, for "Prediction of Hydrodynamic Vulnerability of Coastal Bridges to Extreme Storm Surges."
11. \$294,500 **PRINCETON UNIVERSITY** to Fred Moshary, for "Engineering Research Center (ERC) on Mid-Infrared Technologies for Health and the Environment (MIRTH)."
12. \$290,700 **NATIONAL INSTITUTES OF HEALTH** to Jay Edelman, for "Is Saccade Adaptation Driven by Reinforcement."
13. \$280,399 **NATIONAL SCIENCE FOUNDATION** to Yi Sun, Luis Cardoso, Roger Dorsinville, Bingmei Fu, and Mitchell Schaffler, for "MRI: Acquisition of a STORM System to Establish a Nanoscopy Laboratory at The City College of New York."
14. \$277,930 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to William Rossow, for "Diagnostic Analysis and Cloud-System Modeling of Organized Tropical Convection in the YOTC-ECMWF Database to Develop Climate Model Parameterizations."
15. \$225,000 **NATIONAL SCIENCE FOUNDATION** to Myriam Sarachik, for "Search for the Zero-Magnetic Field Wigner Solid."
16. \$195,000 **UNITED STATES DEPARTMENT OF ENERGY** to Marilyn Gunner, for "Studies of Photosynthetic Reaction Centers and Biometric Systems."
17. \$166,800 **NEW YORK CITY MAYOR'S OFFICE** to Sharon Mackey-McGee, for "Adult Literacy Program."
18. \$165,467 **NEW YORK CITY DEPARTMENT OF SANITATION** to Vasil Diyamandoglu, for "NY Wastematch Program."
19. \$160,445 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Beverly Smith, for "Mathematics Science Partnership Grant."
20. \$144,444 **NATIONAL INSTITUTES OF HEALTH** to Mark Steinberg, for "Research Support for Biomedical Careers at CCNY."

21. \$134,114 **UNITED STATES NUCLEAR REGULATORY COMMISSION** to Masahiro Kawaji, for “Nuclear Energy Scholarship Program.”
22. \$100,000 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to Kyle McDonald, for “Development of a Satellite-Based Terrestrial Carbon Flux Model in Support of SMAP Carbon Cycle Science.”

#### THE GRADUATE SCHOOL AND UNIVERSITY CENTER

1. \$326,361 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to Robert Haralick, for “Parameter Sets and Propagation of Uncertainty in a Global Terrestrial Biosphere Model: Data Mining, Diversity, and Expected.”
2. \$116,288 **NATIONAL SCIENCE FOUNDATION** to Jeremy Kahn, for “Finding Surface Subgroups and Virtual Immersions.”
3. \$106,669 **GOVERNMENT OF DENMARK** to Thomas Weiss, for “Support for the Future United Nations Development System Project.”
4. \$105,000 **ROBIN HOOD FOUNDATION** to Lesley Hirsch, for “Helping Robin Hood Foundation’s Workforce Development Grantees on the Strategic Use of Labor Market Information.”

#### HUNTER COLLEGE

1. \$2,536,906 **PHS/NIH/NATIONAL INSTITUTE ON MINORITY & HEALTH DISPARITIES** to Jesus Angulo, for “Research Center in Minority Institutions: Center for Gene Structure and Function.”
2. \$695,672 **PHS/NIH/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Jeffrey Parsons, for “Improving HIV & Alcohol-Related Outcomes among HIV+ Persons in Clinic Settings.”
3. \$613,267 **PHS/NIH/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Sarit Golub, for “Intervention to Enhance PrEP Uptake & Adherence in a Community-Based Setting.”
4. \$582,598 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Regina Miranda and Vanya Quinones-Jenab, for “BP-Endure at Hunter.”
5. \$560,944 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Ana Paulino, for “Mental Health Scholarship Program/One Year Residency.”
6. \$453,120 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Jonathan Prince, for “Assessment of Service Needs to Inform Policy & Services Planning.”
7. \$343,086 **JOAN & SANFORD I. WEILL MEDICAL COLLEGE OF CORNELL/NIH** to Jesus Angulo, for “Clinical & Translational Science Center.”
8. \$290,426 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Paul Feinstein, for “Regulation of Odorant Receptor Gene Expression.”
9. \$274,639 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Daniel Herman, for “Behavioral Health Care Coordination – Training.”
10. \$150,000 **UNITED STATES EDUCATION DEPARTMENT** to Arnold Wolf, for “Long-Term Training: Rehabilitation Counseling.”
11. \$140,949 **MOUNT SINAI/NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY & HEALTH** to Jack Caravanos, for “Educational Resource Center: Industrial Hygiene.”
12. \$140,000 **DOD/AIR FORCE RESEARCH LABORATORY** to Godfrey Gumbs, for “Transport Properties of Graphene-Based Optoelectronic Devices Used for Ultrafast Optical THz Photodetectors.”

13. \$128,509 **JOAN & SANFORD I. WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY** to Gail McCain and Joan Arnold, for "Clinical & Translational Science Center."
14. \$123,000 **NEW YORK COMMUNITY TRUST** to Jacqueline Mondros, for "Leveraging the Economic Literacy and Capacity."
15. \$110,000 **JOHN A. HARTFORD FOUNDATION** to Patricia Volland, for "Social Work Leadership Institute."
16. \$100,000 **NATIONAL PARK SERVICE** to Arlene Torres, for "Ethnographic Overview & Assessment, Paterson Great Falls National Park."
17. \$100,000 **BRIGHT FOCUS FOUNDATION** to Hyungsik Lim, for "Investigating Early Pathogenic Events of Glaucoma by Second-Harmonic Generation."

#### JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$1,133,384 **NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE VIA NEW YORK CITY POLICE DEPARTMENT** to Debra Hairston-Parker, for "Emergency Psychological Technician (EPT) Services Program."
2. \$797,970 **UNITED STATES DEPARTMENT OF EDUCATION** to Anthony Carpi and Nathan Lents, for "Creating Hispanic Scientists: A Model Articulation Program between Hispanic Serving Institutions."
3. \$642,056 **UNITED STATES DEPARTMENT OF EDUCATION** to Anthony Carpi and Kate Szur, for "Title V: Success through Engagement: Development of a Comprehensive Program to Promote Undergraduate Research and First Year Transition Toward Increasing Persistence and Graduation Rates of Hispanic Students."
4. \$598,217 **THE ATLANTIC PHILANTHROPIES** to Tanya Coke, for "School-Justice Project."
5. \$392,979 **UNITED STATES DEPARTMENT OF EDUCATION** to Karen Texeira, for "John Jay College Upward Bound Program."
6. \$380,277 **NATIONAL INSTITUTES OF HEALTH – EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT** to Cathy Spatz, for "Genetic, Behavioral, and Psychological Factors Linking Child Maltreatment to Health and Disease."
7. \$360,231 **UNITED STATES DEPARTMENT OF EDUCATION** to Josefina Couture, for "John Jay College Educational Talent Search."
8. \$249,780 **NATIONAL SCIENCE FOUNDATION** to Bilal Khan, for "Towards a Cellphone-Based Infrastructure for Harvesting Dynamic Interaction Network Data."
9. \$232,819 **NEW YORK STATE EDUCATION DEPARTMENT** to Kate Szur, for "Perkins IV."
10. \$228,323 **UNITED STATES DEPARTMENT OF EDUCATION** to David Barnet, for "Title V – Enhancing Student Success in Criminal Justice with Bronx Community College."
11. \$225,164 **UNITED STATES DEPARTMENT OF EDUCATION** to Jessica Gordon Nembhard, for "McNair Post-Baccalaureate Achievement Program."
12. \$200,000 **PUBLIC WELFARE FOUNDATION** to Stephen Handelman, for "The Media and Correctional Healthcare."
13. \$197,460 **UNITED STATES DEPARTMENT OF EDUCATION** to Philip Yanos, for "Examining Determinants of Community Participation among Persons with Psychiatric Disabilities."

14. \$191,296 **UNITED STATES DEPARTMENT OF JUSTICE – OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION** to Jeffrey Butts, for “Supplementing Ongoing Research on the Community Based Violence Prevention Demonstration (CBVP) and the National Forum on Youth Violence Prevention.”

#### **KINGSBOROUGH COMMUNITY COLLEGE**

1. \$3,855,652 **UNITED STATES DEPARTMENT OF LABOR** to Babette Audant, for “Northeast Resiliency Consortium.”
2. \$1,018,843 **NEW YORK STATE EDUCATION DEPARTMENT** to Lawrence Pero, for “Perkins IV/CTEIA – Basic Grant.”
3. \$570,363 **UNIVERSITY OF ARIZONA** to Harold Connolly, for “OSIRIS ReX.”
4. \$453,910 **NEW YORK CITY HUMAN RESOURCES ADMINISTRATION** to Marie Mandara, for “2014 COPE Budget.”
5. \$265,000 **ROBIN HOOD FOUNDATION** to Marissa Schlesinger, for “Opening Doors Learning Communities.”
6. \$219,975 **UNITED STATES DEPARTMENT OF EDUCATION** to Faith Fogelman, for “TRIO Student Support Services.”
7. \$170,088 **UNITED STATES DEPARTMENT OF EDUCATION** to Heather Brown, for “Expanding Ages Served and Hours Open at Kingsborough CC’s Child Development Center.”

#### **LAGUARDIA COMMUNITY COLLEGE**

1. \$1,499,925 **UNITED STATES DEPARTMENT OF LABOR-EMPLOYMENT AND TRAINING** to Jane McKillop, for “Trade Adjustment Assistance Community College & Career Training (TAACCT).”
2. \$400,000 **AMERICAN ASSOCIATION OF COMMUNITY COLLEGES** to Michael Baston, for “Benefits Access for College Completion.”
3. \$392,211 **NEW YORK STATE EDUCATION DEPARTMENT** to Amy Dalsimer, for “Workforce Investment Act.”
4. \$353,285 **MAYOR’S FUND TO ADVANCE NEW YORK CITY** to Jane McKillop, for “CUNY Fatherhood Academy.”
5. \$332,800 **NEW YORK CITY DEPARTMENT OF PROBATION** to Adjoa Gzifa, for “Young Adults Justice Program.”
6. \$281,325 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICES** to Christine Valdez, for “Paramedic Training.”
7. \$275,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Ann Feibel and Sean Galvin, for “Liberty Partnership Program.”
8. \$250,920 **NEW YORK CITY OFFICE OF THE MAYOR** to Amy Dalsimer, for “Adult Literacy Program.”
9. \$250,000 **NEW YORK CITY CITY COUNCIL** to Amy Dalsimer, for “Deferred Action for Childhood Arrival.”
10. \$248,643 **UNITED STATES NATIONAL INSTITUTE OF GENERAL MEDICINE SCIENCE** to Hendrick Delcham, for “Bridges to Baccalaureate.”
11. \$236,922 **UNITED STATES DEPARTMENT OF EDUCATION** to Francesca Fiore, for “Veterans Upward Bound.”

12. \$200,000 **NEW YORK STATE EDUCATION DEPARTMENT** to John Hunt, for "Center for Immigration Adult Literacy & Basic Adult Education."
13. \$170,770 **NEW YORK STATE EDUCATION DEPARTMENT** to Ann Feibel and Sean Galvin, for "Science and Technology Entry Program."
14. \$149,190 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICES** to John Hunt, for "ELL-LPN-RN."
15. \$148,640 **NEW YORK STATE EDUCATION DEPARTMENT** to Sarah Durand and Maria Entezari, for "C-STEP."
16. \$129,400 **NEW YORK CITY DEPARTMENT OF PROBATION** to Claudia Baldonado and Adjoa Gzifa, for "Justice Community Plus."
17. \$120,000 **SINGLE STOP USA, INC.** to Michael Baston, for "Single Stop Services."
18. \$105,238 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICES** to Michele Stewart, for "PCA Upgrade Training."
19. \$100,000 **NEW YORK STATE EDUCATION DEPARTMENT** to John Hunt, for "ELL Transition to Post-Secondary Training."

**LEHMAN COLLEGE**

1. \$649,989 **NATIONAL SCIENCE FOUNDATION** to Eric Delson, for "IGERT – Integrative Evolutionary Primatology."
2. \$649,738 **UNITED STATES DEPARTMENT OF EDUCATION** to Jose Magdaleno, for "Overcoming Barriers and Moving Up: The Sophomore Year Initiative."
3. \$459,295 **NATIONAL SCIENCE FOUNDATION** to Serigne Gningue, Gillian Bayne, Margo DelliCarpini, Andre Jitianu, and Liesl Jones, for "Science, Technology, Engineering, and Mathematics for English Language Learners at Lehman College."
4. \$414,596 **PERFECT CHOICE STAFFING** to Marzie Jafari, for "RN Completion – MSN Program."
5. \$375,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Harriet Fayne, for "Graduate Level Clinically Richer Teacher."
6. \$314,386 **SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION** to Evan Senreich, Joy Greenberg, Lydia Ogden, and Norma Phillips, for "Enhancing SBIRT Utilization Among Underserved Populations in Bronx, NY and Surrounding Urban Areas: A Lehman College Training Initiative for Social Work Students and Medical Residents."
7. \$309,747 **NATIONAL INSTITUTES OF HEALTH** to Mira Goral, for "Efficacy of Language Treatment in Monolingual and Bilingual Chronic Aphasia."
8. \$217,966 **UNITED STATES DEPARTMENT OF EDUCATION** to Anne Rothstein, for "Lehman College Talent Search: Pathways to Success."
9. \$202,031 **UNITED STATES DEPARTMENT OF EDUCATION** to Anne Rothstein, for "Student Support Services: Careers in Teaching."
10. \$201,834 **UNITED STATES DEPARTMENT OF EDUCATION** to Anne Rothstein, for "Student Support Services: Pathways to Success."
11. \$185,382 **NATIONAL SCIENCE FOUNDATION** to Michael Handel, for "Geometric Group Theory and Surface Dynamics."
12. \$165,414 **BRONX LEBANON HOSPITAL** to Marzie Jafari, for "Master of Nursing Program."

13. \$116,000 **NATIONAL SCIENCE FOUNDATION** to Christina Sormani, for “Application of the Convergence of Riemannian Manifolds to General Relativity.”
14. \$101,250 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Anne Rothstein, for “Lehman College – 9<sup>th</sup> Grade Transition Program.”
15. \$101,250 **NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT** to Anne Rothstein, for “Out of School Time.”

#### **NEW YORK CITY COLLEGE OF TECHNOLOGY**

1. \$774,397 **NATIONAL SCIENCE FOUNDATION** to Fang Yang Shen, for “Noyce Explorers, Scholars, Teachers (NEST): Fostering the Creation of Exceptional Mathematics and Technology Teachers in New York City.”
2. \$620,302 **UNITED STATES DEPARTMENT OF EDUCATION-TITLE V (YEAR 3)** to Jonas Reitz, for “A Living Laboratory: Revitalizing General Education for a 21<sup>st</sup> Century College of Technology.”

#### **QUEENS COLLEGE**

1. \$3,178,000 **UNITED STATES DEPARTMENT OF ENERGY** to Steven Markowitz, for “Former Worker Surveillance Program.”
2. \$1,334,431 **NEW YORK STATE DEPARTMENT OF EDUCATION** to Eleanor Armour Thomas, for “Graduate Level Clinically Rich Teacher Preparation Program.”
3. \$424,196 **NEW YORK CITY BOARD OF EDUCATION** to Robin Hizme, for “Townsend Harris High School.”
4. \$392,584 **NATIONAL INSTITUTES OF HEALTH** to Zahra Zakeri, for “MARC Undergraduate Student Training in Academic Research at Queens College.”
5. \$337,510 **NATIONAL SCIENCE FOUNDATION** to Thomas Plummer, for “Technology, Ecology, and Behavior of Oldowan Hominids on the Homa Peninsula, Kenya.”
6. \$334,800 **NATIONAL INSTITUTES OF HEALTH** to Jin Fan, for “Uncertainty, Cognitive Control, and The Brain.”
7. \$185,401 **NATIONAL SCIENCE FOUNDATION** to Larissa Swedell, for “Mitigation of the Effects of Chronic Stress Through Social Bonding.”
8. \$170,602 **NATIONAL SCIENCE FOUNDATION** to Ashaki Rouff, for “SusChEM: Controls on Struvite Neogenesis and Reactivity in Engineered and Geochemical Systems.”
9. \$159,649 **NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE** to Steven Markowitz, for “New York City Community Air Survey Program.”
10. \$140,229 **NATIONAL SCIENCE FOUNDATION** to John Dennehy, for “CAREER: Population Dynamics and Evolutionary Ecology of Viral Emergence.”
11. \$116,248 **NATIONAL INSTITUTES OF HEALTH** to Susan Rotenberg, for “Protein Kinase C Substrates in Human Breast Cancer.”
12. \$107,156 **NATIONAL SCIENCE FOUNDATION** to Alexey Ovchinnikov, for “CAREER: CISE: CFF – AF – Algebra DMS – Algebra – Computational Differential Algebra.”

#### **QUEENSBOROUGH COMMUNITY COLLEGE**

1. \$729,955 **NEW YORK STATE EDUCATION DEPARTMENT** to Paul Marchese, for “Perkins IV (CTEA).”

2. \$361,734 **NATIONAL INSTITUTES OF HEALTH** to Patricia Schneider, for “QCC Bridges to the Baccalaureate Program.”
3. \$171,000 **NATIONAL SCIENCE FOUNDATION** to Jun Shin, for “TUES: Collaborative Research: A Student-Centered Organic Laboratory Curriculum featuring Microwave-Assisted Organic Synthesis (MAOS).”

#### COLLEGE OF STATEN ISLAND

1. \$160,448 **NATIONAL SCIENCE FOUNDATION** to Feng Gu, for “Spatial Temporal Information Fusion and Real-Time Sensor Data Assimilation Using Sequential Monte Carlo Methods.”
2. \$135,314 **NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE** to Hugo Kijne, for “Creative Exchange Program.”
3. \$121,000 **NATIONAL SCIENCE FOUNDATION** to Anatoly Kuklov, for “Collaborative Research: Worm Algorithm and Diagrammatic Monte Carlo in Atomic and Condensed Matter Physics.”

**E. ORAL REPORT OF THE INTERIM CHANCELLOR:** Interim Chancellor William P. Kelly presented the following report:

Good afternoon, everyone. I join you in thanking Kafui Kouakou for his exemplary service as chairperson of the University Student Senate (USS) and in welcoming **USS Chairperson and Trustee Muhammad Arshad** to today's meeting. I was fortunate enough to attend the USS scholarship dinner at BMCC on October 29, where both Kafui and Muhammad held forth. It was an inspiring evening during which the USS scholarship program—which had been in abeyance—was successfully re-launched. I commend Kafui Kouakou on his leadership of the **USS** and look forward to working with **USS Chairperson and Trustee Arshad** in the months ahead.

Today, the Board will consider the University's operating and capital budget requests, and you will hear details about both during today's committee reports. Last week, I was pleased to give the keynote address at the University's 12<sup>th</sup> Annual Financial Management Conference. Thank you, **Senior Vice Chancellor Marc Shaw** and **Associate Vice Chancellor Matthew Sapienza**, for the invitation and for organizing a terrific event. The theme of the day was “Optimizing Resources for Student Success,” and in my remarks I touched on five challenges we face in achieving that goal.

First, on the federal level, the development of a higher education scorecard—a major component of President Obama's higher education plan that I described at the September Board meeting—continues to move forward. As you will recall, there are four components to the President's initiative: 1) tying Pell Grant funding to college affordability, access, and outcomes; 2) establishing a scorecard to measure college performance; 3) supporting innovative programs that reduce the cost of college with federal grants administered through the Fund for the Improvement of Postsecondary Education; and, 4) encouraging state governments to link operational funding to college performance.

Given current political realities, there is very little chance that additional funding will become available, but with the establishment of a scorecard and the attendant pressure that will result from that action is certain. The broadly shared concern across the academic spectrum, of course, is that reliable and comprehensive data necessary to construct a meaningful scorecard do not currently exist, and that in the absence of that data, college achievement will be undervalued or misreported. The fear is that unintended consequences and perverse disincentives are likely to proliferate. Given the speed with which this initiative is moving, it is critical that the University be proactive. With the help of an ad hoc committee, chaired by **President Ricardo Fernández**, **CUNY** is working with the American Council on Education and other organizations to contribute to the structuring of the scorecard. The discussions that are currently taking place and with the support of the Office of Institutional Research, we are developing a template that speaks to the needs of diverse public systems which we hope will influence the ongoing national conversation.

The second challenge I discussed pertains to the state. **CUNY** has profited from the fiscal stability afforded by the University's five-year tuition plan and its attendant maintenance of effort provisions. But we bear a growing share of

mandatory cost increases and confront a widening delta between tuition levels and TAP coverage. We are also mindful of the current work of a state commission chaired by Carl McCall and former Governor George Pataki charged with identifying \$2-3 billion in tax relief.

With regard to TAP, following the presentation at the September Board meeting, the University submitted its recommendations on TAP reform to the state on October 1. SUNY submitted its report last week. Although SUNY serves a different student population, both systems face similar challenges. Most notably, both SUNY and **CUNY** are required to fund the difference between tuition and TAP support for fully eligible students. That provision has the capacity to absorb all of the enhanced tuition revenues the two universities will receive. As part of our ongoing efforts to address TAP reform, I met recently with Senator Kenneth LaValle and Speaker Sheldon Silver and shared with them the concerns and the recommendations for productive adjustments to the TAP program.

Third, on the city side, the University very much looks forward to working with Mayor-elect DeBlasio and is encouraged by the prominence **CUNY** has played in his public statements. In building a relationship with him, however, we will need to attend carefully first, to the availability of funding to support the new initiatives he has discussed; second, to underscoring the University's research capacities, a critical part of our public mission; and third, to supporting a productive collaboration between our city and state partners.

To those ends, **CUNY** is working closely with the newly-elected city government leaders. **Presidents Jeremy Travis** and **Marcia Keizs** are serving on the mayor-elect's transition team, and **Presidents Félix Matos-Rodriguez** and **Rudy Crew** are working with Comptroller-elect Scott Stringer's transition team. We have joined with Public Advocate-elect Letitia James on hosting town hall meetings in each of the five boroughs. **Senior Vice Chancellor and Secretary Jay Hershenson** has organized a **CUNY** Government Relations Conference on December 17 with the theme, "Transitions Ahead: Maintaining Momentum."

I would also like to note two other events: a conference that Distinguished Professor John Mollenkopf chaired at **The Graduate Center** to discuss the transition process; and, of great interest, a panel discussion organized by **Senior Vice Chancellor Marc Shaw** and Professor Michael Jacobson with a panel of former deputy mayors held at Roosevelt House as an aspect of the work underway with their new **CUNY** Institute of State & Local Governance—a really important undertaking that the University has launched under their able leadership.

The fourth challenge **CUNY** face relates to the current labor climate. How will we reconcile state and city agreements? And how do we address the continued reliance on adjuncts, currently at the 13,000 mark? As you know, **Mr. Chair**, the University's investments over the past decade have resulted in a net 23 percent increase in full-time faculty, a remarkable number when you look across the country where the numbers have been running in exactly the opposite direction. However, unprecedented enrollment growth has impeded **CUNY**'s ability to increase the percentage of the courses taught by full-time faculty. This year we will continue the efforts by hiring 325 new faculty members. Through the proposed new budget request—which will be on the table today—we hope to hire 425 more. It is my strong belief, **Mr. Chair**, that nothing is as important to the future of this University as continuing to build a world-class faculty.

Finally, I argued that the fifth challenge the University face is internal. Universities are ancient institutions with roots in the monastic. Change does not come easy, but higher education is in a period of rapid transformation. We must keep pace. The development of new revenue streams, the better use of the University's technological capacities, enhanced recruitment, particularly at the graduate level, a more nimble, market-sensitive process for degree development are only a few of the areas that require - and are receiving - attention.

I would like to note in particular two initiatives: one, under the direction of **Executive Vice Chancellor and Chief Operating Office Allan Dobrin**, which seeks to imagine a more technologically robust and innovative university; the second, a new effort spearheaded by Distinguished Professor and Arthur Schlesinger Chair of American History David Nasaw, which will develop collaborative programs among and across **CUNY** campuses. These efforts will include improving master's degree pipelines; identifying "**CUNY** visiting professors" that would move from college to college, both internally and externally chosen; "**CUNY** artists-in-residence"; organizing cross campus faculty gatherings; and establishing a "calculus boot camp" and other ways in which the whole can be greater than the sum

of our parts.

Addressing all of these challenges will continue to be the University's focus during this transitional period. We are fortunate, indeed, to proceed, **Mr. Chair**, from a position of great strength: a vibrant faculty; a strong curriculum; billions invested in new facilities; a robust enrollment, a value unprecedented in higher education. I take pleasure in reminding the Board that almost 60 percent of our full-time students pay no tuition and 80 percent graduate with no debt. More importantly, they graduate with a remarkable education rich in breadth and in depth.

As you know, Typhoon Haiyan ravaged the Philippines earlier this month. The University is home to over 2,100 students of Filipino ancestry, as well as over 100 international students from the Philippines. I have asked **Vice Chancellor Frank Sanchez** to lead a University-wide effort to encourage contributions and assistance to relief efforts on behalf of those affected. Campus relief coordinators from the colleges met this past Thursday to discuss how best to support these efforts. We will continue to work hard on that project.

Governor Cuomo officially launched the Start-Up New York program at a series of events last month, including one at the Sheraton New York Times Hotel on October 22. Through **Vice Chancellor Iris Weinshall's** good work, we have identified five participating **CUNY** colleges for Board action tonight—one in each borough—and await approval of final regulations for the program.

I am pleased to note that the Center for an Urban Future published a report last week that listed **CUNY's** Accelerated Study in Associate Programs (ASAP) as number two on their list of top ten social policy innovations in New York City in the recent years. I want to congratulate Dean John Mogulescu and his staff for the extraordinary good work that they have done.

Finally, **Mr. Chair**, let me note that I was delighted to launch a new "Chancellor's Conversations with Faculty" series this month. This series of conversations with distinguished **CUNY** faculty members was conceived as a way to highlight and share the scholarship of the faculty and its application to our lives and our future. The inaugural event on November 13, at Roosevelt House/**Hunter College**—I want to thank **President Jennifer Raab** for supporting the event—featured Janet Gornick, professor of political science and sociology at **The Graduate Center**, who also heads the Luxembourg Income Study, a cross-national data archive and research institute, and Branko Milanovic, lead economist in the World Bank's research department, who will be joining us in January. The topic of the evening was "Income Inequality Today: U.S. and Global Aspects." We look forward to featuring additional **CUNY** faculty at two "conversations" planned for the spring.

**Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 3)**

**NO. 1. CHANCELLOR'S UNIVERSITY REPORT:** RESOLVED, That the Chancellor's University Report for November 25, 2013 (including Addendum and Errata Items) be approved:

**NO. 2. APPROVAL OF MINUTES:** RESOLVED, That the minutes of the regular Board meetings and Executive Session of September 30, 2013 be approved.

**NO. 3. COMMITTEE ON FISCAL AFFAIRS:** RESOLVED, That the following items be approved:

**A. THE CITY UNIVERSITY OF NEW YORK - FY 2014-2015 UNIVERSITY BUDGET REQUEST:**

RESOLVED, Subject to the availability of resources, CUNY seeks a total of \$3.185 billion for the University. This total includes additional funding of \$118.2 million for baseline needs and \$111.3 million for programmatic increases. At the senior colleges, the total request is \$2.315 billion, a \$161.2 million increase over the 2013-2014 adopted level. Of this amount, \$92.4 million is for baseline needs and \$68.8 million is for programmatic increases. At the community colleges the overall request is \$870.2 million, a \$68.3 million increase over the 2013-2014 adopted level. Of this amount, \$25.8 million is for baseline needs and \$42.5 million is for programmatic increases.

2013-2014 appropriation levels of \$2.154 billion for the senior colleges and \$801.9 million for the community colleges and allocation to the various units of the university thereof reflect, per the authority vested in the Chancellery by the Board of Trustees, all budget adjustments reflected in the FY2013-2014 state enacted appropriation for the university.

EXPLANATION: With the 2014-2015 Budget Request, the University is proposing the continuation of its approach to the financing of its Master Plan for the education of CUNY students—The CUNY Compact. In CUNY's budget message, the University seeks to finance its ongoing obligations with State and City funds and its investment program through a modest increase in public funding, continuing budget restructuring and efficiencies, philanthropy, and tuition revenue.

#### ***STUDENT FINANCIAL ASSISTANCE PROGRAM***

The 2014-2015 budget continues the \$10 million Student Financial Assistance Initiative. These resources will be utilized by colleges to assist those students, both undergraduate and graduate, who will be placed at risk of continuing their matriculation due to higher tuition rates. In addition, this set aside will also be used to reduce the cost of textbooks for CUNY students. This Initiative will help students defray the proposed tuition increases and underscores our commitment that no student in need of financial assistance will be denied access to the University. In addition, all of the individual colleges raise private funds dedicated to student financial assistance.

The University will continue to work with the State, City and federal governments on the major economic challenges affecting all public higher education institutions.

The CUNY Investment Plan included in the FY2014-2015 Budget Request is aligned with the University's Master Plan, approved by the Board of Trustees in June 2012, and includes the following broad missions and initiatives:

#### ***Mission One: Academic Excellence***

- Principal components of this category focus on full-time faculty, a dedication to the ongoing mission of the Decade of Science, the growth and enhancement of academic programs, an extensive library system, and the expansion of the use of academic technology. CUNY's top priority continues to be the hiring of more full-time faculty. The University requests 425 positions next year to support its commitment to scholarly excellence and to keep up with the pace of its recent record enrollment growth. The capstone of the Decade of Science initiative, the Advanced Science Research Center (ASRC), is scheduled to open in fall 2014, and funding is needed to begin the staffing and outfitting of the Center.

#### ***Mission Two: Maintain Integrated System/Facilitate Articulation***

- This category includes those initiatives that help students acclimate to college life, overcome obstacles that might prevent or slow the time to graduation, and promote success after graduation. Specific programs include academic advising, career services, and counseling. Resources dedicated to expanding the successful Accelerated Study in Associate Programs (ASAP) initiative are also included in the Request. The University is currently undergoing a major expansion of ASAP with the goal of reaching an enrollment of more than 4,000 students by fall 2014. The initial cohort for ASAP, began in 2007 with 1,132 students across all CUNY community colleges, has experienced unprecedented success.

#### ***Mission Three: Expanding Access***

- In keeping with the commitment to provide access to a quality education to a diverse New York population, the Master Plan focuses on several key areas, including college readiness, services for students with disabilities, child care services for student-parents, veterans' services, and the Black Male Initiative. In order to better support the University's more than 4,000 student veterans and reservists, the FY2014-2015 Budget Request includes funding to enhance veterans services on each of the campuses. The University is also requesting \$1.95 million for services for students with disabilities, including full funding of the CUNY LEADS program, which provides academic and vocational services to students with disabilities.

***Mission Four: Remaining Responsive to the Urban Setting***

- This mission focuses on the University's role in contributing to the well-being of the City and State. Initiatives within this area center on workforce and economic development, educating teachers, expanding and enhancing healthcare education, and sustainability efforts. Workforce development programs at the University support individuals studying for degrees, industry licenses and certifications, as well as those who are improving their basic literacy or seeking to earn a GED. These programs also connect directly with employers to fill positions and upgrade workers' skills. The Request also seeks funding to significantly encourage the development of more internships in the science, technology, engineering and mathematics (STEM) disciplines and more opportunities for students to work in corporate and governmental settings related to STEM areas. In addition, the University also requires additional operating funds to maintain the various infrastructures of its campuses.

**The City University of New York  
2014-2015 Operating Budget Request**

**The FY2015 Budget Request – The CUNY Compact**

- Fiscal Year 2014-2015 represents the ninth year of the University's innovative multi-year financing approach — the CUNY Compact. This strategy offers an economically efficient way to finance CUNY by delineating shared responsibility among partners and creating opportunities to leverage funds.
- The CUNY Compact calls for additional public resources to cover the University's mandatory costs and a small share of the investment plan. The remainder of the investment resources comes from philanthropy, restructuring and efficiencies, targeted enrollment growth, and increased revenue from tuition increases.

The Compact calls for the financing of the University's Master Plan priorities by the leveraging of resources from the key stakeholders:

- The State and City, which contribute 100% of mandatory costs, plus a small share of the Investment Program.
- Friends of the colleges through philanthropy.
- The University, through its continuing restructuring efforts.
- The Students, through additional tuition revenue.

**Compact History**

- Programmatic investments total \$342 million: \$229 million at the senior colleges and \$113 million at the community colleges.
- \$83 million has been invested in full-time faculty: \$57 million at the senior colleges and \$26 million at the community colleges.
- A total of 1,205 new faculty lines have been added: 746 at the senior colleges and 459 at the community colleges.

**Financial Aid**

- The University is setting aside \$10 million of its FY2015 budget for financial aid for students who are at risk of not being able to continue their matriculation due to the tuition increase.
- Financial aid initiatives will include:
  - Tuition Waivers;
  - CUNY Institutional Work Study;
  - Assistance with the cost of text books;
  - Graduate student financial assistance.
- Eligible students can also receive up to the maximum Pell award of \$5,645.
- CUNY administered about \$552 million in Pell grants for 143,700 recipients and \$257 million in TAP awards to 97,100 students for the 2012-13 academic year.

**The Compact Investment Plan**

- The Compact investment plan is aligned with the University's 2012-2016 Master Plan and includes a Student Financial Assistance Initiative.
- The four main missions of the master plan are:
  - Mission One: Expand Academic Excellence: Principal components of this category focus on full-time faculty, a dedication to the ongoing mission of the Decade of Science, the growth and enhancement of academic programs, an extensive library system, and the expansion of the use of academic technology. CUNY's top priority continues to be the hiring of more full-time faculty. The capstone of the Decade of Science initiative, the Advanced Science Research Center (ASRC), is scheduled to open in fall 2014, and funding is needed to staff and outfit the Center.
  - Mission Two: Maintain Integrated System/Facilitate Articulation: This category includes those initiatives that help students acclimate to college life, overcome obstacles that might prevent or slow the time to graduation, and promote success after graduation. Specific programs include academic advising, career services, and counseling. Resources dedicated to expanding the successful Accelerated Study in Associate Programs (ASAP) initiative are also included in the Request.
  - Mission Three: Expanding Access: In keeping with the commitment to provide access to a quality education to a diverse New York population, the Master Plan focuses on several key areas, including college readiness, services for students with disabilities, veterans' services, and the Black Male Initiative. In order to better support the University's more than 4,000 student veterans and reservists, the FY2014-2015 Budget Request includes funding to enhance veterans services on each of the campuses. The University is also requesting \$2.5 million to expand the Black Male Initiative.
  - Mission Four: Remain Responsive to Urban Setting: This mission focuses on the University's role in contributing to the well-being of the City and State. Initiatives within this area center on workforce and economic development, educating teachers, and sustainability efforts. Workforce development programs at the University support individuals studying for degrees, industry licenses and certifications, as well as those who are improving their basic literacy or seeking to earn a GED. These programs also connect directly with employers to fill positions and upgrade workers' skills. The Request seeks funding for the CUNY Service Corps, through which CUNY students will work on projects that improve the short and long-term civic, economic and environmental sustainability of New York City.

**The FY2015 Budget Request – The CUNY Compact (*Requested Appropriations (\$ millions)*)**

Source	FY2014 Adopted	Mandatory Needs	Program Request	Total Request
State Aid	\$1,375.8	\$101.9	\$27.9	\$1,505.6
City Support	\$288.4	\$16.2	\$8.3	\$312.9
Tuition	\$1,291.3	\$0.0	\$75.1	\$1,366.4
Total	\$2,955.5	\$118.1	\$111.3	\$3,184.9

*Numbers may not add due to rounding.*

**The FY2015 Budget Request – The CUNY Compact (*Financing the Request (\$ millions)*)**

<b>Funding Sources</b>	<b>Senior Colleges</b>	<b>Community Colleges</b>	<b>Total</b>
State/City Aid - Mandatory Needs	\$92.4	\$16.2	\$108.7
State/City Aid - Programmatic Initiatives	\$17.9	\$8.3	\$26.2
Community College State Aid Increase - Mandatory	\$0.0	\$9.5	\$9.5
Community College State Aid Increase - Programmatic	\$0.0	\$10.0	\$10.0
Tuition Revenue	\$50.9	\$24.2	\$75.1
Restructuring	\$5.0	\$2.5	\$7.5
Philanthropy	\$7.0	\$3.0	\$10.0
<b>Total</b>	<b>\$173.2</b>	<b>\$73.8</b>	<b>\$247.0</b>

**The FY2015 Budget Request – The CUNY Compact (*Investment Program Highlights*)****FY2015 Investment Program totals \$128.8 million and includes:**

- \$79.5 million to Maintain and Expand Academic Excellence, including \$53.0 million for the hiring of 425 additional full-time faculty, \$15.4 million for the Advanced Science Research Center, and \$3.9 million for academic advising.
- \$6.5 million to Maintain an Integrated System and Facilitate Articulation, including \$2.6 million for the expansion of the Accelerated Study in Associates Program (ASAP) and \$3.9 million for Guttman Community College. The University is currently undergoing a major expansion of ASAP with the goal of reaching an enrollment of more than 4,000 students by fall 2014.
- \$27.8 million for Expanding Access, including \$2.0 million for veterans' services, \$2.5 million to expand the Black Male Initiative and \$2.0 million for services for students with disabilities, including CUNY LEADS, a program that facilitates successful academic and career outcomes for students with disabilities.
- \$15.0 million to Remain Responsive to the Urban Setting, including \$2.3 million for workforce development, \$4.0 million for the CUNY Service Corps, and \$3.8 million for facilities repairs.

**The FY2015 Budget Request – The CUNY Compact (*Investment Plan Summary (\$ millions)*)**

	<b>Senior Colleges</b>	<b>Community Colleges</b>	<b>Total</b>
Maintain and Expand Academic Excellence	\$54.5	\$25.0	\$79.5
Maintain Integrated System and Facilitate Articulation	\$0.0	\$6.5	\$6.5
Expanding Access	\$20.3	\$7.6	\$27.8
Remaining Responsive to the Urban Setting	\$6.1	\$9.0	\$15.0
<b>Total Program Increases</b>	<b>\$80.8</b>	<b>\$48.0</b>	<b>\$128.8</b>
<b>Total Mandatory Needs</b>	<b>\$92.4</b>	<b>\$25.8</b>	<b>\$118.2</b>
<b>Total Budget Needs</b>	<b>\$173.2</b>	<b>\$73.8</b>	<b>\$247.0</b>

*Numbers may not add due to rounding.*

## The FY2015 Budget Request – The CUNY Compact (Requested Mandatory Increases (\$ millions))

Mandatory Needs	Senior Colleges	Community Colleges	Total
Fringe Benefits	\$64.5	\$14.2	\$78.6
Energy	\$1.0	\$1.0	\$2.0
Building Rentals	\$0.2	\$1.2	\$1.4
New Building Rentals	\$4.3	\$0.0	\$4.3
Contractual Salary Increments	\$17.0	\$6.6	\$23.6
OTPS Inflation	\$5.4	\$2.8	\$8.2
<b>Total Mandatory Needs</b>	<b>\$92.4</b>	<b>\$25.8</b>	<b>\$118.2</b>

Numbers may not add due to rounding.

## The FY2015 Budget Request – Next Steps

- Once approved by the full BOT, the Request will then be shared with elected officials, as well as the State Division of Budget and the City Office of Management and Budget.
- The Governor will be issuing the FY2015 Executive Budget in January 2014. The State is facing a deficit of \$1.7 billion for next fiscal year.
- Also in January 2014, the Mayor will present the City's FY2015 Preliminary Budget. The City's projected gap for FY15 is \$2.0 billion.

In response to a question from **Trustee Charles Shorter, Associate Vice Chancellor Matthew Sapienza** stated that tuition is one of the components of the **CUNY Compact** that would fund the \$128 million of the FY2015 Investment Program. The other components would be from state and city support for programmatic initiatives, in addition to the \$250 base aid increase that **CUNY** is asking of the state for the community colleges, and would go to fund a portion of the programmatic initiatives. In addition, the two main internal sources of funding that the University has is the private fundraising of \$10 million, and efficiencies that **CUNY** is committed to finding in the budget.

In response to another question from **Trustee Shorter, Associate Vice Chancellor Sapienza** stated that the \$128 million represents the programmatic initiatives. The other piece, the mandatory needs of \$118 million, adds to the \$128 million totaling \$247 million.

**University Faculty Senate Chair and Trustee Terrence Martell** stated that he would like to acknowledge the outstanding way the budget is put together. He added that he appreciates **AVC Sapienza** and his team's willingness to consult all of the stakeholders.

In response to a question from **UFS Chair and Trustee Martell, AVC Sapienza** stated that there are no funds included in the Operating Budget Request for next year to execute the recommendations that flow from the Executive Compensation Plan (ECP) that was approved by the **Board of Trustees**.

**UFS Chair and Trustee Martell** stated that the Mercer report showed that the ECP participants' salaries are low compared to peer groups. That is not healthy and it is not appropriate—**CUNY** made a decision to expand the range of appropriate salaries so there should be money in this budget to execute this policy. Participants in the ECP should not be held hostage to exogenous negotiations over which they have no control or no standing. Faculty should leave **CUNY** for increased opportunity, not merely for increased salaries. The University has excellent administrators who should be paid appropriately and the Board should give this issue some serious consideration.

**Chairperson Schmidt** stated that the **Committee on Fiscal Affairs** will be giving consideration to this matter.

**Trustee Peter Pantaleo** stated that he appreciates **Chairperson Schmidt** stating that the committee will give consideration to this matter. He added that he agrees with **UFS Chair and Trustee Martell** and also acknowledges that those remarks come from a very significant source on this subject—the elected faculty representative. So to that extent, there is inhibition about taking the appropriate steps to deal with the fact that the administration of this University is working without pay increases for as long as they have worked without them.

**Vice Chairperson Philip Berry** stated that he would like to underscore the last two comments. He added that looking at, and having been involved in, compensation plans and understanding that individuals really need to be competitive—to the extent **CUNY** is not competitive—the committee needs to look into this. There is a correlation to quality, and if the University is at a point where the quality would suffer, that is not a good place to be in.

**B. THE CITY COLLEGE OF NEW YORK - TUITION DIFFERENTIAL FOR THE MASTERS OF PROFESSIONAL STUDIES IN BRANDING AND INTEGRATED COMMUNICATIONS:**

RESOLVED, That The City University of New York adopt a revised schedule of tuition charges in the form of a tuition differential for the Masters of Professional Studies in Branding and Integrated Communications at the City College of New York, for first time students effective with the Spring 2014 semester as set forth in the schedule below:

**Masters of Professional Studies in Branding and Integrated Communications**

	<b>Current</b>	<b>Effective Spring 2014</b>
Resident Full-time students:	\$4,585 per semester	\$6,385 per semester
Resident Part-time students:	\$ 385 per credit	\$ 750 per credit
Non Resident students:	\$ 710 per credit	\$ 915 per credit

EXPLANATION: The proposed tuition increase of \$1,800 per semester for full-time and \$365 per credit for part-time resident students and \$205 per credit for full- and part-time non-resident students is necessary to strengthen the services to students and improve the general quality of the Master of Professional Studies in Branding and Integrated Communications (BIC) at City College. The increased tuition revenue will be used solely for the support of the MPS in BIC program including increased staffing in order to strengthen services to students (such as career, internship, and academic advisement as well as industry outreach) and to meet the technical needs of the program which are over and above the amounts prescribed for general masters-level study. This increase in funding will be used to improve not only the quality of the instruction and professional readiness, but also the level of student support services. The New York State Education Law Sec. 6206 allows The City University of New York to charge differential tuition rates as long as there is no differential among like degree programs. The College will continue to provide financial aid funding to assist eligible New York State residents to afford the increase in tuition. The only MPS in BIC program at the University is the one at The City College. University Student Senate Chair and Trustee Muhammad Arshad voted NO.

**University Student Senate Chair and Trustee Muhammad Arshad** stated that he would like to address the access and quality portion of this item. The \$1,800 increase in tuition fee is a bit much for the students because it will make this the most expensive master's degree program at **CCNY**—\$1,015 more than Architecture and \$1,115 more than the MPA. The **CUNY** Compact budget missions 1 and 3 sum up to \$107.3 million for the same initiatives. There are other alternatives that **CUNY** can explore to provide similar services. The Career Services Center is being expanded, so there is opportunity at **CCNY** to provide similar services to students, and **CUNY** is hiring more faculty every semester to provide similar services.

**AVC Sapienza** stated that this is a new program at **City College** that is an expensive program. Any time **CUNY** has done differential tuition at any of the master's programs; there has always been a commitment that the dollars from those programs would flow back into the program—that they would not be used for other needs at the campuses. This is the same commitment that **CUNY** has from **President Lisa Coico** for this program. There are only about thirty students in this program as it just started this fall. There have been consultations with the students to let them know that this is happening. The current students will be grandfathered in at the current tuition rates and there will not be

another new cohort of students until next fall—even though it will be effective in spring—so the University has some time to do additional consultations and to have discussions with the **University Student Senate** if necessary. The University does not want to divert resources from other areas of the campus to resource this program.

**Report of Fiscal Affairs Committee Chair Joseph Lhota:**

Following the approval of action items 3A and 3B of the **Committee on Fiscal Affairs**, the **Subcommittee on Investment** was convened. After approval of the minutes of the Subcommittee meeting on September 9<sup>th</sup>, the meeting was adjourned to go into executive session where Cambridge Associates gave a performance update of the University's Investment portfolio.

**NO. 4. COMMITTEE ON FACULTY, STAFF, AND ADMINISTRATION:** RESOLVED, That the following items be approved:

**A. THE CITY UNIVERSITY OF NEW YORK - AMENDMENTS TO THE INTELLECTUAL PROPERTY POLICY:**

RESOLVED, That the Board of Trustees of The City University of New York approve the amendments to the University's Intellectual Property Policy as set forth.

EXPLANATION: The amendments to the Intellectual Property Policy are designed to clarify a number of points concerning the procedures set forth in the original policy and to take into account the primary responsibility for this area of the Office of the Vice Chancellor for Research and the Technology Commercialization Office. The most significant substantive change in this regard is to abolish the Intellectual Property Committee and to have its functions, including the review of disclosures, transferred to offices such as the Technology Commercialization Office with the appropriate expertise regarding the commercialization of inventions.

**THE CITY UNIVERSITY OF NEW YORK  
INTELLECTUAL PROPERTY POLICY**

**I. Purpose**

- To serve the public good by promoting and facilitating the dissemination of the products of research, authorship and invention by the University<sup>1</sup> community
- To recognize and encourage research, authorship and invention by the University community by providing for the sharing of tangible rewards resulting from the commercialization of such research, authorship and invention
- To define the ownership, distribution and commercialization rights associated with the products of research, authorship and invention by the University community, and to define the policies and procedures for managing such products

**II. Applicability**

A. This policy shall apply to all forms of Intellectual Property created or developed, in whole or in part, by Members of the University (1) making Substantial Use of University Resources, (2) as a direct result of University duties, (3) pursuant to the terms of an agreement to which the University is a party, or (4) in the course of or related to activities on grants or contracts administered by the Research Foundation on behalf of the University.

B. For the avoidance of doubt, this policy does not apply to Intellectual Property created or developed by the University's undergraduate students, unless a student is a Member of University as defined in Section XIII of this policy. Students who are not Members of the University own their Intellectual Property subject to the applicable copyright, patent and other laws regarding intellectual property. This includes, without limitation, Intellectual Property created in conjunction with University course work and created jointly with faculty members and others.

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<sup>1</sup> This and other capitalized terms are defined in Section XIII.

### III. Ownership Of Intellectual Property

#### A. General Rule:

1. The Creator shall own all rights in Copyrightable Works.
2. The University shall own all rights in all other Intellectual Property.

#### B. Exceptions and Clarifications to the General Rule:

1. Sponsored Research. Ownership of any Intellectual Property resulting from Sponsored Research shall be determined pursuant to the terms of the agreement between the University or the Research Foundation, as the case may be, and the Sponsor, or as otherwise required by applicable law. If ownership is not defined in the agreement, Intellectual Property shall be owned pursuant to the General Rule.

2. Commissioned Work. The University shall normally own Intellectual Property resulting from Commissioned Work. In all cases of Commissioned Work, ownership and royalty rights shall be specified in a written agreement, a copy of which shall be submitted to the OGC Vice Chancellor for Research and the Office of the General Counsel (OGC) for review and approval as to form. Any such agreement which provides for ownership by other than the University shall also provide the University with a royalty-free, non-exclusive license to use the Intellectual Property for internal educational and research purposes.

3. Copyrightable Work Created Within the Scope of Employment. Copyrightable Work, other than Scholarly or Pedagogical Work, prepared by an employee of the University or the Research Foundation within the scope of his or her employment shall be owned by the University or the Research Foundation, as the case may be. Examples of Copyrightable Work subject to this provision include, without limitation, personnel manuals, written policies, administrative handbooks, public relations materials, archival audio and video recordings of College events, and official College and University web pages.

4. Electronically Published Course Materials. Courses designed to be delivered over the internet, by computer or through similar technologies may involve both Copyrightable Works and other Intellectual Property. Consistent with its intent to recognize the Creator as the owner of Scholarly or Pedagogical works, the University claims no ownership rights in either the intellectual content of such courses, or the tools and technologies used to present them, unless the work was the result of Sponsored Research or is Commissioned Work, in which case B.1 or B.2 of this Part shall apply.

5. Negotiated Agreements. A Member of the University who wishes to conduct work making Substantial Use of University Resources under terms different from those set forth in the General Rule above may enter into an agreement for the use of such resources with the College(s) where such work shall be conducted. Such agreement shall be negotiated by the President(s) of the College(s) with the advice of the OGC, and must be approved by the Vice Chancellor for Research. The agreement shall specify who shall own any Intellectual Property resulting from such work, any arrangement as to equity sharing, royalty-sharing, and/or the amount of the fee, if any, to be paid for use of the University Resources.

6. University Media. Copyrightable Work prepared for publication in official University and College media, such as television and radio stations, newspapers, magazines and journals, shall be owned pursuant to the individual policies of such media or as defined in their contracts with Creators. In the absence of a policy or contract, copyright shall be owned by the University.

C. Creator's Non-Commercial Use. Where the University owns the Intellectual Property under this policy, the Creator is permitted to continue to use the work for his or her own non-commercial purposes. Any distribution by the Creator to academic colleagues outside the University beyond the limits of "fair use", as defined in Section 107 of the Copyright Act of 1976, shall be permitted pursuant to written agreement from the University through the ~~Chancellor or Designated Individual at each College~~ OGC.

### IV. Administration of this Policy

A. Authority. Authority and responsibility for administration of this policy ~~shall reside~~ resides with the Chancellor. ~~The Chancellor may seek the advice and assistance of the Intellectual Property Committee (as described in Section V.E.). The responsibility for administration of the policy at the college level is delegated to the Designated Individual at each College through the Vice Chancellor for Research. The Vice Chancellor for Research shall establish and maintain a Technology Commercialization Office (TCO) to take such actions as may be necessary to carry out the purposes of this policy across the University.~~

B. Campus Liaison. Cooperation between the TCO and the various campuses is essential to efficient administration of this policy. Each campus may designate an individual to serve as a liaison with the TCO to assist in execution of this policy at the campus level.

C. Limitation on College Rules. No rules, practices, procedures, or forms shall be implemented on any campus under this policy except by express prior written authorization of the Vice Chancellor for Research. All research agreements relating to University-owned Intellectual Property, such as material transfer, non-disclosure, confidentiality, option, license, Sponsored Research, or the like, shall be on forms approved by OGC and signed pursuant to OGC policy regarding contract signature authority.

## V. Management of Intellectual Property

### A. Disclosure of Intellectual Property.

1. Disclosure Required. Creators shall disclose to the Vice Chancellor for Research, through the Director of the TCO, any Intellectual Property that is or may be owned by the University under this policy, including improvements and reductions to practice ~~and Intellectual Property created under, whether done alone or in collaboration, with or without~~ Sponsored Research.

~~2. Timing. Disclosure shall be made prior to submission of the Intellectual Property for publication, other release to the public domain or attempt to license, distribute or manufacture the Intellectual Property commercially. 3. Multiple creators support. Such disclosure shall be made by filing a signed and completed New Technology Disclosure form (available on the TCO website) with the TCO. Where more than one Member of the University has participated in the creation of Intellectual Property, all such participants must sign the disclosure notice a copy of the completed New Technology Disclosure form.~~

2. Timing. Disclosure shall be made prior to submission of the Intellectual Property for publication or other release to the public domain, or attempt to license, distribute or manufacture applications of the Intellectual Property commercially.

3. Review. The TCO shall review and process in a timely manner every New Technology Disclosure form that it receives, provided, however, that action upon an incomplete (including unsigned) submission may be suspended by the Director of the TCO until such time as such incomplete submission is completed by the submitter(s).

### B. Determination of Ownership Rights.

1. Determination of Rights. The Vice Chancellor for Research, through the Director of the TCO, shall determine whether the University has reason to exercise ownership rights over Intellectual Property disclosed to it, and, if the University has such rights, whether it desires to obtain protection for or pursue licensing of such Intellectual Property.

2. Notice to Creator. The ~~Chancellor~~Director of the TCO shall promptly notify the Creator of ~~his/her~~any determination to disclaim or assert ownership of the Intellectual Property.

3. Time Limit. The University shall make every reasonable effort to act expeditiously under the circumstances in arriving at all decisions and taking all actions under Sections B, C and D of this Part.<sup>2</sup>

### C. Legal Protection and Commercialization.

1. Forms of Protection. Intellectual Property determined ~~by the Chancellor~~ to be owned by the University under this policy may be patented, registered with the U.S. Copyright Office or otherwise legally protected by the University.

2. Assignments. The Creator of ~~such~~University-owned Intellectual Property shall cooperate with the University in the application for legal protection of the Intellectual Property, including executing ~~appropriate~~in a timely manner all assignments, declarations and/or other documents required to ~~set forth~~effectively the set forth such ownership ~~and~~ rights to the Intellectual Property pursuant to this policy. In the event of any dispute between the

<sup>2</sup> For ~~more~~ specific time limits following the Creator's request for an assignment of the University's rights in Intellectual Property, see Section V.D.

Creator and the University, the Creator's [meeting of](#) obligations under this provision shall be without prejudice to the Creator's rights under Part IX.

3. Costs of Protection. All costs involved in obtaining and maintaining legal protection of University-owned Intellectual Property shall be borne by the University, unless the University disclaims, releases or waives its ownership rights or unless a licensee accepted by the Creator agrees to bear such costs pursuant to the terms of a written license agreement.

4. Commercialization. The Creator has [primary shared](#) responsibility [with the University](#) for identifying parties having an interest in using, developing or commercializing University-owned Intellectual Property. [However, the University retains the right to determine with which parties it will enter into agreements.](#)

5. Progress Reports. The ~~Chancellor~~[Director of the TCO](#) shall ~~regularly~~[periodically](#) inform the Creator of the progress of the University's protection efforts and licensing of University-owned Intellectual Property disclosed by such Creator.

D. Creator's Additional Rights.

1. Request for Release. The Creator may request assignment to the Creator of some or all of the University's rights in Intellectual Property (a "Release") under the following circumstances:

a. if the University notifies Creator that it elects not to protect or commercialize University-owned Intellectual Property, or that it has decided to abandon protection or commercialization; or

b. if, within 90 calendar days of disclosure [of University-owned Intellectual Property](#) to the ~~Chancellor~~[TCO](#), the Creator has not received notice ~~from the Chancellor~~ that the University: (i) disclaims ownership of the Intellectual Property or (ii) has taken steps to protect or commercialize University-owned Intellectual Property.

Such request shall disclose the date of any publication of the Intellectual Property.

2. Release or Proof of Continuing Effort. Within 30 calendar days of the date of a request from a Creator for a Release, the University shall either (a) disclaim ownership of the Intellectual Property, (b) execute a Release, or (c) document that the University has exercised and continues to exercise due diligence in attempting to protect or commercialize the Intellectual Property. [However, any such action to be taken shall be subject to any governing Sponsored Research agreement and reporting or other obligations of the University thereunder. Under applicable federal Sponsored Research agreements, where the University is willing to waive its interest, it shall be responsible for expeditiously releasing such Intellectual Property to the sponsoring agency and for reporting of the Creator's interest in obtaining a release therefor from the Sponsor.](#)

3. Form of Release. The University may condition the granting of a Release on the assignment to the University of a share, not to exceed 10 %, of net proceeds. For purposes of this section, "net proceeds" shall mean earnings to the Creator from the Intellectual Property over and beyond reasonable costs incurred in the process of legal protection and management. The University shall retain a royalty-free, non-exclusive license to use any Intellectual Property released to the Creator under this Section D for internal educational and research purposes. Pursuant to 37 C.F.R. Part 401, as amended (regulations implementing the Bayh-Dole Act), the U.S. government shall also retain certain rights.

4. University Waiver. The University shall be deemed to have waived its rights in the Intellectual Property if: (a) it fails to meet the deadline specified in Section D.2, or a mutually agreeable extended time period; or (b) in the case of a patentable Invention, it fails to file a patent application within 90 calendar days of the date of ~~publication~~[disclosure](#) as set forth in the Creator's request for a Release under Section D.1.

5. Creator's Right to Protect. If the University disclaims, releases or waives ownership of Intellectual Property, the Creator shall have the right to obtain protection for or pursue licensing of such Intellectual Property in his or her own name and at his or her own cost.

E. ~~Intellectual Property Committee.~~

~~1. Purpose. An Intellectual Property Committee shall be established to advise the Chancellor on disclosures made to him or her, to make recommendations for updates and changes to this policy, and to consider such other matters as may be described in this policy or may be referred to the Committee by the Chancellor.~~

~~2. Composition. The Intellectual Property Committee shall consist of at least nine members. The Vice Chancellor for Research or designee (who shall serve as chair of the Committee) and the President of the Research Foundation or designee, shall be members. The Chair of the University Faculty Senate, or a person designated by the Chair, shall select the remaining members of the Committee, taking into account the recommendations of the Chancellor. Membership of the Committee shall, insofar as possible, consist of individuals who have generated copyrighted or patented materials and should collectively represent a diversity of types of such materials (i.e., textbooks, biotechnology, software, etc.) The General Counsel of the Research Foundation shall serve as staff to the Committee.~~

~~3. Subcommittees. The Chair of the Intellectual Property Committee shall appoint from among the membership of the Intellectual Property Committee a Copyright Subcommittee responsible for the review of disclosures of Copyrightable Works, and a Patent and Technology Subcommittee responsible for the review of disclosures of Inventions, Trade Secrets and Know-How, and Tangible Research Property. Each subcommittee shall, insofar as possible, consist of at least five members, a majority of whom shall have generated copyrighted materials, in the case of the Copyright Subcommittee, or patented materials, in the case of the Patent and Technology Subcommittee. The Chair of the Intellectual Property Committee or designee shall serve as chair of the subcommittees. In connection with the review of the disclosure of a particular invention, the Chair of the Patent and Technology Subcommittee may convene a meeting limited to those members whose areas of expertise are most relevant to the subject of the invention.~~

~~4. Term. Intellectual Property Committee Members are appointed for three-year terms with non-concurrent expiration dates and may serve successive terms. F. Sponsored Research Requirements. The Research Foundation shall monitor ~~disclosure~~ and be responsible for financial and performance reporting requirements and other related obligations to Sponsors regarding University-owned Intellectual Property developed under a Sponsored Research agreement or grant, including but not limited to obligations to the U.S. government under 37 C.F.R. Part 401, as amended (regulations implementing the Bayh-Dole Act). This monitoring activity shall be coordinated with the TCO, which shall monitor disclosures of University-owned Intellectual Property and other non-financial reporting requirements to Sponsors.~~

GF. Management Organization. The University may make an agreement with one or more intellectual property management organizations to undertake the legal protection and/or, with the permission of the Creator, commercialization activities, described in this Part V. Any such management organization shall be required to abide by the time limits set forth in Section V.D.

HG. Not Applicable. This Part does not apply to University-owned Intellectual Property described in Sections III.B.2 and III.B.3, or in Section III.B.5 to the extent this Part conflicts with the terms of the negotiated agreement.

## VI. Distribution of Income from Intellectual Property

A. Policy. ~~A~~Except as may be otherwise agreed pursuant to this policy, a Creator of University-owned Intellectual Property is entitled to share in the income, including royalties, ~~and dividends from University~~ equity interests (subject to any University conflict of interest policy), ~~and dividends,~~ earned from the commercialization of that Intellectual Property .

B. Distribution. Subject to the terms of any Sponsored Research agreement and unless otherwise agreed by the University and the Creator, gross income created from commercialization of University-owned Intellectual Property shall be distributed as follows:

1. First, to pay for any out-of-pocket expenses incurred by the University or the Research Foundation in connection with the administration, protection and commercialization of such Intellectual Property, including, but not limited to, fees paid to outside legal, consulting, marketing and licensing organizations and any other out-of-pocket costs incurred by the University or the Research Foundation.

2. Then, 10 % applied to a fund at the University for payment of costs related to patent filing, prosecution and maintenance fees incurred by the TCO.

3. The resulting "Net Proceeds" shall be distributed as follows:

- 50 % to the Creator(s);

- 25 % to the University for the support of research and scholarly activity, [as administered by the Vice Chancellor for Research](#); and
- 25 % to the Creator's College(s), with 50 % of such amount going to the Creator's academic or research unit(s) for the support of research and scholarly activity.

C. **Multiple Creators.** Where two or more Members of the University contributed to the creation of Intellectual Property, the Creator's share of revenues shall be divided among them equally, unless the Members of the University agree upon a different distribution among themselves and notify the University in writing of their agreement.

## VII. Issues Relating to Ownership of Equity and Conflict of Interest

A. **University's Equity Interest.** Subject to the review and approval of the [Vice Chancellor for Research](#), and after a diligent effort to identify prospective sponsors or licensees, the University is permitted to take an equity interest in sponsors or licensee companies under the following terms and conditions:

1. Sponsors and licensees shall demonstrate management and technical capability, and have the financial resources necessary to meet their development objectives and their obligations to the University.
2. License agreements shall include measures of performance that must be met in order to maintain the license granted by the University.
3. Equity shall not be held in sufficient amount to confer management power, which generally would limit ownership to less than 20% of equity.
4. Equity shall represent a fair valuation for the Intellectual Property.
5. The University shall not accept a position on the board of directors of a licensee, but may accept and exercise observer rights on such boards. Exceptions to this policy require the approval of the [OGC and the Vice Chancellor for Research](#).
6. Licensees shall pay for out-of-pocket patenting and related expenses.

### B. Member's Significant Interest.

1. Conflicts of interest may arise when a Member of the University has a Significant Interest in an entity:
  - a. to which the University has granted a license of Intellectual Property created by the Member;
  - b. with whom the University has entered into a Sponsored Research agreement;
  - c. which has contracted with the University for use of the Member's University office or laboratory or other University Resources; or
  - d. which employs or otherwise involves the Member's student advisees.
2. A Member of the University with a Significant Interest in ~~an~~ entity described in B.1, above:
  - a. shall disclose the Significant Interest to the University prior to the execution of any agreement between the entity and the University;
  - b. shall not represent the University in negotiating the agreement; and
  - c. shall not employ or otherwise involve such Member's student advisees at the entity unless they are paid at market rate.
3. A Member's Significant Interest in a licensee shall not prohibit the Member from receiving Creator's royalties pursuant to ~~VI.B.3 of this policy.~~ [Section VI.B.3 of this policy. Notwithstanding the foregoing, if the University receives equity from a licensee in lieu of or partial lieu of royalties, a Member who holds a Significant](#)

Interest in such licensee shall not share in the University's equity, but shall receive any equity directly from the licensee.

4. Nothing in this Section shall lessen the obligation of a Member to comply with the requirements of state and federal law, the Research Foundation and any other University policy, regarding the disclosure of conflicts of interest.

C. Consulting Agreements. Conflicts involving Intellectual Property may arise when a Member of the University enters into a personal consulting or other agreement with a third party. Such agreements may include provisions as to the licensing or assignment of Intellectual Property and may come into conflict with this policy. Prior to signing any consulting or other agreement that deals with Intellectual Property owned by the University pursuant to this policy, a Member of the University shall have the agreement reviewed by the Vice Chancellor for Research as advised by the OGC to be certain such agreement does not inappropriately assign University rights to third parties. If the agreement is in conflict with this policy, the Member must either obtain from the Vice Chancellor for Research a waiver of any University rights, or otherwise modify the consulting agreement to conform with this policy. This requirement is in addition to, and does not eliminate the necessity for, any approval required by any University conflict of interest policy.

### VIII. Exceptions to and Waiver of Policy

A. Any Member of the University is entitled to ~~request~~ apply to the General Counsel for an exception or waiver ~~to from one or more of~~ the provisions of this policy, including in the event that a publisher or other outside organization proposes terms which are exceptions to this policy. To the extent possible, any application for a waiver should be made prior to public disclosure of the Intellectual Property involved.

B. ~~A Member of the University wanting an exception or waiver shall, in the first instance, send a written request to the Chancellor, who shall circulate the request to the Member's College President, the University Dean for Research and the President of the Research Foundation. If these three individuals agree, the Chancellor shall notify the Member that the request is granted. The written waiver application must state the policy provision or provisions for which the Member of the University is seeking a waiver.~~

C. ~~In the event that a request for exception or waiver is not granted pursuant to B, above, the Member of the University shall have the right to appear, accompanied by representatives of such individual's choice, before the Intellectual Property Committee for further consideration of the request. This hearing shall occur no later than 30 calendar days from the date of the request for such hearing. The Intellectual Property Committee shall prepare a report of its findings and submit an advisory recommendation to the Chancellor for review within 30 calendar days of the hearing. The decision of the Chancellor on the findings and recommendations of the Intellectual Property Committee shall be rendered within 30 calendar days and shall be final. The General Counsel shall consult with the Vice Chancellor for Research and the president of the applicant's college (or, if the applicant is a Central Office employee, the Chancellor) or their designees, prior to making a determination regarding the application.~~

D. Members of the University should be aware that the University cannot waive federal, state or local law, or the terms of Sponsored Research agreements.

E. Parties to the waiver process described in this Part VIII (including without limitation the University Dean, General Counsel, the Vice Chancellor for Research, the College President(s), the President of the Research Foundation, the Chancellor, and the members of the Intellectual Property Committee and the college presidents) shall maintain the confidentiality of any Intellectual Property contained in documents submitted as part of this process.

### IX. Resolution of Disputes

This policy constitutes an understanding which is binding on the University and Members of the University for the use of University Resources and for participating in research programs at the University. Any questions of interpretation or claims arising out of or relating to this policy, or dispute as to ownership rights of Intellectual Property under this policy, shall be settled by the following procedure: The issue must first be submitted, in the form of a letter setting forth the grievance or issue to be resolved, to a review panel of five members, including a representative of the Creator, and designees of the Executive Vice Chancellor for Academic Affairs, the President of the Research Foundation, the Chair of the Faculty Advisory Council of the Research Foundation, and the Provost of the Creator's College. The panel shall review the matter and then advise the parties of its decision within 30 calendar days of submission of the letter. The decision of the panel may be appealed by either side to the Chancellor. The decision of the Chancellor shall be final.

**X. Trademarks**

The University owns all right, title and interest in Trademarks related to an item of Intellectual Property owned by the University, or to a program of education, service, public relations, research or training program of the University.

**XI. Role of the Research Foundation**

The University hereby assigns its ownership rights in Inventions resulting from Sponsored Research to the Research Foundation. The Research Foundation may file patent applications, as named assignee, for such Inventions, subject to the terms of this policy, including the distribution provisions set forth in Section VI, with respect to income earned from the commercialization of such Inventions. Furthermore, nothing in this policy shall prevent the Chancellor from appointing the Research Foundation as the Chancellor's designee for performance of the functions assigned to the University in general or the Chancellor in particular, or to retain distribution of income from commercialization of Intellectual Property.

**XII. Effective Date**

This policy is effective from the date of approval by the University Board of Trustees with respect to Intellectual Property created after that date and shall remain in effect until modified or revoked.

**XIII. Definitions**

**"Chancellor"** shall mean the Chancellor of the University or his or her designee.

**"College"** shall mean a "senior college" or "community college" of the University or a component thereof, as such terms are defined in Section 6202 of the New York Education Law.

**"Commissioned Work"** shall mean work commissioned by the University in writing from a Member of the University, outside the scope of his or her employment.

**"Copyrightable Work"** shall mean an original work of authorship, including any Scholarly or Pedagogical Work, which has been fixed in any tangible medium of expression from which it can be perceived, reproduced, or otherwise communicated, either directly or with the aid of a machine or device, and may include, but is not limited to, books, journals, musical works, dramatic works, multimedia products, computer programs or codes, videos, films, sound recordings, pictorial and graphical works and sculpture.

**"Creator"** shall mean a Member of the University whose creative activity results in the development of Intellectual Property. As used in this policy, the term "Creator" also includes groups of researchers, authors or inventors whose joint efforts produce Intellectual Property.

~~**"Designated Individual"** shall mean the College officer who has been appointed by the College President to be in charge of Intellectual Property matters.~~

**"Intellectual Property"** shall mean all forms of intellectual property, including but not limited to Inventions, Copyrightable Works, Trade Secrets and Know-How, and Tangible Research Property, but excluding Trademarks.

**"Invention"** shall mean a process, method, machine, manufacture, discovery, device, plant, composition of matter or other invention that reasonably appears to qualify for protection under the United States patent law, whether or not actually patentable. "Invention" shall also include computer programs and codes, but only to the extent they are patentable.

The term **"Member of the University"** shall include full-time and part-time faculty, staff, and graduate students engaged in faculty-directed research, whether paid or unpaid, as well as individuals compensated by grant funds made available to the University whether or not by or through the Research Foundation. Any other person who develops Intellectual Property while making extraordinary use of University Resources shall also be deemed a Member of the University, unless there is an agreement providing that such person shall not be subject to this policy.

**"OGC"** shall mean the Office of the General Counsel and Senior Vice Chancellor for Legal Affairs.

**"Research Foundation"** shall mean The Research Foundation of the City University of New York.

**"Scholarly or Pedagogical Works"** shall mean Copyrightable Works created for traditional academic purposes. Examples include scholarly books (including text books), instructional materials including lecture notes, classroom

presentations, research articles, research monographs, student theses and dissertations, novels, poems, films, videos, musical compositions and performances, dramatic works and performances, visual works of art including paintings, drawings, sculpture, installations and performance art, and other scholarly publications or works of artistic imagination, whether such works are disseminated in print, electronically or through some other tangible medium.

“**Significant Interest**” shall mean the following:

(1) the position of director, officer, partner, employee, or agent, or other managerial position; or

(2) anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria), equity interest (e.g., stock, stock options or other ownership interests), and Intellectual Property rights and royalties from such rights.

The term does not include: (1) income from seminars, lectures or teaching engagements sponsored by public or nonprofit entities; (2) income from service on advisory committees or review panels for public or nonprofit entities; (3) financial interests in business enterprises or entities that, when aggregated for the Member and the Member's spouse and dependent children, meet both of the following tests: (a) do not exceed \$10,000 per year in value, as determined through reference to public prices or other reasonable measures of fair market value and (b) do not represent more than a 5 % percent ownership interest in any single enterprise or entity; or (4), salary, royalties or other continuing payments that, when aggregated for the Member and the Member's spouse and dependent children, are not expected to exceed \$10,000 per year in value.

“**Sponsor**” shall mean an organization, agency or individual which or who provides funding, equipment, or other support for the University, directly or through the Research Foundation, to carry out a specified project in research, training, or public service pursuant to a written agreement (“**Sponsored Research**”). Sponsors include Federal, State, local and other governmental entities, as well as private industry, individuals, educational institutions and private foundations.

“**Substantial Use of University Resources**” shall mean unreimbursed use of University Resources at a level not ordinarily used by or available to, all or virtually all, faculty, staff or graduate students, as the case may be. Ordinarily available University Resources include assigned office, laboratory and studio space and standard office, laboratory and studio equipment, office computer workstations, library and other general use information resources and the means of network access to such resources. The University does not construe the payment of salary in the form of release time or sabbatical as constituting substantial use of University Resources, except in those situations where the release time or sabbatical is granted specifically to support the development of Commissioned Work. Use of ordinarily available University Resources for private, commercial purposes is considered substantial use.

“**Tangible Research Property**” shall mean tangible items produced in the course of research including, but not limited to, biological materials, research notes and reports, laboratory notebooks, computer databases and software, circuit chips, equipment and engineering drawings.

“**TCO**” shall mean the [Technology Commercialization Office](#).

“**Trade Secrets and Know-How**” shall mean facts, information, data, designs, business plans, customer lists and other secret knowledge which give the owner a competitive edge.

“**Trademark**” shall mean a distinctive word, design or graphic symbol, or combination of the same, that distinguishes and identifies the goods and services of one party from those of another. The term “Trademark” shall include service marks.

“**University**” shall mean The City University of New York.

“**University Resources**” shall mean any resources available to a Member of the University as a direct result of his or her affiliation with the University and which would not otherwise be available to a non-University- affiliated individual, including but not limited to, funds and financial support, facilities, equipment, supplies, services, non-faculty University personnel, students, release time and sabbaticals.

Approved by the Board of Trustees on November 18, 2002, Cal. No. 5.B., amended on December 8, 2008, Cal. No. 4.

**General Counsel and Senior Vice Chancellor Frederick** stated that he would like to point out a slightly revised version of the Intellectual Property Policy amendments. There are only two substantive ones apart from one typo. **CUNY** acknowledges that contracts in the area of intellectual property are sometimes approved as to form by the

Office of the General Counsel, but sometimes by the Research Foundation, so the University expanded the language to include that all such contracts would be on forms approved either by the Office of the General Counsel or by the Research Foundation. The only other substantive change is in the definition of members of the University. New language indicates that members of the University would include all researchers on grants whether or not approved by the Research Foundation.

**B. BROOKLYN COLLEGE - NAMING OF THE SAM E. AND EVA R. BELLER REHEARSAL STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Sam E. and Eva R. Beller Rehearsal Studio" within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Sam E. Beller graduated from Brooklyn College in 1959 with a Bachelor's degree in Speech and Mathematics. Since graduation, Mr. Beller has earned professional distinction in the insurance industry. A member of the "Million Dollar Round Table," a world-wide association of top insurance producers, he is president of Diversified Programs, Inc., an insurance and employee benefits agency. He is a chartered life underwriter and financial consultant, as well as a member of the International Association of Financial Planners, the National Society of Chartered Life Underwriters, and the Association for Advanced Life Underwriting.

Currently, Mr. Beller is an active member of the Brooklyn College Foundation's Board of Trustees, and serves as the Chair of the Board's Planned Giving Committee. He also finances an annual scholarship in support of Brooklyn College students in financial need who demonstrate an interest in entrepreneurship.

In 2006, Mr. Beller named the Brooklyn College Foundation as the primary beneficiary of his life insurance policy, with a current death benefit of \$1,000,000, to be designated in support of the Tow Center for the Performing Arts at Brooklyn College.

To recognize Mr. Beller's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Sam E. and Eva R. Beller Rehearsal Studio" within the Leonard and Claire Tow Center for the Performing Arts.

**C. BROOKLYN COLLEGE - NAMING OF THE DON BUCHWALD THEATER:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Don Buchwald Theater" within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Don Buchwald graduated from Brooklyn College in 1959 with a Bachelor's degree in Theater. Since then, Mr. Buchwald has established himself as one of the world's top talent agents. He is the founder and CEO of Don Buchwald & Associates, a full-service talent and literary agency that employs more than eighty people in offices in New York and Los Angeles. Its clients are actors, directors, producers, writers, and broadcasters, both well-known figures and up-and-coming talents, whose work appears on stage, in film, and in broadcast, including satellite radio and on-demand television.

Mr. Buchwald has served on the Brooklyn College Foundation's Board of Trustees since 1998. He also underwrites the Don Buchwald Internship Program, which provides fully-funded internships at Don Buchwald & Associates and other New York arts and entertainment companies for eight to twelve Brooklyn College students each year.

In 2006, Mr. Buchwald pledged \$1,300,000 to the Brooklyn College Foundation to support capital and other improvements at the Tow Center for the Performing Arts at Brooklyn College.

To recognize Mr. Buchwald's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Don Buchwald Theater" within the Leonard and Claire Tow Center for the Performing Arts.

**D. BROOKLYN COLLEGE - NAMING OF THE EDWIN H. COHEN '62 AND DORIS J. COHEN MUSIC STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Edwin H. Cohen '62 and Doris J. Cohen Music Studio” within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Edwin H. Cohen graduated from Brooklyn College in 1962 with a Bachelor’s degree (with honors) in Economics. During the course of his career, Mr. Cohen has completed hundreds of real estate transactions in the metropolitan New York/New Jersey/Connecticut area, leasing several million square feet of office space and selling many office buildings and land sites. After a 40-year career as one of the most prominent members of the real estate brokerage community, in 2003 Mr. Cohen joined long-time friend and associate Eugene Diaz as a partner at Prism Capital Partners, LLC.

Currently, Mr. Cohen is Chair of the Brooklyn College Foundation’s Board of Trustees. Prior to that, he served for many years as Chair of the Board’s Student Life Committee.

In 2006, Mr. Cohen named the Brooklyn College Foundation as the primary beneficiary of his life insurance policy, with a current death benefit of \$321,413.46, designated in support of the Tow Center for the Performing Arts at Brooklyn College.

To recognize Mr. Cohen’s longstanding support and extraordinary generosity, Brooklyn College requests the naming of the “Edwin H. Cohen '62 and Doris J. Cohen Music Studio” within the Leonard and Claire Tow Center for the Performing Arts.

**E. BROOKLYN COLLEGE - NAMING OF THE JAMES '68 AND ANNA FANTACI MUSIC STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “James '68 and Anna Fantaci Music Studio” within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: James Fantaci graduated from Brooklyn College in 1968 with a Bachelor’s degree in Economics. During the course of his career, he served as Assistant Treasurer of the Bank of New York, and then as Senior Vice President of the New York office of Matrix Leasing International. In 1982, Mr. Fantaci joined Babcock & Brown, where he coordinated all of the company’s leasing activities worldwide for over 25 years.

Mr. Fantaci was a member of the Brooklyn College Foundation’s Board of Trustees from 1999 through 2007, and now serves as an Honorary Governor of the Board. In 2001, he endowed the Matthew J. Fantaci Professorship in Modern Languages in honor of his late brother.

In 2006, Mr. Fantaci donated \$250,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Mr. Fantaci’s longstanding support and extraordinary generosity, Brooklyn College requests the naming of the “James '68 and Anna Fantaci Music Studio” within the Leonard and Claire Tow Center for the Performing Arts.

**F. BROOKLYN COLLEGE - NAMING OF THE BARRY R. FEIRSTEIN REHEARSAL STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Barry R. Feirstein Rehearsal Studio” within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Barry Feirstein graduated from Brooklyn College in 1974 *summa cum laude* with a B.S. in Economics and membership in Phi Beta Kappa. Mr. Feirstein is Owner and President of Feirstein Capital Management Corporation, a private investment management firm.

Mr. Feirstein has brought his gift for teamwork and his capacity for strategic thinking to the Brooklyn College Foundation, which he joined in 2005. Before being elected President of the Foundation, he served as Chair of the Audit and Finance Committee. In 2011, his gift of \$5.5 million provided the foundation for the Barry R. Feirstein Graduate School of Cinema. The school, housed in Steiner Studios in Brooklyn's Navy Yard, will be the first comprehensive public graduate cinema program in the state of New York.

In 2006, Mr. Feirstein pledged \$200,000 and then contributed an additional \$75,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts at Brooklyn College.

To recognize Mr. Feirstein's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Barry R. Feirstein Rehearsal Studio" within the Leonard and Claire Tow Center for the Performing Arts.

#### **G. BROOKLYN COLLEGE - NAMING OF THE MURRAY KOPPELMAN '57 PIMA LABORATORY:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Murray Koppelman '57 PIMA Laboratory" within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Murray Koppelman graduated from Brooklyn College in 1957 with a Bachelor's degree in Accounting. After graduation, he rose rapidly in the world of finance and banking. He served as managing partner of an accounting firm from 1961 to 1968, and then became Executive Vice-President and Chief Operating Officer of D.H. Blair and Co., Inc. In 1984, he was elected President and CEO of the Manhattan-based banking firm Eastlake Securities, Inc. He currently serves as Vice President of Asset Management at J.P. Morgan Securities.

Mr. Koppelman, currently a member of the Brooklyn College Foundation's Board of Trustees, was named Brooklyn College Alumnus of the Year in 1994, awarded a Presidential Medal in 1995, and honored at the annual "Best of Brooklyn" gala in 2004. In 2011, his generous support made it possible for the Brooklyn College Foundation to purchase a 24,000-square-foot property adjacent to the College, marking the first expansion of the Brooklyn College campus in 75 years.

From 2004 through 2007, Mr. Koppelman donated a total of \$550,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Mr. Koppelman's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Murray Koppelman '57 PIMA Laboratory" within the Leonard and Claire Tow Center for the Performing Arts.

#### **H. BROOKLYN COLLEGE - NAMING OF THE MICHAEL LYNNE '61 LOUNGE:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Michael Lynne '61 Lounge" within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Michael Lynne graduated from Brooklyn College in 1961 with a Bachelor's degree in English. Throughout his career, Mr. Lynne has helped shape the direction of the film industry by developing new and unconventional strategies relating to production, marketing and distribution, including the unprecedented simultaneous production of the three films in *The Lord of the Rings* trilogy, which earned worldwide box office gross in excess of \$1 billion to become the most successful film franchise in history. He served as Co-Chairman and Co-Chief Executive Officer of New Line Cinema Corporation from 1990 to 2008. His new venture, Unique Features, has eleven films at various stages of development.

Mr. Lynne is a current member of the Brooklyn College Foundation's Board of Trustees and serves as the Chair of the Barry R. Feirstein Graduate School of Cinema Organizing Committee. He is a generous supporter of the Brooklyn

College Performing Arts Center and the Feirstein Graduate School of Cinema. He was awarded the Brooklyn College Presidential Medal in 2004 and was the honoree at the “Best of Brooklyn” gala in 2005.

In 2004, Mr. Lynne pledged \$250,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Mr. Lynne’s longstanding support and extraordinary generosity, Brooklyn College requests the naming of the “Michael Lynne ’61 Lounge” within the Leonard and Claire Tow Center for the Performing Arts.

#### **I. BROOKLYN COLLEGE - NAMING OF THE MAGNER FAMILY RECORDING STUDIO SUITE:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of “The Magner Family Recording Studio Suite” within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Marjorie Magner graduated from Brooklyn College in 1969 with a Bachelor’s degree in Psychology. She served as Chairman and CEO of the Global Consumer Group at Citigroup from 2003 to 2005. During this time, she was named to *Fortune* magazine’s list of Most Powerful Women in Business from 2001-2004, *Forbes* magazine’s list of the World’s Most Powerful Women (#19), and *U.S. Banker’s* list of the Most Powerful Women in Banking (#1), among others.

In addition to her achievements in business and banking, Ms. Magner is a passionate advocate on issues affecting consumers, women, and youth across the globe. From starting community development and microfinance business units at Citigroup, to championing issues such as microfinance, children, and combating global poverty, she helps to bring a more integrated, innovative business approach to the philanthropic world.

Ms. Magner served as Chairman of the Brooklyn College Foundation’s Board of Trustees from 2005-2009, and currently serves as Chair of the Board’s Development Committee and the Foundation For Success Campaign. Over the years, she has remained an active and generous supporter of the Magner Center for Career Services and Internships at Brooklyn College.

In 2006, Ms. Magner pledged \$250,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Ms. Magner’s longstanding support and extraordinary generosity, Brooklyn College requests the naming of “The Magner Family Recording Studio Suite” within the Leonard and Claire Tow Center for the Performing Arts.

#### **J. BROOKLYN COLLEGE - NAMING OF THE BOBBI AND MORT TOPFER REHEARSAL STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Bobbi and Mort Topfer Rehearsal Studio” within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Morton Topfer graduated from Brooklyn College in 1959 with a Bachelor’s Degree in Physics. Mr. Topfer currently serves as Managing Director of Castletop Capital and as a member of the Board of Directors of Dell Computer Corporation. He previously served as Counselor to the Chief Executive Officer at Dell from 1999-2002, and as Vice Chairman from 1994-1999. Prior to joining Dell, Mr. Topfer served for 23 years at Motorola, Inc., where he held several executive positions, last serving as Corporate Executive Vice President and President of the Land Mobile Products Sector.

Mr. Topfer has remained an engaged and generous supporter of the Morton and Angela Topfer Library Café at Brooklyn College, and the Morton and Angela Topfer Presidential Scholarship for outstanding Brooklyn College students.

In 2006, Mr. Topfer pledged \$2,000,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Mr. Topfer's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Bobbi and Mort Topfer Rehearsal Studio" within the Leonard and Claire Tow Center for the Performing Arts.

**K. BROOKLYN COLLEGE - NAMING OF THE DIANE AND HOWARD '64 WOHL MUSIC STUDIO:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Diane and Howard '64 Wohl Music Studio" within the Leonard and Claire Tow Center for the Performing Arts at Brooklyn College.

EXPLANATION: Howard Wohl graduated from Brooklyn College in 1964 with a Bachelor's degree in Accounting. Mr. Wohl is currently retired after serving as co-Founder and Chief Investment Officer of Ivy Asset Management Corp., a large funds investment firm, for over 25 years.

Mr. Wohl was a member of the Brooklyn College Foundation's Board of Trustees for over six years, including serving as Chair of the Performing Arts Center Planning Committee. He has remained an active and generous supporter of the Diane and Howard Wohl Juvenile Collection Fund for the Brooklyn College Library and a Professional Skills Training Program for Brooklyn College students.

In 2005, Mr. Wohl pledged \$500,000 to the Brooklyn College Foundation in support of the Tow Center for the Performing Arts.

To recognize Mr. Wohl's longstanding support and extraordinary generosity, Brooklyn College requests the naming of the "Diane and Howard '64 Wohl Music Studio" within the Leonard and Claire Tow Center for the Performing Arts.

**L. THE GRADUATE CENTER - NAMING OF THE DR. KAREN C. AND DR. LEWIS J. ALTFEST OFFICE OF CAREER PLANNING AND PROFESSIONAL DEVELOPMENT:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Dr. Karen C. and Dr. Lewis J. Altfest Office of Career Planning and Professional Development" at The Graduate Center.

EXPLANATION: Drs. Karen and Lewis Altfest are alumni of The Graduate Center, having earned a Ph.D. in History in 1979 and a Ph.D. in Business in 1978, respectively. They have been actively involved in The Graduate Center and are generous donors. Dr. Karen Altfest serves as a founder and Co-Chair of The Graduate Center Foundation Trustee and Alumni Professional Development Committee. In 2013, the Altfests made a pledge of \$100,000 to The Graduate Center Foundation over four years (beginning in 2013) to support the activities of the Office of Career Planning and Professional Development.

In recognition of this generous pledge, The Graduate Center requests that the Office of Career Planning and Professional Development be named in the Altfests' honor.

**M. THE GRADUATE CENTER - NAMING OF THE ALBERT BILDNER CONFERENCE ROOM:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the President's Conference Room as the "Albert Bildner Conference Room" at The Graduate Center.

EXPLANATION: Albert Bildner, who passed away in 2012, had been a dedicated Graduate Center trustee since 1980, and was awarded an honorary degree from The Graduate Center in 1994. He generously supported Graduate Center initiatives, including funding the Bildner Center for Western Hemisphere Studies. His widow, Lin Bildner, joined the Board of Trustees in 2012. Graduate Center friends and trustees, including Robert Wechsler (\$200,000 pledge, paid in full) and Lin Bildner (\$100,000 pledge through the Albert & Lin Bildner Foundation, of which \$50,000

has been paid), have donated a combined \$358,200 to create a Bildner Memorial Fund, with the intention of naming the conference room in Mr. Bildner's honor.

In recognition of these generous pledges, The Graduate Center requests that the President's Conference Room be named in Mr. Bildner's memory.

**N. QUEENS COLLEGE - NAMING OF THE DONALD I. BROWNSTEIN/JOHN J. MCDERMOTT HONORS SCHOLARSHIPS IN THE HUMANITIES:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Donald I. Brownstein/John J. McDermott Honors Scholarships in the Humanities" at Queens College.

EXPLANATION: Donald I. Brownstein earned his Bachelor's degree from Queens College in 1965 with a major in Philosophy, and earned his Ph.D. in Philosophy from the University of Minnesota. Dr. Brownstein has made a pledge in the amount of \$1 million to the Queens College Foundation, to be paid from 2013-2017, to establish the "Donald I. Brownstein/John J. McDermott Honors Scholarships in the Humanities."

This contribution supports the study of Humanities disciplines at Queens College by providing scholarships to Queens College honors students who are majoring in or studying subject matters relating to a Humanities discipline. The scholarships also honor John J. McDermott, a former Queens College faculty member of the Philosophy Department, who was Mr. Brownstein's professor.

Queens College therefore requests the naming of the "Donald I. Brownstein/John J. McDermott Honors Scholarships in the Humanities" in recognition of Mr. Brownstein's generosity.

**O. LAGUARDIA COMMUNITY COLLEGE - NAMING OF THE B.I.J. STAMM PHYSICS LABORATORY:**

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the physics laboratory in Room E-316 at LaGuardia Community College as the "B.I.J. Stamm Physics Laboratory."

EXPLANATION: Arthur Stamm, husband of former LaGuardia Community College Foundation board director Marilyn Skony Stamm, donated \$100,000 to the Foundation in honor of his wife and in memory of his late father, B.I.J. Stamm. His contribution will provide full-tuition scholarships and incentive grants to dedicated and ambitious LaGuardia students who graduate within two years.

B.I.J. Stamm, who founded New York City's Oil Heat Utilities, was an immigrant, innovator and entrepreneur whose efforts pioneered the worldwide oil burner manufacturing business known today as the Stamm International Corporation, the parent company of the world's leading industrial and commercial suppliers of gas, oil and combined gas/oil heating products.

In recognition of Mr. Stamm's generosity, LaGuardia Community College requests the naming of the "B.I.J. Stamm Physics Laboratory."

**NO. 5. COMMITTEE ON ACADEMIC POLICY, PROGRAMS AND RESEARCH:** RESOLVED, That the following items be approved:

**A. BROOKLYN COLLEGE - MFA IN CINEMA ARTS, MA IN CINEMA STUDIES, AND THE ESTABLISHMENT OF A BRANCH CAMPUS:**

RESOLVED, That the program in Cinema Arts leading to the Master of Fine Arts and the program in Cinema Studies leading to the Master of Arts at Brooklyn College be approved, subject to financial ability, November 26, 2013; and be it further

RESOLVED, That the establishment of a branch campus, the Steiner Studios in Brooklyn, at which the MFA in Cinema Arts and the MA in Cinema Studies are to be delivered, be approved, subject to financial ability, November 26, 2013.

EXPLANATION: Over 100,000 New Yorkers are directly employed in the film entertainment industry, which generates \$5 billion to the city's economy every year. To date, there has been no graduate-level academic program in this region that specifically aims to prepare students for those areas of the industry in which the greatest employment opportunities exist. With a focused curriculum that emphasizes commercial filmmaking, the proposed Master of Fine Arts in Cinema Arts seeks to fill that void. Students will have the opportunity to study one of five areas: directing, cinematography, production management, post-production, and screenwriting. The goal of the Master of Arts in Cinema Studies is to provide a comprehensive education in cinema history, theory, criticism, and aesthetics and to encourage the scholarly exploration of motion pictures as a form of art and as a means of social communication. Both of these programs will be delivered at a branch campus, the Steiner Studios in the historic Brooklyn Navy Yard, which is equipped for start-to-finish production of major motion pictures, independent films, television, broadcast commercials, and music videos.

**B. THE CITY COLLEGE OF NEW YORK - SOPHIE DAVIS SCHOOL OF BIOMEDICAL EDUCATION - ESTABLISHMENT OF THE DEPARTMENT OF MEDICAL EDUCATION:**

RESOLVED, That the Department of Medical Education be established in the Sophie Davis School of Biomedical Education at The City College of New York, effective December 1, 2013, subject to financial ability.

EXPLANATION: Pursuant to academic and institutional planning, and the policies of the Liaison Committee on Medical Education (LCME) that accredits US medical education programs, the Sophie Davis School of Biomedical Education proposes the establishment of a Department of Medical Education. The Sophie Davis School is actively engaged in the restructuring of its academic program and curriculum in fulfillment of the requirements and accreditation standards of the LCME. The LCME requires that all faculty who teach students in a medical school have academic appointments in that institution. The majority of faculty who will teach the Sophie Davis medical students in years four through seven of the seven-year BS-MD curriculum will be licensed physicians, many of whom are in private practice with affiliations at local hospitals, or are full time faculty at hospitals. In order to provide these faculty members with the appropriate academic appointments and support, appointments must be made to a department whose structure and function relate directly to their teaching responsibilities. The new Department of Medical Education will reflect the teaching credentials and area(s) of expertise of the clinical faculty, and will provide the necessary structure to promote faculty collaboration and enhanced instruction. The proposed Department will also provide oversight to faculty development and education scholarship.

Upon its creation, the Department of Medical Education will assume direct oversight of three current clinically-oriented Sophie Davis courses, including two courses that have been contracted to a local hospital. The School has initiated the transfer and re-assignment of one contracted course to current full-time Sophie Davis clinical faculty; it is anticipated that full in-house oversight of the remaining contracted courses will become effective by Fall 2014. The elimination of the teaching contract will yield significant cost-savings to the School in the current fiscal year, and provide the resources to support the creation of the new Department of Medical Education in Spring 2014. The addition of this Department of Medical Education to the Sophie Davis School of Biomedical Education will better support the mission of the school, and improve curriculum content, integration and teaching, and support the faculty as clinician educators. Because of lead time required to fully implement this change, this action is being put forward in advance of the presentation of the MD program proposal for approval by CUNY BOT and NYSED. This action was approved by the Executive Faculty Committee on June 14, 2013.

A separate resolution regarding the transfer and appointment of personnel to the new Department of Medical Education has been submitted to the Board of Trustees via the Chancellor's University Report. Contingent upon the approval of the Board of Trustees, designated faculty members (5) will be transferred from the Department of Community Health and Social Medicine and the Department of Pathobiology to the new Department of Medical Education.

**C. THE CITY COLLEGE OF NEW YORK - SOPHIE DAVIS SCHOOL OF BIOMEDICAL EDUCATION - LETTER OF INTENT FOR A BS/MD IN BIOMEDICAL SCIENCE/MEDICINE:**

RESOLVED, That the Letter of Intent (LOI), permitting City College to propose a combined BS/MD in Biomedical Science/Medicine be approved effective November 26, 2013, subject to financial ability.

EXPLANATION: The Sophie Davis School of Biomedical Education presently offers a unique integrated BS/MD program. This program provides access to medical education for youth from social, ethnic, and racial backgrounds historically underrepresented in medicine, and develops primary care physicians committed to practicing in underserved communities. In its current structure, City College, through the Sophie Davis School, awards a BS in Biomedical Education and one of six cooperating medical schools awards the MD. Although this model has been successful, at this time, Sophie Davis is seeking to become a Doctor of Medicine degree granting institution in order to offer the full BS/MD program at CUNY. While City College develops a full proposal for this program to be brought to CAPPB at a future date, approval of this LOI is being sought now so that City College may begin working with the Liaison Committee on Medical Education (the medical school accreditor) to develop an accreditable program, as well as begin preparing to request a Master Plan Amendment from the New York State Department of Education. By controlling the full continuum of our students' medical education, this combined BS/MD program will provide the transformational path needed for New York City and State to educate and train primary care physicians to practice in communities that have the highest need for basic medical care and services.

In response to a question from **Trustee Valerie Beal**, **President Lisa Coico** stated that **City College** has been looking at tuition and business models. This is only a letter of intent to explore and take the next step—a lot of the costs happen in the owning and operating of the hospitals. This program—a primary care program based predominantly in a longitudinal outpatient setting—has no intention of having any ownership of hospitals running clinical practice plans.

**Chairperson Schmidt** added that the full plan of the program will come back to the Board and go through the usual process of committee consideration.

**D. JOHN JAY COLLEGE - BA IN ANTHROPOLOGY:**

RESOLVED, That the program in Anthropology leading to the Bachelor of Arts at John Jay College be approved effective November 26, 2013, subject to financial ability.

EXPLANATION: As part of its strategic plan to offer its students a wider array of liberal arts majors with a social justice emphasis, John Jay College has designed a BA in Anthropology with two concentrations. One focuses on global cultural forms and social inequalities; the second examines the intersection of law, power and politics from an anthropological perspective. Required courses in applied Anthropology, statistics, and ethnographic research methods along with opportunities to work with faculty on research projects will provide students with skills for employment, particularly in the government and non-profit sectors, as well as graduate studies in, among other fields, social work, law, and anthropology.

**E. KINGSBOROUGH COMMUNITY COLLEGE - AAS IN POLYSOMNOGRAPHIC TECHNOLOGY:**

RESOLVED, That the program in Polysomnographic Technology leading to the AAS degree at Kingsborough Community College be approved, subject to financial ability, effective November 26, 2013.

EXPLANATION: Kingsborough Community College seeks to offer one of the first associate's degree programs in polysomnographic technology in New York State, which has recently classified sleep technicians as a licensed profession. Graduates of the program will have completed the educational requirements recently mandated to obtain this license. Sleep technicians work in labs designed to diagnose and treat conditions such as sleep apnea, narcolepsy, REM sleep behavior disorder, nighttime seizures, sleep walking, night terrors, and periodic limb movement disorder. This program will complement Kingsborough's existing allied health degrees which include, among others, Nursing and Emergency Medical Services.

**F. LEHMAN COLLEGE - ESTABLISHMENT OF THE SCHOOL OF HEALTH SCIENCES, HUMAN SERVICES AND NURSING:**

RESOLVED, That the School of Health Sciences, Human Services and Nursing be established at Lehman College effective July 1, 2014, subject to financial ability. The School shall consist of four existing departments: Health Sciences, Nursing, Social Work, and Speech-Language-Hearing Sciences.

EXPLANATION: Pursuant to academic and institutional planning, and following consultation with faculty, students, and the administrative leadership, Lehman College proposes to create a new School of Health Sciences, Human Services and Nursing that will house four existing departments: Health Sciences, Nursing, Social Work and Speech-Language-Hearing Sciences.

The first three departments are currently housed in the School of Natural and Social Sciences and the last is currently housed in the School of Arts and Humanities. This reorganization does not alter the core functions or assignments of College faculty—teaching, research/scholarship, and service—or the ways departments are organized to facilitate the execution of those functions. Moreover, the fundamentals of faculty governance and administrative management will remain unchanged. Although two additional full time lines are requested for fiscal 2015 and 2016 to cover administrative support to serve as dean and associate dean for the School, the increased visibility, fundraising, and grantsmanship should provide a significant return on the initial investment.

It is the expectation of Lehman College that the creation of a new School of Health Sciences, Human Services and Nursing will increase the visibility, identity and capabilities of these departments and programs as they prepare a growing number of students for professional careers in the local and global health care industries. The new School will enhance service learning and internship opportunities through greater collaborations with local schools and hospitals, as well as with social services, health and governmental agencies that should also strengthen Lehman College's contribution to the economic development of the region, an important feature of the College's mission. The campus wide Faculty Personnel & Budget Committee approved the establishment of the School on November 8, 2011.

**G. SCHOOL OF PROFESSIONAL STUDIES AT THE GRADUATE SCHOOL AND UNIVERSITY CENTER - BS IN INFORMATION SYSTEMS:**

RESOLVED, That the program in Information Systems leading to the Bachelor of Science in the School of Professional Studies at the Graduate School and University Center, be approved effective November 26, 2013, subject to financial ability.

EXPLANATION: This proposed undergraduate degree in Information Systems will teach students the formalized methods used across industries when selecting, implementing and managing sophisticated computer information systems. The curriculum is based upon recommendations from two professional organizations, the Association for Information Systems and the Association for Computing Machinery. Students will be exposed to both the technical aspects of information systems such as programming and network security, and the managerial aspects such as systems analysis and design, and project management. Information Systems continues to be a field with strong employment opportunities. That fact, coupled with the completely on-line delivery of this program, will make this degree very attractive to working professionals looking to advance their career prospects.

**H. COLLEGE OF STATEN ISLAND - BA IN GEOGRAPHY:**

RESOLVED, That the program in Geography leading to the Bachelor of Arts at the College of Staten Island be approved, effective November 26, 2013, subject to financial ability.

EXPLANATION: The mission of the Geography program will be to develop an understanding and appreciation of the diversity of the earth's physical and cultural environments, as well as the interrelationships between peoples and their environments. Students will also gain training in Geographical Information Systems (GIS). GIS experience provides

students with an important skill, advantaging students for some of the fastest growing and lucrative employment opportunities in today's highly competitive job market.

#### I. THE CITY UNIVERSITY OF NEW YORK - CHANGE IN THE UNIVERSITY STUDENT RETENTION AND PROGRESS POLICY:

RESOLVED, That the policy regarding Student Retention and Progress adopted by the CUNY Board of Trustees on April 5, 1976 and amended on both October 26, 1976 and March, 23 1981, be amended as follows, effective November 26, 2013.

EXPLANATION: Revised federal regulations require students on academic probation to file an appeal to continue to receive financial aid. Approval of that appeal may require an academic plan which, if followed, will allow the student to meet the college's retention standard within a specific timeframe. In accordance with those federal regulations, the Board's policy on Student Retention and Progress needs to be amended as follows:

**NOTE: New material is underlined; deleted material is struck out.**

Satisfactory progress toward a degree shall be defined as the satisfactory completion of:

- a) At least one-half of the first four courses or twelve credits attempted
- b) At least two-thirds of the second four courses or twelve credits attempted
- c) At least three-quarters of the third four courses or twelve credits attempted
- d) At least three-quarters of all courses attempted in any subsequent semester

Each student will be expected to maintain a grade point average of at least 1.50 for the first four courses, or twelve credits, attempted and at least a 1.75 grade point average for the first eight courses, or twenty-four credits, attempted. In each subsequent semester students will be expected to maintain at least a 2.00 grade point average.

The Chancellor is authorized to promulgate the minimal standards for satisfactory progress for full-time undergraduate students to be used for determining student eligibility for State financial assistance and not for general institutional use. Through the normal academic processes each college is to recommend to the Board of Trustees, with the approval of the Chancellor, its minimal academic standards for retention of full-time and part-time students. These standards are to be designed for general institutional use.

Students who fail to meet their college's retention standards or the conditions of an academic or other probation, are to be dropped from their college but may apply for readmission no sooner than one semester after their dismissal and will be subject to normal ~~faculty college~~ admission decisions. The normal ~~faculty college~~ processes dealing with student placement on academic probation, attendance probation, or other probation deemed advisable by the faculty are to be continued with the condition that ~~such probation constitutes good academic standing for the purpose of student eligibility for financial assistance.~~ approval of the appeal, for purposes of federal financial assistance requires:

- An evaluation of the feasibility of the student obtaining the requisite GPA for retention by the next semester or term, or;
- The establishment of an academic plan, to be monitored for multiple terms, if necessary to obtain the GPA required by the retention standard.

Students moving to the upper divisions of a four-year college either from the lower divisions of the college or from a community college within The City University of New York system or outside of it must provide evidence, in accordance with a standard to be determined by the Chancellor, that they have attained a level of proficiency in basic learning skills necessary to cope successfully with advanced work in the academic disciplines.

**J. BARUCH COLLEGE - AGREEMENT WITH THE COLLEGE OF MANAGEMENT ACADEMIC STUDIES IN ISRAEL TO OFFER A DUAL DEGREE IN INTERNATIONAL MASTERS OF BUSINESS ADMINISTRATION:**

RESOLVED, That the Board of Trustees of The City University of New York authorize the General Counsel to execute an agreement on behalf of Baruch College with the College of Management Academic Studies in Israel to offer a dual degree in International Masters of Business Administration. Such contract shall be subject to approval as to substance by the University Office of Academic Affairs and approval as to form by the University Office of General Counsel.

EXPLANATION: Baruch College seeks to offer a dual International Masters of Business Administration Program with the College of Management Academic Studies in Israel. Such program would be an international extension of the successful Masters of Business Administration offered locally by Baruch. Classes would be taught in English by full-time and adjunct faculty from both the Zicklin School of Business and the College of Management Academic Studies. Tuition charges will be consistent with those approved by the Board on June 22, 2009 for Baruch International Executive Programs.

**K. [ADDED ITEM] HUNTER COLLEGE - HONORARY DEGREE FOR HOLLAND COTTER:**

RESOLVED, That Hunter College awards Holland Cotter, MA '88, the degree of Doctor of Humane Letters, *honoris causa*, at the college's winter commencement ceremony on January 23, 2014.

EXPLANATION: Holland Cotter, Pulitzer Prize-winning journalist, is the co-chief art critic and senior writer at *The New York Times*. His subjects have ranged from Italian Renaissance painting to street-based communal work by artist collectives. In 2009, he was awarded the Pulitzer Prize for Criticism and is credited with exposing Indian and Chinese art to a western audience. His Pulitzer-winning pieces written at *The New York Times* are praised for vividly capturing the emerging avant-garde and contemporary art scene in China.

**Report of Academic Policy, Programs and Research Committee Chair Wellington Chen:**

**Dr. Logue** informed the committee of a new academic center being established at **City College**, The Documentary Forum: The Center for Film, Journalism, and Interactive Media, which will focus on non-fiction and visual storytelling, and will engage in the local arts community.

She then gave the committee updates on three items. First, The Central Office has streamlined the procedures that the colleges must follow to propose new programs. The Central Office has now eliminated the Letter of Intent for new degree program proposals. This will allow **CUNY** to respond to the marketplace more quickly with new programs while still maintaining a rigorous review process.

Second, **Dr. Logue** pointed out that the two items in particular on the committee's agenda, the addition of graduate studies in filmmaking at **Brooklyn College**, and the introduction of medical education at **City College**, show how **CUNY** continues to extend its reach in educating the residents of New York City while drawing from the rich resources that the city has to offer.

Third, **Dr. Logue** gave an overview of new trends in higher education. She stressed that while some of these trends may not sustain themselves, some, including online education, have established themselves as new paradigms. **CUNY** has lagged other universities, both public and private, including SUNY, in the creation and delivery of online courses and programs. She encouraged the members of the committee to look seriously at any forward thinking changes that may well-serve the long-term interests of **CUNY**.

**NO. 6. COMMITTEE ON FACILITIES PLANNING AND MANAGEMENT:** RESOLVED, That the following items be approved:

**A. HUNTER COLLEGE - CONSTRUCTION FUNDING BY THE HUNTER COLLEGE FOUNDATION, INC.:**

RESOLVED, That the Board of Trustees of The City University of New York authorize the City University Construction Fund ("the Fund") to accept design and Construction Management pre-construction funding from The Hunter College Foundation Inc. of all of the funds, presently in the amount of \$2,000,000, and any future contributions on the same terms, necessary to cover all of the costs associated with the design and Construction Management pre-construction services in the renovation of the 6<sup>th</sup> and 7<sup>th</sup> floors of the Wexler Library at Hunter College.

EXPLANATION: The Hunter College Foundation Inc. is organized for the purpose of aiding and promoting the educational and charitable purposes and lawful activities of the College, including raising funds for the planning, design and construction of improvements to College facilities.

The Fund, on behalf of the City University of New York and at the request of Hunter College, intends to reconstruct the 6<sup>th</sup> and 7<sup>th</sup> floors of the Wexler Library at Hunter College's 68<sup>th</sup> Street Campus' east building and plans to use only grants from the Foundation to pay for the construction and related services. s.

SCOPE OF WORK: The 6<sup>th</sup> and 7<sup>th</sup> floors of the library will be totally renovated, including new finishes, electrical and data wiring, lighting and supplemental HVAC systems. The 6<sup>th</sup> floor will house three smart classrooms, a new Education Library, a Center for the Macaulay Honors College, as well as open seating and group study areas. The 7<sup>th</sup> floor will house Learning Centers for Math, Science and Writing, as well as the Pre-Professional Center, a lecture Hall, and open study areas. A new compact connecting stair will be added between the floors, and the existing large stair removed with the space repurposed to program area. The renovations will feature a technology rich environment for 21<sup>st</sup> century learning in a flexible and modern design.

**B. THE CITY COLLEGE OF NEW YORK - CONSTRUCTION FUNDING BY THE CITY COLLEGE 21<sup>ST</sup> CENTURY FOUNDATION, INC.:**

RESOLVED, That the Board of Trustees of The City University of New York authorize the City University Construction Fund ("the Fund") to accept construction funding from the City College 21<sup>st</sup> Century Foundation, Inc. of all of the funds, presently in the amount of \$6,500,000, and any future contributions on the same terms, necessary to cover all of the costs associated with the construction and related services in the renovation of the City College Alumni House in order to accommodate the Colin Powell Center.

EXPLANATION: The City College 21<sup>st</sup> Century Foundation, Inc. is organized for the purpose of aiding and promoting the educational and charitable purposes and lawful activities of the College, including raising funds for the planning, design and construction of improvements to College facilities.

The Fund, on behalf of the City University of New York and at the request of City College, intends to reconstruct the Alumni House at 280 Convent Avenue into offices, conference rooms and reception area for use by the Colin Powell Center for Leadership and Service and plans to use only grants from the Foundation to pay for the construction and related services. The Foundation has retained an architect for the design with whom the Fund's staff will work in the administration of the construction contracts.

PROJECT HISTORY: The Alumni House, at the City College of New York, is a four story limestone and brick structure that is a contributing building to the Hamilton Heights Historic District listed on the National Register of Historic Places. Currently in disrepair and unoccupied, the 6,500 gsf building will be fully renovated to accommodate the Colin Powell Center as the community outreach and administrative headquarters.

PROJECT SCOPE: The proposed renovation and expansion includes a full restoration of the exterior envelope, development of a small exterior yard as a private amenity, total interior renovation, and a small addition that will house the new egress stair and ADA compliant elevator. The cellar will house mechanical spaces and a staff lounge,

the first floor will include public reception rooms, the second floor will house an office and the Center's Board Room, and the third floor will house open and private offices and a conference room.

**C. HUNTER COLLEGE - AMENDMENT AUTHORIZING TWO CONTRACTS FOR THE RENOVATION AND EQUIPMENT FIT-OUT OF THE BROADCAST MEDIA CENTER AT 695 PARK AVENUE, NY, NY:**

RESOLVED, That the Board of Trustees of The City University of New York amend the resolution adopted at the meeting of February 25, 2013, Cal. No. 5.B., authorizing the City University Construction Fund to execute two contracts on behalf of Hunter College for the Broadcast Media Center located in the North Building by authorizing an increase in the estimated total project cost. The first contract is to upgrade the existing TV Studio lighting and HVAC System and the second contract is for teleproduction fit-out from an analog production facility to a high definition production and teaching space. The two contracts shall be awarded to the lowest responsive and responsible bidders after public advertisement and sealed bidding pursuant to law and University Regulations; and be it further

RESOLVED, The cost of the two contracts shall not exceed a total of \$1,278,000 and be chargeable to the City Capital Budget, Project No. HU021-008. The contracts shall be subject to approval as to form by the Fund's General Counsel; and be it further

RESOLVED, That the City University Construction Fund be and hereby is authorized to enter into two contracts with the respective selected bidders for construction and fit-out services for the project.

EXPLANATION: In February 2013 the Board authorized CUCF to award two contracts for a combined total of \$1,129,000. At that time the contract for the renovation of the studio was estimated at \$437,000, and equipment/Integration at \$692,000. Since then CUNY has received bids, and is ready to award a contract in an amount not to exceed \$565,000 for the renovation. The difference is attributable to increased construction costs experienced over the last year. Bids for the studio equipment and integration are due this month, and are expected to increase by approximately 3% over the original budget amount of \$692,000, bringing the new equipment estimate to approximately \$713,000, and overall project cost to \$1,278,000.

**D. THE CITY UNIVERSITY OF NEW YORK - FIVE-YEAR CAPITAL BUDGET REQUEST, FY 2014-15 THROUGH FY 2018-19:**

RESOLVED, That The City University of New York Board of Trustees approve a five-year Capital Budget Request for Fiscal Years 2014-15 through 2018-19 of approximately \$6.7 billion for projects authorized by The City University Construction Fund to address critical maintenance, infrastructure, and programmatic initiatives in support of the University's mission. These projects shall be funded through bonds sold by the Dormitory Authority of the State of New York with debt service payments appropriated by the State of New York and the City of New York, and through bonds sold by the City of New York; projects shall also be supported by state minor repair funding from General Fund. The request breaks down to \$5.3 billion for the Senior Colleges and \$1.4 billion for the Community Colleges. The state provides 100% of the funding for Senior Colleges and 50% for the Community Colleges. The city provides the matching 50% for the Community Colleges; and be it further

RESOLVED, That the Master Plan for the University be and is hereby amended as necessary to provide for the capital proposal.

**NOTE: The Capital Budget Request and the schedule of projects are on file in the Office of the Secretary of the Board.**

EXPLANATION: Every year the University is required to submit a five-year capital plan to the State Division of the Budget and the City Office of Management and Budget. As in previous plans, the FY 2014-15 through FY 2018-19 request focuses on completion of existing projects and critical health, safety, code compliance and rehabilitation projects, while recognizing the need for expansion and modernization of facilities as called for in campus master plans. In formulating specific projects under this plan, an effort will be made where possible to leverage available University real estate resources through public-private development.

**The projects included in the plan originate at the campuses and are prioritized following Board of Trustees criteria:**

1. Critical Maintenance projects to ensure health, safety, security, facilities preservation, and compliance with codes, including ADA and asbestos-related regulations;
  2. Individual campus projects that were started with partial earlier funding and need completion of funding;
  3. Projects to upgrade existing science facilities and provide new science instruction and research facilities;
  4. CUNY-wide technology projects, including infrastructure upgrades, systems engineering, and new equipment;
  5. New construction of space needed for academic programs, with projects ranked in order of overall campus space deficiency. Highest priority is assigned to classroom and instructional laboratory facilities and to the replacement of temporary or leased space with permanent space;
  6. CUNY-wide projects to meet energy conservation and performance objectives;
  7. CUNY-wide and individual campus projects for purposes other than those listed above.
- E. [ADDED ITEM] THE CITY UNIVERSITY OF NEW YORK - DESIGNATION OF CAMPUSES FOR START-UP NY PROGRAM:**

RESOLVED, That the Board of Trustees of The City University of New York designates the following five campuses as eligible for the START-UP NY program: The City College of New York; Medgar Evers College; York College; Bronx Community College; and The College of Staten Island.

EXPLANATION: New York State recently established the "START-UP NY" program, which authorizes the creation of tax-free zones on eligible university campuses in New York State for new and expanding businesses. Under New York State law five CUNY campuses, one in each borough, may be designated by the Board as eligible to participate in the program and host tax-free zones. Each campus designated by the Board must be located in an economically distressed community, as defined by the New York State Commissioner of Economic Development. Additional CUNY campuses may apply separately to the Commissioner in competition with other universities to host tax-free zones under the program.

Tax-free zones may be located within eligible university campuses, affiliated business incubators, and certain other space affiliated with participating universities. Businesses locating within these tax-free zones will be generally exempt from New York State taxes, including business, sales, and property taxes, and the income paid to new employees of such businesses will be fully or partially exempt from State and local income taxes, for a period of ten years. Participating campuses and the businesses that would like to locate within such tax-free zones must submit plans to the New York State Commissioner of Economic Development. In order to be located in a tax-free zone hosted by a CUNY campus, businesses must be either in the formative stage of development or a high-tech business, must create new jobs, must not compete with any existing business within the immediate community but outside the tax-free zone, must be aligned with or further the academic mission of the host campus (such as scholarships, internships, courses, mentoring, etc.), and must provide positive community and economic benefits (such as employment, training, diversification of local economy, economic growth, etc.).

**Upon motion duly made, seconded and carried, the public meeting was adjourned to go into Executive Session at 6:01 P.M.**

**SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON**



MINUTES OF THE EXECUTIVE SESSION OF THE BOARD OF  
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK

HELD

NOVEMBER 25, 2013

AT BARUCH COLLEGE VERTICAL CAMPUS  
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN

The Executive Session was called to order at 6:05 P.M.

There were present:

Benno Schmidt, Chairperson  
Philip Alfonso Berry, Vice Chairperson

Valerie Lancaster Beal  
Wellington Z. Chen  
Freida D. Foster  
Judah Gribetz  
Joseph J. Lhota  
Hugo M. Morales

Brian D. Obergfell  
Peter S. Pantaleo  
Kathleen M. Pesile  
Charles A. Shorter  
Jeffrey S. Wiesenfeld

Muhammad W. Arshad, ex officio

\*Terrence F. Martell, ex officio (non-voting)

Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs  
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board  
Hourig Messerlian, Deputy to the Secretary

Interim Chancellor William P. Kelly  
EVC and University Provost Alexandra Logue  
Executive Vice Chancellor and C.O.O. Allan H. Dobrin  
Senior Vice Chancellor Marc V. Shaw  
Vice Chancellor Frank D. Sánchez  
Vice Chancellor Pamela Silverblatt

Vice Chancellor Gillian Small  
Vice Chancellor Gloriana Waters  
Vice Chancellor Iris Weinshall  
Associate Vice Chancellor Andrea Shapiro Davis  
Associate Vice Chancellor Matthew Sapienza  
Senior University Dean/Special Counsel Dave  
Fields

The absence of Trustees Rita DiMartino and Carol A. Robles-Roman was excused.

The Board went into Executive Session to discuss personnel and legal matters.

\*Trustee Terrence Martell was excused for part of the Executive Session

Upon motion duly made, seconded and carried, the Executive Session was adjourned at 6:22 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON



**MINUTES OF THE MEETING OF THE BOARD OF  
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

**HELD**

**NOVEMBER 25, 2013**

**AT BARUCH COLLEGE VERTICAL CAMPUS  
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Public Session reconvened at 6:23 P.M.

There were present:

**Benno Schmidt, Chairperson  
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal  
Wellington Z. Chen  
Freida D. Foster  
Judah Gribetz  
Joseph J. Lhota  
Hugo M. Morales**

**Brian D. Obergfell  
Peter S. Pantaleo  
Kathleen M. Pesile  
Charles A. Shorter  
Jeffrey S. Wiesenfeld**

**Muhammad W. Arshad, ex officio**

**\*Terrence F. Martell, ex officio (non-voting)**

**Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board  
Hourig Messerlian, Deputy to the Secretary**

**Interim Chancellor William P. Kelly  
EVC and University Provost Alexandra Logue  
Executive Vice Chancellor and C.O.O. Allan H. Dobrin  
Senior Vice Chancellor Marc V. Shaw  
Vice Chancellor Frank D. Sánchez  
Vice Chancellor Pamela Silverblatt**

**Vice Chancellor Gillian Small  
Vice Chancellor Gloriana Waters  
Vice Chancellor Iris Weinshall  
Associate Vice Chancellor Andrea Shapiro Davis  
Associate Vice Chancellor Matthew Sapienza**

The absence of Trustees Rita DiMartino and Carol A. Robles-Roman was excused.

Upon motions duly made, seconded and carried, the following resolution was adopted: (Calendar No. 8)

**NO. 8. CENTRAL OFFICE – APPOINTMENT OF INTERIM EXECUTIVE VICE CHANCELLOR AND UNIVERSITY PROVOST:**

RESOLVED, That the Board of Trustees of The City University of New York approve the appointment of Dr. Julia Wrigley as Interim Executive Vice Chancellor and University Provost, effective January 2, 2014, at a compensation to be recommended by the Chancellor to the Board, subject to financial ability.

EXPLANATION: Executive Vice Chancellor and University Provost Alexandra Logue will be on a study leave beginning January 2, 2014. Dr. Julia Wrigley currently serves as Associate University Provost, a position she has held since 2010. She also served as Interim Associate University Provost from 2008 to 2009. Prior to coming to the

Central Office, Dr. Wrigley served as Acting Provost and Senior Vice President for Academic Affairs and Acting Associate Provost and Dean for Academic Affairs at The Graduate Center, where she is a tenured member of the Ph.D. Program in Sociology.

Dr. Wrigley earned a BA in sociology from the University of Michigan, and she holds an MS and a Ph.D., both in sociology from the University of Wisconsin, Madison.

**Statement of Dr. Julia Wrigley:**

I am pleased and honored that the Board has approved my appointment. It will be a great privilege to work with **Interim Chancellor Kelly** to advance **CUNY's** mission in educating all the people of New York. This great University creates opportunities for its students and helps them realize their dreams while enriching the life of the city in enumerable other ways. As I prepare for this new role I thank you for your confidence. I would also like to thank **Executive Vice Chancellor and University Provost Alexandra Logue** for her support and leadership.

**Upon motion duly made, seconded and carried, the reconvened public meeting was adjourned at 6:25 P.M.**

**SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON**

**(This is a detailed summary of the Board of Trustees' meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)**

