

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

JANUARY 27, 2014

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Chairperson called the meeting to order at 4:30 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Joseph J. Lhota**

**Hugo M. Morales
Brian D. Obergfell
Peter S. Pantaleo
Carol A. Robles-Roman
Charles A. Shorter**

Muhammad W. Arshad, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Steven Quinn
Anthony Vargas**

**Interim Chancellor William P. Kelly
Interim EVC and University Provost Julia Wrigley
EVC and C.O.O. Allan H. Dobrin
President Diane B. Call
President Lisa S. Coico
President Scott E. Evenbeck
President Ricardo R. Fernandez
Interim President William J. Fritz
President Evangelos Gizis
President Karen L. Gould
President Russell K. Hotzler
President Carole Berotte Joseph
President Marcia V. Keizs
President Gail O. Mellow
President Antonio Pérez
President Jennifer Raab
Interim President Chase F. Robinson**

**President Felix V. Matos Rodriguez
Interim President Stuart Suss
President Jeremy Travis
President Mitchel Wallerstein
Dean Michelle Anderson
Dean Sarah Bartlett
Dean Ann Kirschner
Dean Ayman E. El-Mohandes
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small
Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall
Associate VC Matthew Sapienza
Associate VC Andrea Shapiro Davis
Senior University Dean John Mogulescu
RF President Richard F. Rothbard
Senior Advisor Marc V. Shaw**

The absence of Trustee Judah Gribetz was excused.

Chairperson Benno Schmidt called the meeting to order, and stated that the Board will go into Executive Session to discuss personnel matters after the conclusion of the Public meeting. The Board will then reconvene in Public Session following Executive Session. He read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

A. VIDEOTAPING OF BOARD MEETING: Chairperson Schmidt announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at www.cuny.edu/livestream providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

Chairperson Schmidt stated that there are several individuals at the table today who are here for their first regular Board meeting. He added that on behalf of the Board, he would like to welcome **Interim Executive Vice Chancellor and University Provost Julia Wrigley, Interim Queens College President Evangelos Gizis** and **CUNY Graduate School of Journalism Dean Sarah Bartlett**. Welcome to you all!

Chairperson Schmidt stated that he would like to extend his thanks to **Trustee Carol Robles-Roman** for serving as Chair of the **Committee on Student Affairs and Special Programs; Trustee Brian Obergfell** for serving as Vice Chair of the **Committee on Facilities Planning and Management**; and all the Trustees who have joined the various standing committees of the Board.

Chairperson Schmidt stated that he also would like to thank **Trustee Wellington Chen** for serving as Chair of the Search Committee to find a new President of **Kingsborough Community College**, and the Trustees who are serving as members of this important committee. The Presidents, faculty, students and alumni members of the committee have been appointed consistent with Board guidelines.

Chairperson Schmidt stated that he would like to advise all the Trustees that an orientation handbook for new Trustees is available online at <http://www.cuny.edu/about/Trustees.html>, the **Board of Trustees** section of the **CUNY** website. This is a useful resource for everyone, not only for new colleagues.

Chairperson Schmidt stated that he would like to extend the Board’s deepest condolences to the family of **Hunter College** Distinguished Professor Marie Filbin who passed away on January 15th. Dr. Filbin,

who came to Hunter in 1990, was an internationally renowned neuroscientist and a beloved professor, who inspired generations of students. She will be greatly missed by all who knew and worked with her.

B. COLLEGE AND FACULTY HONORS: Chairperson Schmidt called on Trustee Valerie Lancaster Beal, who announced the following:

1. The **City College** Bernard and Anne Spitzer School of Architecture Distinguished Professor Lance Jay Brown assumed the presidency of the American Institute of Architects New York Chapter for 2014; the **City College Grove School of Engineering** Associate Professor of Biomedical Engineering Debra Auguste was one of 102 researchers selected to receive the Presidential Early Career Award; and, **CUNY** Dominican Studies Institute at the **City College** Assistant Librarian Nelson Santana was awarded the Seminar on the Acquisition of Latin American Library Materials (SALALM) Scholarship. Congratulations!
2. **LaGuardia Community College's** EMT program for non-traditional adult learners who face educational and economic hurdles won the Continuing Education Association of New York's 2013 Exemplary Non-Credit Program Development Award. Congratulations!

C. STUDENT AND ALUMNI HONORS: Chairperson Schmidt called on Trustee Carol A. Robles-Roman, who announced the following:

1. **Baruch College** student Sebastian Restrepo received a prestigious Benjamin A. Gilman International Scholarship to study in Thailand in Spring 2014; and, **Baruch College School of Public Affairs** alumna Melissa Mark-Viverito was elected Speaker of the New York City Council on January 8th. Congratulations!
2. The **City College** student chapter of the National Society of Black Engineers (NSBE) was named best chapter at their Region 1 conference; and, the **City College Grove School of Engineering**, biomedical engineering senior Tanjin Panna and postdoctoral researcher Zeynep Dereli-Korkut are two of twenty-one in the current cohort of the ELabNYC six-month training program for bio and health tech entrepreneurs run by the New York City Economic Development Corporation. Congratulations!
3. **LaGuardia Community College** students Yongnian Nie and Sinying Wong took first place in the Northeast division of American Mathematical Association of Two-Year Colleges' (AMATYC) competition; they also took third place in the New York State Mathematical Association of Two-Year Colleges' (NYSMATYC) competition. Congratulations!

D. GRANTS: Chairperson Schmidt presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the November 25, 2013 Board meeting.

BROOKLYN COLLEGE

1. \$175,163 **NEW YORK STATE EDUCATION DEPARTMENT** to Louise Hainline, for "CSTEP: College Science and Technology Entry Program."
2. \$143,423 **NATIONAL SCIENCE FOUNDATION** to Laurie Rubel, for "Learning Mathematics of the City in the City."
3. \$141,300 **NATIONAL INSTITUTES OF HEALTH** to Ryan Murelli, for "SC2: Synthetic and Biological Studies of Understudied Anti-Tubercular Natural Products."
4. \$112,770 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Catherine McEntee, for "BCSTEP: Science and Technology Entry Program."

CITY COLLEGE

1. \$783,627 **UNITED STATES DEPARTMENT OF EDUCATION** to Jorge Gonzalez, for "CUNY's Initiative for Continuous Innovative Learning Environments in STEM (CILES)."
2. \$646,678 **UNITED STATES DEPARTMENT OF EDUCATION** to Craig Levinsky, for "Increasing Retention and Graduation Rates through Enhanced Pedagogy and Improved Technology."
3. \$431,269 **UNITED STATES DEPARTMENT OF EDUCATION** to Jorge Gonzalez and Reza Khanbilvardi, for "Earth Science and Environmental Sustainability (ESES) Graduate Initiative."
4. \$425,440 **NATIONAL SCIENCE FOUNDATION** to Carlos Meriles, for "GOALI: Research and Development of Chip-Integrated, Magnetic-Resonance-Based Platforms for Chemical Sensing of Trace Systems and Nuclear..."
5. \$410,000 **NATIONAL SCIENCE FOUNDATION** to Lia Krusin-Elbaum, for "Materials World Network: Project Summary Disorder Tuned Quantum Phases in Topological Insulators."
6. \$381,150 **NATIONAL SCIENCE FOUNDATION** to Carlos Meriles, for "Towards Spin-Based Quantum Computing in the Solid State: Tomography of a Spin Node."
7. \$301,613 **UNITED STATES DEPARTMENT OF EDUCATION** to Yuri Job and Karen Witherspoon, for "City College Upward Bound."
8. \$250,000 **NATIONAL SCIENCE FOUNDATION** to Mahesh Lakshman, for "Catalysis Chemistry Involving Nucleosides and Related Heterocycles."
9. \$226,654 **NATIONAL SCIENCE FOUNDATION** to Ana Carnaval, for "Collaborative Research: Dimensions US-BIOTA-Sao Paulo: A Multidisciplinary Framework for Biodiversity Prediction in the Brazilian Atlantic Forest..."
10. \$206,550 **NATIONAL INSTITUTES OF HEALTH** to Hernan Makse, for "Multi-Scale Approach to Disease Spreading in Social Networks."
11. \$189,999 **NATIONAL SCIENCE FOUNDATION** to Hernan Makse, for "Studies of Random Packings of Non-Spherical Objects."
12. \$178,896 **UNITED STATES DEPARTMENT OF EDUCATION** to Ruth Stark, for "Graduate Assistance in Areas of National Need."
13. \$149,997 **NATIONAL SCIENCE FOUNDATION** to YingLi Tian, for "PFI: AIR Technology Translation – Automated Targeted Destination Recognition for the Blind."
14. \$147,645 **NATIONAL INSTITUTES OF HEALTH** to Simon Kelly, for "Electrophysiological Indices of Task-Dependent Attentional Biasing in Humans."
15. \$100,000 **UNITED STATES NUCLEAR REGULATORY COMMISSION** to Masahiro Kawaji, for "New York-Nuclear Research Opportunities Program (NY-NROP)."

GRADUATE SCHOOL AND UNIVERSITY CENTER

1. \$200,000 **NATIONAL ENDOWMENT FOR THE HUMANITIES** to Joshua Brown, for "Visual Culture of the American Civil War."
2. \$135,534 **HELMSLEY CHARITABLE TRUST** to Michelle Fine and Helene Clark, for "Evaluation of Helmsley Trust Education Program."
3. \$116,407 **GALWAY UNIVERSITY FOUNDATION** to Roderick Watts, for "Contributions of Community Organizing to Youth Development: International Study."

CUNY SCHOOL OF PROFESSIONAL STUDIES

1. \$3,061,710 **NEW YORK CITY HUMAN RESOURCES ADMINISTRATION OFFICE OF CHILD SUPPORT ENFORCEMENT** for "Procedures and Train Staff on Child Support Enforcement Processes."
2. \$1,568,636 **NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES DIVISION OF ENERGY MANAGEMENT** for "Support Projects to Reduce Energy Consumption in New York City Government Buildings."
3. \$1,186,799 **NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES** for "National Emergency Grant."
4. \$121,000 **NEW YORK CITY OFFICE OF EMERGENCY MANAGEMENT** for "Online Courses for New York City Personnel to Implement the City's Coastal Storm Plan in Emergency Events."

HOSTOS COMMUNITY COLLEGE

1. \$1,605,000 **UNITED STATES DEPARTMENT OF HEALTH & HUMAN SERVICES** to Carlos Molina, for "Health Profession Opportunity Grant to Serve TANF Recipients: Allied Health Career Pipeline."
2. \$996,683 **NEW YORK STATE EDUCATION DEPARTMENT** to Carlos Molina, for "Vocational Educational Program."
3. \$783,812 **UNITED STATES DEPARTMENT OF LABOR, EMPLOYMENT TRAINING ADMINISTRATION** to Carlos Molina, for "Fern Chan for TAACCT Career Pathways."
4. \$500,780 **ABT ASSOCIATES** to Carlos Molina, for "ABT Impact Study."
5. \$420,000 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION/CUNY** to Carlos Molina and Corwin Spivey, for "JOBS PLUS."
6. \$324,928 **COLUMBIA UNIVERSITY** to Esther Rodriguez-Chardavoyne, for "Global Scholars Program."
7. \$300,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Carlos Molina, for "Liberty Partnerships Program."
8. \$293,957 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION/CUNY** to Nathaniel Cruz and Maria Cano, for "COPE Graduation Success Initiative."
9. \$293,484 **UNITED STATES SOCIAL SECURITY ADMINISTRATION** to Peter Mertens, for "Work Incentives Planning and Assistance."
10. \$254,083 **UNITED STATES DEPARTMENT OF EDUCATION** to Nieves Angulo, for "The Alliance for Continuous Innovative Learning Environment in STEM."
11. \$236,047 **NATIONAL SCIENCE FOUNDATION** to Sarah Church, Ruslan Flek, and Vladimir Ovtcharenko, for "Project SEED: STEM Educators Expansion Directive."
12. \$200,000 **THE FORD FOUNDATION** to Felix Matos Rodriguez, for "Programs, Practices, and Services that Work for Immigrant and Disadvantaged Students."
13. \$200,000 **J.P. MORGAN CHASE FOUNDATION** to Carlos Molina and Eileen Newman, for "Center for Bronx Non-Profits."
14. \$197,794 **NEW YORK STATE EDUCATION DEPARTMENT** to Peter Mertens and Moise Koffi, for "STEP/Proyecto Access."

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15. \$196,000 **THE NEW YORK COMMUNITY TRUST** to Carlos Molina and Carmen Coballes-Vega, for "Hostos Bridge to LPN Program."
16. \$189,999 **NATIONAL SCIENCE FOUNDATION** to Rees Shad and Catherine Lewis, for "Designing Futures with Games."
17. \$170,719 **NEW YORK STATE EDUCATION DEPARTMENT** to Peter Mertens and Moise Koffi, for "CSTEP."
18. \$165,547 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION/CUNY** to Nathaniel Cruz and Maria Cano, for "College Opportunity to Prepare for Employment (COPE)."
19. \$150,000 **NEW YORK STATE DEPARTMENT OF HEALTH** to Nathaniel Cruz and Lillian Morales, for "Pregnancy Assistance Program."
20. \$150,000 **THE NEW YORK COMMUNITY TRUST** to Carlos Molina and Daliz Perez-Cabezas, for "Center for Bronx Non-Profits."
21. \$120,000 **SINGLE STOP USA, INC.** to Nathaniel Cruz and Lillian Morales, for "Single Stop Services."
22. \$100,000 **ROBIN HOOD FOUNDATION/CUNY** to Christine Mangino, for "At Home in College."

HUNTER COLLEGE

1. \$1,477,186 **HHS/ADMINISTRATION FOR CHILDREN AND FAMILIES** to Gerald Mallon, for "National Resource Center for Permanency and Family Connections."
2. \$1,197,141 **NEW YORK STATE EDUCATION DEPARTMENT** to David Steiner and Matthew Caballero, for "2013-2016 21st Century Community Learning Centers Program."
3. \$1,109,185 **UNITED STATES EDUCATION DEPARTMENT** to Matthew Caballero, for "Teacher Quality Partnerships."
4. \$600,000 **NATIONAL SCIENCE FOUNDATION** to Lynn Francesconi, Charles Michael Drain, and Pamela Mills, for "IGERT: Returning the Radio to Chemistry: Integrating Radiochemistry into a Chemistry Ph.D. Program."
5. \$515,330 **PHS/NIH/NATIONAL INSTITUTE OF MENTAL HEALTH** to Denis Nash, for "HIV Care Coordination: Comparative Effectiveness, Outcome Determinants and Costs."
6. \$297,975 **FIRMENICH, INC.** to Paul Feinstein, for "Gene Targeting of Human Odorant Receptors."
7. \$291,384 **NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES** to Gerald Mallon, for "Community Case Management Institute."
8. \$286,908 **FORDHAM UNIVERSITY/SUBSTANCE ABUSE & MENTAL HEALTH SERVICES ADMINISTRATION** to Robert Abramovitz, for "Creating and Sustaining the Next Generation of Trauma-Informed Practitioners."
9. \$240,000 **BREAST CANCER RESEARCH FOUNDATION** to Jill Bargonetti, for "Estrogen Driven Mdm2 Signaling and Mutant p53 Signaling in Breast Cancer."
10. \$158,124 **UNITED STATES DEPARTMENT OF ENERGY** to Neepa Maitra, for "Electron-Ion Dynamics with Time-Dependent Density Functional Theory: Towards Predictive Solar Cell Modeling."
11. \$120,000 **NATIONAL SCIENCE FOUNDATION** to David Mootoo, for "Synthetic Tools for Glycobiology Research."

12. \$120,000 **UNITED STATES DEPARTMENT OF AGRICULTURE/ECONOMIC RESEARCH SERVICE** to Partha Deb, for "Effect of SNAP on the Alleviation of Food Insecurity: How It Varies Across Households."
13. \$104,500 **HUMAN FRONTIER SCIENCE PROGRAM ORGANIZATION** to Mark Hauber, for "The Chemistry of Visual Trickery: Mechanism of Egg Colour Mimicry in Parasitic Cuckoos."
14. \$101,379 **ALBERT EINSTEIN COLLEGE OF MEDICINE, YESHIVA U/NIH** to Denis Nash, for "Central Africa ledea."

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$250,000 **NEW YORK CITY COUNCIL** to Jeffrey Butts, for "Implementation and Outcome Assessment of the New York City Anti-Gun Violence Initiative."
2. \$237,420 **COMMUNITY FOUNDATION OF ST. JOSEPH** to David Kennedy, for "Reducing Serious Violence in South Bend, Indiana."
3. \$169,487 **STATE OF CONNECTICUT VIA UNIVERSITY OF NEW HAVEN** to David Kennedy, for "Preventing Gang Violence in Connecticut: Continued Efforts in New Haven, Launch in Bridgeport and Hartford."
4. \$157,365 **NATIONAL SCIENCE FOUNDATION** to Nathan Lents, for "The John Jay Forensic Science and Computer Science Scholarship Program."
5. \$150,101 **NATIONAL SCIENCE FOUNDATION** to Sandra Swenson and Yi He, for "A Multi-Tiered Approach to Undergraduate Science Learning in an Urban Public College."
6. \$115,000 **TOW FOUNDATION** to Ann Jacobs, for "Tow Advocacy & Research Fellowship Initiative."
7. \$105,299 **NATIONAL INSTITUTES OF HEALTH VIA NEW YORK UNIVERSITY** to Bilal Khan, for "Addressing Hepatitis C and Hepatocellular Carcinoma: The Current and Future Epidemics."

KINGSBOROUGH COMMUNITY COLLEGE

1. \$276,250 **NEW YORK CITY COUNCIL** to Michael Geller, for "Lighthouse: Alcohol and Substance Abuse Program."
2. \$225,000 **THE MAYOR'S FUND TO ADVANCE NEW YORK CITY** to Babette Audant, for "Project Rise: SIF Year 5 Challenge Grant."
3. \$146,304.01 **THE MAYOR'S FUND TO ADVANCE NEW YORK CITY** to Christine Beckner, for "Access to Success."

LEHMAN COLLEGE

1. \$232,734 **NEW YORK STATE DEPARTMENT OF EDUCATION** to Anne Rothstein, for "Science and Technology Entry Program: Mathematics and Science through Excellence and Research."
2. \$152,330 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICE** to Marzie Jafari, for "PCA Upgrade Training."
3. \$136,492 **HOSPITAL LEAGUE, LOCAL 1199** to Marzie Jafari, for "BSN RB Bridge."

4. \$134,628 **NEW YORK HOSPITAL MEDICAL CENTER OF QUEENS** to Marzie Jafari, for "RN to BS New York Public Hospital."
5. \$109,819 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICE** to Marzie Jafari, for "Bronx Healthcare Training College Program."

MEDGAR EVERS COLLEGE

1. \$782,738 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Simone Rodriguez-Dorestant and LeHendro Gadson, for "Young Adult Borough Center."
2. \$368,028 **NEW YORK STATE EDUCATION DEPARTMENT** to Karen Mitchell, for "Carl D. Perkins Career and Technical Education Act-CTEA."
3. \$350,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Simone Rodriguez-Dorestant and Sean Anderson, for "Liberty Partnership Program."
4. \$308,546 **UNITED STATES DEPARTMENT OF EDUCATION** to Simone Rodriguez-Dorestant and Abraham Nyameh, for "Talent Search Program."
5. \$287,054 **NEW YORK STATE EDUCATION DEPARTMENT** to Simone Rodriguez-Dorestant and William Bailey, for "MEC Science Technology Entry Program."
6. \$250,000 **UNITED STATES DEPARTMENT OF EDUCATION** to Umesh Nagarkatte, for "Minority Science Improvement Program Institutional Grant."
7. \$250,000 **UNITED STATES DEPARTMENT OF EDUCATION** to Theresa Williams, for "Predominantly Black Institutions Program."
8. \$249,608 **UNITED STATES DEPARTMENT OF EDUCATION** to Umesh Nagarkatte, for "Minority Science Improvement Program Institutional Grant."
9. \$203,678 **NEW YORK STATE EDUCATION DEPARTMENT** to Edward Catapane, for "Collegiate Science Technology Entry Program."
10. \$199,873 **NIH-NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCE (NIGMS)** to Edward Catapane, for "Medgar Evers/Kingsborough Bridge Program."
11. \$188,562 **NEW YORK STATE EDUCATION DEPARTMENT** to Simone Rodriguez-Dorestant and John Brown, for "Science, Math and Robotics Science Technology Entry Program."

NEW YORK CITY COLLEGE OF TECHNOLOGY

1. \$654,078 **RF SUNY** to Robert Piechotta, for "Hurricane Sandy Revitalization."

QUEENS COLLEGE

1. \$600,000 **NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE** to Steven Markowitz, for "New York City Community Air Survey."
2. \$575,000 **UNITED STATES DEPARTMENT OF EDUCATION** to Patricia Rachal, for "Special Education-Technical Assistance and Dissemination Improve Services and Results for Children with Disabilities."
3. \$541,523 **CENTER FOR DISEASE CONTROL AND PREVENTION** to Steven Markowitz, for "Reducing Occupational Hazards of Sandy- Related Work of Immigrant Day Laborers."

4. \$499,187 **CENTER FOR DISEASE CONTROL/NIOSH** to Alfredo Morabia, for “World Trade Center-Heart: Cardiovascular Health Impact and Prediction of Incident (Primary and Subsequent) Cardiovascular Events Among WTC Responders.”
5. \$299,848 **NATIONAL SCIENCE FOUNDATION** to Alexander Lisiansky, for “Materials World Network: A New Generation of Optical Magneto-Optical Nonlinear Materials Based on Plasmonic Gain Composites.”
6. \$242,562 **NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION** to Peter Schmidt, for “Into the Woods (ITW): Using Student Research in the Urban Environment to Enhance Elementary School Environmental Literacy.”
7. \$202,031 **UNITED STATES DEPARTMENT OF EDUCATION** to Megan Detres-Hickey, for “Student Support Services at Queens College.”
8. \$171,044 **CENTER FOR DISEASE CONTROL AND PREVENTION** to Steven Markowitz, for “Prognosis and Determinants of Asthma Morbidity in World Trade Center Rescue Recovery Workers.”
9. \$108,651 **UNITED STATES DEPARTMENT OF EDUCATION** to Emilia Lopez, for “Cultural Responsive Interventions Project: Training School Psychologists from Multicultural and Bilingual Backgrounds.”
10. \$108,500 **NATIONAL INSTITUTES OF HEALTH** to Ashima Kant, for “Regional Dietary Patterns and Regional Disparities in Mortality in the United States Population.”

QUEENSBOROUGH COMMUNITY COLLEGE

1. \$299,087 **NEW YORK STATE EDUCATION DEPARTMENT** to Gisela Rivera and Sherri-Ann Simmons, for “Liberty Partnerships Program – Project PRIZE.”
2. \$219,380 **NEW YORK STATE EDUCATION DEPARTMENT** to Gisela Rivera and Marie-Francesca Berrouet, for “CSTEP – Collegiate Science and Technology Entry Program.”
3. \$141,481 **NEW YORK CITY COUNCIL** to Kitty Bateman, for “Deferred Action for Childhood Arrival.”

COLLEGE OF STATEN ISLAND

1. \$516,687 **NPORG/RESEARCH FOUNDATION/SUNY** to Dean Balsamini, for “NYS Hurricane Sandy Business Assistance.”
2. \$166,524 **STATEN ISLAND UNIVERSITY HOSPITAL** to Qiao-Sheng Hu, for “Novel Method to Identify Disease.”
3. \$109,000 **NEW YORK CITY COUNCIL** to Ramona Brown, for “Black Male Initiative.”

YORK COLLEGE

1. \$498,250 **NATIONAL INSTITUTES OF HEALTH** to Stephen Fearnley, for “Oxazolone Cycloadducts as Heterocyclic Scaffolds for Decahydroquinoline Alkaloid.”
2. \$291,000 **UNITED STATES DEPARTMENT OF ENERGY** to Kevin Lynch and James Popp, for “Mu2E at CUNY.”

E. ORAL REPORT OF THE INTERIM CHANCELLOR: Interim Chancellor William P. Kelly presented the following report:

Good afternoon, everyone. Permit me to begin by congratulating you, **Mr. Chair**, and the members of the search committee on the successful closure of the chancellor search process. I know I speak on behalf of the University, in pledging support and commitment to working closely with Chancellor-designate James B. Milliken during this period of transition. Indeed, I called him last week to convey my desire to be helpful in every way and I look forward to meeting with him next week, so very well done.

The spring semester officially begins today, but January was a very busy month at the University. Nearly 17,000 students attended classes in the intersession. That is an increase of about 15 percent over the previous intersession enrollment record. It has been a great success.

The winter intersession period was also fruitful for the ASAP initiative, which garnered a great deal of attention through articles in *The Atlantic*, *The Chronicle of Higher Education*, and *The New York Times*. In light of the extraordinary data results of ASAP, **CUNY** intends to move forward with a very thoughtful and prudent expansion of the program, and I would like to thank all the community college presidents and **Senior University Dean John Mogulescu** for their assistance.

On February 5, I will be participating in a panel discussion entitled, "CUNY: The Road to Success." This is a panel organized by philanthropists and foundations which focus on K-12 education. The panel will examine factors that both foster and impede graduation from community colleges and will take a look at promising programs—ASAP among them—that work to improve college success by offering support services to students. I will be joined by **President Félix Matos Rodríguez** as well as representatives from the Good Shepherd Services and MDRC. The panel is being moderated by Elizabeth Olofson, executive director of the Stella and Charles Guttman Foundation.

Regarding federal legislation, on January 17, President Obama signed the Consolidated Appropriations Act of 2014, which helps mitigate the effects of the sequestration and takes steps to reprioritize student aid and scientific research. As a result of the legislation, Pell Grants will increase to \$5,730 and sequestration cuts for student aid programs that help **CUNY's** neediest students, including the Supplemental Education Opportunity Grants have been restored. I joined SUNY Chancellor Nancy Zimpher and CICU President Laura Anglin in sending letters of appreciation to members of the New York Congressional Delegation who supported the bill. Unfortunately, while it provides funding increases for National Institutes of Health (NIH) and National Science Foundation (NSF), I must note that their budgets are still well short of pre-sequester limits. This is an area where University efforts on behalf of those foundations and institutes will continue.

At the same time, the development of the federal college scorecard continues as previously reported to the board, as part of President Obama's plan to make college more affordable. He has proposed a rating plan for colleges on the basis of three metrics: access, affordability and outcome. The assumption is that by providing student and parents with more information about colleges, the president will encourage better choices among consumers. He also proposes to tie financial aid to rankings.

Executive measures and actions can be taken particularly in terms of ratings, which are likely to go forward. With guidance from the Council of Presidents Ad Hoc Committee on President Obama's Higher Education Plan—chaired wonderfully by **President Ricardo Fernández**—University Dean David Crook and his team from the University Office of Institutional Assessment, at my direction, conducted a robust analysis that would take into account how **CUNY** would appear on the rankings and the ratings as they are currently being established. I want to thank **President Fernández** and University Dean Crook for their good work and their vigilance. **CUNY's** four-year colleges fared extremely well in the modeling. They rank in the top of 36 of 555 public four-year institutions nationally. The two-year colleges have also done quite well, ranking in the top half of public two-year colleges nationally.

This is a highly contentious matter. There are colleges and universities, particularly in the private sector and in the proprietary area, that are not wild about the system. A lot of these groups as well as various higher education trade associations are sparing no effort to dilute the ranking exercise. **CUNY** endorses the effort and is working with the Federal Department of Education on the technical matters of constructing rankings and ratings.

I also want to note at this time the contributions of **Interim President Stuart Suss** and **President Matos Rodríguez**, who both participated as invited members of White House summit meetings on the future of higher education. It is a very fluid moment at the national level in terms of higher education and I am delighted to their critical role in rethinking these issues.

On the state side, I attended Governor Cuomo's State of the State Address in Albany on January 8. **CUNY** was mentioned twice in the State of the State. First, the governor indicated that he would be proposing a second round of **CUNY** and SUNY 2020 capital project funding. This means another investment of \$55 million in projects that will take place at the colleges. **CUNY** is waiting for the first round—a final go ahead—but is excited about those projects and delighted to have an opportunity to pursue a second round of this funding. Second, the governor unveiled a new scholarship program for high school students focusing on the top 10 percent of high school students in New York who choose to enroll in STEM disciplines. **CUNY** is working with the governor's staff to advance this interesting program and to overcome some of the logistical hurdles involved. For one thing, New York City schools do not rank their students, so identifying the top 10 percent will require some level of twisting and turning.

Governor Cuomo released his executive budget on January 21, and I shared with the board and the presidents last Friday the preliminary analysis of the executive budget; copies of that are around the table. Briefly to summarize, on the operating side for the senior colleges, the executive budget provides additional funding of \$102.2 million. That comprises \$42.9 million in fringe benefits funding, and an addition to the University's revenue appropriation of \$60.8 million to recognize tuition increases that this board has approved. For the community colleges, the executive budget recommends an overall decrease of \$2.8 million; however, the proposal does recognize **CUNY's** record high enrollment levels in that sector and maintains continued community college base aid at \$2,422 per FTE.

Regarding financial aid, the executive budget does not propose any changes to the Tuition Assistance Program (TAP). As mentioned, the University has great concern on that front, as the delta between the top TAP award and tuition grows, **CUNY** is responsible for assuming that delta for those fully qualified students. That creates a major budgetary hole, along with the lack of funding for mandatory step raises in the contract. **CUNY** will continue to work with the state government on these and other critical issues.

On the capital side, the executive budget recommends \$258 million in appropriations for senior college critical maintenance and \$15.6 million in matching appropriations for community college projects that have already received funding from New York City. I want to again acknowledge and thank **Vice Chancellor Iris Weinshall** for her extraordinary good work in these areas. As mentioned earlier, **CUNY** also have an additional \$55 million installment of **CUNY 2020**, which was included in the executive budget.

Overall, **Mr. Chair**, I would emphasize that the executive budget provides financial stability and a number of important opportunities to build on the University's strengths and its assets, but also creates some challenges, particularly on the TAP and the mandatory cost side.

Statement of Associate Vice Chancellor Matthew Sapienza:

The one thing I want to add is that at your seats there are copies of the University's Fiscal 2014-2015 Budget Request which outlines a lot of items that **Interim Chancellor Kelly** talked about that were not included in the Executive Budget—the community college base aid increase and some of the mandatory cost increases. As the adopted budget process gets underway, the Governor will be negotiating the budget with the Assembly and the Senate. The Office of Budget & Finance will keep everyone posted as

to the progress that the University makes in the adopted budget.

Interim Chancellor Kelly continued with his oral report, stating that he would be testifying on the Executive Budget in Albany on Thursday, February 6th, before the New York State Senate Finance and Assembly Ways and Means Committees.

On the city side, the changing of the guard continues. **CUNY** is working closely with newly elected and appointed city government leaders, several of whom are graduates of **The City University of New York**. We look forward to working with the government leaders at all levels to move the University forward in the years ahead.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 5)

NO. 1. CHANCELLOR'S UNIVERSITY REPORT: RESOLVED, That the Chancellor's University Report for January 27, 2014 (including Addendum and Errata Items) be approved:

NO. 2. APPROVAL OF MINUTES: RESOLVED, That the minutes of the regular Board meetings and Executive Session of November 25, 2013 and Special Board meetings and Executive Session of January 15, 2014 be approved.

Chairperson Schmidt stated that he would like to take this opportunity to thank all of the Trustees who participated in the January 15th Special Board meeting at which James B. Milliken was appointed as new Chancellor.

NO. 3. COMMITTEE ON FISCAL AFFAIRS: RESOLVED, That the following items be approved:

A. THE CENTRAL OFFICE - AUDIT, TAX AND ADVISORY SERVICES RFP:

RESOLVED, That the Board of Trustees of The City University of New York authorize the General Counsel to execute a contract on behalf of the University to provide audit, tax, and advisory services. The contract shall be awarded on the basis of best value to a responsive and responsible offeror after public advertisement and timely submission of sealed proposals pursuant to law and University Regulations. The Request for Proposal solicitation and evaluation process shall be conducted by the University. The initial term shall be three years, and the contract shall include up to two one-year options for the University to renew in its best interest. The contract shall be subject to approval as to form by the University Office of General Counsel. Such purchase shall not exceed a total estimated cost of \$2,000,000 during the initial term of the contract, chargeable to the appropriate CUNYfirst code.

EXPLANATION: The selected offeror will perform audits of the University's consolidated financial statements, the senior college financial statements, and the University's federal programs, as required by state and federal law. The selected offeror will also assist with the preparation of the University's tax filings and perform certain other related services.

B. THE CITY UNIVERSITY OF NEW YORK - KONICA MINOLTA BUSINESS SOLUTIONS U.S.A., INC.:

RESOLVED, That the Board of Trustees of the City University of New York authorize the General Counsel to execute a University-wide Agreement with Konica Minolta Business Solutions U.S.A., Inc. ("Konica") without competitive bidding and pursuant to law and University Regulations to provide maintenance and consumables for CUNY's existing fleet of Konica copiers. Such purchase shall not exceed a total estimated cost of \$1,500,000 through June 30, 2014 and will be chargeable to the appropriate CUNYfirst codes for the colleges and the Central office. The contract shall be subject to approval as to form by the University Office of the General Counsel.

EXPLANATION: CUNY has nearly 2,000 Konica copier machines and the continued operation of this fleet is critical to the day to day operations of CUNY. Konica has provided the required maintenance services to CUNY under a NYS Office of General Services Contract which has now expired and has not been renewed. To prevent the interruption of services, Konica will continue to provide these services through June 30, 2014. The University will conduct a competitive procurement to provide for these services as of July 1, 2014.

C. THE CITY UNIVERSITY OF NEW YORK - PROJECT MUSE ONLINE ELECTRONIC DATABASE OF SCHOLARLY ELECTRONIC JOURNALS:

RESOLVED, That the Board of Trustees of the City University of New York authorize the General Counsel to execute a University-wide contract renewal with The John Hopkins University Press ("JHUP") for online electronic access to the Project Muse Standard Collection. Such purchase shall not exceed a total estimated cost of \$600,000 through December 31, 2016 and will be chargeable to the CUNYfirst code for the Office of Library Services. The University will have the right to terminate the contract at any time in its best interest. The contract and each renewal shall be subject to approval as to form by the University Office of the General Counsel.

EXPLANATION: Project MUSE is a unique, not-for-profit collaboration between libraries and publishers, supporting a diverse array of research needs at academic institutions. MUSE is a trusted source of complete, full-text versions of scholarly journals from many of the world's leading university presses and scholarly societies. CUNY first entered into a contract with JHUP in 2012 and exercised its right to a renewal in 2013, expending \$189,270 for the first year and \$189,270 for the second year, and CUNY wishes to enter into a second, annual renewal which would exceed the \$500,000 threshold.

D. THE CITY UNIVERSITY OF NEW YORK - AMERICAN CHEMICAL SOCIETY JOURNAL SUBSCRIPTIONS CONTRACT DETAILS:

RESOLVED, That the Board of Trustees of the City University of New York authorize the General Counsel to execute a University-wide contract with American Chemical Society without competitive bidding and pursuant to law and University Regulations for electronic access to its collection of academic journals. Such purchase shall not exceed \$863,000 during the three (3) years and will be chargeable to the CUNYFirst code for individual colleges beginning with the fiscal year ending June 30, 2014. The contract shall be subject to approval as to form by the University Office of the General Counsel.

EXPLANATION: The contract will allow CUNY faculty and students to have electronic access to key journals in the field of chemistry that are available only through the American Chemical Society's platform.

E. BROOKLYN COLLEGE - MULTIMEDIA EQUIPMENT AND INSTALLATION SERVICES FOR SMART CLASSROOMS:

RESOLVED, That the Board of Trustees of The City University of New York authorize the General Counsel to execute a contract on behalf of Brooklyn College to purchase multimedia equipment and installation services for Smart Classrooms. The contract shall be awarded to the lowest responsive and responsible bidder after public advertisement and sealed bidding by the College pursuant to law and University Regulations. Such purchase shall not exceed a total estimated cost of \$3,107,000 for the initial three year term and up to two one year renewal terms, chargeable to the appropriate CUNYfirst code for the college, through the fiscal year ending June 30, 2018. The contract shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: Brooklyn College will use these services to enhance pedagogy and learning for students.

Report of Fiscal Affairs Committee Chair Joseph J. Lhota:

Following the approval of action items, Chief Investment Officer Janet Krone gave an update on the University's portfolio performance through November 30, 2013. Then I proceeded to give an update on the meeting of the **Subcommittee on Audit**, which was held on December 4, 2013.

NO. 4. COMMITTEE ON FACULTY, STAFF, AND ADMINISTRATION: RESOLVED, That the following items be approved:

A. THE CITY UNIVERSITY OF NEW YORK - ADOPTION OF A UNIVERSITY INVESTMENT POLICY STATEMENT FOR THE OPTIONAL RETIREMENT PROGRAM AND TAX-DEFERRED ANNUITY PLAN:

RESOLVED, That the Board of Trustees of The City University of New York adopt the attached University Investment Policy Statement for the Optional Retirement Program and Tax-Deferred Annuity Plan, effective January 28, 2014.

EXPLANATION: The City University of New York sponsors and maintains two employee defined contribution retirement plans, the Optional Retirement Program (“ORP”) and the Tax Deferred Annuity Plan (“TDA”), hereinafter referred to as the “Plans.” Both are defined contribution plans, meaning that each participating employee has a retirement account into which contributions made by CUNY and by the employee are deposited. As defined under the IRC and the Employee Retirement Income Security Act (“ERISA”), the Plans are governmental plans and as such are exempt from ERISA.

As sponsor of the Plans, CUNY has a fiduciary obligation to plan participants. A principal responsibility for a fiduciary in a defined contribution retirement plan is the selection of suitable plan investments, and monitoring those investments to ensure that they remain suitable. This includes examining investment performance, fees, management and history, and providing a variety of investment choices to allow participants to create a diversified portfolio.

The purpose of the CUNY ORP and TDA Investment Policy Statement (the “IPS”) is to establish and document the investment goals and objectives for the Plans. While an investment policy statement is not required by either federal or state law, it will provide the University with crucial guidelines on the objectives it is trying to meet, and the process it follows in selecting and monitoring investments. It can also protect the University against a complaint that the funds offered under the Plans are unsuitable or inappropriate by documenting the decision-making process.

NY Education Law Article 125A, which established the CUNY ORP, requires that the University's Board of Trustees give “due consideration” to the suitability of the contracts used to fund the Plans. Under the IPS, the Board will have final discretionary authority for selecting and terminating investment options, approving amendments to the IPS, and delegating responsibility for advising the Board on all matters relating to investment options to the Board Committee on Faculty, Staff and Administration.

The University's adoption of the IPS in no way constitutes investment advice to participants in the Plans. Its objectives will apply solely to the selection of available investments. It will not provide recommendations or advise participants how to allocate the available investments among their own accounts.

The CUNY ORP and TDA Investment Policy Statement shall remain in force until modified in writing and approved by the Board of Trustees.

**University Investment Policy Statement
for the Optional Retirement Program and Tax-Deferred Annuity Plan**

INTRODUCTION

General

The City University of New York (“CUNY”) sponsors the CUNY Optional Retirement Plan (“ORP”) and the CUNY Tax Deferred Annuity Plan (“TDA Plan”) (together herein referred to as the “Plans”) for the benefit of its employees and their beneficiaries. The Plans are intended to provide eligible employees with long-term accumulation of retirement savings

through a combination of employee and employer contributions to individual accounts and tax-deferred growth of those accounts.

The Plans are defined contribution, participant-directed individual account plans established and maintained by the CUNY Board of Trustees ("Board") pursuant to the applicable provisions of the New York Education Law. The ORP is a defined contribution plan qualified under Internal Revenue Code ("IRC") Section 401(a), and the TDA Plan meets the requirements of IRC Section 403(b) as a plan that accepts elective deferrals only. The Plans are governmental plans as defined under the IRC and the Employee Retirement Income Security Act ("ERISA"), and as governmental plans the Plans are exempt from ERISA.

It is the intent of the of the Committee on Faculty, Staff, and Administration ("CFSA") that the Plans will provide a range of funding options that will enable participants to invest according to varying risk tolerances and savings time horizons. Assets in the Plans shall be maintained in compliance with all applicable laws governing the operation of the Plans. Nothing in this Investment Policy Statement ("IPS") shall authorize the CFSA to provide investment advice to participants or beneficiaries of the Plans, nor should any recommendation to the Board with respect to the investments under the Plans be construed as investment advice to any participant or beneficiary.

Purpose of the Investment Policy Statement

The purpose of this IPS is to establish and document the investment goals and objectives for the Plans. The IPS also describes the various operational guidelines intended to assist the CFSA in its role of selecting and monitoring the investment options under the Plans and providing recommendations to the Board. Specifically, this document is intended to:

- Describe and allocate responsibilities related to investment matters involving the Plans;
- Describe the investment objectives for the Plans;
- Create the framework and criteria for developing a process for selecting and monitoring the investment options under the Plans; and
- Establish criteria for the ongoing review and evaluation of each investment option.

Investment Objectives

Recognizing that the participants in the Plans have varied goals, expectations, investment time horizons and risk tolerance levels, it is the CFSA's objective to provide a broad array of investment options so that each participant may exercise control over his or her account to build portfolios consistent with his or her specific needs and objectives. Accordingly, the Plan's investment options will:

- Be diversified;
- Allow participants to choose among such options and achieve a portfolio with aggregate risk and return characteristics at any point within the range normally appropriate for participants of the Plans;
- Allow a participant to achieve a portfolio that tends to minimize through diversification the portfolio's overall risk; and
- Be selected to control administrative, management and participant costs.

DELEGATION OF RESPONSIBILITIES

The Board of Trustees

The Board will have the final discretionary authority for:

- Selecting and terminating investment options;
- Approving this IPS and all amendments thereto;

- Engaging and delegating responsibilities to third party investment consultants;
- Delegating responsibilities to the CFSA as described below; and
- Monitoring the activities of the CFSA on a regular basis.

The CFSA

The CFSA shall be responsible for advising the Board on all matters relating to the investment options under the Plans. The CFSA's responsibilities shall include:

- Developing and implementing a methodology for reviewing and evaluating the investment options offered under the Plans;
- Regularly reviewing and evaluating the investment options available under the Plans;
- Advising the Board on the selection and termination of the investment options available under the Plans and generally recommending the composition of the investment menus available under the Plans;
- Advising the Board on the overall number and types of options offered to participants of the Plans;
- Periodically reviewing adherence to this IPS and recommending changes thereto when conditions relevant to the Plans have changed;
- Recommend the hiring and termination of third party investment consultants to the Plan. With respect to such consultants who are hired to advise the Plan or to which the responsibility for selecting and monitoring investment options are delegated, the CFSA shall also be responsible for:
 - Developing a clear definition of the scope and terms of the delegation and assessment of the reasonableness of the compensation charged by the third-party;
 - Exercising proper due diligence of the third party including assessment of its independence and potential conflicts of interest;
 - Regular monitoring of the performance of the third party including reasonable efforts to verify the accuracy of the information and quality of the advice provided;
 - Where applicable, requiring contractual provisions allowing CUNY to terminate the contract without penalty upon not more than reasonable notice and in the case of discretionary responsibilities delegated to the third party, requiring the consultant to exercise the care and prudence applicable to a plan fiduciary; and
 - When authorized by the Board, delegating responsibilities to third party investment consultants; and
- Obtaining appropriate levels of staffing in order to meet its responsibilities and achieve its objectives.

Participant Responsibilities

The Plans' participants will be able to direct their account balances among a range of investment options which provide them an ability to create a portfolio with aggregate risk and return characteristics appropriate for themselves at any point within the range normally appropriate for participants. Participants bear the investment risk and benefit from the investment gain with respect to the returns credited to their investment options. Participants are responsible for:

- Educating themselves regarding the various aspects of saving and investing; and
- Selecting and monitoring their investments.

INVESTMENT OPTIONS

Investment Categories

The Plans will provide each participant with the ability to diversify among an array of asset classes in order for participants to construct individual portfolios consistent with their desired level of risk over their respective time horizons. The Plans offer funding options through annuities and, where permissible, mutual funds, including, without limitation, those in the asset categories listed below. The CFSA may recommend that the Board add or delete asset categories as it deems appropriate.

- A. Lifecycle/Target-Date Funds: Such funds provide a multi-asset-class funding solution that offers investment diversification incorporating an allocation that progresses from more aggressive to more conservative as the participant approaches a given target retirement date.
- B. Stable Value: Stable value options are provided by an insurance company or investment manager (issuer), and seek to provide a minimum level of income while preserving principal.
- C. Cash or Equivalents: Cash options invest in a portfolio of short-term securities to maximize current income while preserving capital.
- D. Fixed Income/Balanced: Fixed income funds seek favorable long-term returns by investing in diversified portfolios of fixed income securities, which achieve returns through interest payments and capital appreciation of the underlying securities. This category includes intermediate-term bonds, inflation-linked bonds and balanced accounts, where balanced accounts provide well-diversified, self-maintaining asset allocation investments for participants, typically with a target allocation of 60% equity and 40% bonds.
- E. Actively Managed Equity Funds: Seek favorable long-term returns from capital appreciation and dividend income by investing in a portfolio composed of a broad cross-section of common stocks. These funds can invest in domestic stocks, foreign stocks, or a combination of the two.
- F. Index Funds: Index funds enable participants to achieve a rate of return that approximates the return of a specific benchmark with reasonable fees. These funds can track the performance of U.S. and non-U.S. markets, as well as by style [growth/value/core] and capitalization [small/mid/large] within these markets.
- G. Real Estate: Seeks favorable long-term returns from income and appreciation primarily from private real estate investments.

The current investment options offered under the Plans are listed in Appendix A, B, C and D, together with the appropriate benchmarks and peer universe (as applicable) for each such option.

Investment Option Selection, Monitoring, and Termination

The CFSA shall fairly and rigorously evaluate current and prospective investment options so that the aggregate risk and return characteristics at any point are within a range normally appropriate for participants. In this regard, the CSFA intends to utilize industry best practices, including a thorough examination of each firm on a number of different factors listed below.

- Organization: the firm's history, integrity, ownership structure, compliance record, assets under management and quality and stability of key professionals and staff.
- Investment Philosophy & Process: the consistency of the firm's philosophy, strategy and process with its investment mandate, as well as fit with Plan objectives.

- Performance net of fees versus appropriate benchmarks and over appropriate time periods and market cycles.

Although investment options that employ active management are selected to outperform their respective benchmarks, it is a commonly accepted fact that even strong managers may have periods of relative underperformance. Further, manager performance tends to be cyclical, so that managers who underperform in one cycle often will outperform in the next and vice versa. In that regard, the CFSA intends to evaluate manager returns over various and appropriate time periods.

The CFSA will monitor investment options on an ongoing basis in terms of performance and compliance with Policy objectives. Performance will be compared to appropriate market benchmarks over appropriate time periods and reviewed and reported to the Board periodically.

The CFSA may place a manager on a watch list in response to concerns about the manager's performance, changes in its organization, people, or strategy or any other reason that the CFSA deems appropriate. The CFSA may recommend that the Board add or delete individual investment options as it deems appropriate.

Default Investment Alternative

The CFSA may recommend that the Board establish or maintain a default fund or funds to allocate contributions to the Plans when a participant fails to make an investment selection for his or her contributions. Such default fund or funds will be generally consistent with the requirements of the applicable Department of Labor regulations on Qualified Default Investment Alternatives. The default option will be reviewed by the CFSA on an annual basis (or, more frequently, if necessary) to ensure it continues to be appropriate.

CHANGES TO INVESTMENT POLICY

This Policy shall be in force until modified in writing and approved by the Board. The CFSA will review this Policy at least annually to ensure continued appropriateness, but may recommend that the Board revise it at any time it sees fit.

Faculty, Staff and Administration Committee Chair Valerie Beal stated that the first meeting of the Subcommittee for the ORP and TDA Plans was held recently and thanked **University Faculty Senate Chair** and **Trustee Terrence Martell** and **AVC Sapienza** and his staff, for moving expeditiously on this item.

B. BARUCH COLLEGE - NAMING OF THE ALLEN G. AND MARY E. AARONSON STUDENT CENTER:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Allen G. and Mary E. Aaronson Student Center" at Baruch College.

EXPLANATION: Allen G. Aaronson graduated from Baruch College in 1948 with a B.B.A. in Management, then went on to earn a master's degree from Columbia University. He is currently CEO and President of AVRS, Inc., a real estate and management consulting enterprise. Mr. Aaronson has been an extraordinarily generous supporter of the College and the Baruch College Fund, having endowed the Allen G. Aaronson Department of Marketing and International Business.

Mr. Aaronson has provided a generous gift of \$3 million to the Baruch College Fund to assist in the purchase, long-term lease and/or renovation of a yet-to-be-established student center. In recognition of

his generosity, the College recommends that the student center be named the "Allen G. and Mary E. Aaronson Student Center."

C. BARUCH COLLEGE - NAMING OF THE CLIVNER=FIELD PLAZA:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the pedestrian plaza located on 25th Street between Lexington and Third Avenues as the "Clivner=Field Plaza."

EXPLANATION: Daniel Clivner graduated from Baruch College in 1985 with a degree in Finance. He is currently Managing Partner of Simpson Thacher & Bartlett's Los Angeles office, where he concentrates on mergers and acquisitions and securities transactions. Mr. Clivner has been at Simpson Thacher since 1988 and a Baruch College Fund Trustee since 2001.

Lawrence Field graduated in 1952 from Baruch College with a B.B.A. For more than three decades, Mr. Field has worked in the real estate development and investment business in New York and Southern California. As Founder and Chairman of NSB Associates, Inc., he has guided that firm since its inception in 1983. Before creating NSB, he was a Partner in the Richlar Partnership, which he co-founded in 1976. Mr. Field has been an extraordinarily generous supporter of the College and the Baruch College Fund, having made gifts including a \$2 million donation to create the Field Family Chair in Entrepreneurship, \$3 million to establish the Lawrence N. Field Center for Entrepreneurship and a \$10 million pledge to name the Lawrence and Eris Field Building. Mr. Field has also served as a Trustee of the Baruch College Fund Board since 1999.

Mr. Clivner has pledged \$500,000 and Mr. Field has pledged \$1 million to the Baruch College Fund to design and construct a permanent pedestrian plaza on 25th Street. In addition, both have led fundraising efforts in California to raise additional monies to support this project. In recognition of their generosity, the College recommends that the 25th Street pedestrian plaza be named the "Clivner=Field Plaza."

D. HUNTER COLLEGE - NAMING OF THE BERSHAD REFERENCE DESK:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Bershad Reference Desk" in the Hunter College Library, for a term of 25 years following completion of the 3rd floor library renovation.

EXPLANATION: After receiving her B.S. from Hunter College in 1975, Susan V. Bershad attended Mount Sinai School of Medicine, where she received her M.D. in 1979. Her career has spanned more than 25 years in private practice and teaching. Her clinical research has been published in major journals, including the *New England Journal of Medicine*. Dr. Bershad's achievements include patents for her work on acne and skin aging.

In May 2013, Dr. Bershad pledged \$500,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library. In 2005 she and her former husband David Bershad established and funded the "Susan and David Bershad Exhibition Fund" and the "Susan and David Bershad Chief Curator and Professor of Fine Art." Dr. Bershad has served as a Trustee of the Hunter College Foundation since 2004.

In recognition of her service and support, the College recommends naming of the "Bershad Reference Desk" in the Hunter College Library.

E. HUNTER COLLEGE - NAMING OF THE CHARLOTTE FRANK ELEVATOR LOBBY:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Charlotte Frank Elevator Lobby" on the third floor of Hunter College's East Building, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: Dr. Charlotte K. Frank graduated with a B.B.A. from City College, a MS.Ed from Hunter College, and a Ph.D. from New York University. She is Senior Vice President for McGraw-Hill Education, a division of The McGraw-Hill Companies. She specializes in researching and developing connections between the business and educational community and coordinates the Harold W. McGraw Jr. Awards for those who have made a difference in education. Dr. Frank herself has received more than 60 awards for her achievements, and has authored numerous articles and lectured extensively on the critical issues of education and their importance for the business community.

Dr. Frank has served as a Trustee of the Hunter College Foundation, Inc. since 2005 and was inducted into the Hunter College Hall of Fame in 2007. In 2005, she established the Charlotte K. Frank Fund with a \$100,000 gift, and has continued to donate generously to various scholarship funds at the College.

In May 2013, Dr. Frank pledged \$150,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library. In recognition of her generosity, the College wishes to name the third floor elevator lobby in the East Building the "Charlotte Frank Elevator Lobby."

F. HUNTER COLLEGE - NAMING OF THE JACQUELINE GRENNAN WEXLER WELCOME DESK:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Jacqueline Grennan Wexler Welcome Desk" in the Hunter College Library, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: Dr. Jacqueline G. Wexler was President of Hunter College from 1970 to 1979. A visionary leader, she oversaw the transfer of the Bellevue School of Nursing to Hunter and helped launch the Brookdale Center on Aging, the School of Health Sciences, the Women's Studies program, and many other initiatives that have had a lasting impact at the College. A superb negotiator and diplomat, Wexler skillfully guided Hunter through New York City's financial crisis in the 1970s.

Dr. Wexler, a former Director of the United Technologies Corporation (UTC), requested that upon her death, UTC's Board of Directors Charitable Gift Program give \$500,000 to Hunter College in her honor. The provisions of the gift, which provided for an endowment for the College, went into effect in April 2012.

In recognition of Dr. Wexler's generosity and service, Hunter College recommends that she be honored with this posthumous naming.

G. HUNTER COLLEGE - NAMING OF THE ANJALI SADARANGANI AND AVINASH MEHROTRA LIBRARY TABLE:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Anjali Sadarangani and Avinash Mehrotra Library Table" in the Hunter College Library.

EXPLANATION: Avinash Mehrotra has served as a Trustee of the Hunter College Foundation since 2004, and is currently vice chair of its finance committee. He received a B.S. with distinction in electrical engineering from Cornell University in 1991 and an M.B.A. from Stanford University's Graduate School of Business in 1996.

Mr. Mehrotra is a Managing Director and Head of Real Estate Mergers and Acquisitions at Goldman Sachs, where he has worked since 1996. Previously he led the firm's investment banking business for the Middle East and North Africa, and earlier co-headed the Technology Mergers and Acquisitions business.

Mr. Mehrotra has pledged \$25,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations and renovation of the Hunter College Library, and to name a library table that provides seating and work space for eight students. In recognition of his gift, the College requests naming of the "Anjali Sadarangani and Avinash Mehrotra Library Table."

H. HUNTER COLLEGE - NAMING OF THE PAUL AND FLORRIE KAGAN STUDY CENTER:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Paul and Florrie Kagan Study Center" in the Library at Hunter College, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: Paul Kagan and his wife, Florence ("Florrie"), have been long-time friends and supporters of Hunter College, donating several five-figure gifts between 1999 and 2010 to support scholarships. Mr. Kagan graduated from Hunter College with a Bachelor of Arts in Communication Studies in 1958, and is a member of both the Hunter College and Cable TV industry Halls of Fame. He is Chairman and CEO of PK Worldmedia, Inc., based in Carmel, CA. Launched in 2005, PK Worldmedia, Inc. provides information, research and consulting services to operating executives, financiers and investors in digital entertainment and communications media technologies and services.

In December 2012, Mr. Kagan donated \$1,000,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library. In recognition of the Kagans' generosity, the College wishes to name the "Paul and Florrie Kagan Study Center."

I. HUNTER COLLEGE - NAMING OF THE PELUSO FAMILY CIRCULATION/RESERVE DESK:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Peluso Family Circulation/ Reserve Desk" in the Hunter College Library.

EXPLANATION: Dr. Ada Peluso has been part of the Hunter College community for more than fifty years. She graduated from Hunter with a B.A. in Mathematics in 1960. After receiving her Ph.D. in Mathematics from NYU, she joined the Hunter College faculty in the mid-1960s. During her tenure, she published several texts and received several national grants. Dr. Peluso served as Chair of the Department of Mathematics and Statistics from 1999 until 2011. Although she retired in 2011, she continues to be active at the College. She was inducted into the Hunter College Hall of Fame in 2001 and has served as a Trustee of the Hunter College Foundation, Inc. since 2010.

Dr. Peluso has been a generous supporter and friend of Hunter College. In 2006, she donated \$100,000 to the Hunter College Foundation, Inc. to renovate the circulation desk in the Hunter College Library. She established the "Assunta, Ignazio, Ada and Romano I. Peluso Scholarship Fund" with an original corpus of \$300,000, and donated an additional \$500,000 to create the "Ada, Assunta, Ignazio and Romano I. Peluso Faculty Center" in the Hunter College Library. In 2011, she pledged \$25,000 annually and \$500,000 from her estate to establish the "Ada Peluso Visiting Professor of Mathematics and Statistics." Dr. Peluso has also contributed to the Roosevelt House Public Policy Institute, as well as various scholarship funds and other projects at the College. To date, her lifetime giving to the Hunter College Foundation, Inc. exceeds \$2.5 million.

In recognition of Dr. Peluso's service and extraordinary and ongoing generosity, Hunter College wishes to honor her and her brother, Romano I. Peluso, and their parents, Assunta and Ignazio Peluso, by naming the "Peluso Family Circulation/Reserve Desk" on the newly renovated third floor of the Library.

J. HUNTER COLLEGE - NAMING OF THE HARRIET GRUBER CO-LAB A:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Harriet Gruber Co-Lab A" in the Library at Hunter College, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: Harriet Gruber pledged \$35,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library, and to name the "Harriet Gruber Co-Lab A." In addition to this gift, she has made numerous five-figure donations to Hunter in support of the Theatre Department, scholarships, and the Manhattan Hunter Science High School.

Ms. Gruber, who received her B.A. in Political Science from Hunter College in 1951, has long been active in philanthropy. She has served as a Trustee of the Hunter College Foundation, Inc. since 2006. In addition to her contributions to Hunter, she joined her late husband Alan Gruber, the former chairman and CEO of Orion Capital, in supporting many philanthropic endeavors.

In recognition of her support, the College requests the naming of the “Harriet Gruber Co-Lab A” in the Hunter College Library.

K. HUNTER COLLEGE - NAMING OF THE GRABE FAMILY CO-LAB B:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Grabe Family Co-Lab B” in the Hunter College Library, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: Joan Hanson Grabe graduated from Hunter College in 1960 with a B.S. in Nursing. In 2005, she established the Joan Hansen Grabe Scholarship in Nursing at Hunter College with a \$100,000 gift. She also established an endowed fund in 2008 to support the Dean of the Hunter College School of Nursing, and has continued to generously donate to these and other funds at the College.

Ms. Grabe has served as a Trustee of the Hunter College Foundation, Inc. since 2008. She is currently vice chair of the Foundation's Board of Trustees and chair of the Hunter-Bellevue School of Nursing Advisory Committee.

The Grabe Family Foundation has pledged \$25,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library, and to name the “Grabe Family Co-Lab B.”

L. HUNTER COLLEGE - NAMING OF THE ABBY AND ANTON LEVY CO-LAB C:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Abby and Anton Levy Co-Lab C” in the Library at Hunter College, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: In June 2013, Abby and Anton Levy pledged \$25,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library, and to name the “Abby and Anton Levy Co-Lab C.”

Ms. Levy is currently a consultant to media companies and startups in the NYC area, advising them on business strategy and growth opportunities. Previously, she worked at McKinsey & Company, where she helped lead the firm's global innovation practice, and OXO International, a high growth consumer products company. Anton J. Levy is a Managing Director at General Atlantic, a global growth equity firm, where he has worked since 1998. Ms. Levy has served as a Trustee of the Hunter College Foundation, Inc. since 2010 and currently serves as co-chair of the library campaign.

M. HUNTER COLLEGE - NAMING OF THE CRAIG MACPHERSON CO-LAB D:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Craig MacPherson Co-Lab D” in the Library at Hunter College, for a term of 25 years following completion of the third floor library renovation.

EXPLANATION: In December 2012, Craig MacPherson pledged \$25,000 to the Hunter College Foundation, Inc. to support expenses related to the programs, operations, and renovation of the Hunter College Library, and to name the “Craig MacPherson Co-Lab D.”

After graduating from Hunter College in 1993, Mr. MacPherson began his career in fundraising. He has worked at the Convent of the Sacred Heart since 1998, where he was promoted to the newly created

position of Director of Institutional Advancement in 2005. Mr. MacPherson has served as a Trustee of the Hunter College Foundation, Inc. since 2009, and currently serves on the executive committee and as co-chair of the library campaign. In addition to his charitable giving to Hunter, he has provided invaluable counsel regarding fundraising for the College.

N. DISTINGUISHED PROFESSORS: RESOLVED, That the following be designated Distinguished Professor in the department, and the college, and for the period indicated, with compensation of \$28,594 per annum in addition to their regular academic salary, subject to financial ability:

| COLLEGE | NAME | DEPARTMENT | EFFECTIVE |
|--------------------------|------------------|---------------------|------------------|
| Baruch College | Arthur Apter | Mathematics | February 1, 2014 |
| The Graduate Center | David Joselit | Art History | February 1, 2014 |
| The Graduate Center | Joan Richardson | English | February 1, 2014 |
| Hunter College | Jeffrey Mongrain | Art and Art History | February 1, 2014 |
| Queens College | Richard McCoy | English | February 1, 2014 |
| College of Staten Island | Jay Rosen | Mathematics | February 1, 2014 |

Statement of President Mitchel B. Wallerstein:

I am delighted to present Dr. Arthur W. Apter, Professor of Mathematics. Dr. Apter has taught at **Baruch College** for over twenty-three years, and he is an internationally known mathematical logician. In addition to holding the rank of full professor at **Baruch**, he is also a member of the doctoral faculty at **The Graduate Center**. Dr. Apter is widely recognized for his research on set theory, and he is a truly prolific scholar. His body of work dates from 1985, and since that time he has published over one hundred and twenty articles in U.S. and international refereed journals. Dr. Apter has been the recipient of numerous research grants during his distinguished career, including most recently from the National Science Foundation. He has presented papers and delivered invited lectures at more than eighty-five institutions and professional meetings, including leading research universities in the United States, Europe and Asia. Dr. Apter's teaching career at **Baruch College** has been long and productive. His dedication to teaching is reflected in his strong student course evaluations. He also served on the doctor dissertation committees for numerous graduate students during his tenure at **The Graduate Center**. Indeed, Dr. Apter's great success as both a scholar and a teacher make him richly deserving of this appointment to the title of **CUNY** Distinguished Professor.

Statement of Dr. Arthur Apter:

Thank you, **President Wallerstein** and members of the Board for your support. I am deeply appreciative and greatly humbled by this honor that has been bestowed upon me.

Statement of Interim President Chase F. Robinson:

I am pleased and privileged to speak on the occasion of Prof. Joan Richardson's appointment as Distinguished Professor at **The Graduate Center**. Prof. Richardson is a leading scholar of American literature and intellectual history, and one of the most preeminent Americanists of her generation. An extraordinarily productive and gifted writer and researcher, her publications include many highly-acclaimed books, such as three definitive volumes on Wallace Stevens. In addition, she has authored virtually innumerable essays and articles. She is also the winner of a number of prestigious awards including, most recently, a 2012-2013 Guggenheim Foundation Fellowship. Given the scale of her scholarly contribution, it is all the more impressive that Prof. Richardson is the consummate campus citizen: she has served as Executive Officer and Deputy Executive Officer for the English program, Acting Deputy Executive Officer for the program in Comparative Literature, and Executive Officer for the Master of Arts in Liberal Studies. She has provided exemplary service on a number of important committees, and she is an immensely popular and inspirational teacher, having developed a repertory of brilliant and rigorous courses on the theme of American Aesthetics during the 19th and 20th centuries. Prof. Richardson thus exemplifies the very best of **CUNY**. In fact, she embodies **CUNY** ideals and aspirations. After earning her Bachelor's degree at **Queens College**, and then her Ph.D. at **The Graduate Center**, Prof. Richardson began her teaching career at **LaGuardia Community College** in 1975, joining the doctoral faculty in 1987, while continuing to teach at **LaGuardia**. In 1994, she was appointed to the

English program at **The Graduate Center**. In sum, Prof. Richardson has contributed enormously to **The Graduate Center** and to the life of the English program. Her outstanding scholarly contributions have shaped her field, and her teaching has formed and inspired her students. **The Graduate Center** is extremely fortunate to count her as a colleague and her appointment as Distinguished Professor is a fitting measure of the deep respect and admiration **The Graduate Center** has for her.

Statement of Prof. Joan Richardson:

I am honored and moved by the support and recognition that this appointment reflects. I want to thank the members of the administration of **The Graduate Center**, as well as the Chancellor's office and the **Board of Trustees** for their consideration and time. My gratitude for this honor extends beyond me to my mother's generation. She and her two sisters were children of Greek immigrants to New York City. My grandfather arrived first—not too many years after the Brooklyn Bridge was opened. He was hoping to make his fortune, and did, establishing a contracting company that painted the bridge and eventually many others in various parts of the country. After establishing himself he returned to the small Aegian island where he was born to marry his second cousin. She arrived with their first daughter in 1910 and moved into the apartment on Union Square that he had readied. He was an unusually liberal minded man, and had been educated in Alexandria before coming to the new world. Eventually two more daughters were born. My grandfather wanted his three daughters to be educated in the same way they would have been had they been sons. My grandmother, however, kept the habits of the old world. As the girls came of age my grandfather insisted they attend college and my grandmother insisted they continue to live at home. The three sisters attended **Hunter College**, then of course all girls. There was no question in my mother's mind when it was time for me to attend high school that I would apply to **Hunter College High School** administered by the Board of Higher Education. I was accepted and there were then between 400 and 500 girls in the 9th through 12th grades. A great number of instructors were **Hunter College** faculty. Not only was the education superb, but we were regularly reminded in assemblies of the responsibility to give back in some way through the system of public education. My parents died as I was finishing at **Hunter**. I went on to **Queens College** as I had not applied anywhere else. When I began, there was a dress code for young women. During my last year, there were riots, broken glass, and a helmeted tactical police force on their motorcycles zooming around campus. Amidst it all, I received a first grade education, particularly in the philosophy department and honors program. I went on to what was then called **The Graduate School & University Center**. I was again privileged to work with the faculty and I taught as an adjunct on many **CUNY** campuses after completing my degree, needing to stay based in New York City for family reasons. Then I was appointed full-time at the newly established **LaGuardia Community College**. After my second book was published I was appointed to **The Graduate Center** and the rest is history, as they say. I have joked for a long time that I should be made the **CUNY** mascot as I always appear at public events wearing the **CUNY** colors.

Statement of Interim President Robinson:

I am pleased and privileged to speak on the occasion of Prof. David Joselit's appointment as Distinguished Professor at **The Graduate Center**. Prof. Joselit comes to **The Graduate Center** from Yale University, where he was Carnegie Professor and where he served as Chair of the Department of the History of Art from 2006 to 2009. He has taught at Harvard, where he earned his Ph.D., at M.I.T., and also at the University of California, Irvine. More recently, in the spring of 2010, he was the Kirk Varnedoe Visiting Professor at the Institute of Fine Arts at New York University. Prof. Joselit is a scholar of international reputation, recognized for his mastery of both contemporary modern art history and criticism. A curator, juror, essayist, and prolific writer and researcher, he has authored books, articles, and essays that are as trenchant as they are intrepid, works that not only solve problems and advance knowledge, but also open genuinely new fields of inquiry. In addition, he has organized, moderated, and participated in numerous conferences both in the U.S. and abroad. He is a member of the editorial board of the journal *OCTOBER*, and he publishes extensively in the journal *Artforum*. Prof. Joselit has garnered prestigious awards from the University of California, Irvine, the Mellon Foundation, the Clark Art Institute, and others. Finally, he is celebrated as an engaging and generous colleague, as well as a devoted teacher and mentor to his students. To sum up the reception that news of his appointment at **The Graduate Center** has generated, one reviewer called it "a brilliant move, a brilliant appointment", one that will make the Art History program at **The Graduate Center** the unrivalled department in North America for

the study of modern and contemporary art. We are immensely proud to have **Prof. Joselit** join **The Graduate Center** and **CUNY**, certain that his interdisciplinary interests and prodigious talents will make signal contributions to the institution for years to come.

Statement of Prof. David Joselit:

Thank you, **Interim President Robinson** for that very generous introduction. Thank you all for making this appointment possible. I am really thrilled to join **The Graduate Center**. It was very appealing to me for a number of reasons, because my work has dealt a lot with how images circulate as opposed to what images mean by just reading them like a text. I am particularly excited that there are very important and dynamic initiatives at **The Graduate Center** in globalization and also in digital cultures that I look forward hopefully to participating in. Also, as **Interim President Robinson** mentioned, **The Graduate Center** has for many years been the most important educator of contemporary art historians and I feel honored to join their ranks.

Statement of Jennifer Raab:

It is said that pictures are worth a thousand words, so **Hunter College** is honored to provide the Trustees with a catalogue of the extraordinary work of the candidate for Distinguished Professorship, Jeffrey Mongrain. It has been said that he is to ceramics what Raymond Carver is to the short story and Andy Warhol to printmaking. He has single-handedly taken ceramics, an undervalued, even isolated medium throughout most of the 20th century and moved it squarely to the center of art practice, where it now intersects with all of the 3D arts. His influential and groundbreaking work has brought him up to the very top of the ceramics field. The Smithsonian Institute of American Art recently invited him to give a five hour oral history of the National Archives of American Art—a distinction bestowed on just twenty-five visual artists in the U.S. each year. The Smithsonian will interview him annually every year for the rest of his artistic career. Prof. Mongrain has recently had an outstanding Mid-Career Retrospective that toured five nationally recognized museums and included a catalogue of his ceramic sculptures. He has had sixteen solo museum exhibitions, and has an upcoming solo exhibition of his work at the prestigious Museum of Art and Design. Glen Brown, the foremost critic and historian of ceramics and ceramic sculpture in America, considers Prof. Mongrain's work as central to the development of the discipline and particularly influential among younger artists. He is one of a handful of ceramists who have dissolved the barrier between craft and fine art by merging the material and language of clay with minimalism, conceptualism, and innovative site-specific projects. His studio practice leads the field and is cutting edge because he has forged new ceramic processes, new approaches to installation, and an approach to site work that is at once poetic, spiritual and scientific. Throughout his eighteen years at **Hunter College**, Prof. Mongrain has also been an extraordinary colleague and citizen. He has been a member of the faculty since 1995 and most recently was instrumental in the move to the College's extraordinary new facility at 205 Hudson Street. He has been a leader in helping raise and use the philanthropic gifts that the College has been lucky to have from both the Zabar family and a recent fellowship for the graduates. Perhaps his major accomplishment is the Ceramics Department itself, which he has led since coming to **Hunter College** eighteen years ago. He transformed this into a pedagogical model for bringing the highest caliber of practicing artists—ceramists and sculptors—directly into the classroom. This is due to his connections with his colleagues and their desire to do anything that Prof. Mongrain is doing. He is a beloved instructor and mentor to colleagues and students alike, and is known for his power to communicate about art and his progressive, hands-on approach as an educator and a curator. **Hunter College**, as well as **CUNY**, are fortunate to have Prof. Mongrain in their midst. His work only grows in complexity, stature and reach over time. At mid-career, at the height of his powers and influence, he will continue to play a definitive role in the future of art and sculpture, transforming how people think about ceramics conceptually and technically, and offering the world of opportunity and inspiration to **Hunter College** students and students of art everywhere. Thank you, Prof. Mongrain and thank you to the Board for this opportunity.

Statement of Prof. Jeffrey Mongrain:

President Raab, I am very touched and honored by your comments and I would like to thank the Board for this great privilege to be a Distinguished Professor at **CUNY**. I have been here eighteen years and I must say it is a deep privilege and honor for me to be a faculty member in the art department of **Hunter College**. I have seen the department grow with great programs in the last eighteen years, particularly

since 2001. It also moved from a building on 41st Street to a wonderful new space down in Tribeca. There is a reason that the **Hunter MFA** program is in the top ten programs in the United States and we are also perhaps the largest MFA program. Besides the growth of that part of the program, I would also like to say the gallery has also grown tremendously due to a new colleague, Joachim Pissarro, who **President Raab** was astute enough to steal away from the Museum of Modern Art as one of their curators. The students get to see great artists brought in by the Zabar Visiting Artist Program. I would say approximately about half of them have received the McArthur Genius Award. It is a vibrant program and I am really privileged to be a part of it. Also, having the commitment from **President Raab** and my colleagues afforded me the time to pursue my artwork—take the time to go out and do exhibitions in museums and cathedrals.

Statement of President Evangelos John Gizis:

It is a great privilege for me to introduce Prof. Richard McCoy as Distinguished Professor of the **Queens College** Department of English and **The Graduate Center** Ph.D. program in English. Prof. McCoy is a distinguished critical voice in the study of the interrelationship of literature and politics in the Elizabethan period. He is also recognized as a major cultural historian of the Early Modern period in England, and as a distinguished voice in the field of Elizabethan drama. His most recent book, *Faith in Shakespeare*, bears witness to his central position in Shakespearean studies. Prof. McCoy has supervised nine Ph.D. dissertations since being appointed to **The Graduate Center** Ph.D. program, and he is a beloved and devoted teacher at **Queens College**.

Statement of Prof. Richard McCoy:

Inspired somewhat by Prof. Richardson's immigrant history, I want to recount my own, which started with me migrating from Berkeley, where I graduated in 1975, to New York, when the bottom dropped out of the academic market and it looked like the bottom was dropping out of New York itself. My wife had found a job at Columbia University and I tagged along looking for work, adjunct teaching in high school and then finally getting a post doc offered for one year at **The Graduate Center**. Then in 1979, I was hired at **Queens College** and I have lived happily ever after ever since. It has been a great privilege to teach at **Queens College** and at **The Graduate Center**. The students at **Queens College** are stupendous, extraordinary students; the same is true of **The Graduate Center**, and wonderful colleagues at both places. I will just recount that **Interim Chancellor Kelly**, Prof. Richardson and I all joined the English program at **The Graduate Center** the same year, so you can see the quality of people that are there. Finally, I want to thank the Trustees and the administrators of this splendid institution for their support and for this honor. I am very grateful for their support in improving **CUNY** over the course of my thirty years at **The Graduate Center**—its luster has been fully restored and I am honored to be a member of it.

Statement of Interim President William J. Fritz:

I am extremely pleased to voice to the Board my unequivocal support for Prof. Jay Rosen and to thank the Board for naming him a Distinguished Professor of **The City University of New York**. Under the guidance of Dr. Fred Naidler, Provost and Senior Vice President for Academic Affairs, who is also a Distinguished Professor—who is here with me tonight—the nomination of Prof. Rosen was thoroughly reviewed by a special Distinguished Professor Committee at the **College of Staten Island**, Department of Mathematics Promotions Committee and the College Personnel and Budget Committee, all of whom voted unanimously to support his promotion. The documentation submitted on behalf of Prof. Rosen sets forth a comprehensive and impressive chronicle of his accomplishments as a nationally and internationally renowned mathematician and scholar. Prof. Rosen's stature as one of the leading probabilists in the world is clearly reflected in his remarkable record of National Science Foundation (NSF) funding, in his publications and refereed articles, and in the external letters that the College received. These letters were from some of the most respected mathematicians working in probability theory today, many themselves Distinguished or Regent's professors. All referees offered unmitigated praise for Prof. Rosen's important groundbreaking scholarship. In addition, Prof. Rosen has brought great renown to **CUNY** by organizing and running the Probability Seminar at **The Graduate Center**, and the Northeast Probability Seminar for the last ten years. His contributions to these events, which bring together mathematicians from across America and throughout the world, elevate the image of the University as a home of scholars worthy of note. In addition to his renown as a scholar, it is significant that Prof. Rosen excels in other areas as well. He has been an outstanding teacher and has taught

courses and mentored students at all levels, from general education offerings to advanced electives in their major, to doctoral courses, seminars, and symposia. I find it extremely encouraging that an individual with Prof. Rosen's level of scholarship is willing and able to interact with **CSI's** undergraduate general education students. Therefore, from every perspective used to measure academic merit—scholarship, teaching, and service—Prof. Rosen is a role model for all faculty at **CUNY**, and sets the highest standards for his peers throughout the University. In sum, the appointment of Dr. Rosen as Distinguished Professor—to join **CUNY's** most “formidable group of elite scholars, researchers, and creative artists”—recognizes the enrichment he will continue to bring to the academic life at the **College of Staten Island** as well as **The City University of New York**.

Statement of Prof. Jay Rosen:

I want to thank everybody for this great honor and I especially want to thank the **College of Staten Island**. I was hired in 1986 with an explicit understanding of trying to build up a research oriented math department. **CSI** had originally been a community college joined with a junior college and consequently when I came there was very little research going on and I was supported by the administration then in terms of reduced teaching load and I have succeeded now in hiring a lot of good people at the **College of Staten Island** in terms of several important metrics—like the National Science Foundation grants and MathSciNet citations—has been among the top two or three colleges in the **CUNY** system for years now. In fact, I hope that some of my colleagues there will also eventually become distinguished professors. The upshot is that **CSI's** undergraduates are exposed to teachers who are very much involved in cutting edge research, and it has a great effect on them. Finally, I also want to thank **The Graduate Center** for their support, and I just wanted to mention that in many ways, in terms of faculty from all the colleges, **The Graduate Center** Department of Math has the potential of being a really great department and I hope that the University will continue its support in terms of the students and postdoctoral students.

O. THE CITY UNIVERSITY OF NEW YORK - REVISED POLICY ON DOMESTIC VIOLENCE AND THE WORKPLACE:

RESOLVED, That the University's Policy on Domestic Violence and the Workplace be revised, effective January 7, 2014, as indicated in the attached document.

EXPLANATION: On October 22, 2007, the Governor of New York State signed Executive Order #19 requiring that all New York State agencies (defined broadly to include CUNY) adopt a domestic violence in the workplace policy by August 1, 2008. The Board of Trustees adopted such a policy at its June 23, 2008 meeting.

On July 26, 2013, the Acting Executive Director of the State of New York's Office for the Prevention of Domestic Violence issued a memorandum requiring an update of all agencies' policies to outline clear procedures for the handling of orders of protection that employees provide to the agency. The revised policy adopts the language suggested by the State's Office for the Prevention of Domestic Violence (highlighted in the attachment).

DOMESTIC VIOLENCE AND THE WORKPLACE

POLICY STATEMENT

The City University of New York ("CUNY") disapproves of violence against women, men, or children in any form, whether as an act of workplace violence or in any employee's personal life. Domestic violence can spill over into the workplace, compromising the safety of both victims and co-workers and resulting in lost productivity, increased health care costs, increased absenteeism, and increased employee turnover. CUNY is committed to full compliance of all applicable laws governing domestic violence in the workplace, to promoting the health and safety of its employees, and to making a significant and continual difference in the fight to end domestic violence. CUNY will review this policy annually and will notify all employees and the New York State Office for the Prevention of Domestic Violence ("OPDV") of any revisions.

DEFINITIONS

For purposes of this policy, the following terms will be defined as follows.

Domestic Violence: A pattern of coercive tactics, which can include physical, psychological, sexual, economic and emotional abuse, perpetrated by one person against an adult intimate partner, with the goal of establishing and maintaining power and control over the victim.

Intimate Partner: Includes persons legally married to one another; persons formerly married to one another; persons who have a child in common, regardless of whether such persons are married or have lived together at any time; couples who live together or have lived together; or persons who are dating or who have dated in the past, including same sex couples.

Abuser: A person who perpetrates a pattern of coercive tactics which can include physical, psychological, sexual, economic, and emotional abuse against an adult intimate partner, with the goal of establishing and maintaining power and control over the victim.

Victim: The person against whom an abuser directs coercive and/or violent acts.

POLICY**I. Employee Awareness**

1. CUNY will provide its Domestic Violence and the Workplace Policy to all employees.
2. CUNY employees will review and follow this policy and procedures.
3. CUNY will provide to all employees, and post in locations of high visibility, such as bulletin boards and break rooms, health/first aid offices, university phone directories, and on-line information data bases, a list of resources for survivors and perpetrators of domestic violence, the phone numbers and descriptions of national and local domestic violence resources batterers' intervention programs as well as the information for the New York State Office for the Prevention of Domestic Violence. Also posted prominently will be the names and contact information of CUNY personnel who are trained and available to serve as confidential sources of information, support, and referral.
4. Included in the documentation provided to all employees will be information advising employees that New York State law prohibits insurance companies and health maintenance organizations from discriminating against domestic violence victims. The law prohibits designation of domestic violence as a pre-existing condition. An insurance company cannot deny or cancel an insurance policy or require a higher premium or payment because the insured is or has been a domestic violence victim. [§2612 of the Insurance law].
5. CUNY will integrate information on domestic violence into existing materials and literature, policies, protocols, and procedures, including its Workplace Violence Prevention Policy & Procedures and existing health and wellness programs, as appropriate. CUNY will take all reasonable actions to educate employees regarding the effects of domestic violence, ways to prevent and curtail violence, and methods to report such violence to authorities.

II. Non-Discriminatory and Responsive Personnel Policies for Victimized Employees

1. CUNY will not discriminate against victims of domestic violence or persons perceived as domestic violence victims in employment determinations and will be responsive to the needs of victims of domestic violence.
2. CUNY will not make inquiries about a job applicant's current or past domestic

violence victimization and employment decisions will not be based on any assumptions about or knowledge of such exposure.

3. CUNY will abide by all relevant New York State laws making it a crime for employers to penalize an employee who, as a victim or witness of a criminal offense, is appearing as a witness, consulting with a district attorney, or exercising his/her rights. CUNY, with at least one prior day notification, will allow time off for victims or subpoenaed witnesses to exercise their rights as provided in the Criminal Procedure law, the Family Court Act, and the Executive law [Penal law §215.14]. If there are any questions or concerns regarding the leave that must be granted to victims or subpoenaed witnesses, employees should contact their human resources director for assistance and clarification.
4. CUNY, upon request of the employee, will assist the employee in determining the best use of his/her attendance and leave benefits when an employee needs to be absent as a result of being a victim of domestic violence. If an employee requests time off to care for and/or assist a family member who has been a victim of domestic violence, CUNY will evaluate the employee's request for leave for eligibility under existing law and collective bargaining agreements applicable to the employee.
5. In instances when an employee victim of domestic violence has difficulty producing the documentation necessary to justify absences due to his/her status as such victim, CUNY will make all reasonable efforts, in consultation with employee victims of domestic violence, to identify the documentation necessary to justify absences from work and assist the employee with his/her safety-related needs to satisfactorily meet the identified documentation requirement without compromising the employee's safety.
6. When appropriate, available and permissible, employees who are victims of domestic violence and who separate from a spouse (or terminate a relationship with a domestic partner, if covered), will be allowed to make reasonable changes in benefits at any time during the calendar year where possible, in accordance with statute, regulation, contract and policy.
7. CUNY encourages victims of domestic violence who are subject to discipline due to job performance or conduct problems, to notify appropriate supervisory, managerial or human resources staff of their situation. Said employees will be afforded all of the proactive measures outlined in this policy, and will be provided clear information about performance expectations, priorities, and performance evaluation. If a disciplinary process is initiated, special care will be taken to consider all aspects of the victimized employee's situation, and all available options in trying to resolve the performance problems will be exhausted, including making a referral to any Employee Assistance Program, consistent with existing collective bargaining agreements, statutes, regulations and policy.
8. CUNY encourages any employee who is terminated or voluntarily separates from employment due to domestic violence-related performance problems to notify appropriate human resources staff in order to investigate the employee's potential eligibility for unemployment insurance. CUNY will respond quickly to any requests for information that may be needed in the claims process. New York State law provides that a victim of domestic violence who voluntarily separates from employment may, under certain circumstances, be eligible for unemployment insurance benefits. [§593 of NYS labor law.]

III. Workplace Safety Plans

Each campus within the CUNY system has prepared a domestic violence workplace safety response plan and each campus and worksite is prepared to provide reasonable means and personnel to assist victimized employees in developing and implementing

individualized domestic violence workplace safety plans, consistent with existing collective bargaining agreements, statutes and regulations. Said workplace safety response plans are on file on each campus and worksite with the relevant security personnel and with the University-level liaison to OPDV.

1. CUNY has designated a University liaison to OPDV to ensure University-wide implementation of the domestic violence and the workplace policy, and to serve as the primary liaison with OPDV regarding the domestic violence and the workplace policy. Said liaison's name and contact information will be provided with copies of this policy to employees and will be listed on all additional literature and postings.
2. CUNY has designated campus-level liaisons on each campus to further ensure campus-level implementation of the domestic violence and the workplace policy, to serve as the campus-level liaison within CUNY regarding the domestic violence and the workplace policy, and to be available to employees in need of support.
3. Each campus-level liaison will be identified in University and college-level materials and his/her name, phone number and office location will be clearly posted.
4. CUNY is committed to compliance and assistance with enforcement of all known court orders of protection, particularly orders in which abusers have been ordered to stay away from the work site of the victim. If requested by the victim of domestic violence or law enforcement, CUNY will cooperate in situations concerning an alleged violation of an order of protection. Employees are encouraged to bring their Orders of Protection (OP) to the attention of the **Director of Campus Security/Public Safety or the Deputy Director of Campus Security/Public Safety**. Once the OP has been brought forward, the document will be kept in a secure location accessible only to the **Director of Campus Security/Public Safety or the Deputy Director of Campus Security/Public Safety**. In the case of a workplace emergency requiring the presentation of the OP to law enforcement, if **the Director of Campus Security/Public Safety or the Deputy Director of Campus Security/Public Safety** is unavailable to obtain the document, a designated member in the Office of Campus Security/Public Safety will have access to the secure location. The **Director of Campus Security/Public Safety or the Deputy Director of Campus Security/Public Safety** will discuss with the employee a plan on how to best proceed to ensure the safest possible work environment for the employee and the rest of the staff. With the permission of the employee, this may include: providing a copy of the OP and/or photo of perpetrator to security or front desk personnel; a discussion of who should be told if there is no security or front-desk staff, including identifying a supervisor or colleagues who would be able to assist with the identification of the perpetrator; blocking the subject/perpetrator of the OP form from the workplace; and creating a personal workplace safety plan. The employee is responsible to notify the **Director of Campus Security/Public Safety or the Deputy Director of Campus Security/Public Safety** if there are any changes to the OP.
5. In the event that a person is observed engaging in threatening behavior, each CUNY campus public safety department will implement its emergency security response plan, including procedures for contacting the appropriate law enforcement agency, and will provide employees with clear instructions about what to do and whom to contact.
6. Upon notice from a victimized employee, each campus public safety department, working with the employee, the campus-level liaison and the employee's supervisor will develop and implement individualized workplace safety plans, which may include, when appropriate, advising co-workers and, upon request, the employee's bargaining representative, of the situation;

setting up procedures for alerting security and/or the police; temporary relocation of the victim to a secure area; options for voluntary transfer or permanent relocation to a new work site; change of work schedule; reassignment of parking space; escort for entry to and exit from the building; responding to telephone, fax, e-mail or mail harassment; and keeping a photograph of the abuser and/or a copy of any existing court orders of protection in a confidential on-site location and providing copies to security personnel. Plans must address additional concerns if the victim and the offender are both employed by CUNY.

IV. Accountability for Employees Who Are Offenders

CUNY will not tolerate nor excuse conduct that constitutes workplace domestic violence. CUNY will hold accountable any and all employees who engage in the following behavior:

- 1) using CUNY resources to commit an act of domestic violence;
 - 2) committing an act of domestic violence from or at the workplace or from any other location while on official CUNY business; or
 - 3) using their job-related authority and/or CUNY resources in order to negatively affect victims and/or assist perpetrators in locating a victim and/or in perpetrating an act of domestic violence.
1. In cases in which CUNY has found that an employee has threatened, harassed, or abused an intimate partner at the workplace using CUNY resources such as work time, workplace telephones, FAX machines, mail, e-mail or other means, said employee will be subject to corrective or disciplinary action in accordance with existing collective bargaining agreements, statutes and regulations. If appropriate, law enforcement will be contacted, which may result in arrest, criminal charges, and/or prosecution.
 2. In cases in which CUNY has verification that an employee is responsible for a domestic violence-related offense, or is the subject of any order of protection, including temporary, final or out-of-state order, as a result of domestic violence, and said employee has job functions that include the authority to take actions that directly impact victims of domestic violence and/or actions that may protect abusers from appropriate consequences for their behavior, CUNY will determine if corrective action is warranted, in accordance with existing collective bargaining agreements, statutes and regulations.
 3. In cases in which any employee intentionally uses his/her job-related authority and/or intentionally uses state resources in order to negatively impact a victim of domestic violence, assist an abuser in locating a victim, assist an abuser in perpetrating acts of domestic violence, or protect an abuser from appropriate consequences for his behavior, said employee will be subject to corrective or disciplinary action, in accordance with existing collective bargaining agreements, statutes and regulations. If appropriate, law enforcement will be contacted, which may result in arrest, criminal charges, and/or prosecution.

V. Firearms

1. Pursuant to New York State and federal law, a person convicted of a domestic violence-related crime or subject to an order of protection, under certain circumstances, forfeits the right to legally possess a firearm or long gun. Additionally, federal law contains prohibitions relating to shipping, transportation, or receiving firearms or ammunition.
2. In addition to complying with the law, employees who are authorized to carry a firearm as part of their job responsibilities are required to notify CUNY if they are arrested on a domestic violence-related offense and/or served with

an order of protection. Under certain circumstances, such employees are responsible for surrendering their firearms to the issuing agency or to the appropriate police agency.

3. Should an employee fail to comply with the requirements set forth above, said employee will be subject to corrective or disciplinary action, in accordance with existing collective bargaining unit agreements, statutes or regulations. In addition, the appropriate law enforcement agency will be notified for possible criminal action.

VI. Training

CUNY will train management and supervisory personnel on this policy and will provide continuing educational opportunities for employees using materials provided by or approved by OPDV.

1. All persons designated as liaisons, whether the University-level liaison or college-level liaison, and all liaison-identified support personnel will complete OPDV's one-day training on Domestic Violence and the Workplace as soon as practicable after the appointment is made. Training will prepare support personnel to identify possible signs and indicators of victimization, make appropriate referrals to domestic violence service providers, work with professionals to assist identified victims with safety planning, and develop individualized responses. Training will also include information on the physical, social and cultural realities that may affect victims of domestic violence, the ways in which domestic violence impacts the workplace, including the potential impact on worker productivity and the safety risks to on-site personnel and visitors.
2. Campus-level liaisons will designate, as appropriate, managers, supervisors, employee assistance professionals, human resources personnel, union and labor representatives or security staff for additional training on domestic violence issues which may include the one-day OPDV training.
3. CUNY will also make training in the prevention and awareness of domestic violence and its impact on the workplace available for all staff. Training will include information on the physical, social and cultural realities that may affect victims of domestic violence, the ways in which domestic violence impacts the workplace, including the potential impact on worker productivity and safety risks.

VII. Reporting Requirements

As directed by OPDV, CUNY is obligated to document all incidents of domestic violence that happen in the workplace, including the number of employees who report domestic violence, the number of employees who request information/services, and the number of referrals made to domestic violence service providers. The information gathered will not contain any identifying personal information. Said information will be forwarded by each college to the University liaison to OPDV for further reporting to OPDV at the time and in a manner determined by OPDV. Such documents will be kept confidential to the extent permitted by law and policy and the provisions of section (VIII) detailed below.

VIII. Confidentiality

Information related to an employee being a victim of domestic violence will be kept confidential, to the extent permitted by law and policy, and will not be divulged without the consent of the victimized employee, unless CUNY determines that maintaining said confidentiality puts the victim or other employees at risk of physical harm, is required by law, or is deemed necessary to enforce an order of protection. The limitations on confidentiality will be discussed with each victim who seeks assistance from supervisory or security staff. In such circumstances where a determination has been made that maintaining confidentiality puts the victim or other

employees at risk of physical harm, is required by law, or is deemed necessary to enforce an order of protection, only those individuals (employees and/or safety and security personnel and/or rescue and first aid personnel) as deemed necessary by CUNY to protect the safety of the victim and/or other employees or to enforce an order of protection will be given information concerning incidents of domestic violence.

CUNY will disclose only the minimum amount of information necessary to protect the safety of the victim and/or other employees or to enforce an order of protection. Where possible, CUNY will provide to the victim of domestic violence notice of the intent to provide information to other employees and/or safety personnel. Nothing herein will prevent CUNY from investigating an act or acts of domestic violence that happen within the workplace. Examples of situations where confidentiality cannot be maintained include the following:

1. Supervisors/managers may be informed about a domestic violence incident that happens in the workplace, or a report of domestic violence, if it is necessary to protect the safety of the employee or the employee's co-workers.
2. First-aid and safety personnel may be informed about a domestic violence incident that happens in the workplace or a report of domestic violence, if it is necessary to protect the safety of the employee or the employee's co-workers.
3. Government officials investigating a domestic violence incident that happens in the workplace, or a report of domestic violence, will be provided relevant information on request.

IX. Law Enforcement and Legislation

CUNY will cooperate to the fullest extent legally possible with law enforcement and other appropriate government agencies. In addition, this policy will be interpreted and applied in accordance with all applicable local, state and federal laws as well as all existing collective bargaining agreements, policies and regulations.

NO. 5. COMMITTEE ON FACILITIES PLANNING AND MANAGEMENT: RESOLVED, That the following items be approved:

A. THE CITY COLLEGE OF NEW YORK - CHILLED WATER SYSTEM PRESSURE INDEPENDENT VALVES:

RESOLVED, That the Board of Trustees of The City University of New York authorize the New York Power Authority (NYPA) to execute a construction contract on behalf of City College of New York (CCNY) for the provision of pressure independent control valves on the chilled water system for all CCNY North Campus buildings with the exception of Marshak Science. The contract shall be awarded to the lowest responsive and responsible bidder, pursuant to law and the NYPA/CUNY Energy Cost Reduction (ENCORE) contract, approved by the Board on 2/24/2008; and be it further

RESOLVED, That the New York Power Authority be, and hereby is, authorized to enter into a contract with the selected bidder for construction services.

EXPLANATION: The New York Power Authority will replace all secondary chilled water system control valves with pressure independent control valves in the north campus buildings with the exception of Marshak Science at an estimated project cost of \$5 million. The new valves will serve two functions: the first being to limit maximum flow into the buildings, thus maintaining central refrigeration plant capacity to support the new ASRC and CCNY buildings, and the second to sub-meter chilled water flow into all buildings to meet the mandate of the new NYS Executive Order 88.

This project will be a CUNY managed project, and the contract will be held by the New York Power Authority.

B. YORK COLLEGE - GREENHOUSE AND ANIMAL HOUSING HVAC UPGRADE:

RESOLVED, That the Board of Trustees of The City University of New York authorize the General Counsel to execute a construction contract on behalf of York College for the upgrade of the mechanical equipment for both the Greenhouse and Animal Housing Labs located in the Academic Core Building at 94-20 Guy R. Brewer Boulevard. The contract cost shall be awarded to the lowest responsive and responsible bidder after public advertisement and sealed bidding pursuant to law and University regulations. The contract cost and the cost of associated construction inspections shall be chargeable to the City Capital Project 042 YC029-009 for an amount not to exceed \$2,165,000. The contract shall be subject to approval as to form by the University Office of the General Counsel.

EXPLANATION: Both spaces, the Greenhouse and Animal Housing area, are located in the Academic Core Building at 94-20 Guy R. Brewer Boulevard. Their HVAC systems have reached their end of life and are largely failing or non-functional. York College will expand the existing Greenhouse by extending into the adjacent corridor and onto the terrace space which will be utilized as an outdoor classroom and student lounge. The Greenhouse mechanical system will be demolished and replaced with a dedicated system with tighter controls to regulate temperature and humidity. The Animal Housing mechanical system will also be replaced with a new code compliant system capable of managing temperature and humidity levels.

C. BARUCH COLLEGE - ADMINISTRATION BUILDING FIRE ALARM SYSTEM:

RESOLVED, That the Board of Trustees of The City University of New York authorize the City University Construction Fund to amend a contract with the firm of Siemens Industry, Inc. to purchase additional design services and the installation of additional devices for the Fire Alarm System that Siemens recently installed at Baruch College, under the existing New York State Office of General Service Contract. The total cost of all such additional purchases shall be chargeable to the State Capital Construction Fund, Project No. 2807909999 of an amount not to exceed \$300,000. The contract amendment shall be subject to approval as to form by the University Office of the General Counsel; and be it further

RESOLVED, That the City University Construction Fund be and hereby is authorized to amend a contract with the selected bidder for construction services.

EXPLANATION: The additional design services and devices are needed to meet new code requirements as directed by the New York City Fire Department (FDNY). In 2009 the Board of Trustees of The City University Construction Fund authorized the original contract to purchase design and installation of fire alarm devices for an amount not to exceed \$200,000. With this additional authorization available funding for this project will be increased to \$500,000.

D. BARUCH COLLEGE - FIELD BUILDING FIRE ALARM SYSTEM UPGRADE:

RESOLVED, That the Board of Trustees of The City University of New York authorize the City University Construction Fund to execute a contract with the firm of Siemens Industry, Inc. to modify the existing fire alarm system as required to upgrade the system to meet new code requirements by the New York City Fire Department. The total cost of the installation of the modifications shall be chargeable to the State Capital Construction Fund, Project No. 2807909999 for an amount not to exceed \$2,000,000. The contract shall be subject to approval by the University Office of the General Counsel; and be it further

RESOLVED, That the City University Construction Fund be and hereby is authorized to execute a contract with the selected bidder for construction services.

EXPLANATION: The Field Building at Baruch College is being renovated in several phases which will take at least ten years, due to funding limitations and to the need of Baruch to use the building throughout the renovation because of severe space constraints. Because of the duration of the renovation, the New York City Fire Department requires that the existing fire alarm be modified in order for the Fire Department to approve the new fire alarm installation of the total building renovation. The Fund on behalf of the City University of New York intends to upgrade the existing Field Building Fire Alarm system to

meet new code requirements as required by the New York City Fire Department. The proposed vendor will undertake the work under a New York State Office of General Services Contract.

Upon motion duly made, seconded and carried, the public meeting was adjourned to go into Executive Session at 5:36 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

**MINUTES OF THE EXECUTIVE SESSION OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

JANUARY 27, 2014

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Executive Session was called to order at 5:39 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Rita DiMartino
Freida D. Foster
Joseph J. Lhota
Hugo M. Morales**

**Brian D. Obergfell
Peter S. Pantaleo
Carol A. Robles-Roman
Charles A. Shorter**

Muhammad W. Arshad, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary**

**Interim Chancellor William P. Kelly
Interim EVC and University Provost Julia Wrigley
EVC and C.O.O. Allan H. Dobrin
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small**

**Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall
Associate VC Andrea Shapiro Davis
SUD/Special Counsel Dave Fields
Senior Advisor Marc V. Shaw**

The absence of Trustees Wellington Chen and Judah Gribetz was excused.

The Board went into Executive Session to discuss personnel matters.

Upon motion duly made, seconded and carried, the Executive Session was adjourned at 5:56 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

JANUARY 27, 2014

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Public Session reconvened at 5:58 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Rita DiMartino
Freida D. Foster
Joseph J. Lhota
Hugo M. Morales**

**Brian D. Obergfell
Peter S. Pantaleo
Carol A. Robles-Roman
Charles A. Shorter**

Muhammad W. Arshad, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Steven Quinn
Anthony Vargas**

**Interim Chancellor William P. Kelly
Interim EVC and University Provost Julia Wrigley
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small
Vice Chancellor Gloriana Waters
Vice Chancellor Iris Weinshall**

**Associate VC Matthew Sapienza
Associate VC Andrea Shapiro Davis
SUD/Special Counsel Dave Fields
University Dean John Mogulescu
President Gail O. Mellow**

The absence of Trustees Wellington Chen and Judah Gribetz was excused.

Upon motions duly made, seconded and carried, the following resolution was adopted:
(Calendar No. 6)

**NO. 6. THE CITY UNIVERSITY OF NEW YORK - APPOINTMENT OF VICE CHANCELLOR FOR
BUDGET AND FINANCE:**

RESOLVED, That the Board of Trustees of The City University of New York approves the appointment of Matthew Sapienza as Vice Chancellor for Budget and Finance, at a salary to be approved by the Interim Chancellor.

EXPLANATION: Matthew Sapienza has served with distinction for nine years at CUNY, first as Budget Director and then as Associate Vice Chancellor for Budget and Finance. In the latter title, Mr. Sapienza reported to Marc Shaw, who recently retired from his position as Senior Vice Chancellor for Budget, Finance and Fiscal Policy to become Co-Director of the CUNY Institute for State and Local Governance and Senior Advisor to the Chancellor for Fiscal Policy. In light of the resulting increase in responsibilities of Mr. Sapienza, his position has been reclassified as that of a Vice Chancellor.

Statement of AVC Sapienza:

I am so humbled and I want to thank all of the Trustees for their support and their faith in me, especially **Chairperson Schmidt** for all his support over the years. I especially want to acknowledge **Trustee Lhota**, who has been terrific to work with over the last several years on the **Committee on Fiscal Affairs** so I take this honor seriously. I want to thank **Interim Chancellor Kelly** also for his support and I am so grateful for the opportunity I have had to work with him so closely over the last several months. It has really been an honor to do so. I would also like to thank all of my fellow members of the Chancellery, they are just tremendous partners to work with every day, especially **Executive Vice Chancellor and Chief Operating Officer Allan Dobrin**, who has been a real mentor to me over the past nine and a half years. To **Senior Advisor to the Chancellor Marc Shaw**, there are so many people in the city and the state government that would love to pick his brain and I get to do it every day, so I know how fortunate I am and how fortunate the University is to have someone as talented as him working here. I would be totally remiss if I did not take the opportunity to acknowledge and thank the late Ernesto Malave, who brought me to the University nine and a half years ago and I will be forever grateful to him. To my staff in the Office of Budget & Finance tremendous work ethics, smart people—especially my leadership team as all the good things that come out of my Office is because of their good work. I really need to thank Chancellor Emeritus-Designate Matthew Goldstein, who gave me such tremendous support, great counsel and advice over the years and I really feel privileged to have been part of his administration during his historic tenure at **CUNY**. I look forward to, and I am really excited about the University's new era at **CUNY** under Chancellor-Designate Milliken and I am looking forward to working with him. In summary, it has been by far the best professional experience that I ever had working at **CUNY** and I am so privileged to be part of **CUNY** and to accept this appointment.

Upon motion duly made, seconded and carried, the reconvened public meeting was adjourned at 6:02 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

(This is a detailed summary of the Board of Trustees' meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)