

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

JANUARY 26, 2015

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Chairperson called the meeting to order at 4:30 P.M.

There were present:

Benno Schmidt, Chairperson

**Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz**

**Joseph J. Lhota
Peter S. Pantaleo
Carol A. Robles-Roman
Barry F. Schwartz
Charles A. Shorter**

Joseph K. Awadje, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Anthony Vargas
Doris Wang**

**Chancellor James B. Milliken
Interim EVC and University Provost Julia Wrigley
EVC and C.O.O. Allan H. Dobrin
President Lisa S. Coico
President Scott E. Evenbeck
President Ricardo R. Fernández
President William J. Fritz
Interim President David Gomez
President Karen L. Gould
President Farley Herzek
Interim President Eduardo J. Marti
President Antonio Pérez**

**President Jennifer Raab
President Jeremy Travis
President Mitchel Wallerstein
Dean Michelle Anderson
Dean Sarah Bartlett
Dean Ayman El-Mohandes
Dean Ann Kirschner
Vice Chancellor Frank Sánchez
Vice Chancellor Matthew Sapienza
Vice Chancellor Pamela Silverblatt
Senior University Dean John Mogulescu**

The absence of Vice Chairperson Philip Alfonso Berry, and Trustees Hugo M. Morales and Brian D. Obergfell was excused.

Chairperson Benno Schmidt called the meeting to order, and read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

A. VIDEOTAPING OF BOARD MEETING: Chairperson Schmidt announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at www.cuny.edu/livestream providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

Chairperson Schmidt stated that **Trustee Wellington Chen** was nominated last week by Mayor de Blasio as a lay member of the Landmarks Preservation Commission; and Governor Cuomo announced that he intends to appoint **Trustee Carol Robles-Roman** to the SUNY Board of Trustees. **Chairperson Schmidt** added that on behalf of the Board, he hopes that the appointment will not be official for a while, so **Trustee Robles-Roman** can continue her current service at **CUNY**.

Chairperson Schmidt stated that the Board held its Public Hearing on Tuesday, January 20, 2015. **University Faculty Senate Chair and Trustee Terrence Martell** chaired the hearing that was also attended by **University Student Senate Chair and Trustee Joseph Awadjie** and members of the Chancellery. A summary of this proceeding has been circulated to the Trustees and the Chancellor’s cabinet, and a transcript is available in the **Office of the Secretary**.

Chairperson Schmidt stated that on behalf of the Board, he would like to extend his deepest condolences to the families of the following individuals with close ties to the **CUNY** family:

- The passing of Governor Mario M. Cuomo, who also served as Lieutenant Governor and Secretary of State, and whose oratorical skills were matched only by his passionate commitment to a lifetime of public service. He transformed the lives of many New Yorkers, including many from the **CUNY** family. We extend sincere condolences to First Lady Mathilda Cuomo, Governor Andrew Cuomo and the Cuomo family.
- The death of the Hon. Herman Badillo, **City College** Class of 1951, former congress-member, New York City Deputy Mayor, Bronx Borough President, lawyer and accountant. Herman served

on the **CUNY** Board for many years: as Trustee from 1980 to 1982 and again from 1990 to 2000. He became Vice Chairperson of the Board in February 1997, then served as Chairperson from 1999 to 2001. He will be sorely missed. We extend condolences to his wife Gail Badillo, his son David—a lecturer at **Lehman College**—and to the Badillo family.

- The passing of Bess Myerson. A graduate of **Hunter College**, she was a New Yorker who basked in the public eye for decades - as Miss America in 1945, as a television personality, and as a force in public affairs who was a friend of **CUNY**. She died on December 14th. Condolences to her family.
- **CUNY** will deeply miss Dr. Juan Flores who passed away on December 2nd. A Queens native, he received his Bachelor's degree from **Queens College**, and received his Master's and Terminal degrees in German from Yale University. In 1975, he started working at Centro—the Center for Puerto Rican Studies at **Hunter College**, where the Latino Studies curriculum was being developed. Through this, he was hired as a Professor of Black and Puerto Rican Studies and taught for many years before moving to NYU in 2006. Deepest condolences to his family.
- The passing of another friend of **CUNY**, former State Senator Owen Johnson, who served for forty years in the New York State Senate before stepping down two years ago. He was vice chair of the State Senate Higher Education Committee and chair of the Senate Finance Committee. He passed away on December 24th. He will be deeply missed.
- The passing of Steven Levine, an uncommonly kind man with rare depth who was the coordinator for educational programs at the LaGuardia Wagner Archives. He will be especially remembered as an integral leader of the team that has created **CUNY's** elegant calendars for nearly a decade. Condolences to his family.
- Dr. Alex Chigogidze passed away on December 15th. He was a vibrant leader at the **College of Staten Island** who served as the Dean of Science and Technology. Condolences to his family.
- And, deepest sympathies to Charmaine Worthy of **CUNY's Office of Student Affairs** on the passing of her father on January 12th.

B. COLLEGE AND FACULTY HONORS: Chairperson Schmidt called on Trustee Valerie Lancaster Beal, who announced the following:

1. The **CUNY School of Professional Studies** was ranked in the top fifteen percent of *U.S. World & News Report's* list of the “2015 Best Online Bachelor's Degree Programs”. Of the 214 institutions listed, **CUNY SPS** ranked third. Congratulations!
2. **Baruch College** was ranked as the number one feeder school to Morgan Stanley by *Business Insider*, and number four among “Top Colleges for Finance and Financial Management” by *USA Today*. Congratulations!

C. STUDENT AND ALUMNI HONORS: Chairperson Schmidt called on Trustee Rita DiMartino, who announced the following:

1. Three **CUNY** students are recipients of the prestigious Benjamin A. Gilman Scholarship for Spring 2015, sponsored by the U.S. Department of State. Gilman Scholars receive up to \$5,000 to apply towards their study abroad program costs. The aim of the program is to support students who do not traditionally study abroad. The winners are: Stephanie Petit-Homme of **City College**; Martania McDonald of **Lehman College**; and Elenore Zuniga, **CUNY BA/BS Program at Hunter College**. Congratulations!

2. Twenty-five **CUNY** community college students are recipients of the Guttman Transfer Scholarship for Spring 2015, sponsored by the Stella and Charles Guttman Foundation. Guttman scholars receive up to \$4,000 scholarships paid over two years to apply towards their transfer to one of the five eligible **CUNY** senior colleges: **Brooklyn College, City College, Hunter College, Lehman College** and **Queens College**. Congratulations!
 3. **Brooklyn College** student Keelie Sheridan is one of twelve students selected for the George J. Mitchell Scholarship, which provides tuition, housing and a stipend for travel and living expenses for study in Ireland and Northern Ireland. Congratulations!
- D. GRANTS: Chairperson Schmidt** presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the December 1, 2014 Board meeting.

BROOKLYN COLLEGE

1. \$331,682 **NATIONAL SCIENCE FOUNDATION** to Laura Rabin, for "REU Site: Intensive Mentored Research Experience for Undergraduates in Clinical, Cognitive, and Behavioral Neuroscience at an Urban Public College."
2. \$152,604 **NATIONAL INSTITUTES OF HEALTH** to Yu Gao, for "Biomarkers for Conduct Problems: Abnormal Conditioning to Punishments and Rewards."
3. \$103,029 **NEW YORK CITY DEPARTMENT OF YOUTH & COMMUNITY DEVELOPMENT** to Nancy Romer, for "NDA Project Peace/Deeds – Jefferson."

CITY COLLEGE

1. \$1,444,471 **NATIONAL INSTITUTES OF HEALTH** to Mark Emerson, for "Transcriptional Regulation of Cone Photoreceptor Genesis."
2. \$358,800 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to Shubha Govind, for "Does Spaceflight Alter the Virulence of a Natural Parasite of *Drosophila*?"
3. \$330,000 **NATIONAL SCIENCE FOUNDATION** to Gilda Barabino, for "Collaborative: Academic Career Enhancement for Underrepresented Faculty in Engineering."
4. \$272,334 **NATIONAL INSTITUTES OF HEALTH** to Koder Ronald, for "Structural and Thermodynamic Features which Govern Enzymatic Nitric Oxide Detoxify."
5. \$240,716 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to Alex Gilerson, for "Development of a Methodology for the Retrieval of Characteristics of Water Constituents from Satellite Polar Metric Observations."
6. \$225,000 **WHITEHALL FOUNDATION, INC.** to Hysell Oviedo, for "Structure and Function of Unique Connectivity Motifs in the Auditory Cortex."
7. \$171,819 **NATIONAL SCIENCE FOUNDATION** to Gilda Barabino, for "Collaborative: Academic Career Enhancement for Underrepresented Faculty in Engineering."
8. \$150,085 **NATIONAL SCIENCE FOUNDATION** to Khalid Bou-Rabee, for "On the Representation Theory of Finitely Generated Groups."
9. \$138,750 **DOD/MILITARY DEPARTMENTS** to Vinod Menon, for "Enhanced Light Emitters."
10. \$112,500 **DEPARTMENT OF COMMERCE** to Reza Khanbilvardi, for "NOAA Crest Center."

GRADUATE SCHOOL AND UNIVERSITY CENTER

1. \$3,371,477 **NEW YORK CITY HUMAN RESOURCES ADMINISTRATION OFFICE OF CHILD SUPPORT ENFORCEMENT** to John Mogulescu, for "Energy Code Training."
2. \$1,782,586 **NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES DIVISION OF ENERGY MANAGEMENT** to John Mogulescu, for "FY15 Energy Management Training Program."
3. \$287,000 **NEW YORK CITY OFFICE OF EMERGENCY MANAGEMENT** to John Mogulescu, for "NYC Coastal Storm Plan Task Order #12 – Sahana Maintenance and Support."

HUNTER COLLEGE

1. \$2,704,400 **PHS/NIH/NATIONAL INSTITUTE ON MINORITY & HEALTH DISPARITIES** to Jesus Angulo, for "Research Center in Minority Institutions: Center for Gene Structure and Function."
2. \$1,197,141 **NEW YORK STATE EDUCATION DEPARTMENT** to Matthew Caballero and Rachel Chase, for "2013-2016 21st Century Community Learning Centers Program."
3. \$692,704 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Multicomponent Intervention to Reduce Sexual Risk and Substance Abuse."
4. \$689,429 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Syndemics & Resilience for HIV Transmission in a National Sample of Vulnerable Men."
5. \$619,997 **NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION** to Allan Frei, for "Climate Change Integrated Modeling Project."
6. \$619,996 **PHS/NIH/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Sarit Golub, for "Intervention to Enhance PrEP Uptake & Adherence in a Community-Based Setting."
7. \$600,138 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Regina Miranda and Vanya Quinones-Jenab, for "BP-Endure at Hunter."
8. \$599,964 **NATIONAL SCIENCE FOUNDATION** to Lynn Francesconi, C. Michael Drain, and Pamela Mills, for "IGERT: Returning the Radio to Chemistry: Integrating Radiochemistry into a Chemistry Ph.D. Program."
9. \$560,944 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Ana Paulino, for "Mental Health Scholarship Program/One Year Residency."
10. \$536,806 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for "Intervention Targeting Substance using Older Adults with HIV."
11. \$485,000 **PHS/NIH/NATIONAL INSTITUTE ON MINORITY & HEALTH DISPARITIES** to Jesus Angulo, for "Research Center in Minority Institutions: Center for Translational & Biomedical Research."
12. \$437,173 **NATIONAL SCIENCE FOUNDATION** to Sarah Bonner, Pamela Mills, and William Sakas, for "MSPinNYC2 – A New Partnership to Transform Urban Secondary School Mathematics & Science Experiences."
13. \$385,417 **PHS/NIH/NATIONAL CANCER INSTITUTE** to Michael Hoyt, for "Emotion-Regulation, Inflammatory Processes, & Depression in Prostate Cancer Survivors."
14. \$362,248 **PHS/NIH/NATIONAL LIBRARY OF MEDICINE** to Lei Xie, for "Drug Discovery by Integrating Chemical Genomics & Structural Systems Biology."

15. \$350,000 **ROBIN HOOD FOUNDATION** to Roger Sherwood, for "Project for Return & Opportunities in Veterans Education."
16. \$350,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Elaine Walsh, for "Liberty Partnership Program."
17. \$349,984 **HHS/HEALTH RESOURCES & SERVICES ADMINISTRATION** to Gail McCain and Kenya Beard, for "Nursing Workforce Diversity."
18. \$346,875 **PHS/NIH/NATIONAL INSTITUTE OF MENTAL HEALTH** to Tracy Dennis, for "Attention Bias Modification for Anxiety: A Randomized Control Trial with Biomarkers."
19. \$337,638 **PHS/NIH/NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS** to Ofer Tchernichovski, for "Behavior Mechanisms of Vocal Imitation."
20. \$300,960 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Paul Feinstein, for "Regulation of Odorant Receptor Gene Expression."
21. \$298,500 **DOD/OFFICE OF NAVAL RESEARCH** to Steven Greenbaum, for "Broadband Nuclear Magnetic Relaxometry Studies on Energy Storage Materials."
22. \$274,639 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Daniel Herman, for "Behavioral Health Care Coordination – Training."
23. \$273,896 **CDC/NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION & HEALTH PROMOTION** to Lorna Thorpe, for "New York University, City University of New York Health Promotion & Prevention."
24. \$255,917 **PHS/NIH/NATIONAL CANCER INSTITUTE** to David Foster, for "Dysregulated Metabolic Cell Cycle Checkpoints in Human Cancer."
25. \$250,000 **BREAST CANCER RESEARCH FOUNDATION** to Jill Bargonetti, for "Estrogen Driven Mdm2 Signaling and Mutant p53 Signaling in Breast Cancer."
26. \$250,000 **HOWARD HUGHES MEDICAL INSTITUTE** to Shirley Raps, for "Howard Hughes Medical Institute Undergraduate Science Education Program."
27. \$229,973 **FIRMENICH, INC.** to Paul Feinstein, for "Gene Targeting of Human Odorant Receptors."
28. \$221,625 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Jamie Bleiweiss, for "Professional Development Services in Special Education."
29. \$220,000 **UNITED STATES DEPARTMENT OF EDUCATION** to Carol Oliver, for "Ronald E. McNair Post-Baccalaureate Achievement Program."
30. \$213,339 **PHS/NIH/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Joel Erlich, for "Behavioral Dysregulation: Impact on Alcohol Cue-Reactivity and Demand for Alcohol."
31. \$210,871 **PHS/NIH/FOGARTY INTERNATIONAL CENTER** to Corina Lelutiu-Weinberger and Sarit Golub, for "Building Mobile Health HIV-Prevention Capacity for MSM in Romania."
32. \$187,466 **COLUMBIA UNIVERSITY/NATIONAL INSTITUTE OF MENTAL HEALTH** to Denis Nash, for "Multi-Level Determinants of Late Art Initiation in Sub-Saharan Africa."
33. \$186,800 **TEACH FOR AMERICA** to Matthew Caballero, for "Graduate Educational Services."
34. \$182,945 **JOHN TEMPLETON FOUNDATION** to Janos Bergou and Mark Hillery, for "Decision Problems in Quantum Information."

35. \$169,847 **MT. SINAI/NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY & HEALTH** to Jack Caravanos, for “Educational Resource Center: Industrial Hygiene.”
36. \$155,699 **YALE UNIVERSITY/NIH** to Jeffrey Parsons, for “Intervention Development for Social Stress, Mental Health and HIV Risk Among MSM.”
37. \$149,013 **NORTHWESTERN UNIVERSITY/NATIONAL INSTITUTE ON DRUG ABUSE** to Jeffrey Parsons, for “Efficacy of Internet-Based HIV Prevention.”
38. \$140,698 **LAVELLE FUND FOR THE BLIND, INC.** to Rosanne Silberman, for “Training Program for Teachers of Orientation & Mobility Skills for People with Visual Impairments.”
39. \$124,143 **NATIONAL SCIENCE FOUNDATION** to William Solecki, for “Belmont Forum-G9 Initiative Collaborative Research: Transformation & Resilience on Urban Coasts.”
40. \$117,045 **FRED HUTCHINSON CANCER RESEARCH CENTER/NATIONAL CANCER INSTITUTE** to Levi Waldron, for “Cancer Genomics: Integrative & Scalable Solutions in R/Bioconductor.”
41. \$116,142 **UNIVERSITY OF CALIFORNIA – BERKELEY** to Shiro Horiuchi, for “Variability of Morality Levels & Trends by State in the United States.”
42. \$114,750 **PHS/NIH/NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Diego Loayza, for “Roles of Lim Domain Proteins Trip6 and LPP at Telomeres.”
43. \$108,039 **DOD/AIR FORCE OFFICE OF SCIENTIFIC RESEARCH** to Steven Greenbaum and Yuhang Ren, for “Linear & Nonlinear Spectroscopic Studies of Linear & Nonlinear Dielectrics & Interfaces.”
44. \$106,475 **NATIONAL SCIENCE FOUNDATION** to Kelle Cruz, for “Collaborative Research: Deciphering Brown Dwarf Spectra: Disentangling Temperature, Age, Metallicity, Cloud Signatures.”
45. \$105,331 **PHS/NIH/NATIONAL INSTITUTE ON DRUG ABUSE** to Jesus Angulo, for “Summer Program for Undergraduate Research at the Center for Gene Structure.”
46. \$104,525 **NATIONAL ENDOWMENT FOR THE HUMANITIES** to Jennifer Hayashida, for “Asian Americans in New York City Literature & Film.”
47. \$100,000 **NATIONAL SCIENCE FOUNDATION** to Thomas Preuss, for “Multimodal Integration in a Decision-Making Neuron.”

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$240,120 **CITY OF CHATTANOOGA** to David Kennedy, for “Reducing Serious Violence in Chattanooga, Tennessee.”
2. \$229,450 **UNITED STATES DEPARTMENT OF EDUCATION** to David Barnet, for “Title V – Enhancing Student Success in Criminal Justice with Bronx Community College.”
3. \$201,619 **DEPARTMENT OF HEALTH AND MENTAL HYGIENE (DOHMH)** to Anne Lopes and Jeffrey Coots, for “From Punishment to Public Health (P2PH).”
4. \$150,000 **THE JOHN D. AND CATHERINE T. MACARTHUR FOUNDATION** to David Kennedy, for “A National Strategy to Reduce Violence, Eliminate Drug Markets, and Promote Racial Reconciliation.”

KINGSBOROUGH COMMUNITY COLLEGE

1. \$117,750 **NEW YORK STATE OFFICE OF TEMPORARY & DISABILITY ASSISTANCE** to Natalie Bredikhina, for "Venture to Success FSET Program."
2. \$102,602 **NATIONAL INSTITUTE OF HEALTH & GENERAL MEDICAL SCIENCES** to Mary Ortiz, for "Medgar Evers College-Kingsborough Community College Bridges to the Baccalaureate Program."

LEHMAN COLLEGE

1. \$441,503 **HEALTH RESOURCES AND SERVICES ADMINISTRATION** to Joy Greenberg, for "Culturally Informed Behavioral Health Services for At-Risk Children, Adolescents, and Transitional – Age Youth and their Families in the Bronx and Surrounding Communities."
2. \$334,265 **PERFECT CHOICE STAFFING** to Marzie Jafari, for "RN Completion – MSN Program."
3. \$307,290 **NEW YORK CITY ECONOMIC DEVELOPMENT CORPORATION** to Marzie Jafari, for "Low Income Fast Track Program."
4. \$245,399 **NEW YORK STATE EDUCATION DEPARTMENT** to Marcie Wolfe, for "EL CIVICS Workforce Investment Act."
5. \$232,733 **NEW YORK STATE EDUCATION DEPARTMENT** to Anne Rothstein, for "Science and Technology Entry Program: Mathematics and Science through Excellence and Research."

NEW YORK CITY COLLEGE OF TECHNOLOGY

1. \$200,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Ivonne Barreras, for "Teacher Leader Quality Partnership Program."

QUEENS COLLEGE

1. \$581,788 **DEPARTMENT OF COMMERCE/NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION** to Peter Schmidt, for "Into the Woods."

COLLEGE OF STATEN ISLAND

1. \$350,000 **NEW YORK STATE EDUCATION DEPARTMENT** to A. Ramona Brown, for "Liberty Partnership Program."
2. \$238,041 **NEW YORK STATE DEPARTMENT OF HEALTH** to Zaghoul Ahmed, for "Investigating the Effects of Trans-Spinal Direct Current Stimulation on Muscle Tone and Functional Recovery in Mice with Spinal Cord Injury."
3. \$220,742 **NEW YORK STATE EDUCATION DEPARTMENT** to A. Ramona Brown, for "CSTEP."
4. \$135,314 **DEPARTMENT OF HEALTH AND MENTAL HYGIENE** to Kenichi Iwama, for "Creative Exchange Program."
5. \$109,000 **NEW YORK CITY COUNCIL** to A. Ramona Brown, for "Black Male Initiative."

6. \$106,968 **NEW YORK STATE EDUCATION DEPARTMENT** to Ken Gold, for “Race to the Top.”

YORK COLLEGE

1. \$484,745 **CORNELL UNIVERSITY** to Timothy Paglione, for “Improving the Graduation and Transfer Rates of Community College and Technical School Science Technology Engineering Mathematics (STEM) Students from Underrepresented Groups.”
2. \$150,000 **NEW YORK STATE** to Harry Wells, for “The EB-5 Immigrant Investor Visa Program.”

E. ORAL REPORT OF THE CHANCELLOR: Chancellor James B. Milliken presented the following report:

I am happy to welcome all of you to the first meeting of the new year; and, what I predict will be the shortest of the year. I do have a few things I want to report, but I am aware that if there was ever an interest in brevity it is this afternoon. As you know, following the Governor’s and Mayor’s example, we closed the University at 3:00 p.m. today to allow people to get home and we will be closed except for essential services tomorrow.

I would like to take a few moments to highlight some major items. First, President Obama’s Community College Initiative. While there has been a range of reactions to the President’s proposal, there has been widespread support of the need to increase timely completion at community colleges nationwide. In the President’s announcement, **CUNY** was singled out for Accelerated Study in Associate Programs (ASAP). The president cited ASAP as a model program for moving students quickly and successfully to graduation, a program that other community colleges ought to emulate.

The press, notably *Bloomberg News*, *The New York Daily News* and *The New York Times*, while not necessarily embracing the free community college initiative, specifically focused on the great value of **CUNY**’s ASAP program, which had an extraordinary 57 percent three-year graduation rate—over three times the national rate. Copies of those articles are at your places.

I know that like me, you are gratified that **CUNY**’s ASAP has become a standard bearer for best practices in community college persistence and graduation efforts. But we should remember that ASAP began as a small pilot program in 2007, led by **Senior University Dean John Mogulescu**’s team, and the fruits of these experiments often take time to ripen.

There will be much continued attention given to graduation rates for all colleges and universities, at the national, state and local levels.

Of course we must urge some caution because not every student and institution starts at the same place. It is well-known that on average the chances for graduation correlate strongly with education level of parents and increasingly, income. For instance, the chances for graduation of students from families in the top quintile of wealth is 54 percent and the chances for students in the lowest quintile is 9 percent. **CUNY**’s mission will continue to be access and affordability in the broadest sense and so we will have the challenges that accompany that. In the end, a measurement of value added by an institution is appropriate and on that score I am convinced we will do well.

I am also confident that we will see steady improvement, thanks in part to the Mayor’s investment in ASAP and STEM programs. That is why I announced at the White House College Opportunity Day of Action that **The City University of New York** is committed to graduating 15,000 additional associate degree students over the next decade, including 6,500 by 2020, by expanding **CUNY**’s highly successful preparatory initiatives. This was one of the few programs highlighted by the White House at the meeting.

The Governor's Executive Budget has been released and will continue to be reviewed carefully by our budget and finance team. I provided a summary earlier today and **Vice Chancellor Matthew Sapienza** is here to respond to any questions I cannot handle.

On the operating side, for the senior colleges the Executive proposal increases the appropriation by \$70.7 million. This increase is comprised of \$12 million tied to the creation of performance incentive plans, one of the new initiatives proposed by the Governor, and an additional revenue appropriation of \$60.8 million, from the Fall 2015 tuition increase. Legislative appropriations for SEEK and the Murphy Institute were not included in the budget proposal. The Executive Budget also did not cover any new mandatory cost increases. For the community colleges, the Executive Budget maintains base aid at current year levels. Legislative allocations for the ASAP Program and Child Care centers were not included.

Some of the other major new initiatives proposed by the Governor include community school grants for community colleges, the Get on Your Feet Loan Forgiveness Program, and the standardization of financial aid award letters. The University will continue working with the Office of the Governor and the Legislature during the Executive Budget review process.

We are also pleased to see the Governor's support for the New York Dream Act, which will offer state supported tuition to students whose parents are undocumented immigrants. As you know, **CUNY** had a record 678 applicants for the DREAM.US Scholarship (and a record 242 scholarship winners), so this would be indeed good news to the students who were not fortunate enough to win a scholarship.

Governor Cuomo has proposed about \$3 million dollars for the New York Early Childhood Professional Development Institute, led by **CUNY's** Informal Family Child Care Training Project (R.F.) Executive Director Sherry Cleary. I am a strong supporter of investment in early childhood education and development as a necessary part of the strategy to meet our education attainment goals, and you will hear more from me about this in the future.

Colleges and universities are catalysts for economic development and I was pleased to see the Governor's proposed additional funding for **CUNY** 2020 and Start-Up NY funding.

I will be testifying in support of our budget before the Senate Finance Committee and the Assembly Ways and Means Committee on February 10th and will have more to report next time we meet.

I am pleased to note that on February 3rd, Mayor de Blasio will be delivering The State of The City report at **Baruch College**. Thus, we will soon be enlightened about both City and State.

I want to report that preliminary data indicate that the enrollment for our January 2015 winter sessions across **CUNY** will exceed 21,000. That represents an increase of more than 20 percent compared with last year, and a six fold increase from 2006 enrollments. This is good work on the part of our college campuses and should result in better graduation rates going forward.

As a final note, **CUNY** has reached an agreement with Pepsi Cola Bottling Company of New York for \$21 million. There will be over 5 million Pepsi trucks throughout the city featuring **CUNY's** key messages, including, "high-quality academics PLUS 80% graduate debt-free." I would like to commend **CUNY** staff who negotiated this agreement and thank the Pepsi Cola Bottling Company of New York for their support of **CUNY**.

With that I will conclude my report this snowy day. I am happy to respond to any questions or perhaps take them by email at home following the meeting. Thank you.

Vice Chancellor Sapienza stated that as **Chancellor Milliken** mentioned an analysis was sent out to all the Trustees earlier today and it will be posted to the **CUNY** website in the next day or so. He added that

the **CUNY** Budget Request was also sent out to all of the Trustees recently and it is also available on the website.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 5)

NO. 1. CHANCELLOR'S UNIVERSITY REPORT: RESOLVED, That the Chancellor's University Report for January 26, 2015 (including Addendum and Errata Items) be approved:

NO. 2. APPROVAL OF MINUTES: RESOLVED, That the minutes of the regular Board meeting of December 1, 2014 be approved.

NO. 3. COMMITTEE ON FISCAL AFFAIRS: RESOLVED, That the following items be approved:

A. LAGUARDIA COMMUNITY COLLEGE - CHILLER INSTALLATION:

RESOLVED, That the Board of Trustees of The City University of New York authorize the General Counsel to execute a contract on behalf of LaGuardia Community College to purchase and install a new chiller in Shenker Hall. The contract(s) shall be awarded to the lowest responsive and responsible bidder(s) after public advertisement and sealed bidding by the College pursuant to law and University Regulations. Such purchase shall not exceed a total estimated cost of \$600,000, during the fiscal year ending June 30, 2015. The contract(s) shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: College will replace the obsolete and inoperable chiller with a new energy-efficient and environmentally friendly chiller. The college will not be able to maintain proper temperature and humidity in the building during cooling seasons without replacing the chiller. The chiller equipment and the installation services may be purchased separately or from one vendor.

B. HUNTER COLLEGE, SCHOOL OF ARTS AND SCIENCES - ACADEMIC EXCELLENCE FEES FOR GRADUATE PROGRAMS:

RESOLVED, That The City University of New York adopt a schedule of academic excellence fees for students in Master of Fine Arts program in the School of Arts and Sciences at Hunter College, effective with the Fall 2015 semester and as detailed below:

Masters of Fine Arts Programs in Studio Art

Resident Full-time students:	\$40 per credit
Resident Part-time students:	\$40 per credit
Non Resident Full-time students:	\$40 per credit
Non Resident Part-time students:	\$40 per credit

EXPLANATION: The Hunter College MFA Program in Studio Art is one of the most highly selective programs in the nation. The challenges of keeping abreast of the rapid advancements in the technologies and offering focused training for students make it critical to have an academic excellence fee to reinvest in the program. The College will continue to administer financial aid to assist students with the completion of their studies, and to bear the basic operating cost of studio art facilities.

The program is highly competitive, due to its excellent programming and its affordability when compared to other leading East Coast MFA programs. This unique blend of quality education and low cost attracts a diverse and exceptional student body.

The AEF will provide additional resources, particularly for equipment, for special programs like lectures and panel discussions, and to promote its visibility in the broader arts world and thus enhance career opportunities for its students. Furthermore, the fee-generated revenue will support the purchase of course materials and leverage the purchasing power of the College to reduce prices paid. Lastly, the fee can be used to raise the profile of the program and its students, a key element in giving them a market advantage in a competitive environment.

C. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - PURCHASE OF FURNITURE:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Haworth Inc. against existing State of New York Contract No. PC66270, pursuant to law and University regulations. Such purchases shall not exceed a total estimated cost of \$2,200,000 chargeable to tax levy funds for the fiscal year ending June 30, 2015.

EXPLANATION: Borough of Manhattan Community College will use this contract for various relocation, renovation, and expansion projects at 199 Chambers Street, including projects in connection with damages sustained due to Hurricane Sandy.

D. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - PURCHASE OF CONSTRUCTION SERVICES:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Construction Force Services, Inc. for the purchase of various building construction trade services against existing State of New York Contract No. PS65908, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$1,000,000 chargeable to tax levy funds for the fiscal year ending June 30, 2015.

EXPLANATION: Borough of Manhattan Community College will use this contract for building construction trade work to repair areas affected by Hurricane Sandy, furniture installation, and to install parts for sustainability and return on investment (ROI) projects at 199 Chambers Street.

NO. 4. COMMITTEE ON FACULTY, STAFF, AND ADMINISTRATION: RESOLVED, That the following items be approved:

A. THE CITY UNIVERSITY OF NEW YORK - AMENDMENT OF POLICY GRANTING PROFESSOR EMERITUS STATUS:

RESOLVED, That the policy regarding the granting of Professor Emeritus status, adopted by the Board of Higher Education on November 16, 1942, be amended as follows, effective January 27, 2015:

NOTE: New material is underlined; deleted material is struck out.

The title of Professor Emeritus shall be automatically conferred upon all full professors who have honorably retired after a period of service in the institution of more than ten years.

The title may be conferred upon other persons In addition, individuals holding of professorial titles who have rank after-honorably retired ~~ment may also be designated as "emeritus" in their professional title, regardless of the period of service, if the college president agrees with the affirmative recommendation of the relevant department personnel and budget committee and the college personnel and budget committee.~~ if the department, the President, and the Board of Trustees agree.

EXPLANATION: The title of Professor Emeritus is essentially an honorary one, bestowed in gratitude to faculty members who have devoted a significant number of years of their professional lives to CUNY.

The title comes with no financial remuneration. While the Board of Trustees' criteria for automatic conferral of the title are retained, this amendment allows College Presidents to confer the title on additional faculty members holding professorial titles, if this action is affirmatively recommended by appropriate campus personnel and budget committees.

B. BROOKLYN COLLEGE - AMENDMENT TO THE GOVERNANCE PLAN:

RESOLVED, That the proposed amendment to the Governance Plan of Brooklyn College be adopted, effective February 1, 2015.

EXPLANATION: The proposed amendment to the Governance Plan of Brooklyn College would modify the second level review of promotion and tenure candidates by School Promotion and Tenure Review Committees, eliminating chair and candidate interviews. The amendment was approved by the Brooklyn College Policy Council on December 3, 2014, and is recommended by the College's President.

Under the current Governance Plan, the school committees interview each candidate and also interview the chairperson of that candidate's department. This practice constitutes a departure from best practices nationwide. While the stated purpose of the interviews is to clarify the written record, they also serve as a distraction from that record, which should be the sole basis for promotion and tenure decisions. The Governance Plan will now provide instead that the school committees meet and discuss candidates with the Dean of their respective schools in order to clarify and explain the written record.

NOTE: New material is highlighted and underlined; deleted material is struck out in red font.

Brooklyn College Governance Plan

(Amended and approved by the CUNY Board of Trustees on April 28, 2014)

Article I. The Policy Council

- A. The membership of the Policy Council shall consist of an equal number of administrators, faculty and students:
 1. Members of the Administration to be designated as follows:
 - a. the President of Brooklyn College who shall chair the Policy Council;
 - b. Additional members of the administration selected by the President from among the following: vice presidents, assistant vice presidents, deans.
 - c. Any additional members needed to bring the total members of the Administration to 10, which shall be the minimum. These members are to be selected in a manner determined by the members of the administration designated in 1(b) above with the approval of Policy Council.
 2. Members of the faculty are to be chosen as follows:
 - a. one representative of the Steering Committee of Faculty Council;
 - b. one representative of the Liaison Committee of the Council on Administrative Policy;
 - c. Faculty members at large (except administrators with a title of assistant dean or above) to bring the total number of faculty representatives to the same number as members of the Administration, to be elected biennially in May, to serve in the following two academic years, in a manner to be determined by Faculty Council with the approval of Policy Council.

Faculty members at large may be reelected.
 3. Students are to be chosen as follows:

- a. the three presidents of the three student government bodies;
 - b. Additional students to bring the total number of student representatives to the same number as administrators, to be selected annually in a manner determined by the Student Governments with the approval of Policy Council.
 4. Should the number of members of the Administration, as determined in section 1, change during the biennial period commencing with the faculty election, the following procedures shall be followed;
 - a. If the number increases, an equal increase in the membership of faculty and students shall be effected, by the selection process indicated in 2.c. and 3.b.;
 - b. If the number decreases, the additional members to bring the Administration membership to the same level as that of the faculty and students shall be selected in a manner determined by the Administrators designated in 1(a)-(b) above with the approval of Policy Council.
 5.
 - a. If one of the members designated in paragraph 1. a or b above shall be unable to serve for an entire semester or for the balance of a semester, a replacement shall be selected in the manner used in paragraph 4.b.
 - b. If one of the student government presidents shall be unable to serve for an entire semester or for the balance of a semester, a replacement shall be selected by the student government presidents.
 6. Each constituency (administrators, faculty, and students) shall choose three alternates to serve with voice and vote at any meeting in the absence of their respective members.
- B. The Policy Council shall meet at least three times a semester, and more frequently if necessary. Special meetings may be called by the President or by the Executive Committee and shall be called at the request of any eight members of the Policy Council.
- C. The functions of Policy Council shall be as follows:
1. Recognizing the legislative authority of Faculty Council in matters of curriculum and degree requirements, of the Student Governments in matters of student affairs, of the Council on Administrative Policy and the Promotions and Tenure Committee on matters of personnel, the Policy Council may make recommendations in all areas of the operation of the college to the President.
 2. The Policy Council shall serve as a forum for discussing issues and problems of general college concern; shall advise the President both in response to his/her requests for counsel and upon its own initiative; shall provide through its existence a formal body for each constituent group to express its viewpoint and to communicate with other elements of the college community; and shall submit recommendations for action as appropriate to the Faculty Council, to the Council on Administrative Policy, and to the student governments.
 3. The Policy Council shall be responsible for approving any and all new governance proposals and assuring that they meet standards of the Trustees of The City University of New York and standards of Brooklyn College. The Policy Council shall determine that the proposals provide a role for students,

faculty, and administrators. The Policy Council shall also monitor the functions of individual legislative and administrative bodies to assure that no constituent body exceeds its jurisdiction.

4.
 - a. The Policy Council shall establish standing committees which shall be responsible for continuing study of and reporting on college policy. The Policy Council shall also have the authority to appoint and charge ad hoc committees as it sees fit. In the case of both standing committees and ad hoc committees, the Policy Council may draw students, faculty, or administrators including members of the support staff from the college community at large. In addition to the college's constituencies represented on the Policy Council, there are other groups which should be consulted, such as community people and alumni.
 - b. With regard to goals, priorities, policy guidelines, budget and the overall direction of the college, the President shall work closely with the appropriate Policy Council committees.
 - c. The Policy Council shall prepare its own bylaws consistent with this governance plan and the Bylaws of the Board of Trustees of The City University of New York.

Article II. The Faculty

- A. The faculty shall consist of all persons having faculty rank or status. It shall conduct the educational affairs customarily cared for by a college faculty. It shall make its own bylaws consistent with this governance plan and Bylaws of the Board of Trustees of The City University of New York. It shall meet at least once each semester, or more often, at the call of the President or by petition of ten percent of its members, and shall be responsible for the formulation of policy relating to the admission and retention of students, including health and scholarship standards; student attendance, including leaves of absence; curriculum; awarding of college credit; granting of degrees. Meetings of the Faculty shall be chaired by the President.
- B. The Faculty Council shall be the legislative body of the Faculty and shall have all the responsibilities of a faculty, as exercised heretofore under the Bylaws and Policy Statements of the Board of Trustees of The City University of New York subject only to the review of the Faculty.
- C.
 1. The Faculty Council shall consist of all of the following, a) through d):
 - a. all department chairpersons.
 - b. an additional delegate of faculty rank or faculty status from each department elected, or reelected, for a term of three years by department members of faculty rank or faculty status. Departments shall elect an alternate delegate to serve with voice and vote at any meeting in the absence of the department delegate.
 - c. delegates-at-large of faculty rank or faculty status, equal to the number of instructional departments, elected in a manner determined by Faculty Council. Delegates at-large shall be elected for a term of three years and may be reelected.
 - d. a maximum of five directors of programs in academic areas which meet criteria established by Faculty Council for this purpose and/or are individually designated by Faculty Council.
 2. The President, the vice presidents, the assistant vice president(s), full

deans, director of student records and business manager may attend Faculty Council meetings with voice, but without vote. At each meeting, the President may present a report to Faculty Council.

3. Fifty percent of the voting members of Faculty Council shall constitute a quorum unless the faculty shall adopt a bylaw requiring a larger number.
- D. The Faculty Council shall function through a system of standing and ad hoc committees consisting of faculty and student members as designated in each committee charge. There shall be a Steering Committee whose composition and charge are determined by the Faculty Council Bylaws. The Steering Committee shall meet regularly with the President to apprise him/her of the agenda of each Faculty Council meeting and to discuss any matters of interest to the Faculty. With regard to goals, priorities, policy guidelines, budget, and the overall direction of the college, the President shall work closely with the appropriate Faculty Council committees.
- E. The Faculty Council shall prepare its own bylaws consistent with this governance plan and the Bylaws of the Board of Trustees of The City University of New York.

Article III. Departments

- A. The chairperson of each department shall be elected in accordance with the Bylaws of the Board of Trustees of The City University of New York, Section 9.1(b), from among professors, associate professors, and assistant professors by all members of the department with faculty rank or faculty status for a term of three years. He/she shall be the executive officer of the department, shall carry out the department's policies, and shall perform the duties of the chairperson in accordance with Bylaw Section 9.3. Each department, subject to the approval of Faculty Council, shall have control of the educational policies of the department through the vote of all members of faculty rank or faculty status.

In all departmental elections an affirmative vote shall be determined by satisfaction of the following:

1. There must be an affirmative vote of a majority of those present and eligible to vote at a duly constituted election meeting of the Department, a quorum being present;
 2. A quorum shall be defined as a majority of the adjusted membership of the Department;
 3. the adjusted membership of the Department shall be defined as the whole membership of the Department eligible to vote, less those on leaves of absence, those in full-time administrative positions outside the Department, and those without assignment within the Department for a continuous period of two years prior to the election.
 4. Members of any Brooklyn College Personnel Committee shall not be eligible to vote on their own candidacies, and for purposes of such a candidacy the whole number of voting members of the committee shall exclude the candidate.
- B. The department appointments committee in accordance with Bylaw Sec. 9.1(e) shall consist of the chairperson and four members of faculty rank elected by the department members of faculty rank or faculty status for a term of three years. The chairperson of the department shall be the chairperson of the committee. The committee shall be responsible for all recommendations of appointments, reappointments, leaves and tenure. It shall take into consideration student

evaluations of professional performance in all matters of reappointment and tenure.

- C. The curriculum committee shall consist of faculty members and of students who are majors in the department. It shall consider and make recommendations concerning curricular proposals for department action; department action will be transmitted to the appropriate graduate or undergraduate Committee on Curriculum and Degree Requirements of Faculty Council.
- D. Promotion recommendations shall be made in accordance with Section 9.8 of the Bylaws of the Board of Trustees of The City University of New York. Student evaluations of professional performance shall be taken into consideration.
- E. Such other committees (standing and ad hoc) shall be created as the department shall decide. All committees shall keep minutes of their proceedings in conformity with Robert's Rules of Order, latest revised edition.

Article IV. The Council on Administrative Policy

- A. The Council on Administrative Policy (CAP) shall consist of the vice presidents, the assistant vice presidents, the deans, the chairpersons of departments, and such non-voting guests as the President may designate. The number of administrators eligible to vote shall not exceed the number of chairpersons of departments. The Council on Administrative Policy shall be chaired by the President and shall meet at least once a month or more often, at the call of the President or an individual member.

It shall discuss issues of importance to the college and its educational mission and make recommendations to the President. The President shall present to CAP, in timely fashion, any significant plans of the administration in the areas of general Educational Policy, Planning, Priorities, Personnel and Budget for discussion and advice. It shall establish, in conformity with the Bylaws of the Board of Trustees and the Governance Plan of Brooklyn College, standards and policies concerning Personnel. It furthermore shall serve as a principal means of communication between the President and the operating departments of the college.

- B. There shall be a Liaison Committee of CAP consisting of five chairpersons, one from each of the five schools (i.e. Business, Education; Humanities and Social Sciences; Natural and Behavioral Sciences; Visual, Media and Performing Arts), to be elected annually. The Liaison Committee of CAP shall have a chairperson. Only department chairpersons shall vote in this election. The Liaison Committee shall serve as the chairpersons' advisory group to the President. Acting as a conduit of information between the President and the chairpersons, it shall meet regularly with the President and/or the Provost to discuss and consult on issues of importance to the college including but not limited to Policy, Planning, Priorities and Budget, as these issues are being formulated and developed by the Administration. It shall help develop, with the President and/or the Provost, the agenda for the CAP meeting.
- C. There shall be a secretary of CAP to be elected by the body as a whole from among the chairpersons.

Article V. College-wide Committee on Personnel and Budget

- A. The College-wide Committee on Personnel and Budget shall consist of all chairpersons of the departments who shall be voting members as well as the President, the Provost, the Associate Provosts, Assistant Provost and Academic Deans, who shall be non-voting members. The College-wide Committee on

Personnel and Budget shall be chaired by the President or his or her designee and shall meet each month, or as necessary, at the call of the President.

- B. All appointments and reappointments without tenure shall be recommended to the College-wide Committee on Personnel and Budget by the chairperson of the department in accordance with the vote of a majority of the Department appointments committee. The Provost, Associate Provosts, Assistant Provost and the full Academic Deans shall participate in discussion of the candidates. The President will make his/her final recommendation to the Board of Trustees following consultation with the Provost and the appropriate Academic Deans.
- C. Members of any Brooklyn College Personnel committee shall not be eligible to vote on their own candidacies and for purposes of such a candidacy the whole number of voting members of the committee shall exclude the candidate.

Article VI. Committee on Promotion and Tenure

- A. A College-wide Promotion and Tenure Committee will be composed of all department chairpersons. The chairperson of the Liaison Committee of the Council on Administrative Policy will serve as chairperson of the College Promotion and Tenure Committee.
- B. Subsidiary School Promotion and Tenure Review Committees will be established as described below. The promotion and tenure decisions will take place on four levels:
 1. *Level One: Departmental Review.* The appointments committee votes on tenure, including CLTs in academic departments. The appointments committee votes on reappointment of lecturers with the certificate of continuous employment (CCE). Full professors, associates, and the chair vote on promotion to associate. Full professors and the chair vote on promotion to full. Tenured faculty will vote on promotion of CLTs to the ranks of Senior College Laboratory Technician and Chief College Laboratory Technician. Where there are fewer than five eligible voters in a department, additional committee members (bringing the total to five) are to be drawn from the appointments committee in order of rank, then seniority in rank, then time at college. As a supplement to the standard curriculum vitae, a candidate is to provide a personal statement that encompasses her or his major accomplishments and contributions in research, teaching, and service. Department recommendations on promotion and tenure shall be submitted to the appropriate School Promotion and Tenure Review Committee at level two.
 2. *Level Two: School Review.* There will be five School Promotion and Tenure Review Committees: Humanities and Social Sciences; Natural and Behavioral Sciences; Visual, Media and Performing Arts; Education; and Business. Each School Promotion and Tenure Review Committee will consist of one tenured associate or full professor (not **a department chair**) per department in that School. Where there are fewer than five departments in the school additional committee members will be elected to bring the committee total to five. These additional members will be nominated and elected from the faculty of the school. No more than two members of the same department may serve. Each School Promotion and Tenure Review Committee will consider all promotion and tenure/CCE candidates within its school. Selection of committee members to three-year terms are to be voted on (one person one vote) in each department in conjunction with triennial elections. ~~Interviews of candidates and chairs will take place at level two. Content of interview to be limited to clarification and explanation of written record.~~ Each School Promotion and Tenure Review Committee will meet and discuss the candidates with the Dean of the respective school prior to voting. **The content of this interview is to be limited to clarification and explanation of the**

written record. The Dean will not have a vote nor be present for the voting procedure. A member of each school review committee (not the Dean) will report that committee's recommendations to the third level.

3. *Level three: College-wide Promotion and Tenure Committee.* The College-wide Promotion and Tenure committee, described above, reviews the recommendations of the School Promotion and Tenure Review Committees. Then the recommendations of the College Promotion and Tenure Committee, together with the recommendations of the School Promotion and Tenure Review Committees, will be forwarded to the President.

4. *Level Four:* The President considers the recommendations of all committees and renders her or his decision on whether or not a candidate will be recommended positively to the University's Board of Trustees.

5. Subcommittees of the full College Promotion and Tenure Committee will be appointed when there are candidates in the following categories:

a. A subcommittee on tenure for College Laboratory Technicians in non-academic Departments which shall consist of three tenured faculty members elected by, but not from, the College Promotion and Tenure Committee, and two administrators appointed by the President.

b. A subcommittee on promotion for College Laboratory Technicians in non-academic Departments which shall consist of three tenured faculty members elected by, but not from, the College Promotion and Tenure Committee, and two administrators appointed by the President. This subcommittee will consider promotions to the ranks of Senior College Laboratory Technician and Chief College Laboratory Technician in non-academic departments.

c. A subcommittee on tenure for persons in the Registrar series which shall consist of three chairpersons elected by the College Promotion and Tenure Committee and two administrators appointed by the President.

6. The subcommittees named in paragraph 5 will report their recommendations to the College Promotion and Tenure Committee, after which the recommendations of the College Promotion and Tenure Committee, together with the recommendations of the subcommittees, will be forwarded to the President.

7. Members of any Brooklyn College Personnel Committee shall not be eligible to vote on their own candidacies, and for purposes of such a candidacy the whole number of voting members of the committee shall exclude the candidate.

C. The President will meet with the appropriate college personnel committee or committees to discuss any case for which he/she requires additional information before making his/her final recommendation to the Board of Trustees.

Article VII. Student Government

A. There shall be three student government associations at the college: the CLAS student government organization representing students in the day session; the SGS student government organization representing undergraduate students in the evening session, and the graduate student organization representing graduate students. Each body shall be governed by its own constitution, subject to the provisions of this

Governance Plan and the Bylaws and policies of the Board of Trustees.

- B. These organizations shall have authority in areas relevant to student extra-curricular activities at the college. Their powers shall include:
1. The power to charter or otherwise authorize teams (excluding intercollegiate athletics), publications, organizations, associations, clubs or chapters, and, when appropriate in the exercise of such regulatory power, the power to refuse, suspend or revoke any charter or other authorization for cause after hearing on notice.
 2. The power to delegate responsibility for the effective implementation of its regulatory functions to any officer or committee which it may appoint. Any aggrieved student or group whose charter or other authorization has been refused, suspended or revoked may appeal such adverse action by such officer or committee or student government to the duly elected student government. On appeal an aggrieved student or group shall be entitled to a hearing following the due process procedures as set forth in Section 15.3 of the Bylaws of the Board of Trustees. Following such hearing the duly elected student government shall have the authority to set aside, decrease or confirm the adverse action.
- C. The Student Governments shall, in a manner that they determine, appoint all student members to Faculty Council committees.
- D. The Student Governments shall have the power to allocate the Student Government fee in accordance with the Board Bylaws. Each student and government organization shall be responsible for the full disclosure to its constituents of all financial information with respect to student government fees.
- E. Each of the Student Governments shall have the right and power to make recommendations to the President, Faculty Council, Policy Council, and to any other agent, department, committee, or organization of the college and/or City University of New York.
- F.
 1. There shall be a Council of Student Governments.
 2. The Council shall consist of the Presidents of the Student Governments.
 3. They shall meet on a monthly basis to discuss and formulate policy on student matters, set goals and priorities.
 4. They shall meet with the college President to discuss college-wide matters affecting the college community.
 5. The Chairpersonship of the Council shall rotate on a monthly basis.
 6. The Council may appoint resource members as it sees fit.

Article VIII. Amendment

Amendments to this Governance Plan may be initiated (a) by any constituent body or (b) by the Policy Council itself, provided such amendment does not alter the powers held by another constituent body without the consent of that body. All amendments must be approved by the Policy Council and by the President for submission to the Board of Trustees.

C. BROOKLYN COLLEGE - NAMING OF THE M. D. SASS INVESTMENT ACADEMY:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "M. D. Sass Investment Academy" at Brooklyn College.

EXPLANATION: Martin D. Sass earned a B.S. degree in Accounting from Brooklyn College in 1963. He began his career on Wall Street with the brokerage firm Ira Haupt & Co. By 1972, he had founded M.D. Sass, which today is a leading name in investment management with more than \$6 billion in assets under management for corporations, state and local governments, multi-employer funds and individual investors. Mr. Sass currently serves as chairman of the Investment Committee and vice chairman of Brooklyn College Foundation's Board of Trustees.

In September 2014, Mr. Sass pledged \$1,015,000 to endow an Investment Academy at the Brooklyn College School of Business. The Investment Academy will include an undergraduate student-run hedge fund that will provide Brooklyn College students with the opportunity to obtain real-world experience by allowing them to learn from expert investment managers and security analysts, and help manage and invest actual securities under the supervision of seasoned successful investors. The M.D. Sass Investment Academy will play a key role in expanding the school's academic offerings to include practical financial and investment experience and exposure to mentors in the field.

In recognition of Mr. Sass's leadership and generosity, the Brooklyn College Foundation requests the Board of Trustees' approval to name the "M.D. Sass Investment Academy" in his honor.

D. BROOKLYN COLLEGE - NAMING OF THE MARSHALL G. KAPLAN CHAIR IN MUNICIPAL GOVERNMENT ACCOUNTING:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Marshall G. Kaplan Chair in Municipal Government Accounting" at Brooklyn College.

EXPLANATION: Marshall G. Kaplan graduated from Brooklyn College in 1949 with a B.A. in Political Science. He was simultaneously enrolled in Brooklyn Law School and earned an L.L.B. that same year. Subsequently, Mr. Kaplan practiced law in Brooklyn, and his interest in municipal government was fueled by his presidency of the New York City Tax Commission; a position which required ruling on protests to real property tax assessments and applications for exemptions from the tax. Among his many professional achievements, since 1946 Mr. Kaplan has been a member of the Jewish War Veterans, and he currently serves as the National Court President of the organization. Mr. Kaplan also has been very active with the Brooklyn Bar Association, serving as its treasurer, secretary, first vice-president and, in 1982, as its president.

On October 21, 2014, Mr. Kaplan pledged \$1.5 million to endow a chair in Municipal Government in the Accounting Department of the Brooklyn College School of Business. Prior to this pledge, Mr. Kaplan had donated a total of \$450,000 to Brooklyn College since 1987.

In recognition of Mr. Kaplan's longstanding support and generosity, the Brooklyn College Foundation requests the Board of Trustees' approval to name the "Marshall G. Kaplan Chair in Municipal Government Accounting" in his honor.

E. HUNTER COLLEGE - NAMING OF THE JONAS NURSING HONORS SCHOLARSHIPS:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Jonas Nursing Honors Scholarships" initiative, which provides private financial aid to students pursuing a degree in nursing at Hunter College.

EXPLANATION: The Jonas Center for Nursing and Veterans Healthcare (“the Jonas Center”) has contributed \$210,000 to the Hunter College Foundation, Inc. (“the Foundation”) since 2011. In October 2014 the Jonas Center pledged \$100,000 to the Foundation to be used for scholarship support for Jonas Nursing Honors Scholars through 2016. The Jonas Nursing Honors Program is a new, highly selective freshmen honors program for prospective nurses. Students will be mentored and supported throughout their undergraduate degree program, and encouraged to continue their education by entering the Doctor of Nursing Practice program at Hunter College. The scholarship awarded to each student will enable them to attend Hunter College School of Nursing with complete financial assistance.

Donald Jonas is a trustee of the Jonas Center for Nursing and Veterans Healthcare. He and his wife Barbara established the Jonas Center for Nursing Excellence, a program that utilizes philanthropy to advance the nursing profession in New York City. Barbara Jonas graduated from Sarah Lawrence College, earned her M.S.W. from Yeshiva University, and was a practicing psychotherapist. A prominent retail executive, Donald Jonas was founder and chairman of the Barbara Lynn stores and its successor company, Belscott Retailers. He was co-founder and chairman of Lechters Housewares from 1973 until he retired.

F. HUNTER COLLEGE - NAMING OF THE EVELYN H. LAUDER NURSING FUND, THE EVELYN H. LAUDER FACULTY SCHOLARS PROGRAM, AND THE EVELYN H. LAUDER SCHOLARSHIP PROGRAM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Evelyn H. Lauder Nursing Fund,” the “Evelyn H. Lauder Faculty Scholars Program,” and the “Evelyn H. Lauder Scholarship Program” at Hunter College.

EXPLANATION: The Lauder Foundation is a major contributor to Hunter College, donating consistently since 2006. In June 2014, The Lauder Foundation pledged \$10,000,000 to the Hunter College Foundation, Inc. over a ten year period to support the Nursing Program at Hunter College. This donation will establish the “Evelyn H. Lauder Nursing Fund,” which will support the “Evelyn H. Lauder Faculty Scholars Program.” The Faculty Scholars Program will enable Hunter to address the demand for highly-trained nurses by recruiting and retaining exceptional faculty.

The donation will also establish the “Evelyn H. Lauder Scholarship Program” to facilitate Hunter’s recruiting of exceptional students by providing tuition and other financial support. This assistance will help students move through the nursing curriculum and graduate into the workforce.

The gift also creates a fund for Hunter’s School of Nursing to purchase and maintain the most up-to-date technology and equipment and meet other pressing needs.

Leonard Lauder is the widower of Evelyn Lauder, a 1952 graduate of Hunter College High School and 1956 graduate of Hunter College. Mr. Lauder is the chairman emeritus of The Estée Lauder Companies, Inc. He is a graduate of the Wharton School of the University of Pennsylvania, and also studied at Columbia University’s Graduate School of Business.

G. HUNTER COLLEGE - NAMING OF THE BAKER THEATRE BUILDING:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Baker Theatre Building” at Hunter College.

EXPLANATION: In consideration of a \$15,000,000 gift from Patty and Jay Baker, Hunter College seeks to name a landmarked 26,000 square-foot building at 151 East 67th Street, adjacent to the College. The Bakers’ gift funds the acquisition, planning, design and renovation of the building, which will be the new home of Hunter’s Department of Theatre. The Baker Theatre Building will provide state-of-the-art

rehearsal, classroom and meeting space for the Theatre Department, while also opening new spaces for other Hunter departments in areas formerly used by the Theatre Department in the Hunter North Building.

Patty Baker (Hunter College '82) has served as a trustee of the Hunter College Foundation since 2008, and is chair of the Hunter College Theatre Advisory Board. A Broadway producer, she credits a Hunter theatre course for sparking an interest that led to a new vocation, Tony honors, and most recently, the musical *Beautiful*, about singer/composer Carole King. Mr. Baker, now retired, was the longtime president of Kohl's. In philanthropic partnership with his wife Patty, he now devotes himself to supporting education and health care.

H. HUNTER COLLEGE - NAMING OF THE BELLE AND GEORGE STRELL EXECUTIVE LEADERSHIP FELLOWS PROGRAM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Belle and George Strell Executive Leadership Fellows Program" at the Silberman School of Social Work at Hunter College.

EXPLANATION: In November 2014, Joan Glade de Pontet pledged \$500,000 to the Hunter College Foundation (to be paid over three years) to establish the "Belle and George Strell Endowment Fund." Previously, Ms. Glade de Pontet had donated \$100,000 to support the launching of the Organizational Management and Leadership in Human Services initiative, a forerunner of the Belle and George Strell Executive Leadership Fellows Program. The Strell Executive Leadership Fellows Program at Silberman will expand the School's focus on leadership and management, and meet the needs of current students and practicing social workers to increase their management skills and assume leadership roles.

Ms. Glade de Pontet graduated from Hunter College with a Masters of Social Work in 1977. She recently retired from her position as executive director of the Jewish Social Service Agency of Metropolitan Washington, where she served for 25 years.

I. HUNTER COLLEGE - NAMING OF THE ISABEL MEYER MAY STUDENT LOUNGE:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "Isabel Meyer May Student Lounge" at the Silberman School of Social Work at Hunter College, for a term of 25 years.

EXPLANATION: In October 2014, the Greenburg-May Foundation made a gift of \$50,000 to the Hunter College Foundation, Inc. to support curriculum development and student support and engagement at the Silberman School of Social Work at Hunter College. The Greenburg-May Foundation is a private, independent family foundation. The gift is in honor of Isabel Meyer May, the mother of Peter W. May, who is a director of the Greenburg-May Foundation. Ms. May graduated from Hunter College with a degree in pre-social work in 1932. She has been a regular donor to the Hunter College Annual Fund for many years. Mr. May is the president and a founding partner of Trian Fund Management, L.P., a New York-based asset management firm. Mr. May is also the chairman of the Board of Trustees of the Mount Sinai Medical Center in New York, and a trustee of Carnegie Hall and the New York Philharmonic.

In recognition of Mr. May's generosity, the College requests that the student lounge at the Silberman School of Social Work be named the "Isabel Meyer May Student Lounge."

J. JOHN JAY COLLEGE OF CRIMINAL JUSTICE - NAMING OF THE ARTHUR B. HILL LECTURE HALL:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of lecture hall L2.84 in the new building at John Jay College of Criminal Justice as the "Arthur B. Hill Lecture Hall."

EXPLANATION: Chief Arthur B. Hill, a John Jay alumnus, spent his life embodying the College's mission of being a "fierce advocate of justice." Hill earned a Bachelor's Degree in Police Science and a Master's Degree in Public Administration from John Jay College. He spent his career in the NYPD, serving 26 years in the department and ultimately becoming Assistant Chief in 1971. He received two commendations, three Meritorious and three Excellent Police Awards, along with numerous citations for his community service, and he was the first African-American Commander of the Support Service Unit.

The Arthur and Patricia Hill Foundation has signed a pledge agreement for \$125,000 to fund the Arthur Hill Fellowship, a competitive funding opportunity for advanced students studying criminal justice at a Master's or Ph.D. level. Fellows will be challenged to turn their research into action by proposing real world solutions in areas associated with *Policing in a Diverse Society*, much as Arthur Hill did during his time with the NYPD.

In further recognition of his service and legacy, John Jay College requests approval to name Lecture Hall L2.84 in Arthur Hill's honor.

K. [ADDED ITEM] QUEENS COLLEGE - AMENDMENT TO ACADEMIC SENATE CHARTER:

RESOLVED, That the proposed amendment to the Queens College Academic Senate Charter be adopted, effective March 3, 2015.

EXPLANATION: The proposed amendment to the Queens College Academic Senate Charter was approved by the Queens College Academic Senate on December 11, 2014 and is recommended by the President. It revises Section II.A.2.e) of the Charter to allow one adjunct faculty member to serve as an at-large senator in the Academic Senate. Allowing adjunct representation ensures that the viewpoint of adjuncts will be heard in the Academic Senate. Additionally, adding an adjunct representative to the Academic Senate recognizes the commitment and contributions of adjuncts to Queens College.

NOTE: New material is highlighted and underlined; deleted material is struck out.

Charter – Queens College Academic Senate

- [Section I - Responsibilities of the Academic Senate](#)
- [Section II - Membership of the Academic Senate](#)
- [Section III - Election of Members](#)
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Section I - Responsibilities of the Academic Senate

The Academic Senate shall be responsible, subject to the Board of Trustees of the City University of New York, for the formulation of policy relating to the admission and retention of students, curriculum, granting of degrees, Campus Life, and the nomination of Academic (full) Deans (as outlined in Section X). The Academic Senate shall also be responsible for and shall establish rules governing the use of the College name by organizations and clubs. It shall make its own bylaws consistent with the Bylaws of the

Board of Trustees, and conduct the educational affairs customarily cared for by a college faculty.

Section II - Membership of the Academic Senate

The Academic Senate shall be a representative body of 60 Senators and 60 Alternates elected by faculty and students, and of those ex officio nonvoting members designated in Section II, paragraph F of this Charter. For the purpose of this Charter, the faculty is defined as all full-time Queens College persons in the titles of Professor, Associate Professor, Assistant Professor, Instructor, and Lecturer; students are defined as all Queens College undergraduate and graduate students.

The 60 Senators shall be apportioned as follows:

A. Faculty - 40

1. Each academic department shall elect one (1) Senator.
2. The balance of the 40 faculty Senator seats shall be divided as follows:
 - a) One (1) faculty Senator elected at large from the Division of the Arts.
 - b) One (1) faculty Senator elected at large from the Division of the Social Sciences.
 - c) One (1) faculty Senator elected at large from the Division of Mathematics and the Natural Sciences.
 - d) One (1) faculty Senator elected at-large from the Division of Education.
 - e) The remaining faculty Senators shall be elected at large, College-wide, with one seat open for a member of the adjunct faculty of Queens College. If no adjunct faculty member runs for the seat, it will be filled by a member of the full-time faculty.

B. Students - 20

Students seeking office are subject to academic standards and requirements as set by the CUNY Board of Trustees.

- 1 Undergraduate - Eleven (11)
2. Three (3) undergraduate student Senators shall be elected by undergraduate students from each of the following groups:
 - i.. Lower sophomore, upper freshman, and lower freshman classes;
 - ii. Lower junior and upper sophomore classes;
 - iii. Upper senior, lower senior, and upper junior classes.
- b) One (1) SEEK student Senator shall be elected at large by SEEK students.
- c) One (1) ACE student Senator shall be elected at large by ACE students.
2. One (1) Graduate Division student Senators shall be elected at large by Graduate Division students.
3. Eight (8) student senators shall be elected at large, College-wide.

C. Alternates may vote and be counted as part of the quorum when properly seated.

D. Faculty Senators and Alternates shall serve a term of two (2) years.

a) Half of the faculty Senators and Alternates shall be elected each year.

E. Student Senators and Alternates shall serve a term of one (1) year.

F. 1. Ex officio nonvoting membership shall be extended to:

- a) chairpersons of Academic Senate committees, the Parliamentarian of the Academic Senate, and the Ombudsman;
- b) the College President, Provost, Vice-Presidents, Deans, Business Manager, and Registrar;
- c) the Presidents of the Day Session, Evening, Graduate, ACE and SEEK Student governments;
- d) the President of the Queens College Alumni Association;
- e) the chairperson of the Queens College chapter of the Professional Staff Congress;
- f) the Chief Librarian;
- g) or a designee of any of the preceding.

2. These members shall have the privilege of the floor, the right to make motions, participate in debate, and all other privileges of membership in the Academic Senate, with the exception of voting or being an officer of the Academic Senate.

Section III - Election of Members

A. Election of Senators and Alternates shall take place during the spring semester.

B. 1. All full-time faculty members of academic departments may vote in departmental elections to fill departmental Academic Senate seats.

2. All full-time faculty within an academic division may vote to fill their faculty divisional Academic Senate seats.

3. All full-time faculty of Queens College may vote to fill College-wide at large Academic Senate seats.

C. The certification of class standing and faculty status shall be made by the Registrar and the Provost, respectively, or their designees.

D. 1. A vote to recall a Senator may be initiated by a petition signed by persons to the Senator's constituency. The number of valid signatures on such a petition must be not less than one-fourth of the total number of ballots cast in the Senator's category in the election at which the Senator was elected.

2. If a majority of the ballots at the recall election are cast against the Senator, his/her seat shall be declared vacant, and a special election shall be held to fill the unexpired portion of his/her term.

3. The cost of a recall vote and special election shall be borne by those initiating the successful recall petition.

4. The Executive Committee shall be responsible for determining the validity of the petition, as well as resolving other questions relating to both elections.

E. The Academic Senate may adopt bylaws regarding the removal from office of habitually absent Senators.

Section IV - Meetings of the Academic Senate

- A. The first meeting of a new Academic Senate shall take place no later than June 1st, if possible, at which time it succeeds the preceding Academic Senate.
- B. This first meeting shall be convened by the Holder of the Chair of the Elections Committee, who shall serve as Holder of the Chair pro tempore, until a permanent Holder of the Chair is elected.
- C. The Academic Senate shall meet regularly on the second Thursday of each month from October through May. The January meeting of the Academic Senate shall be optional at the discretion of the Academic Senate's Executive Committee.
- D. Regular meetings of the Academic Senate shall be open to all members of the Queens College community, and all shall have the right to speak on the floor.
- E. The Academic Senate may hold special meetings in accordance with its Bylaws.
- F. The Academic Senate shall be free to hold executive sessions, without any nonmembers present, save such as may be invited.

Section V - Rules of Order

- A. The business of the Academic Senate shall be conducted according to *Robert's Rules of Order Revised* (latest edition) unless, a quorum being present, a 2/3 majority of members present and voting deems otherwise.
- B. A quorum shall consist of one more than one-half of the voting membership.

Section VI - Officers and Executive Committee

- A. The Academic Senate shall elect annually from its elected voting membership its Holder of the Chair, Deputy Holder of the Chair, Secretary, and such other officers as it deems necessary. A Recording Secretary, not necessarily a member of the Academic Senate, may be appointed by the Holder of the Chair.
- B. There shall be a nine-member Executive Committee of the Academic Senate which will be responsible for preparing an agenda for all meetings and for carrying on the business of the Academic Senate between regular meetings. It shall have such other duties as will be assigned to it by the members of the Academic Senate. The officers of the Academic Senate shall be members of the Executive Committee, with the remainder of the positions filled by election by the Academic Senate so that there shall be three (3) student members.

Section VII - Term Limits for Officers

Officers of the Academic Senate may serve no more than four (4) consecutive years in any one (1) office.

Section VIII - Academic Senate Committees

The Academic Senate shall create such standing, ad hoc, and special committees as it shall deem necessary.

- A. Committee membership shall be open to all members of the instructional staff and to all students who have a cumulative index of at least 2.0 and are not on probation.

B. All committee members shall be elected by the Academic Senate in accordance with its Bylaws.

C. Committees normally shall be composed of an equal number of members of the instructional staff and students, except when otherwise considered appropriate because of the nature of the problem to which the committee will address itself. All committees shall report to the Academic Senate at least once each year.

D. Each committee shall elect from among its membership a Holder of the Chair or Co-holders of the Chair.

E. When feasible, appointments to all Senate committees shall be for a period of two years, on a rotating basis.

F. A person may not serve as a voting member on more than two Academic Senate Committees, of which only one can be a standing committee.

Section IX - College-Wide Committees

The Academic Senate shall create such College-wide committees as it deems necessary.

Section X - Selection and Review of Academic Officers

Academic (full) Deans shall be nominated in the following manner:

A. The Academic Senate will elect a Search Committee consisting of five full-time faculty members and five students who are members of or majors in the departments or divisions to be included within the constituency for which the dean being sought will be responsible. Students elected to Academic Senate Search Committees as representatives from the Division of Education may be either co-majors in Elementary and Early Childhood Education or minors in Secondary Education and Youth Services, or be matriculated in a graduate program in the Division.

1. The Academic Senate shall elect an additional faculty member and an additional student, who are members of or majors in the Division of Education, to serve on the Search Committees for the Deans of Arts, Social Sciences, and Mathematics and Natural Sciences.

2. The Academic Senate shall elect an additional faculty member and an additional student, who are not members of or majors in the Division of Education, to serve on the Search Committee for the Dean of Education.

B. The Committee shall submit to the President a confidential list of three to five candidates from which the President shall nominate one to the Board of Trustees for approval. In the event the President rejects all the Search Committee's choices, the Committee will submit another list of names to the President for his or her consideration. This procedure shall be followed until a dean is selected.

C. The Search Committee shall follow procedures consistent with Affirmative Action guidelines and shall hear nominations from any member of the campus community for the position of dean and shall consider for candidacy any person with distinction within his or her chosen field of study and with demonstrated administrative skill.

D. Review of Dean's qualifications:

1. At the beginning of every fifth year of a Dean's term, a Committee (the composition of which shall correspond to the composition of the Search Committee) shall be elected by

the Academic Senate to review the Dean's performance through confidential consultations with departmental chair people, program directors, and other persons who are in a position to comment knowledgeably on the Dean's performance. The Review Committee will then make a confidential report to the President including a recommendation for or against continued appointment. Investigations of the Review Committee shall be conducted with the strictest confidentiality. The findings of the Review Committee shall be reported to the President no later than May 30, of every fifth year of the Dean's term.

2. If the President does not reappoint the Dean, the Review Committee shall constitute itself as a Search Committee, as outlined above, and nominate additional candidates to the President for consideration.

3. The term "Dean" in the above section refers to all full Deans.

E. Review of the Provost:

At the beginning of every fifth year of the Provost's term, a Committee (the composition of which shall correspond to the composition of a Dean's Search Committee) shall be elected by the Academic Senate to review the Provost's performance through confidential consultations with departmental chair people, program directors, and other persons who are in a position to comment knowledgeably on the Provost's performance. The Review Committee will then make a confidential report to the President including a recommendation for or against continued appointment. Investigations of the Review Committee shall be conducted with the strictest confidentiality. The findings of the Review Committee shall be reported to the President no later than May 30th of every fifth year of the Provost's term.

F. Review of the Chief Librarian:

At the beginning of every fifth year of the Chief Librarian's term, a Committee (the composition of which shall correspond to the composition of a Dean's Search Committee) shall be elected by the Academic Senate to review the Chief Librarian's performance through confidential consultations with departmental chair people, program directors, and other persons who are in a position to comment knowledgeably on the Chief Librarian's performance. The Review Committee will then make a confidential report to the President including a recommendation for or against continued appointment. Investigations of the Review Committee shall be conducted with the strictest confidentiality. The findings of the Review Committee shall be reported to the President no later than May 30th of every fifth year of the Chief Librarian's term.

Section XI - Voting Rights of Lecturers, Promotion to Full Professor

A. In addition to those faculty members authorized by the Bylaws of the City University of New York to vote in departmental elections for chair and for members of the departmental Personnel and Budget Committee, those lecturers who hold Certificates of Continuous Employment shall be entitled to vote.

B. Nominations for promotion to Professor shall be forwarded to the College Committee on Personnel and Budget by the chairperson of the department together with the recommendation of the departmental Committee on Personnel and Budget.

Section XII - Presidential Search Committees

Representatives of the Queens College faculty on Presidential Search Committees shall be elected as follows:

Faculty representatives, of a number to be designated by the Board of Trustees, shall be nominated either by the College Personnel and Budget Committee or by advance, written nomination ballot. Election of the faculty members to the Search Committee shall be by majority vote of the faculty representatives of the Academic Senate. The students will have no vote on faculty representatives.

L. [ADDED ITEM] **THE CITY UNIVERSITY OF NEW YORK - CONTINUATION OF MILITARY LEAVE AND EMPLOYER-PAID HEALTH AND WELFARE BENEFITS COVERAGE FOR UNIVERSITY EMPLOYEES RECALLED FROM THE RESERVES TO ACTIVE MILITARY SERVICE:**

RESOLVED, That the Board of Trustees of The City University of New York, in response to the continuing need for employees in the National Guard and Reserves to be activated for Military Service in response to the events of September 11, 2001, and in light of the continuing evolution of the response to September 11, 2001 into a wider campaign against terror, including military action in Afghanistan, hereby authorizes the continuation of Military Leave and Health & Welfare benefits for University employees in accordance with the following policies. These policies apply to employees of The City University of New York who are members of the National Guard, Reserve forces, or Reserve components of the Armed Forces of the United States.

1. Supplemental Military Leave

- (a) The City University of New York will provide a temporary leave category called Supplemental Military Leave at full pay. Employees of The City University of New York who have been federally activated or activated by the Governor of New York State for military service between September 11, 2001 and December 31, 2015 will be granted Supplemental Military Leave with full pay after the exhaustion of military leave with full pay pursuant to Section 242 of the New York State Military Law. While on such Supplemental Military Leave, the eligible employee will be in full pay status for a period not exceeding 30 work days, in addition to the leave that had been granted pursuant to Section 242 of the New York State Military Law. The Statutory Entitlement period is 30 work days for all Public Servant Soldiers of the City of New York in compliance with Chapter 238 of the laws of 2008.
- (b) Employees shall be eligible to receive Supplemental Military Leave through December 31, 2015. Supplemental Military Leave shall not be granted for military service performed after December 31, 2015. In no event shall more than one such grant of Supplemental Military Leave be credited to any employee for activation related to the war on terror regardless of the number of times the employee is activated between September 11, 2001 and December 31, 2015.

2. Military Leave at Reduced Pay

- (a) Employees shall be eligible to receive Military Leave at Reduced Pay through December 31, 2015. Military Leave at Reduced Pay status commences after the employee has exhausted Military Leave pursuant to Section 242 of the New York State Military Law, Supplemental Military Leave as set forth in paragraph 1 above, and any leave credits, other than temporary disability leave or sick leave, which the employee elects to use.

- (b) Employees in Military Leave at Reduced Pay status will be paid their regular City University of New York salary (base pay) reduced by military pay (base pay plus housing and food allowances). The determination of rate of payment for the Military Leave at Reduced Pay will be based upon the employee's regular City University of New York salary as of his/her last day in full pay status and the employee's military pay on the first day of his/her activation, and shall not be subject to adjustment during the period of leave at reduced pay.
- (c) Employees eligible for Military Leave at Reduced Pay will not be eligible to earn annual and temporary disability leave/sick leave accruals or to receive credit for holidays.
- (d) In no event shall Military Leave at Reduced Pay be granted for military service performed after December 31, 2015.

3. Continued Health Insurance Coverage

Covered dependents of eligible employees of The City University of New York, who are currently enrolled in a health plan with family coverage through The City University of New York and who have been federally activated or activated by the Governor of New York State for military service related to the war on terror shall continue to receive health insurance coverage with no employee contribution for a period not to exceed 12 months from the date of activation, less any period in which the employee remains in full-pay status. Contribution-free health insurance coverage will end at such time as the employee's active duty is terminated or the employee returns to City University of New York employment or December 31, 2015, whichever occurs first.

4. Other Provisions

The provisions of this resolution shall not apply to those employees who have voluntarily separated from City University of New York service or who are terminated for cause. Furthermore, the provisions of this resolution shall apply only for the period of time that the employee has an appointment with The City University of New York.

EXPLANATION: On October 22, 2001, November 18, 2002, March 29, 2004, February 28, 2005, January 8, 2007, January 28, 2008, January 26, 2009, January 4, 2010, January 23, 2011, January 24, 2012, February 6, 2013 and February 24, 2014 the Board of Trustees passed resolutions extending Military Leave and employer-paid health and welfare benefits coverage for University employees recalled from the Reserves to active military service in response to the events of September 11, 2001. This resolution authorizes the continuation of these benefits through December 31, 2015 in response to the need for employees to serve in the Armed Forces in light of the continuing evolution of the response to September 11, 2001 into a wider campaign against terror, including military action in Afghanistan.

This resolution, consistent with the policies being followed by the State of New York for its employees, authorizes, under certain conditions, the continuation of Supplemental Military Leave at full pay for 30 work days, in addition to the Military Leave provided pursuant to Section 242 of the New York State Military Law. Further, after exhaustion of the Supplemental Military Leave, this resolution authorizes a Military Leave at Reduced Pay for military service performed through December 31, 2015, whereby the employee will receive salary from The City University of New York equal to the difference between the employee's regular CUNY salary and the military pay he/she is receiving. Finally, this resolution authorizes the continuation (through December 31, 2015) of dependent health care coverage, under certain conditions, for employees recalled to active service related to the war on terrorism (less any period in which the employee remains in full-pay status).

NO. 5. COMMITTEE ON ACADEMIC POLICY, PROGRAMS AND RESEARCH: RESOLVED, That the following item be approved:

- A. HONORARY DEGREE: RESOLVED,** That the following honorary degree, approved by the appropriate faculty body, the college president and recommended by the Chancellor, be presented at the commencement exercise as specified:

COLLEGE	DEGREE
QUEENS COLLEGE	
Carole King (To be conferred at the commencement ceremony on May 28, 2015)	Doctor of Humane Letters

Upon motion duly made, seconded and carried, the public meeting was adjourned at 5:02 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

(This is a detailed summary of the Board of Trustees' meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

MARCH 2, 2015

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Chairperson called the meeting to order at 4:30 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz**

**Joseph J. Lhota
Hugo M. Morales
Brian D. Obergfell
Peter S. Pantaleo
Barry F. Schwartz**

Joseph K. Awadje, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Anthony Vargas
Doris Wang**

**Chancellor James B. Milliken
Interim EVC and University Provost Julia Wrigley
EVC and C.O.O. Allan H. Dobrin
President Lisa S. Coico
President Scott E. Evenbeck
President Ricardo R. Fernández
President William J. Fritz
Interim President David Gomez
President Karen L. Gould
President Farley Herzek
President Russell K. Hotzler
President Marcia V. Keizs
Interim President Eduardo J. Marti
President Gail O. Mellow
President Antonio Pérez
President Jennifer Raab**

**President Felix V. Matos Rodriguez
President Chase Robinson
President Jeremy Travis
Dean Michelle Anderson
Dean Sarah Bartlett
Dean Ann Kirschner
Vice Chancellor Matthew Sapienza
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gillian Small
Vice Chancellor Gloriana Waters
Interim Vice Chancellor Judy Bergtraum
Associate Vice Chancellor Brian Cohen
Associate VC Andrea Shapiro Davis
Senior University Dean John Mogulescu
RF President Richard F. Rothbard
Senior Advisor Marc V. Shaw**

The absence of Trustees Carol A. Robles-Roman and Charles A. Shorter was excused.

Chairperson Benno Schmidt called the meeting to order, and read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

A. VIDEOTAPING OF BOARD MEETING: Chairperson Schmidt announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at www.cuny.edu/livestream providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

Chairperson Schmidt stated that on behalf of the **Board**, he would like to **congratulate Chancellor James Milliken** and all those at **CUNY** who worked on the development, implementation and expansion of the **CUNY** ASAP program. At your seats there are samples of the most recent media coverage—including the *New York Times* editorial last week—the second one on ASAP—which has been outstanding, and the *New York Daily News* editorial yesterday. Also, the recent multi-year study by the Manpower Demonstration Research Corporation (MDRC), which evaluated ASAP and cites the program as a national model to be replicated.

Chairperson Schmidt stated that he received a telephone call last week from Governor Bill Haslam of Tennessee who expressed great interest in the program, and called it the best in the country of its kind. **Chancellor Milliken** has previously reported that the Ohio Board of Regents plans to replicate ASAP in three of its community colleges. The White House has also been quite vocal in citing **CUNY**’s ASAP program for its effectiveness. These efforts help to position **CUNY** nationally at the forefront of the college completion issue and provides a solution to the dropout epidemic which affects community colleges, particularly in urban settings throughout the United States. New York State and New York City should be particularly proud of their interest in **CUNY** and in programs like ASAP.

Chairperson Schmidt stated that he would like to thank the Trustees, Presidents, members of the Chancellery, students and faculty who attended the New York State Black, Puerto Rican, Hispanic and Asian Legislative Caucus during the weekend of February 13th through February 15th. This Board was represented by **Vice Chairperson Philip Berry, Trustee Frieda Foster, Trustee Rita DiMartino, University Student Senate (USS) Chair and Trustee Joseph Awadjie. Chancellor Milliken** made two awards presentations and he may report on it today during his remarks.

Chairperson Schmidt stated that he would like to congratulate **Trustee DiMartino** who has been appointed as a member of the Departmental Disciplinary Committee of the New York County Supreme Court Appellate Division - First Department.

Chairperson Schmidt stated that he would like to thank **Trustee Terrence Martell** and the **University Faculty Senate (UFS)** for organizing the **CUNY-SUNY UFS Poster Day** event in Albany on February 11th. This year it was the graduate students at all the collective schools who had the opportunity to display their work. It was an impressive and inspiring display at the Legislative Office Building, and **Vice Chancellor Gillian Small** represented the University.

Chairperson Schmidt stated that the Board held its Brooklyn Borough Hearing in conjunction with the Public Hearing on the Calendar of this Board meeting on February 17, 2015. **Trustee Foster** chaired the hearing that was also attended by **Trustee Wellington Chen, USS Chair and Trustee Awadjie** as well as members of the Chancellery. A summary of the proceedings has been circulated to the Trustees and to the Chancellor's cabinet, and a transcript is available in the **Office of the Secretary**.

Chairperson Schmidt stated that **Baruch College** and **Brooklyn College** played a championship doubleheader this weekend as the two schools matched up in both the men's and women's finals in the 50th Annual Event. First, the **Baruch College** women took home their eighth straight title by a score of 60-39. Next, the **Baruch College** men won their second title ever. In one of the most dramatic games in **CUNY** basketball tournament history, the **Baruch College** Bearcats won 90-83 in double-overtime. NCAA postseason draw will be announced today. The indoor track and field championships took place Sunday with **The City College of New York** taking home both the men's and the women's titles. The most important part of the track meet was actually **Lehman College's** MVP Adrianna Wright who broke two all-time NCAA Division III records and three **CUNY** championship meet records for the sixth ranked Lightning.

Chairperson Schmidt stated that on a sad note the Board mourns the passing of **President Antonio Perez'** mother, Delia Perez, who passed away on February 21st. On behalf of the Board, please accept my deepest condolences.

B. COLLEGE AND FACULTY HONORS: **Chairperson Schmidt** called on **Trustee Valerie Lancaster Beal**, who announced the following:

1. **Baruch College** ranked 4th among top colleges for finance and financial management by *USA Today* and *College Factual*; also, **Baruch College** ranked in Princeton Reviews 2015 list of top 200 colleges that pay you back. Congratulations!
2. **Queens College** Professor Alfredo Morabia, a renowned researcher, author, professor of epidemiology, and fellow of the Royal College of Physicians in Edinburgh will take the helm of the American Public Health Association's *American Journal of Public Health* in June 2015. Congratulations!

C. STUDENT AND ALUMNI HONORS: **Chairperson Schmidt** called on **Trustee Freida Foster**, who announced the following:

1. Several **CUNY** students qualified for the National Debate Tournament Championships - the most prestigious event in varsity college debate. They are: **Baruch College** students Abdullah Ayaz and Alando McIntyre, the first in the college's history to do so; **John Jay College** student Hadassah Yisrael was a semi-finalist in the Junior Varsity Regional championships at the same event. To qualify our students competed head to head with debaters from NYU, Cornell and West Point among others. Congratulations!

2. **Hunter College** High School student Kalia Firester has been named an Intel STS finalist - she is the only finalist from the New York City area. Kalia will be traveling to Washington, D.C. in March where she will compete among the nation's 40 finalists for top scholarships of up to \$150,000. Congratulations!
 3. Seven **LaGuardia Community College** students were recognized for their performances in the "Bengal Tiger at the Baghdad Zoo", rising to the next level of competition at the Kennedy Center's American Collegiate Theater Festival, Region 1. Congratulations!
- D. GRANTS: Chairperson Schmidt** presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the January 26, 2015 Board meeting.

BROOKLYN COLLEGE

1. \$156,989 **UNITED STATES DEPARTMENT OF ENERGY** to Juergen Polle, for "A Systems Biology and Pond Culture-Based Understanding and Improvement of Metabolic Processes Related to Productivity in Diverse Microalgal Classes for Viable Biofuel Production."

CITY COLLEGE

1. \$544,158 **ALFRED P. SLOAN FOUNDATION** to John Martin, for "Strengthening Spinal Cord Injury Core Facilities at CCNY."
2. \$445,131 **UNIVERSITY OF WISCONSIN** to Felice Ghilardi, for "Do Single Neurons Need To Sleep and Why?"
3. \$138,750 **ARMY** to Vinod Menon, for "Enhanced Light Emitters."
4. \$102,549 **BARCEL** to George John, for "Next Generation Multifuncl Oil Structure."
5. \$102,549 **NATIONAL SCIENCE FOUNDATION** to Themis Lazardis, for "RAPID: The Membrane-Bound Structure of Fusion Loops of the Ebola Virus Envelope Glycoprotein."

HUNTER COLLEGE

1. \$596,191 **PHS/NIH/NATIONAL INSTITUTE OF MENTAL HEALTH** to Denis Nash, for "Special Projects of National Significance."
2. \$520,976 **NEW YORK CITY DEPARTMENT OF HEALTH & MENTAL HYGIENE** to Lorna Thorpe, for "NYC Health and Nutrition Education Survey."
3. \$300,000 **FORDHAM UNIVERSITY/SUBSTANCE ABUSE & MENTAL HEALTH SERVICE ADMINISTRATION** to Robert Abramowitz, for "Creating & Sustaining the Next Generation of Trauma-Informed Practitioners."
4. \$296,125 **INTERNATIONAL INITIATIVE FOR IMPACT EVALUATION, INC.** to Elizabeth Kelvin, for "Randomized Controlled Trail: Evaluate Self-Administered Oral HIV Testing as a Choice Among Truck Drivers in Kenya."
5. \$285,944 **UNITED STATES EDUCATION DEPARTMENT** to Matthew Caballero, for "Teacher Quality Partnerships."
6. \$202,000 **NEW YORK COMMUNITY TRUST** to Gail McCain, for "Hunter-Bellevue Nursing Fund."

7. \$174,978 **HUMAN FRONTIER SCIENCE PROGRAM ORGANIZATION** to Mark Hauber, for "The Chemistry of Visual Trickery: Mechanism of Egg Colour Mimicry in Parasitic Cuckoos."
8. \$155,601 **WAYNE STATE UNIVERSITY/NATIONAL INSTITUTE ON ALCOHOL ABUSE & ALCOHOLISM** to Jeffrey Parsons, for "Comparing the Effectiveness of Two Alcohol-Adherence Interventions for HIV+ Youth."
9. \$131,975 **YALE UNIVERSITY/NATIONAL INSTITUTES OF HEALTH** to Jeffrey Parsons, for "Intervention Development for Social Stress, Mental Health and HIV Risk Among MSM."
10. \$128,509 **JOAN & SANFORD I. WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY** to Gail McCain and Elizabeth Capezuti, for "Clinical & Translational Science Center."
11. \$115,000 **HEALTH RESEARCH INCORPORATED** to Denis Nash, for "HIV Care Coordination: Comparative Effectiveness, Outcome Determinants and Costs."
12. \$109,999 **NEW YORK STATE EDUCATION DEPARTMENT** to Matthew Caballero, for "Clinically-Rich Intensive Teacher Institute in Bilingual Education & English as a Second Language."

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$150,814 **FEDERAL BUREAU OF INVESTIGATION VIA UNIVERSITY OF TEXAS** to Maria Hartwig, for "High-Value Detainee Interrogation Group (HIG): Intelligence Interviewing and Interrogation."

LEHMAN COLLEGE

1. \$100,438 **NATIONAL SCIENCE FOUNDATION** to Luis Anchordoqui, for "CAREER: Particle Phenomenology at the LHC Era."

YORK COLLEGE

1. \$300,000 **AIR FORCE OFFICE OF SCIENTIFIC RESEARCH** to James Popp and Kevin Lynch, for "Develop a Portable All Weather Weapon Material Detection System."

E. ORAL REPORT OF THE CHANCELLOR: Chancellor **James B. Milliken** presented the following report:

I am happy to join with **Chairperson Schmidt** in welcoming all of you to the March Board meeting. I would also like to join with **Chairperson Schmidt** in commending **Senior University Dean John Mogulescu**, University Dean Donna Linderman, and their team for their tremendous success with ASAP. I also would like to compliment the Presidents of the **CUNY** community colleges, whose cooperation, participation and enthusiastic role have been successful. At the MDRC announcement last week of the impressive ASAP results, Mayor Bill de Blasio was represented by First Deputy Mayor Tony Shorris, who clearly took pride in the city's investment in our success.

The ASAP now operates at seven **CUNY** colleges and we are about to launch a new pilot program for ASAP at **John Jay College of Criminal Justice**. ASAP's stunning results in boosting graduation rates deserve the national attention the program has received. According to MDRC's study, not only are more than half of ASAP's students graduating within three years, nearly forty percent graduate in two and a half years, compared to fifteen percent in the comparison group. Best of all, because the program generates so many more graduates, the cost per degree is lower, despite the substantial investment to operate the

program. So ASAP produces more graduates in less time for less money. There is good reason that **CUNY** and ASAP were singled out by President Obama as a program that others should emulate. If more community colleges across the country would adopt ASAP-like programs it would significantly change the community college experience for the better.

We have received both the Governor's Executive Budget for Fiscal Year 2015-16, and the Mayor's Fiscal Year 2015-16 City Preliminary Budget and have been reviewing and discussing them with policy makers in both the city and in Albany. Both offer some positive developments, as I outlined some from the Executive Budget of the state previously. With the city's budget most notably, the multi-year \$150 million commitment from the Mayor to support STEM graduates and other programs at our community colleges including the expansion of ASAP will enter its second year as planned. I am going to ask **Vice Chancellor Matthew Sapienza** to review a few key points regarding the state budget when I am finished, including changes since the last meeting of the Board through the 30 day amendment process, but first I want to offer a few comments of my own.

Our top priority remains the resolution of collective bargaining with our faculty and staff as the University's contract with our faculty expired in 2010. It is essential that we get the state's support for the terms of an agreement that would be in line with other unions, including retroactive increases that would recognize the commitment of our faculty and staff.

Years without across the board increases are creating a deepening problem. We are in a competition for talent, and our faculty salaries are well below other public universities in the region. With record enrollments and a universally recognized need to increase educational attainment levels, we cannot afford to lose qualified faculty or be unable to recruit new faculty. The imperative of reaching a resolution for our faculty and staff is clear. If **CUNY** is to attract and retain top talent, as we must, we need a collective bargaining agreement with appropriate salary and benefits. I have made this point clear in every single conversation I have had with state and city officials.

It has been suggested to me that I could be more clear in making it known that I am including **CUNY's** dedicated and hardworking adjunct instructors when discussing the need for a new contract. I did not realize there was any confusion, but let me be very clear: our adjunct faculty deserve recognition and long overdue raises. They provide a crucial component in our ability to offer a high quality education for our students and we are grateful for their contributions.

Even without taking into account a contract with our faculty and staff, the proposed Executive Budget represents a significant cut to the senior colleges of \$51 million, or 4.25 percent of the senior college base aid budget. This includes unfunded mandatory needs of \$25 million for fringe benefits, \$10 million for energy costs, \$8 million for building rentals and \$8 million for salary steps that have previously been included in the state's budget. It is clear from the 2011 State Higher Education Act that these amounts were not to be funded from tuition; however, that is in fact the only source of available incremental funding in the budget. So unless this shortfall is addressed by the legislature, we will be in a difficult position.

In addition to the fiscal matters in the budget, there are a number of substantive policy changes that, we believe, require much discussion and review before adoption. These are matters that go to the heart of academic decision-making and governance and I will also ask **Vice Chancellor Sapienza** to review them with you when he discusses the budget.

I know that you join me in urging legislators to address these matters, both fiscal and policy. As a step in the process, on February 10th, I appeared before the New York State Senate Finance and Assembly Ways and Means Committees where I had the opportunity to meet several members of the leadership for further discussions.

I also would like to mention the good work of our Presidents, their staff, the faculty and students in carrying the same message to our elected officials. We are pleased that so many delegations have traveled to Albany on an ongoing basis. I had dinner last Thursday, with **USS Chair and Trustee Awadje**, and he was having trouble keeping his eyes open. I assumed it was my company but he assured me that it was because he got up at 4:30 a.m. that morning to join six busloads of students traveling to Albany for a Lobby Day. We could not have more authentic and effective advocates on **CUNY's** behalf and I would like to thank **USS Chair and Trustee Awadje**, his colleagues at **USS** and the student body of **CUNY** for their strong support and their good work.

Within days of my testimony I made a second visit to Albany which was downright celebratory. As **Chairperson Schmidt** mentioned, we held the **CUNY** Black, Puerto Rican, Hispanic and Asian Caucus Luncheon Awards on February 14th. I was delighted to join **Vice Chairperson Philip Berry, Trustee DiMartino, Trustee Foster** and **USS Chair and Trustee Awadje**, members of the Central Office leadership, many of our college Presidents, staff and students. We had the honor of presenting The **CUNY** Educational Leadership Award to Ms. Arva R. Rice, President and CEO of the New York Urban League, an institution that for ninety years has helped underserved communities surmount educational and economic obstacles, and most recently published the *Parents' Guide to STEM*.

The second award went to David C. Banks, a **CUNY** alumnus and founder of The Eagle Academy Foundation, which is dedicated to providing young urban men quality education and supporting them to achieve their highest aspirations. We look forward to enrolling their graduates. We were joined that day by many state legislators, including the newly elected Speaker, Carl Heastie, Secretary of State Eric Schneiderman, State Comptroller Tom DiNapoli, and City Controller Scott Stringer. We received great media coverage for the event and it was terrific to see the support **CUNY** had from so many of our state officials. If you have not been to one of these events, I would urge you to attend one in the future. Not only is it a great showing of our students and friends in Albany, but it is a chance to see why **Senior Vice Chancellor and Secretary Jay Hershenson** is a leading candidate to replace Jon Stewart on The Daily Show.

There are a number of developments relating to **CUNY's** ongoing work in sustainability in many areas. I plan to report more fully on this in the future. But today I am pleased to report that **CUNY** will receive an \$859,000 grant from the federal Department of Energy to help develop the market for resilient solar power in New York City and New York State so that solar installations can operate during power outages. This investment will be increased by the state to a total of \$1.3 million. This project is conceived and managed by University Director for Sustainability, Tria Case.

The latest New York City Executive Budget includes three new positions for Solar Ombudsmen, to continue **CUNY's** work in making the city and state make greater use of renewable energy. We are pleased to continue to play a role in this work.

Our ongoing work in Jamaica Bay, to make the federal marshlands more resilient to storm damage is continuing to progress and the New York Department of City-Wide Administrative Services announced that **CUNY's** community colleges will receive an additional \$2 million, for a total of \$8 million to make our campuses more energy efficient.

A \$500,000 grant from the New York State Energy Research & Development Authority will fund a new project by Sanjay Benerjee, Director of the **CUNY** Energy Institute, to develop a next-generation battery for energy storage that is long lasting, high energy, low cost and safe for urban environments. We continue to be deeply involved in efforts both in the city and state, and the nation to find strategies for sustainable energy and sustainable cities.

Finally, this year, **CUNY** has received an unprecedented eight regional Emmy awards nominations. I would like to commend **CUNY-TV** and Director Robert Isaacson for this impressive showing. Stay tuned for the announcement of the winners on May 2nd.

Vice Chancellor Sapienza stated that as mentioned by **Chancellor Milliken**, he would like to talk about the policy initiatives that the Governor included in his Executive proposal because there were several higher education initiatives that he proposed that have significant policy impact. The first one was announced before the budget came out, the Get on Your Feet Loan Forgiveness Program—whereby students who attended college in New York State and continued to live in New York, and who participate in the federal Pay as You Earn Program, earning less than \$50,000 a year—which states that the state would cover the costs of their loan payments for the first two years.

Some of the academic items that he proposed he called for the elimination of State Education Department approval for new program and degree requirements. So new program and degree requirements would only need **Board of Trustees** approval at both **CUNY** and SUNY and would not necessarily need approval from the State Education Department under that proposal. Another item that he proposed that has a significant academic impact is the called for a requirement for graduation for every student at SUNY and **CUNY** would need to have a component of experiential learning as part of their curriculum. He also called for some administrative changes, such as the proposal to have **CUNY** and SUNY work together to consolidate some back office function such as human resources, IT and finance.

As **Chancellor Milliken** mentioned in his remarks, I would like to spend a little time on the last two proposals because there were some changes to the Dream Act and the Performance Improvement Plans. First, the Dream Act includes support for undocumented students by allowing them to apply for state tuition assistance, something that the University has been very supportive of for many years—the Governor's budget does include support for the Dream Act. In fact, in the Governor's proposal he called for the Dream Act to be tied to another proposal, the Education Tax Credit Initiative whereby folks who provide support for education initiatives or education programs throughout the state would get a tax credit at the end of the year. The way the language was crafted for both proposals, neither one of them could be approved on their own so they had to be approved or dismissed together by the legislature.

The other proposal I would like to discuss is the Performance Improvement Plans. The Governor added \$12 million in his budget proposal for **CUNY**'s senior colleges, \$18 million for SUNY's senior colleges that would help colleges reach certain goals that is called for in the Performance Improvement Plans—improving access, improving completion rates, and improving post-graduation outcomes. There were no funds added for the community colleges as part of the Performance Improvement Plans, although the proposal called for the community colleges to participate in submitting the plans, and the Executive Budget also included language that said ten percent of **CUNY**'s appropriation at both the senior and community colleges would be held in reserve until the plans were submitted to the state.

On January 21st, the Governor proposed his Executive Budget, and as part of state law he has thirty days to submit amendments to his budget so about ten days ago the Governor submitted dozens of amendments to his Executive Budget in regards to the Dream Act and the Performance Improvement Plans. As part of the thirty day amendments, the Dream Act, as mentioned earlier, was tied to the Education Tax Credit Initiative and is still tied to each other but one change that the Governor made was that both of these items are now tied to TAP appropriations, meaning that if both items do not pass as part of the Adopted Budget, the funding for TAP would not be released by the state for the next academic year. On the Performance Improvement Plans, the thirty day amendments included another approval letter for the initial Executive Budget proposal that called for the plans to also be approved by the State Budget Director until the ten percent total appropriation that will be held in reserve would be released.

These are the initiatives that were proposed as part of the Executive Budget that have significant policy impact, including changes that were made in the thirty day amendments that changed the original Executive Budget proposal that was discussed at the **Board of Trustees**' previous meeting.

Statement of University Faculty Senate Chair and Trustee Terrence Martell:

While there may be benefits to experiential learning the abbreviated timeframe and circumvention of

normal curricular approval processes at both the faculty and the **Board of Trustees** level requires that the University identify some concerns for consideration as this process unfolds. There are three particular concerns that I would like to raise, student concerns, institutional concerns and curricular concerns.

With regard to student concerns, unpaid experiential learning requirements resulting in additional non classroom hours may unduly burden working students and/or students who are primary caregivers. Some students may already have ample experiential learning. International students and students attending online have limitations on their ability to work. Some community college students may be unclear about their ultimate career aspirations complicated in the identification of suitable experiential learning opportunities.

Institutional concerns that this Board should think about includes implementing an experiential learning program across twenty-one campuses and a quarter of a million students who require significant allocation of resources, revising curriculum, securing placements, interviewing students and providing oversight in the field. Given in to this mandate may negatively impact **CUNY's** budget in the future as the Governor moves to a pay for the Performance Improvement Plans. Since completion of experiential learning opportunities may be beyond the University's control it will impact retention and graduation rates. In addition, the University may be exposed to workplace issues including compensation, sexual harassment, discrimination, workplace violence, etc. The addition of experiential learning for credit will require either expanding current programs or eliminating academic coursework. This carries implications for previous curricular policies of the Board.

The Governor's proposal depending on how it evolves will impact the life of every student of **CUNY** in an uncertain way. More fundamentally the Governor's proposal creates a dangerous precedent and opens this University to whatever the then current curricular flavor of the month will be. Taking the decisions out of the hands of the faculty and the Board is a mistake. Having said that the **UFS** hopes the Board will engage with the Chancellery and the faculty on these and other concerns, and the faculty look forward to collaborating to make this policy as palatable as possible.

Vice Chancellor Sapienza stated that he would like to add to **UFS Chair and Trustee Martell's** remarks on experiential learning that although the requirement is included in the Executive Budget proposal there were no additional funds added in the Executive Budget proposal to implement this new requirement.

In response to a question from **Trustee Joseph Lhota**, **Vice Chancellor Sapienza** stated that there would be no TAP appropriations that would be made in the next academic year if those two proposals are not passed together by the legislature.

In response to a question from **Trustee Barry Schwartz**, **Vice Chancellor Sapienza** stated that there is a concern that has been communicated in response to the recent changes over the last thirty days in the legislature, as a result, those amendments will be a big part of the negotiations with the Executive branch as part of the Adopted Budget.

Senior Vice Chancellor and Secretary Jay Hershenson stated that the legislature has to first agree to what are called avails, how much revenues are available. Once there is agreement on avails, both houses are moving toward one house resolutions, where the Senate and Assembly will express is views on the Executive Budget. That is when the real negotiations begin.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 5)

NO. 1. CHANCELLOR'S UNIVERSITY REPORT: RESOLVED, That the Chancellor's University Report for March 2, 2015 (including Addendum and Errata Items) be approved:

NO. 2. APPROVAL OF MINUTES: RESOLVED, That the minutes of the regular Board meeting of January 26, 2015 be approved.

NO. 3. COMMITTEE ON FISCAL AFFAIRS: RESOLVED, That the following items be approved:

A. THE CITY UNIVERSITY OF NEW YORK - VETERANS' TUITION RATE:

RESOLVED, That eligible veterans, as well as eligible spouses and dependents of certain service members, be charged the same tuition rate as New York State residents to the extent required under federal or state law, effective immediately.

EXPLANATION: On June 24, 2013, CUNY adopted a policy providing that veterans would be charged the same tuition as New York State residents for a period of 18 months from the first date of attendance at a CUNY college. This policy was adopted to enable veterans to attend CUNY using their GI benefits, because those benefits only paid tuition at the resident tuition rate at public colleges. Charging veterans the in-state rate for the first 18 months of their attendance at CUNY gave veterans time to establish New York State residency under CUNY's guidelines, after which they would be charged the in-state resident rate.

Subsequent to the promulgation of CUNY's policy in June 2013, both a federal law and a New York State law regarding tuition rates for veterans were enacted. The federal Veterans' Access to Care through Choice, Accountability, and Transparency Act of 2014, enacted on August 7, 2014, requires public colleges to provide in-state tuition rates for covered veterans regardless of their residency status. It also requires the same treatment for the spouse and children of service members who died in the line of duty after September 11, 2001, and for the spouse and children who receive educational assistance that is transferred from eligible service members. The federal law becomes effective during a quarter, semester or term that begins after July 1, 2015.

In addition, on August 18, 2014, the Governor of New York signed Chapter 328 of the Laws of 2014, which amended Section 6206 of the New York State Education Law to require the Trustees of CUNY to provide that the payment of tuition and fees for any student shall be no greater than the resident tuition rate where such student is attending CUNY in accordance with federal GI bills and in compliance with all applicable eligibility requirements thereof.

In light of these statutes, CUNY is adopting a revised policy that ensures that its tuition policy on veterans and the spouses and dependents of service members is consistent with federal and state law. This policy supersedes the June 24, 2013 policy. It is effective immediately and is applicable in the Spring 2015 term and thereafter.

B. CUNY SCHOOL OF LAW - PURCHASES OF GOODS AND SERVICES FROM NEW YORK STATE CONTRACT:

RESOLVED, That the Board of Trustees of The City University of New York authorizes the CUNY School of Law (the "Law School") to purchase goods and services for the upgrade of the audiovisual system in the Law School's Dave Fields Auditorium (the "auditorium") and the addition of video teleconferencing and lecture capture systems from a vendor authorized under existing New York State Office of General Services contracts, pursuant to law and University regulations. Such project shall not exceed \$800,000.00, chargeable to the appropriate CUNYfirst code for the Law School.

EXPLANATION: The purchases consist of a major replacement, update and upgrade of the audiovisual system in the auditorium, as well as the addition of video tele-conferencing and lecture capture systems. The proposed project includes the design, purchase, installation, and maintenance of the system.

The auditorium, which seats about 200, is heavily used. All the Law School's large classes meet there, and the auditorium is also the site of school-wide meetings and major school-sponsored events, such as Public Square evenings dedicated to important and trending legal and social issues, and similar programs that are open to the public, so that they simultaneously educate the Law School's students and enlighten the many members of the legal and allied professions, as well as interested members of the public, who attend. It is also available for use by community groups. For example, a public hearing by the New York Court of Appeals, to hear comments on the future of the bar exam, took place there on January 20, 2015.

Although the Law School moved into its current facility in 2012, all of the audiovisual equipment in its auditorium was inherited from the building's previous owner, and most of it had been in place since the facility was originally built in 2006. Thus, the existing audiovisual infrastructure has reached (and in some cases, gone beyond) the end of its useful life. The current system is obsolete, no longer functions properly, and requires frequent repairs.

The upgrade will provide many needed benefits. It will enable the Law School to implement distance learning capabilities for the auditorium. The renovation will also provide upgrades to audio transcription systems, necessary for the Law School to further enhance compliance with ADA requirements for the hearing impaired.

The Law School is expanding its academic program, launching an ABA-accredited part-time (primarily evening) program in the Fall of 2015. This additional usage will place further strain on the obsolete audiovisual equipment, limiting its usefulness and further increasing the probability of a failure at a critical time.

Report of Fiscal Affairs Committee Chair Joseph Lhota:

Following the approval of the action items, **Vice Chancellor Sapienza** gave a report on the FY2015-2016 State Executive Budget. Following his report, the **Subcommittee on Audit** was convened under the chairmanship of **Trustee Peter Pantaleo** and after approval of the minutes of its November 3, 2014 meeting, the Subcommittee approved the FY2014 A-133 Draft Audit Report, following a presentation by KPMG. After adjourning the **Subcommittee on Audit**, the **Subcommittee on Investment** was convened. After the approval of the minutes of its November 3, 2014 meeting, the Subcommittee was adjourned to go into Executive Session. With no additional items on the agenda for the **Subcommittee on Investment**, the meeting was adjourned.

NO. 4. COMMITTEE ON ACADEMIC POLICY, PROGRAMS AND RESEARCH: RESOLVED,
That the following items be approved:

A. BARUCH COLLEGE - B.S. IN FINANCIAL ENGINEERING:

RESOLVED, That the program in Financial Engineering leading to the Bachelor of Science at Baruch College be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: Building upon their success with the MS in Financial Engineering, which is ranked #4 nationally ahead of similar programs at many highly selective private institutions, the Weissman School of Arts and Sciences at Baruch College seeks to launch a similar program at the undergraduate level. In addition to preparing students for direct employment and doctoral programs it will also serve as a feeder program for the MS degree. Coursework for the program includes economics, probability and statistics, calculus, computer programming and data analysis and simulation for financial engineers. Students will do oral presentations in some classes to improve their business communications skills. The MS has a 90% employment rate for graduates and we expect similar results from the undergraduate program.

B. HUNTER COLLEGE - B.S.W. IN SOCIAL WORK:

RESOLVED, That the program in Social Work leading to the Bachelor of Social Work at Hunter College be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: The Silberman School of Social Work at Hunter College seeks to capitalize on the outstanding reputation of its highly competitive MSW program by offering an undergraduate social work major. In addition to this program attracting students directly to Hunter College, the program will also be attractive to students interested in the social sciences looking for an academic program leading directly to employment. The curriculum will include traditional social work courses plus electives in the social sciences and two internships.

C. CITY COLLEGE - M.S. IN TRANSLATIONAL MEDICINE:

RESOLVED, That the program in Translational Medicine leading to the Master of Science at City College be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: Translational Medicine, as applied to technology, refers to the process of transforming — or “translating” - basic science discoveries into practical medical technologies for use with patients. Such technologies include therapeutic drugs, medical devices, diagnostic reagents, and computer applications. CCNY’s proposal for the development of a Translational Medicine degree program is driven by the mounting demand for engineers, physicians, biomedical scientists, and other professionals who can successfully translate our abundant science and technology innovations into actual advances in patient health and healthcare. The Grove School of Engineering developed this program with a grant from the Grove Foundation.

D. SCHOOL OF PROFESSIONAL STUDIES OF THE GRADUATE SCHOOL AND UNIVERSITY CENTER - M.A. IN PSYCHOLOGY:

RESOLVED, That the program in Psychology leading to the Master of Arts at the School of Professional Studies of the Graduate School and University Center be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: The proposed MA in Psychology allows students to concentrate in one of two subspecialties of the field, industrial/organizational psychology or developmental psychology, both of which have direct employment opportunities. The program also requires two courses in research methods and a thesis. With this program, SPS continues to expand its offerings that are delivered in a fully on-line format. This will be the first on-line graduate program in psychology at CUNY.

E. GRADUATE SCHOOL AND UNIVERSITY CENTER - M.A. IN WOMEN’S AND GENDER STUDIES:

RESOLVED, That the program in Women’s and Gender Studies leading to the Master of Arts at the Graduate School and University Center be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: Despite the fact that Women’s and Gender Studies has been a well-established discipline for over thirty years, there is no free standing master’s program in the field in NYC. The CUNY Graduate Center has a rich array of faculty teaching in this field. This program will draw heavily upon existing courses in health, public policy, international women’s issues and LGBTQ Studies that are already being offered at The Graduate Center. This will allow students to focus their studies to their particular interests while keeping the operational costs of the program low. Graduates are expected to find or advance career opportunities in venues such as non-profit organizations, colleges and universities, and community health organizations, as well as continue on for doctoral studies.

F. YORK COLLEGE - M.S. IN PHYSICIAN ASSISTANT:

RESOLVED, That the program in Physician Assistant leading to the Master of Science at York College be approved, effective March 3, 2015, subject to financial ability.

EXPLANATION: York College's BS Physician Assistant Program (PA) is nationally accredited by the Accreditation Review Commission on Education for the Physician Assistant. The published *Standards* of the Commission regarding eligibility for continued accreditation require programs accredited prior to 2013 that do not currently offer a graduate degree transition to doing so. At this time 92% of existing programs are already at the graduate level. Courses from the existing undergraduate program have been revised, and new courses have been added to warrant a higher credential. York will now be able to recruit from a wider geographical range to meet its enrollment targets for this program.

G. BROOKLYN COLLEGE - ESTABLISHMENT OF THE DEPARTMENT OF FINANCE:

RESOLVED, That a new department called Department of Finance be created and the existing Department of Finance and Business Management be renamed Department of Business Management effective July 1, 2015, subject to financial ability.

EXPLANATION: Pursuant to academic and institutional planning, and following consultation of the President and the Provost with the Dean and the faculty involved and with the College's governance bodies, Brooklyn College proposes to create a new department called Department of Finance. Since finance programs and courses will henceforth reside in the new Department of Finance, the Department of Finance and Business Management will be renamed the Department of Business Management.

The new Finance Department and the renamed Department of Business Management will better reflect the diverse academic disciplines in which the current Finance and Business Management faculty are credentialed. It is anticipated that the proposed organizational changes will enhance scholarship, research, and faculty development and collaboration, as well as improving curricular development and increasing educational opportunities for students. The Department of Finance and Business Management currently houses the highest enrolled major program in Brooklyn College, the BBA degree. Plans are under way to establish the Finance concentration in this major as a freestanding degree program housed in the new Department of Finance.

On October 14, 2014, the Department of Finance and Business Management reviewed its current programs and course offerings and determined which would be transferred to the new Department of Finance. On the recommendation of the department chairperson and the chairperson of the department's curriculum committee, the department faculty unanimously approved the changes for submission to Faculty Council.

Support and staffing needs of the new Department of Finance and the renamed Department of Business Management will, with assistance from the administration, be addressed more directly as a result of the departmental reorganization. To support the establishment of the new Department of Finance, the provost has allocated funds to fill two faculty positions. One of the positions is an assistant professorship of finance and the other position is an associate professorship of finance. The candidate selected to fill the associate professor position will also assume the Herb Kurz Endowed Chair in Finance and Risk Management. A search committee has been formed and the committee is in the process of reviewing applications. We began campus interviews during December 2014 and anticipate that they will continue through February 2015. The successful candidates will join the existing four faculty members, and hence six full-time faculty members will compose the new Department of Finance as of the beginning of fall semester 2015.

The leadership and governance arrangements for the new Department of Finance and the renamed Department of Business Management will be as follows:

1. Sunil Mohanty will be the Acting Chairperson of the Department of Finance, with a departmental election to take place by September 4, 2015 (at which time the department will have the new hires in place to bring it up to full strength). That election will fill the position of department chairperson, the membership of the department's appointments committee and the other

governance positions as required by the Brooklyn College Governance Plan for the remaining two years of their triennial cycle (with the next election to be held in May 2017; this schedule will ensure appropriate staggering of triennial cycles in the School of Business);

2. A chairperson for the Department of Business Management will be elected, and any vacancies in other governance positions will be filled, during triennial election week in May 2015, by faculty remaining in the renamed department (as listed in the separate resolution noted below). The elected chairperson will serve for the final year of the Department of Business Management's triennial cycle; the next triennial election is scheduled for May 2016.

Separate resolutions will be submitted to the Board of Trustees via the Chancellor's University Report regarding the transfer and appointment of faculty to the new departments, with four (4) designated faculty members to be transferred from the Department of Finance and Business Management to the new Department of Finance and twenty-three (23) designated faculty members to remain in the renamed Department of Business Management, effective July 1, 2015.

- H. HONORARY DEGREES:** RESOLVED, That the following honorary degrees, approved by the appropriate faculty body, the college president and recommended by the Chancellor, be presented at the commencement exercise as specified:

COLLEGE

DEGREE

CITY COLLEGE

Ursula Burns	Doctor of Humane Letters
John Michael O'Keefe	Doctor of Science
(To be conferred at the commencement ceremony on May 29, 2015)	

HUNTER COLLEGE [approved by CAPPR 4/7/14]

Frayda B. Lindemann	Doctor of Humane Letters
(To be conferred at the commencement ceremony on May 27, 2015)	

LEHMAN COLLEGE

Tonio Burgos	Doctor of Laws
Micki Grant	Doctor of Fine Arts
Jaime Lucero	Doctor of Commercial Science
(To be conferred at the commencement ceremony on May 28, 2015)	

I. THE CITY UNIVERSITY OF NEW YORK - AMENDMENTS TO RESEARCH MISCONDUCT POLICY:

RESOLVED, That the Board of Trustees adopt the revised Research Misconduct Policy as set forth.

EXPLANATION: The Board of Trustees adopted the Research Misconduct Policy on June 25, 2007. Because of changes in federal regulations and experience under the policy, it is necessary and advisable to make amendments to the policy, especially with a view to streamlining the process for dealing with allegations of research misconduct. These amendments were developed by the Office of the Vice Chancellor for Research and the Office of the General Counsel and with consultation with the University Faculty Senate. The changes may be summarized as follows:

- Under the new Section 2, the Policy applies to all research conducted not only by University faculty, staff, and/or post-doctoral associates, but to research conducted by students as well.

- Procedures regarding allegations from additional sources within the University and from regulatory agencies and research sponsors are described in the new Sections 3.2 and 3.3.
- The decision that an Inquiry is warranted will be made by the Research Integrity Officer (“RIO”) at the College under the new Section 6.1, rather than by the College President in consultation with the RIO under Section 2.4 of the current policy.
- Under the new Section 6.2, promptly following the RIO’s determination whether or not an Inquiry is warranted, the RIO will consult with the Office of the Vice Chancellor for Research regarding the determination and, if an Inquiry is warranted, regarding the appropriate scope of the Inquiry and the requirements and procedures for securing related research records and evidence. (Under Section 2.4 of the current policy, the College President consults with the Office of the Vice Chancellor for Research only if the decision is not to begin an Inquiry.)
- As under Section 6.9 of the current policy, the procedures for securing related research records and evidence through the Inquiry stage are the responsibility of the RIO under the new Section 8.1, but with any necessary assistance from the Legal Affairs Designee at the College and in consultation with the University Director for Research Compliance. If, however, it is determined that an Investigation is warranted, these procedures become the responsibility of the University Director for Research Compliance under the new Section 8.3.
- Under the new Sections 6.4 and 6.5, the RIO will conduct the Inquiry, prepare the Inquiry reports, and make a recommendation to the College President as to whether or not an Investigation is warranted, rather than have an Inquiry Staff appointed by the College President handle these responsibilities, as provided under Sections 3.1, 3.3, and 3.6 of the current policy.
- Under the new Section 7.1, the Vice Chancellor for Research will appoint the members of the Investigation Committee, but there is no requirement to consult with the College President as provided in Section 4.1 of the current policy. The new Section 7.1 prescribes certain requirements for the composition of the Investigation Committee.
- A provision has been added to the new Section 7.4 permitting the Investigation Committee to draw adverse inferences if the Respondent refuses to make any Research Records and Evidence available for the Investigation.
- The optional provisions in Sections 3.8 and 4.4 of the current policy for making available to the Complainant all or a portion of the Inquiry and Investigation reports have been deleted from the revised policy.
- The Investigation Committee will make the finding of research misconduct under the new Section 7.7, rather than make a recommendation to the Chancellor, who, under Section 4.6 of the current policy, decides whether or not to accept the recommendation.
- Under the new Section 7.8, the Investigation Committee will submit the final Investigation report to the Vice Chancellor for Research, who will discuss it with the College President, who will then have the specific responsibility to decide whether any subsequent disciplinary actions are warranted.
- There are several sections in the revised policy that have been changed to indicate that regulatory agencies other than federal ones may be involved with CUNY’s research activities and that regulatory agencies may have involvement with these research activities even if they are not sponsoring them.
- The President of the Research Foundation no longer has any specific administrative role under the revised policy; however the President of the Research Foundation will receive copies of communications with research sponsors.

NOTE: New material is underlined; deleted material is struck out in red font.

**POLICY REGARDING THE DISPOSITION OF ALLEGATIONS OF RESEARCH MISCONDUCT
~~IN RESEARCH AND SIMILAR EDUCATIONAL ACTIVITIES~~**

1. ~~4.~~—GENERAL STATEMENT OF POLICY

A fundamental purpose of the University is to foster an environment that promotes the responsible conduct of research and similar educational activities (collectively, “research”), discourages Research

Misconduct, and deals promptly with any ~~allegations~~[Allegations](#) or ~~evidence~~[Evidence](#) of possible Research Misconduct. (Definitions of “Research Misconduct”, ~~“Allegation”~~, ~~“Evidence”~~ and other terms in this Policy that appear with initial capital letters are set forth in Section [812](#) below.) It is the University’s basic expectation that all research conducted by members of the University community will adhere to the highest ethical and moral standards. This Policy describes the procedures to be followed by the University in connection with any ~~allegation~~[Allegation](#) that University faculty, staff, ~~and/or~~ post-doctoral associates, ~~and/or students~~, whether paid by the University or through other funding sources, may have engaged in Research Misconduct. This Policy is ~~based primarily on the regulations codified in the Final Rule regarding Public Health Service Policies on Research Misconduct issued by the U.S. Department of Health and Human Services, effective on June 16, 2005. Like the Final Rule, this~~[also intended to comply with the requirements of applicable regulatory agencies and the sponsors of research.](#)

2. APPLICABILITY

[This](#) Policy applies only to ~~allegations~~[Allegations](#) of Fabrication, Falsification, and Plagiarism in research, as such terms are defined in Section [812](#) below, and not to ~~any~~ other ~~kinds~~[kind](#) of academic misconduct or dishonesty. This Policy applies to all research conducted by University faculty, staff, ~~and/or~~ post-doctoral associates, ~~and/or students~~, regardless of the academic discipline of the researcher or the sponsorship or source of support for the research. This Policy does not supersede or establish an alternative to any existing University or governmental regulations, procedures, or policies regarding fiscal improprieties, conflicts of interest, ethical treatment of human or animal subjects, or criminal matters, all of which remain in effect.

[It is the University’s expectation that all members of the University community will cooperate in reporting suspected Research Misconduct, responding to Allegations, providing relevant Research Records and other relevant information, and participating in Research Misconduct Proceedings.](#)

[This Policy replaces the University’s Policy Regarding the Disposition of Allegations of Misconduct in Research and Similar Educational Activities, adopted on June 25, 2007.](#)

3. REPORTING ALLEGATIONS OF RESEARCH MISCONDUCT

[Allegations of Research Misconduct may be brought to the University’s attention as follows:](#)

- [3.1.](#) [Any individual may report suspected Research Misconduct by one or more persons orally or in writing. Such individual \(the “Complainant”\) should address such Allegation to the Research Integrity Officer \(“RIO”\) of the College where the subject of the Allegation \(the “Respondent”\) has an appointment. In cases where the Respondent is a faculty member with joint appointments, the Allegation should be reported to the RIO of the “home College”, as determined in accordance with the University’s Joint Appointment Guidelines. If the Allegation is reported to the RIO orally, the RIO will contemporaneously create a written record of the Allegation.](#)
- [3.2.](#) [If an Allegation is received by another University administrator or identified in the course of another University process, such as an internal audit, the responsible administrator must immediately notify the RIO of the Allegation in writing. The RIO may initiate a Research Misconduct Proceeding regardless of the conduct or outcome of the other University processes.](#)
- [3.3.](#) [A regulatory agency or research sponsor may forward an Allegation of Research Misconduct at the University to the Vice Chancellor for Research or his or her designee, and the Vice Chancellor for Research or his or her designee will then determine whether to accept the responsibility of an Inquiry or an Investigation of the Allegation on behalf of the University. If the regulatory agency or research sponsor has conducted an Inquiry, the University’s Research Misconduct Proceeding may begin at the Investigation stage. The Vice Chancellor](#)

for Research will give notice of the Allegation to the President and the RIO at the appropriate College and, if the Allegation involves sponsored research, the President of the Research Foundation. The University Director for Research Compliance, in collaboration with the RIO, will notify the Respondent of the Allegation.

4. INDIVIDUAL OBLIGATIONS REGARDING INVESTIGATIONS CONDUCTED BY A REGULATORY AGENCY OR RESEARCH SPONSOR

If a University faculty or staff member, post-doctoral associate, or student becomes the subject of an Investigation of any kind conducted by a regulatory agency or research sponsor concerning an Allegation of Research Misconduct, such individual must report the existence of the Investigation immediately in writing to the Chief Academic Officer of his or her College. Upon receiving such notification, the Chief Academic Officer will give notice of the pending Investigation to the Vice Chancellor for Research and, if the pending Investigation involves sponsored research, the President of the Research Foundation. Failure to disclose a pending Investigation pursuant to this section may subject the University faculty or staff member, post-doctoral associate, or student to disciplinary or other appropriate action.

~~Sections 2, 3, and 4 of this Policy establish the procedures for the initial evaluation, Inquiry, and Investigation of allegations of Research Misconduct involving University faculty, staff, and/or post-doctoral associates. Section 5 sets forth the University's responsibility to notify federal agencies and other sponsors of research, if any, of certain circumstances that may arise during a Research Misconduct Proceeding, and Section 6 sets forth certain general considerations in connection with the implementation of this Policy. Section 7 sets forth the obligation of each University faculty or staff member and post-doctoral associate to report to the University any inquiry or investigation by a federal agency or other sponsor of research concerning allegations of Research Misconduct involving him or her. This Policy replaces the University's Interim Policy and Procedure Statement regarding the Disposition of Allegations of Misconduct in Research in Science, adopted on January 29, 1990.~~

~~2. INITIAL EVALUATION~~

~~2.1. The President of each College within the University, after consulting with the appropriate faculty governance body at the College, will designate a Research Integrity Officer to receive allegations of Research Misconduct involving faculty, staff, and/or post-doctoral associates at the College. The Research Integrity Officer will be an administrator or tenured faculty member at the College with experience in research and will be provided appropriate training to carry out his or her responsibilities under this Policy. The Research Integrity Officer will notify the subject of the allegations, the President, the University Dean for Research, and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation, of any allegations that have been filed. The Research Integrity Officer will then conduct an evaluation of the allegations in order to determine whether an Inquiry is warranted. All efforts should be made to complete the evaluation as expeditiously as possible.~~

~~2.2. An Inquiry is warranted if: (1) there is a reasonable basis for concluding that any of the allegations falls within the definition of Research Misconduct in Section 8.15; and (2) such allegation is sufficiently credible and specific so that potential evidence of Research Misconduct may be identified.~~

~~2.3. The evaluation of allegations of Research Misconduct will be conducted by the Research Integrity Officer of the College where the subject of the allegations has an appointment. In cases where the subject of the allegations is a faculty member with joint appointments, the evaluation will be conducted by the Research Integrity Officer of the "home College", as determined in accordance with the University's Joint Appointment Guidelines.~~

5. CONFIDENTIALITY

~~2.4.—After the evaluation, the Research Integrity Officer will make a recommendation to the President of the College as to whether an Inquiry is warranted, and the President, in consultation with the Research Integrity Officer, will make the decision as to whether to begin an Inquiry. The President will also consult with the University Dean for Research and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation before making a decision not to begin an Inquiry. If the President of the College decides that no Inquiry is warranted, the Research Integrity Officer will notify the subject of the allegations of this decision in writing.~~

In order to protect the privacy and professional reputations of those involved, all Research Misconduct Proceedings will be conducted in a fashion designed to maintain confidentiality. Knowledge of the Research Misconduct Proceedings and the disclosure of the identity of the Respondents and the Complainants will be limited, to the extent possible, to those who need to know, consistent with a thorough, competent, objective, and fair Research Misconduct Proceeding, and as allowed by law. Except as may otherwise be prescribed by applicable law, confidentiality of any Research Records or Evidence from which research subjects might be identified must be maintained. Disclosure of such Research Records or Evidence will be limited to those who have a need to know to carry out a Research Misconduct Proceeding. All individuals having knowledge of the identity of the Respondents and the Complainants and access to information in any reports or drafts thereof prepared in connection with a Research Misconduct Proceeding must keep such knowledge and information confidential.

~~2.5.—If the President decides that an Inquiry is warranted, the Research Integrity Officer will notify the subject of the allegations, the University Dean for Research, and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation, in writing at the time of or before the beginning of the Inquiry. The Research Integrity Officer will prepare a summary of the results of the evaluation for use in the Inquiry and provide a copy of the summary to the subject of the allegations, the President, the University Dean for Research, the President of the Research Foundation (if applicable), and the Inquiry Staff, as appointed pursuant to Section 3.1.~~

6. 3.—INQUIRY

6.1. Upon receipt of an Allegation of Research Misconduct, the RIO will promptly determine whether or not an Inquiry is warranted. An Inquiry is warranted if the Allegation (a) falls within the definition of Research Misconduct in Section 12.17 below; (b) is made against a person to whom this Policy applies; and (c) is sufficiently credible and specific so that potential Evidence of Research Misconduct may be identified. The purpose of an Inquiry is preliminary information-gathering and preliminary fact-finding to determine whether the Allegation warrants a formal Investigation, as described in Section 7 below. An Inquiry is not a formal hearing requiring a full review of all Research Records and Evidence related to the Allegation.

6.2. Promptly following the RIO's determination of whether or not an Inquiry is warranted, the RIO will consult the Vice Chancellor for Research or his or her designee regarding the determination and, if an Inquiry is warranted, regarding the appropriate scope of the Inquiry and the requirements and procedures for securing related Research Records and Evidence. Either before or at the time the RIO notifies the Respondent of the Allegation as provided in Section 6.3 below, the RIO will secure the related Research Records and Evidence in accordance with Section 8.1 below. If the RIO determines that an Inquiry is NOT warranted, he or she will give notice of such determination and a summary of the Allegation to the President in writing.

6.3. 3.1. ~~If the President decides that an Inquiry of the allegations of Research Misconduct is warranted, the President will, after consulting with the University Dean for Research, appoint~~

- ~~two tenured faculty members actively involved in research in the same field as the subject of the allegations or a related field to serve with the Research Integrity Officer as members of an Inquiry Staff to conduct the Inquiry. The two additional members of the Inquiry Staff need not be members of the faculty of the College where the Inquiry will be conducted.~~ Once the RIO determines that an Inquiry is warranted, the RIO will notify the Respondent, the Complainant, and the President in writing of the Allegation that has been filed and that an Inquiry will be conducted. If the Inquiry subsequently identifies additional Respondents, the RIO will also notify them in writing.
- 6.4. ~~3.2. The purpose of the Inquiry is to conduct an initial review of the evidence~~ Promptly following the RIO's determination that an Inquiry is warranted, the securing of the related Research Records and Evidence, and the notifications required under Section 6.3 above, the RIO will conduct an Inquiry to determine whether ~~any of the allegations warrants~~ an Investigation of the Allegation is warranted. An Investigation is warranted if: ~~(1)~~ (a) a reasonable basis for concluding that ~~any of the allegations~~ Allegation falls within the definition of Research Misconduct ~~in under~~ Section 8.15; 12.17 below, and ~~(2b)~~ (2b) preliminary information-gathering and preliminary fact-finding from the Inquiry ~~indicate~~ indicates that ~~such allegation~~ the Allegation may have substance.
- 6.5. ~~3.3. Upon~~ Promptly following the completion of the Inquiry, the ~~Inquiry Staff~~ RIO will prepare and ~~submit~~ a preliminary Inquiry report ~~to the President, the University Dean for Research, and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation, including a recommendation by the majority of the Inquiry Staff as to whether the President should find that an Investigation is warranted. The President will then make the decision whether~~ that will include the following information: (a) the name and position of the Respondent; (b) a description of each Allegation of Research Misconduct; (c) whether the Allegation is associated with sponsored research, and the related contract or grant number, if any; (d) a summary of the steps taken during the Inquiry; (e) a summary of the results of the Inquiry; (f) the basis for concluding that the Allegation falls within the definition of Research Misconduct; (g) a recommendation to the President as to whether or not an Investigation is warranted; provided, however, that the President will consult with the University Dean for Research and (if applicable) the President of the Research Foundation before making a decision that an Investigation is not warranted. The President will notify the Inquiry Staff, the University Dean for Research, and (if applicable) the President of the Research Foundation, of the decision in writing and (h) attachments of any relevant materials used in the Inquiry.
- 6.6. The RIO will provide the Respondent an opportunity to review and comment on the preliminary Inquiry report. Upon receipt of the comments from the Respondent, the RIO will attach the Respondent's comments to the preliminary Inquiry report and submit this final Inquiry report to the President. Upon receipt of the final Inquiry report, the President, in consultation with the RIO, will make the decision as to whether to refer the case to the Vice Chancellor for Research for an Investigation.
- 6.7. ~~3.4. The Inquiry Staff will~~ All efforts should be made to complete the ~~preliminary Inquiry report described in Section 3.3 within 45 calendar days of the first meeting of the Inquiry Staff unless circumstances warrant a longer period. The President will make a decision whether to proceed to an Investigation within 15 calendar days of receipt of the preliminary Inquiry report from the Inquiry Staff unless circumstances~~ Inquiry as expeditiously as possible, and within 60 calendar days of its initiation, unless circumstances clearly warrant a longer period. If the Inquiry takes longer than ~~a total of~~ 60 calendar days to complete, the Inquiry record ~~of the Inquiry will~~ must include documentation of the reasons for exceeding the 60-day period.
- 6.8. ~~3.5. If the President decides that an Investigation is not warranted~~ NOT warranted, he or she must consult with the Vice Chancellor for Research prior to closing the case. If the Vice Chancellor for Research is in agreement with the President, the matter will be closed and all

records of the proceedings treated as confidential pursuant to Section ~~6.4~~⁵ to respect the rights and protect the reputations of all parties involved. All reasonable and practical efforts, if requested and as appropriate, will be undertaken to protect or restore the reputation of ~~a subject alleged to have engaged in Research Misconduct when it is determined that an investigation of the allegations against the subject is not warranted.~~ the Respondent. The RIO will notify the Respondent and the Complainant of this decision in writing.

6.9. If the President decides that an Investigation is warranted, the RIO will so notify the Respondent and the Complainant in writing within a reasonable time after the President's decision, but before the Investigation begins. The notice to the Respondent must include a copy of the final Inquiry report and include a copy of, or refer to, this Policy and the relevant regulations or policies of the applicable regulatory agency and/or research sponsor, if any.

6.10. ~~3.6.~~ If the President decides that an Investigation is warranted, the Inquiry Staff ~~he or she~~ will prepare a final Inquiry report that includes the following information: (1) ~~the name and position of the~~ subject of the allegations; (2) a description of the allegations of Research Misconduct; (3) the federal agency or other sponsor support, if any, including, for example, grant or contract numbers, grant or contract applications, grants or contracts, and publications listing the support; and (4) the basis for recommending that the alleged actions warrant an Investigation. send the final Inquiry report to the Vice Chancellor for Research for Investigation of the case within 14 calendar days of this decision. If the research involved in the Allegation is supported by a grant or contract from a research sponsor, the Vice Chancellor for Research will notify the President of the Research Foundation and the sponsor in accordance with sponsor requirements.

~~3.7.—The Research Integrity Officer will notify the subject of the allegations in writing whether the President has decided that an Investigation is warranted. If the President has decided that an Investigation is warranted, the notice will include a copy of the final Inquiry report and a copy of or reference to this Policy and, if applicable, the Final Rule. In such a case, the notice and copies of documents will be given before the date the Investigation begins, in sufficient time to provide the subject of the allegations an opportunity to review and comment on the final Inquiry report. The Inquiry Staff will attach any comments received from the subject to the final Inquiry report.~~

~~3.8.—The Inquiry Staff may notify the individual who made the allegations whether the President has decided that an Investigation is warranted and, if the President has decided that an Investigation is warranted, may provide relevant portions of the final Inquiry report to such individual for comment. Any comments received from such individual will be attached to the final Inquiry report.~~

~~3.9.—If the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, within 30 calendar days of the President's decision that an Investigation is warranted (but before the date the Investigation begins), the University Dean for Research will provide the applicable federal agency or other sponsor and the President of the Research Foundation with the written decision by the President and a copy of the final Inquiry report with any comments on the report from the subject of the allegations and the individual making the allegations attached.~~

7. ~~4.~~ INVESTIGATION

7.1. ~~4.1.~~ If the President decides that an Investigation of the allegations of Research Misconduct is warranted, he or she will notify the University Dean. Upon receipt of the final Inquiry Report, the Vice Chancellor for Research, who will then appoint at least three members of University or College staff and/or tenured faculty at any College to an Investigation Committee to conduct the Investigation. A majority of the members of the Investigation Committee will be tenured faculty actively involved in research in the same field as the subject of the allegations Respondent or a related field. In making the appointments, the University Dean for Research will consult with the President of the College and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the

- ~~President of the Research Foundation.~~, and a majority of the members of the Investigation Committee will be tenured faculty members at Colleges other than the Respondent's College. In addition, no staff member of the Respondent's College may serve on the Investigation Committee.
- 7.2. ~~4.2.~~ The Investigation will begin within 30 calendar days after the President's ~~decision that an investigation is warranted.~~ referral of the case to the Vice Chancellor for Research. The Investigation Committee will give the ~~subject of the allegations~~ Respondent written notice of any new ~~allegations~~ Allegations of Research Misconduct not addressed during the Inquiry or in the initial notice of the Investigation within a reasonable amount of time after a determination to pursue any such new ~~allegations.~~ Allegations.
- 7.3. The University will take reasonable steps to ensure an impartial and unbiased Investigation to the maximum extent practicable, including participation of persons with appropriate expertise who do not have unresolved personal, professional, or financial conflicts of interest with, or biases against, those involved with the Inquiry or the Investigation.
- 7.4. ~~4.3.~~ ~~The University will take reasonable steps to ensure an impartial and unbiased investigation to the maximum extent practicable.~~ The Investigation Committee will use diligent efforts to ensure that the Investigation is thorough and sufficiently documented and that it includes an examination of all ~~research records~~ Research Records and ~~evidence~~ Evidence relevant to reaching a decision on the merits of the ~~allegations.~~ Allegations. If the Respondent refuses to make any such Research Records and Evidence available for the Investigation, the Investigation Committee may draw adverse inferences from such refusal.
- 7.5. The Investigation Committee will comply with the requirements of any applicable regulatory agency and/or research sponsor regarding the interviewing of individuals in connection with the Investigation, will use reasonable efforts to interview each Respondent, the Complainant, and any other available person whom the Investigation Committee has identified as having information regarding any relevant aspects of the Investigation, and will keep written records of each interview.
- 7.6. ~~4.4.~~ Upon completion of the Investigation, the Investigation Committee will prepare a draft Investigation report and will provide the ~~subject of the allegations an opportunity to review and comment on~~ Respondent a copy of the draft Investigation report and, concurrently, provide the Respondent and/or his or her union representative or legal counsel, if any, a copy of, or supervised access to, the ~~evidence~~ Evidence on which the draft Investigation report is based. The comments of the ~~subject of the allegations~~ Respondent on the draft Investigation report, if any, must be submitted within 30 calendar days of the date on which the ~~subject~~ Respondent received the draft report. ~~The Investigation Committee may also provide the individual who made the allegations a copy of the draft Investigation report or relevant portions of the draft report, and the comments of such individual, if any, must be submitted within 30 calendar days of the date on which he or she received the draft report or relevant portions of it.~~
- 7.7. ~~4.5.~~ The Investigation Committee will promptly review any comments on the draft Investigation report by the ~~subject of the allegations and the individual who made the allegations and either decide not to make a finding of Research Misconduct or recommend that the Chancellor make a finding of Research Misconduct. If the Investigation Committee decides not to make a finding of Research Misconduct, it will promptly notify the President, the Research Integrity Officer, the University Dean for Research, the subject of the allegations, the individual who made the allegations, and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation.~~ ~~4.6.~~ If the Investigation Committee recommends a finding of Research Misconduct, it will submit to the Chancellor a copy of the draft

~~Investigation report and any comments on it by the subject of the allegations and the individual who made the allegations, and the Chancellor will decide whether to accept the Investigation Committee's recommendation. Respondent and decide whether or not to make a finding of Research Misconduct.~~ The Chancellor will notify the Investigation Committee of the decision, and the Investigation Committee will promptly notify the President, the Research Integrity Officer, the University Dean for Research, the subject of the allegations, the individual who made the allegations, and, if the research involved in the allegations is supported by a grant or contract from a federal agency or other sponsor, the President of the Research Foundation. 4.7. Promptly following the Investigation Committee's decision not to make a finding of Research Misconduct, or the decision by the Chancellor as to whether to accept the Investigation Committee's recommendation of a finding of Research Misconduct, the Investigation Committee will prepare a will document its decision in the final Investigation report. The final Investigation report will be in writing and will:

- a) ~~(1) — describe~~ Describe the nature of the ~~allegations~~ Allegations of Research Misconduct;
- b) ~~(2) — describe and document the federal agency or other~~ Identify the research sponsor support, if any, ~~including, for example, and include~~ any grant or contract numbers, grant or contract applications, grants or contracts, and publications listing the support;
- c) ~~(3) — describe~~ Describe the specific ~~allegations~~ Allegations of Research Misconduct for consideration in the Investigation;
- d) ~~(4) — if not already provided to the federal agency or other sponsor with the Inquiry report, include~~ Include the University policies and procedures under which the Investigation was conducted;
- e) ~~(5) — identify~~ Identify and summarize the ~~research records~~ Research Records and ~~evidence~~ Evidence reviewed, and identify any ~~evidence~~ Evidence taken into custody but not reviewed;
- f) ~~(6) — for~~ For each separate ~~allegation~~ Allegation of Research Misconduct identified during the Investigation, provide a finding as to whether Research Misconduct did or did not occur, and if so:
 - i) ~~(a) — identify~~ Identify whether the Research Misconduct was Falsification, Fabrication, or Plagiarism, and if it was intentional, knowing, or in reckless disregard, ~~as such terms are used in the Final Rule;~~
 - ii) ~~(b) — summarize~~ Summarize the facts and the analysis that support the conclusion and consider the merits of any reasonable explanation by the ~~subject of the allegations~~ Respondent;
 - iii) ~~(c) — identify~~ Identify the specific ~~federal agency or other~~ research sponsor support, if any;
 - iv) ~~(d) — identify~~ Identify whether any publications need correction or retraction;
 - v) ~~(e) — identify~~ Identify the person(s) responsible for the Research Misconduct; and
 - vi) ~~(f) — list~~ List any current support or known applications or proposals for support that the ~~subject of the allegations~~ Respondent has pending with any ~~federal agencies or other~~ research sponsors; and

- g) ~~(7) — include and consider any comments made by the subject of the allegations and the individual making the allegations on the draft Investigation report; and~~ Include and consider any comments made by the Respondent on the draft Investigation report.
~~(8) — maintain and provide to the federal agency or other sponsor, if any, upon request, all relevant research records and records of the Research Misconduct Proceeding.~~

7.8. The Investigation Committee will submit the final Investigation report to the Vice Chancellor for Research, who will then discuss the report with the President. The President will notify the RIO, the Respondent, and the Complainant of the Investigation Committee's finding as to whether Research Misconduct did or did not occur and, in the case of a finding of Research Misconduct, will decide whether any subsequent disciplinary actions by the University are warranted. If the President finds that subsequent disciplinary actions are warranted as a result of the Investigation, the University may conduct a disciplinary proceeding in connection with the finding in accordance with applicable collective bargaining agreements, the University Bylaws, and/or other applicable policies of the University.

7.9. ~~4.8. If the research involved in the allegation~~ Allegations is or was supported by a grant or a contract from a federal agency or other sponsor, the University Dean for Research will give the federal agency or other sponsor of the research and, the Vice Chancellor for Research or his or her designee, in collaboration with the Grants Officer at the College, will report and respond to any applicable regulatory agency and/or research sponsor as outlined in Section 9 below and send a copy of any such communication to the President of the Research Foundation: (1) a copy of the final Investigation report and all attachments; (2) a statement of whether the Investigation resulted in a finding of Research Misconduct, and if so, who committed the Research Misconduct; (3) a statement of whether the University accepts the findings of the Investigation; and (4) a description of any pending or completed administrative action by any federal agency against the subject of the allegations to the extent such action relates to the subject matter of the sponsored research.

7.10. ~~4.9. All aspects of the Investigation, including conducting the Investigation, preparing the draft Investigation report and providing it for comment in accordance with Section 4.4, deciding whether or not to make a finding of Research Misconduct in accordance with Sections 4.5 and 4.6, preparing the final Investigation report in accordance with Section 4.7, and sending the final Investigation report to the federal agency or other, and notifying any applicable regulatory agency and/or research sponsor, if any, in accordance with Section 4.8, its requirements,~~ will be completed within 120 calendar days of the beginning of the Investigation.

7.11. ~~4.10. —~~ If, upon the conclusion of an Investigation, it is determined that the ~~subject of the allegations~~ Respondent has ~~not~~ NOT committed any Research Misconduct, the matter will be closed, ~~the Vice Chancellor for Research or his or her designee will notify in writing any applicable regulatory agency and/or research sponsor and, if the Allegation involves sponsored research, the President of the Research Foundation,~~ and all records of the proceedings will be treated as confidential pursuant to Section ~~6.45 above~~ to respect the rights and protect the reputations of all parties involved. All reasonable and practical efforts, if requested and as appropriate, will be undertaken to protect or restore the reputation of ~~a subject~~ persons alleged to have engaged in Research Misconduct but against whom no finding of Research Misconduct is made.

8. SECURING OF RESEARCH RECORDS AND EVIDENCE

8.1. Pursuant to section 6.2 above, the RIO will comply with the requirements and procedures for securing Research Records and Evidence based on consultation with the Office of the Vice Chancellor for Research. Either before or at the time the RIO notifies the Respondent of the

Allegation and at any other time during the course of an Inquiry when additional Research Records or Evidence are discovered, the RIO, with any necessary assistance from the Legal Affairs Designee at the College and in consultation with the University Director for Research Compliance, will take all reasonable and practical steps to (a) obtain custody of all the Research Records and Evidence needed to conduct the Research Misconduct Proceeding, (b) inventory the Research Records and Evidence, and (c) sequester the Research Records and Evidence in a secure manner; except that where the Research Records or Evidence encompass scientific instruments shared by a number of users, custody may be limited to copies of the Research Records or Evidence on such instruments, so long as those copies are substantially equivalent to the evidentiary value of the instruments. To the extent that compliance with the requirements and procedures for securing Research Records and Evidence involves monitoring or inspecting the activity and accounts of individual users of the University's computer resources, the RIO, the Legal Affairs Designee at the College, and the University Director for Research Compliance will comply with the requirements of Section 13(c) of the University's Policy on Acceptable Use of Computer Resources.

8.2. The RIO will maintain the Research Records and Evidence as required under Section 11 below.

8.3. If, in accordance with Section 6.4 above, it is determined that an Investigation is warranted, the University Director for Research Compliance, with assistance from the RIO and the Legal Affairs Designee at the College, will perform at the Investigation stage the responsibilities of the RIO regarding the securing and maintenance of Research Records and Evidence as set forth in Sections 8.1 and 8.2 above.

~~4.11 — If the Chancellor finds Research Misconduct as a result of the Investigation, the University may conduct a disciplinary proceeding in connection with the finding in accordance with applicable collective bargaining agreements, the University Bylaws, and/or other applicable policies of the University.~~

8.4. Where appropriate, the Respondent will be given copies of, or reasonable supervised access to, the Research Records or Evidence to allow the Respondent to continue to do his or her work during an Inquiry, Investigation, and/or any related disciplinary proceedings.

9. ~~5. — NOTIFYING FEDERAL REPORTING AND RESPONDING TO REGULATORY AGENCIES AND OTHER RESEARCH SPONSORS OF SPECIAL CIRCUMSTANCES~~

9.1. The Vice Chancellor for Research or his or her designee, in collaboration with the Grants Officer at the College, will report and respond to all applicable regulatory agencies and research sponsors with regard to Allegations of Research Misconduct in accordance with applicable regulations and sponsor policies. Depending on the regulatory agency or the research sponsor, reporting requirements may begin immediately upon receipt of an Allegation and continue during and after the Research Misconduct Proceedings. If the Allegation involves sponsored research, the Vice Chancellor for Research or his or her designee will send to the President of the Research Foundation a copy of all such reports and responses to the research sponsor, as well as a copy of any follow-up communications with the research sponsor.

9.2. The ~~University Dean~~ Vice Chancellor for Research or his or her designee will immediately notify ~~any federal~~ the applicable regulatory agency and/or ~~other research~~ sponsor providing support for research that is the subject of an ~~allegation~~ Allegation of Research Misconduct, as well as the President of the Research Foundation, if, at any time during any related Research Misconduct Proceeding, the University has reason to believe that any of the following conditions exist:

a) ~~(1) — health~~ Health or safety of the public is at risk, including an immediate need to protect human or animal subjects;

- b) ~~_____ (2) _____ federal agency or other~~ Research sponsor resources or interests are threatened;
- c) ~~_____ (3) _____ research~~ Research activities should be suspended;
- d) ~~(4) _____ there~~ There is reasonable indication of possible violations of civil or criminal law;
- e) ~~(5) _____ federal~~ Governmental or other ~~_____ governmental~~ action is required to protect the interests of those involved in the Research Misconduct Proceeding;
- f) ~~_____ (6) _____ the~~ The University believes the Research Misconduct Proceeding may be made public prematurely, so that the ~~_____ federal~~ regulatory agency ~~_____ and/or other~~ research sponsor may take appropriate steps to safeguard ~~_____ evidence~~ Evidence and protect the rights of those involved; and
- g) ~~_____ (7) _____ the~~ The research community or the public should be informed.

10.6. ~~_____~~ GENERAL CONSIDERATIONS

10.1. ~~_____ 6.1. _____~~ When being interviewed by the ~~_____ Inquiry Staff~~ RIO or appearing before the Investigation Committee, the ~~_____ subject of an allegation of Research Misconduct~~ Respondent may be accompanied by ~~_____ an adviser, who may be~~ a union representative ~~_____ and/or~~ legal counsel. However, neither the Inquiry nor the Investigation is a trial-type proceeding, and the ~~_____ adviser~~ union representative or legal counsel may not actively participate in the proceeding, such as by directing questions or answers or offering argument on behalf of the ~~_____ subject of the allegations.~~ Respondent.

10.2. ~~_____ 6.2. The subject of an allegation of Research Misconduct~~ The Respondent may be suspended or removed from work under a research grant or contract by the President ~~_____ of the College~~, in consultation with the ~~_____ University Dean~~ Vice Chancellor for Research ~~_____ and the President of the Research Foundation~~, any time following the commencement of an Inquiry regarding ~~_____ such allegation~~ an Allegation of Research Misconduct about such research if, in the judgment of the President ~~_____ of the College~~, such suspension or removal is warranted by the circumstances. Depending on developments in the Inquiry or Investigation, the President ~~_____ of the College~~ may, in consultation with the ~~_____ University Dean~~ Vice Chancellor for Research ~~_____ and the President of the Research Foundation~~, restore the ~~_____ subject of the allegation~~ Respondent to the work under the research grant or contract. The ~~_____ University Dean~~ Vice Chancellor for Research ~~_____ or his or her designee~~ will notify ~~_____ the federal~~ any applicable regulatory agency ~~_____ and/or other~~ research sponsor ~~_____ of the research~~ of any suspension, removal, or restoration decision under this section. ~~_____ and will send the President of the Research Foundation a copy of any such notice and any follow-up communications with the regulatory agency or research sponsor.~~

10.3. ~~_____ 6.3.~~ If the ~~_____ subject~~ Respondent ~~_____ admits the accuracy~~ of an ~~_____ allegation~~ Allegation of Research Misconduct ~~_____ admits the accuracy of the allegation~~ in the course of an ~~_____ evaluation,~~ Inquiry, or Investigation, the matter will be directly forwarded to the President for appropriate action, which may include disciplinary action under applicable collective bargaining agreements, the University Bylaws, or other applicable policies of the University.

~~_____ 6.4. _____~~ In order to protect the privacy and reputation of innocent parties and good faith accusers, ~~_____ all Research Misconduct Proceedings will be conducted in a fashion designed to maintain confidentiality. Knowledge of the Research Misconduct Proceedings and the disclosure of the identity of the subjects of allegations and the individuals making them, will be limited, to the extent possible, to those who need to know, consistent with a thorough, competent, objective and fair Research Misconduct Proceeding, and as allowed by law. Except as otherwise prescribed by applicable law, confidentiality will be maintained for~~

~~any records or evidence from which research subjects might be identified, and disclosure of such records or evidence will be limited to those who have a need to know to carry out a Research Misconduct Proceeding.~~

10.4. ~~6.5.~~ Allegations that are brought in good faith may not be the basis of any ~~retaliation~~ Retaliation against the ~~individual making them~~ Complainant, even if the ~~allegations~~ Allegations are not substantiated upon Inquiry or Investigation. All reasonable and practical efforts will be undertaken, ~~if requested and~~ as appropriate, to protect or restore the position and reputation of any ~~individual making allegations in good faith~~ Complainant and any witness or other individual involved in a Research Misconduct Proceeding, and to counter potential or actual ~~retaliation~~ Retaliation against such individuals.

10.5. The RIOs, members of the Investigation Committee, the President and all others responsible for carrying out any part of a Research Misconduct Proceeding, the Vice Chancellor for Research, and the University Director for Research Compliance:

~~6.6. The Research Integrity Officers, any other members of the Inquiry Staff, members of the Investigation Committee, all others responsible for carrying out any part of a Research Misconduct Proceeding, the University Dean for Research, and the President of the Research Foundation a)~~ will take precautions to ensure that they do not have real or apparent personal, professional, or financial conflicts of interest with ~~any subject of allegations, any individual making the allegations, or biases against, any Respondent, any Complainant,~~ or any witness in a Research Misconduct Proceeding.;

~~6.7. The Research Integrity Officers, any other members of the Inquiry Staff, members of the Investigation Committee, all others responsible for carrying out any part of a Research Misconduct Proceeding, the University Dean for Research, and the President of the Research Foundation b)~~ will at all times conduct their activities related to the implementation of this Policy in a fashion that is consistent with their obligations under applicable federal, state, and local laws, rules, and regulations.;

~~6.8. The Research Integrity Officers, any other members of the Inquiry Staff, members of the Investigation Committee, all others responsible for carrying out any part of a Research Misconduct Proceeding, the University Dean for Research, and the President of the Research Foundation c)~~ may request the assistance of legal counsel from the University's Office of the General Counsel during the course of their activities related to the implementation of this Policy.

11. RECORD KEEPING

~~6.9. The University has a continuing obligation under this Policy to ensure that it maintains adequate records of a Research Misconduct Proceeding. Therefore, the Research Integrity Officer will:~~

- (1) ~~either before or when he or she notifies the subject of the allegations of the allegations or an Inquiry or Investigation, promptly take all reasonable and practical steps to (a) obtain custody of all the research records and evidence needed to conduct the Research Misconduct Proceeding, (b) inventory the records and evidence, and (c) sequester them in a secure manner; except that where the research records or evidence encompass scientific instruments shared by a number of users, custody may be limited to copies of the data or evidence on such instruments, so long as those copies are substantially equivalent to the evidentiary value of the instruments. Whenever possible, custody of the research records and evidence will be taken before or at the time the subject is notified of the allegations, and whenever additional items become known or relevant to an Inquiry or Investigation;~~

- (2) ~~where appropriate, give the subject of the allegations copies of, or reasonable, supervised access to, the research records;~~
- (3) ~~undertake all reasonable and practical efforts to take custody of additional research records or evidence discovered during the course of a Research Misconduct Proceeding; except that where the research records or evidence encompass scientific instruments shared by a number of users, custody may be limited to copies of the data or evidence on such instruments, so long as these copies are substantially equivalent to the evidentiary value of the instruments;~~
- and (4) The RIO will maintain in a secure manner sufficiently detailed documentation of ~~the Research Misconduct Proceeding~~ each Inquiry, including related Research Records and Evidence, and the University Director for Research Compliance will maintain in a secure manner sufficiently detailed documentation of each Investigation, including related Research Records and Evidence, for seven years after (a) the completion of the Research Misconduct Proceeding or (b) the completion of any ~~federal~~ regulatory agency or ~~other~~ research sponsor proceeding involving the Allegations of Research Misconduct ~~allegations~~, whichever is later, in order to permit a later assessment by the ~~federal agency or other sponsor or otherwise~~. regulatory agency or research sponsor or otherwise. To the extent that the RIO forwards such original detailed documentation of an Inquiry to the Office of the Vice Chancellor for Research in connection with an Investigation, the University Director for Research Compliance will be responsible for maintaining such documentation for the period provided in this section.

~~7. FACULTY AND STAFF OBLIGATIONS REGARDING INQUIRIES OR INVESTIGATIONS CONDUCTED BY A FEDERAL AGENCY OR OTHER SPONSOR~~

~~If a University faculty or staff member or post-doctoral associate becomes the subject of an inquiry or investigation of any kind conducted by a federal agency or other sponsor of research concerning allegations of Research Misconduct by him or her, such individual must report the existence of the inquiry or investigation immediately in writing to the Chief Academic Officer of his or her College. Upon receiving such notification, the Chief Academic Officer will notify the University Dean for Research and the President of the Research Foundation about the pending inquiry or investigation. Failure to disclose a pending inquiry or investigation pursuant to this Section 7 may subject the University faculty or staff member or post-doctoral associate to disciplinary action or other appropriate action.~~

12.8. ~~DEFINITIONS~~

~~8.1. "Chancellor" means the Chancellor of the University or his or her designee.~~

12.1. ~~Allegation~~ means a disclosure of possible Research Misconduct through any means of communication. The disclosure may be by written or oral statement or other communication.

12.2. ~~8.2.~~ "College" means an educational unit of the University, including all senior colleges and community colleges, the Graduate School and University Center, ~~and~~ (including, without limitation, the School of Professional Studies, the Graduate School of Journalism, and the CUNY School of Public Health), the City University School of Law, and the University's Central Office (which, for purposes of this Policy, includes the University's Advanced Science Research Center).

12.3. ~~Complainant~~ means a person who makes an Allegation of Research Misconduct.

12.4. ~~Evidence~~ means any document, tangible item, or testimony offered or obtained during a Research Misconduct Proceeding that tends to prove or disprove the existence of an alleged fact.

12.5. ~~8.3.~~ "Fabrication" means making up data or results and recording or reporting them.

12.6. ~~8.4.~~ “*Falsification*” means manipulating research materials, equipment, or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.

~~8.5.~~ “*Final Rule*” means the ~~Final Rule regarding Public Health Service Policies on Research Misconduct issued by the U.S. Department of Health and Human Services, effective on June 16, 2005 (42 CFR Parts 50 and 93).~~

12.7. ~~8.6.~~ “*Inquiry*” means preliminary information-gathering and preliminary fact-finding to determine whether an ~~allegation of Research Misconduct may have~~ Allegation has substance and ~~warrants~~ if an Investigation ~~is warranted. An Investigation must be undertaken if the Inquiry determines the Allegation has substance.~~

~~8.7.~~ “*Inquiry Staff*” means the ~~Research Integrity Officer and two tenured faculty members actively involved in research in the same field as the subject of the allegations or a related field who are appointed by the President of a College to conduct an Inquiry into particular allegations of Research Misconduct against University faculty, staff, and/or post-doctoral associates.~~

12.8. ~~8.8.~~ “*Investigation*” means the formal development, examination, and evaluation of a factual record ~~and the examination of that record leading to a decision not to make a finding of Research Misconduct or to a recommendation for a finding of Research Misconduct, which may include a recommendation for other appropriate actions~~ to determine whether Research Misconduct has taken place, to assess its extent and consequences, and to evaluate appropriate action.

12.9. ~~8.9.~~ “*Investigation Committee*” means the committee consisting of at least three members of University staff or tenured faculty at any College actively involved in research in the same field as the ~~subject of the allegations~~ Respondent or a related field who are appointed by the ~~University Dean~~ Vice Chancellor for Research to investigate charges of Research Misconduct against faculty, staff, ~~and/or~~ post-doctoral associates, and/or students.

12.10. Legal Affairs Designee means the individual at each College designated by the President to deal with legal issues at the College in conjunction with the University’s Office of the General Counsel.

12.11. ~~8.10.~~ “*Plagiarism*” means the appropriation of another person’s ideas, processes, results, or words without giving appropriate credit.

12.12. ~~8.11.~~ “*Policy*” means this ~~University~~ Policy regarding the Disposition of Allegations of Research Misconduct ~~in Research and Similar Educational Activities.~~

12.13. Preponderance of the Evidence means proof by information that, compared with that opposing it, leads to the conclusion that the fact at issue is more probably true than not.

12.14. ~~8.12.~~ ~~Except~~ President, except for the President of the Research Foundation, “*President*” means the President or Dean of each College, as applicable. For purposes of this Policy, the Chancellor or his or her designee will be deemed to be the President of the University’s Central Office. ~~With respect to the Research Foundation, “President” means the President of the Research Foundation or, except with respect to Sections 2.4, 3.3, 4.1, 6.2, and 7, his or her designee.~~

12.15. ~~8.13.~~ “*Research Foundation*” means ~~The~~ the Research Foundation of The City University of New York.

- 12.16. ~~8.14.~~ —“*Research Integrity Officer (“RIO”)* means the official at each College designated by the President of the College after consulting with the appropriate faculty governance body at the College to be responsible for receiving ~~allegations~~ Allegations of Research Misconduct, ~~making recommendations~~ determining whether such ~~allegations~~ Allegations warrant Inquiries, ~~servng on any Inquiry Staff~~ conducting the Inquiries and preparing the Inquiry reports, ~~recommending to the President whether or not Investigations are warranted,~~ and assisting in the Investigations ~~at the College~~ by the Investigation Committee. The RIO must be an administrator or tenured faculty member at the College with experience in research and will be provided appropriate training to carry out his or her responsibilities under this Policy.
- 12.17. ~~8.15.~~ —“*Research Misconduct*” means Fabrication, Falsification, or Plagiarism in proposing, ~~or performing,~~ ~~or~~ research, reviewing research, or in reporting research results. ~~Research Misconduct~~ Research Misconduct does not include honest error or differences of opinion. A finding of Research Misconduct made under this Policy requires that: ~~(4a)~~ (1a) there be a significant departure from accepted practices of the relevant research community; ~~(2b)~~ (2b) the misconduct be committed intentionally, knowingly, or recklessly; and ~~(3c)~~ (3c) the ~~allegation~~ Allegation be proven by a ~~preponderance of the evidence, as such terms are used in the Final Rule~~ Preponderance of the Evidence.
- 12.18. ~~8.16.~~ —“*Research Misconduct Proceeding*” means any action related to alleged Research Misconduct taken under this Policy, including but not limited to, ~~evaluations of allegations~~ determinations of whether or not an Inquiry is warranted, Inquiries, Investigations, ~~federal and regulatory agency or research sponsor~~ federal and regulatory agency or research sponsor oversight reviews, hearings, and administrative appeals.
- 12.19. Research Record means the record of data or results that embody the facts resulting from a research inquiry, including, but not limited to, research proposals, laboratory records, both physical and electronic, progress reports, abstracts, theses, oral presentations, internal reports, journal articles, and any documents and materials provided in the course of a Research Misconduct Proceeding.
- 12.20. Respondent means the person against whom an Allegation of Research Misconduct is directed or who is the subject of a Research Misconduct Proceeding.
- 12.21. Retaliation means an adverse action taken against a Complainant, witness, or other participant in a Research Misconduct Proceeding in response to (a) a good faith Allegation of Research Misconduct, or (b) good faith cooperation with a Research Misconduct Proceeding.
- 12.22. ~~8.17.~~ —“*University*” means The City University of New York.
- 12.23. ~~8.18.~~ —“*University Dean Vice Chancellor for Research*” ~~means the University Dean for Research or, except with respect to Sections 2.4, 3.3, 4.1, 6.2, and 7, his or her designee. The University Dean for Research will be responsible for monitoring the implementation of this Policy, cooperating with and making all reports to federal agencies and other sponsors and governmental bodies as required by law, and acting as the Research Integrity Officer for employees of the University’s Central Office.~~ means the University’s Vice Chancellor for Research. If there is a vacancy at any time in the position of ~~University Dean Vice Chancellor~~ University Dean Vice Chancellor for Research, the University’s Executive Vice Chancellor for Academic Affairs or his or her designee will assume the responsibilities assigned to the ~~University Dean Vice Chancellor~~ University Dean Vice Chancellor for Research under this Policy. Similarly, if there is a vacancy at any time in the position of University Director for Research Compliance, the Vice Chancellor for Research or his or her designee will assume the responsibilities assigned to the University Director for Research Compliance under this Policy.

~~This policy was approved by the Board of Trustees of The City University of New York on June 25, 2007, and is effective as of July 1, 2007.~~

NO. 5. COMMITTEE ON FACILITIES PLANNING AND MANAGEMENT: RESOLVED, That the following items be approved:

A. BARUCH COLLEGE - NEW BUILDING AUTOMATION SYSTEM FOR THE ADMINISTRATION BUILDING:

RESOLVED, That the Board of Trustees of The City University of New York request the City University Construction Fund to execute a purchase order on behalf of Baruch College with The Trane Company, for services to design and install a new Building Automation System in the Administration Building under the existing New York State Office of General Services Contract. The total cost of all such purchases shall be chargeable to the State Capital Construction Fund, Project No. 3238709999 for an amount of not to exceed \$900,000. The contract shall be subject to approval as to form by the University Office of General Counsel; and be it further

RESOLVED, That City University Construction Fund be and hereby is requested to enter into a contract to design and install a new Building Automation System in the Administration Building.

EXPLANATION: Baruch College will utilize these services and equipment to provide a functioning HVAC System in the Administration Building. A new Building Automation System is required to provide adequate heating, cooling, and ventilation for the building's occupants.

B. BROOKLYN COLLEGE - CAMPUS-WIDE FIRE ALARM AND SECURITY SYSTEM:

RESOLVED, That the Board of Trustees of The City University of New York request the City University Construction Fund to execute a contract with the firm of Johnson Controls, Inc. to purchase construction and equipment installation to complete the Phase 1 portion of a multi-phase project to upgrade the entire campus-wide fire alarm and security systems at Brooklyn College under existing New York State Office of General Services Contract No. PT63103. The total cost of all such purchases shall be chargeable to the State Capital Construction Fund, Project No. 2875209999 for an amount not to exceed \$15,000,000.00. The contract shall be subject to approval as to form by the University Office of General Counsel; and be it further

RESOLVED, That City University Construction Fund be and is hereby requested to enter into a contract to construct Phase 1 of the Brooklyn College Campus-Wide Fire Alarm and Security Project.

EXPLANATION: Brooklyn College will utilize these services and equipment to complete the installation of the Phase 1 work which includes complete installation of campus-wide infrastructure to allow for work in future phases, a complete fit-out of a new Central Command Station in Ingersoll Hall, testing, and commissioning. This proposed construction and installation will be CUNY-managed through the CUCF.

C. HOSTOS COMMUNITY COLLEGE - ALLIED HEALTH AND NATURAL SCIENCES BUILDING COMPLEX:

RESOLVED, That the Board of Trustees of The City University of New York request the City University Construction Fund to execute a consultant contract for an amount not to exceed \$10,000,000 with Facility Leaders in Architectural/Engineering Design, P.C. (FLAD) for the design of the Hostos Community College Allied Health and Natural Sciences Building Complex. The design contract is being awarded to the firm ranked highest during an interview process of firms expressing interest to the Request for Qualifications (RFQ) developed by the CUNY Central Office of Design, Construction and Management. The contract shall be chargeable to the City University Construction Fund (CUCF) for an amount not to

exceed \$10 million. The contract shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: The construction of the Hostos Community College Allied Health and Natural Sciences Building Complex will accommodate the existing programs in dental hygiene, radiologic technology and nursing and will provide growth space for the College's expanding Allied Health and Natural Sciences offerings.

D. HOSTOS COMMUNITY COLLEGE - 500 GRAND CONCOURSE RENOVATION OF THE 4TH FLOOR, SUB-CELLAR AND INSTALLATION OF ROOF-TOP EMERGENCY GENERATOR:

RESOLVED, That the Board of Trustees of The City University of New York accept the design and Renovation of the 4th Floor, Sub-Cellar and Installation of the Roof-top Emergency Generator at the Hostos Community College 500 Grand Concourse building, as prepared by Goshow Architects LLP, who were selected through a DASNY Request for Proposal process; and be it further

RESOLVED, That the Board of Trustees of The City University of New York request the Dormitory Authority of the State of New York to complete the contract documents, bid and award contracts and supervise the construction of this project. The contracts shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: The project will renovate the 4th Floor and Sub-Cellar and install a Roof-top Emergency Generator at the 500 Grand Concourse Building in order to bring these areas into compliance with applicable codes and standards. The total project is estimated to cost approximately \$12,000,000.

E. YORK COLLEGE - CAMPUS-WIDE DESIGN AND INSTALLATION OF NEW AIR CONDITIONING EQUIPMENT IN INFORMATION TECHNOLOGY CLOSETS:

RESOLVED, That the Board of Trustees of The City University of New York request the City University Construction Fund to execute a purchase order on behalf of York College, with Siemens Industry, Inc., for services to design and install new air conditioning equipment in 38 IT closets throughout the York campus under the existing New York State Office of General Services Contract. The total cost of all such purchases shall be chargeable to the State Capital Construction Fund, Project No. 3237009999 for an amount not to exceed \$1,000,000; and be it further

RESOLVED, That the City University Construction Fund be and hereby is requested to enter into a contract to design and install new air conditioning equipment in 38 IT closets throughout the campus.

EXPLANATION: York College will utilize these services and equipment to increase the air conditioning capacity in 38 IT closets campus wide. The improved air conditioning is required, due to the increase in heat-generating IT and security equipment in these closets. The current cooling systems at York were not designed to meet the heat loads created by the servers and other equipment that are needed to run the college's IT and security systems.

NO. 6. COMMITTEE ON STUDENT AFFAIRS AND SPECIAL PROGRAMS: RESOLVED, That the following item be approved:

A. BROOKLYN COLLEGE - AMENDMENT TO BYLAWS OF AUXILIARY ENTERPRISES CORPORATION:

RESOLVED, That the Board of Trustees of The City University of New York approve the amended Bylaws of the Brooklyn College Auxiliary Enterprises Corporation.

EXPLANATION: The CUNY Board of Trustees approved the original bylaws of the Brooklyn College Auxiliary Enterprises Corporation on June 6, 1996. No changes to the bylaws have been approved by the

Board since that time. The Auxiliary has determined that it is in its best interests to make certain changes to the bylaws to better meet its needs. In accordance with Board Bylaw section 16.10, the amended bylaws of the Auxiliary have been approved by the Brooklyn College President and reviewed by the University Office of the General Counsel. The Auxiliary will retain its board structure of eleven members composed of the Brooklyn College President or his/her designee as Chair, five students, three administrators and two faculty members.

In addition to technical revisions, changes to the bylaws include (a) that the Assistant Vice President for Facilities Planning and Operations will be an ex officio voting member of the Auxiliary board and shall also serve as Vice Chair and (b) changes involving handling conflicts of interest as required pursuant to the New York Not-for-Profit Revitalization Act.

**BYLAWS
OF
BROOKLYN COLLEGE
AUXILIARY ENTERPRISES CORPORATION**

ARTICLE I - ORGANIZATION

Section 1 – Name. This corporation shall be known as the BROOKLYN COLLEGE AUXILIARY ENTERPRISES CORPORATION (the “Corporation”).

Section 2 – Purpose. The Corporation is organized under the New York Not-for-Profit Corporation Law and is operated exclusively for the charitable purpose of supporting Brooklyn College of The City University of New York (the “College”), as is more fully set forth in the Corporation’s Certificate of Incorporation.

Section 3 – Members. The Corporation shall have no members.

ARTICLE II – BOARD OF DIRECTORS

Section 1 - Powers and Composition. The property, affairs, business and concerns of the Corporation shall be vested in a Board of Directors consisting of eleven Directors. The composition of the Board of Directors shall be as follows:

- The College President or his/her designee;
- The Senior Vice-President for Finance and Administration of the College;
- The Associate Vice President for Budget and Planning and Chief Financial Officer of the College
- The Assistant Vice President for Facilities Planning and Operations of the College
- Two members of the College faculty appointed by the College President from a panel of four full-time faculty members elected by the College’s Faculty Council; and
- Five students, three of whom shall be the student government presidents, and two of whom shall be elected by the student governments from among the elected members of the student governments, with the student seats allocated on a basis that will provide representation, as nearly as practicable, in proportion to the student enrollment by headcount from the respective constituencies.

In the event that any of the foregoing administrative positions does not exist at the College at any time, the College President shall designate an administrator to fill such vacancy on the Board for all purposes of these By-laws.

Each Director shall have the right to vote.

Section 2 - Term of Office. Each administration Director shall serve during his or her tenure in office by virtue of which he or she was appointed to the Board. Each faculty Director shall serve for a two-year term and until his or her successor is elected, appointed and qualified. Each student Director shall serve for a one-year term and until his or her successor is elected or appointed and qualified. Directors' terms shall commence on July 1. Directors may be elected or appointed to consecutive terms.

Section 3 – Qualification. Each Director shall be eighteen years of age or older. Each administration and faculty Director shall be a full-time employee of the College.

Section 4 – Removal. Any Director who ceases to occupy the position that qualified him or her to be elected or appointed as a Director will cease to be a Director. The College President may at any time remove a Director whom he or she has appointed. A Director may also be removed by the Board for cause, such as excessive absences or violation of these By-laws, upon the affirmative vote of seven members of the Board (i.e., a supermajority of the Board not counting the Director whose removal is being considered, although that individual has the right to vote on the matter) at any regular meeting or special meeting of the Board called for that purpose, provided that due notice of the proposed action is given to the full membership of the Board.

Section 5 – Vacancies. Vacancies on the Board shall be filled as follows:

- Administration Director vacancies shall be filled by the College President.
- Faculty Director vacancies shall be filled by the College President from a list of nominees submitted by the College's Faculty Council that is twice the size of the number of vacancies to be filled.
- Student Director vacancies shall be filled by the president of student government from the members of student government elected by the student body.

However, if a vacancy remains unfilled for three months after it occurs, and by reason of the absence, illness, or other inability of one or more of the remaining Directors a quorum of the Board cannot be obtained, a majority of the remaining Directors may appoint a Director from the relevant constituent group to fill the vacancy. A Director elected or appointed to fill a vacancy will hold office until his or her successor is elected or appointed and qualified.

Section 6 – Compensation. No Director shall receive any compensation from the Corporation for services performed in his or her official capacity, but Directors may be reimbursed for reasonable expenses incurred in the performance of official duties. This Section does not preclude any Director from serving the Corporation in any other capacity or from receiving compensation for services in such other capacity, including reimbursement for his or her related expenses.

ARTICLE III – MEETINGS OF THE BOARD OF DIRECTORS

Section 1 - Time and Place. Meetings of the Board of Directors may be held at such times and places as the Board of Directors determine.

Section 2 - Annual Meeting. The first regular meeting of the College's fall semester each year will be the Annual Meeting of the Board of Directors, for the presentation by the Chair and the Treasurer of the annual financial report of the Corporation for the prior year and of current year financial priorities and objectives, for the election or appointment of officers, and for the transaction of such other business as may properly come before the meeting.

Section 3 - Regular Meetings. The Board of Directors shall hold a minimum of one regular meeting each semester.

Section 4 - Special Meetings. Special meetings of the Board of Directors may be held at any time upon the call of the Chair of the Corporation, or upon the written request of not less than two Directors directed to the Chair or the Secretary.

Section 5 - Notice. Notice of every meeting of the Board of Directors shall be given personally, by electronic transmission or by first class mail to each Director at least seven days before the day on which the meeting is to be held. Each such notice shall state the time and the place where the meeting is to be held. Notices are deemed to be given when mailed and shall be sent to each Director at his or her address as it appears in the records of the Corporation.

Section 6 - Waiver. No notice of the time, place or purpose of any meeting of the Board of Directors need be given to any Director who submits to the Chair or Secretary of the Corporation a signed waiver of notice, either before or after the meeting, or who attends the meeting without protesting, prior to or at its commencement, the lack of notice to the Director. Such waiver of notice may be written or electronic.

Section 7 - Quorum and Vote. At each meeting of the Board of Directors, the presence of six Directors, including at least one student Director, shall constitute a quorum for the transaction of any business. Unless otherwise specified in these By-laws or by law, a majority vote of the Directors present at the time of the vote, if a quorum is present, will be the act of the Board of Directors. Each Director shall be entitled to one vote. Directors shall vote in person and not by proxy.

Section 8. Audio and Video Conference Calls. Any Director or committee member who is not physically present at a meeting of the Board or a committee may participate by means of a conference telephone or similar communications equipment or by electronic video screen communication. Participation by such means shall constitute presence in person as long as all persons participating in the meeting can hear each other at the same time and each Director can participate in all matters before the Board.

Section 9 – Adjournment. Any meeting of the Board may be adjourned by a majority vote of the Directors present at the meeting. If a quorum is not present, a majority of the Directors present may adjourn the meeting to another place and time. Notice of any adjourned meeting need not be given if the new place and time are announced at the meeting.

Section 10 - Conflict of Interest. As further set forth in the Corporation's conflict of interest policy, each Director shall disclose to the Board the material facts as to his or her interest in any contract or transaction, including any directorships or offices held or financial interest, prior to any action by the Board regarding that contract or transaction. A Director that is interested in a contract or transaction may be counted in determining the presence of a quorum at a meeting of the Board to authorize the contract or transaction if this disclosure is made, *provided, however*, that the Director's vote may not be counted when determining whether a sufficient number of Directors has approved the contract or transaction.

Section 10 - Order Within Meetings. Meetings of the Board of Directors of the Corporation shall be governed by *Robert's Rules of Order*, most current edition.

ARTICLE IV - OFFICERS

Section 1 - Number. The officers of the Corporation shall be a Chair, a Vice Chair, a Treasurer, and a Secretary, and such other officers as the Board of Directors may from time-to-time determine.

Section 2. Election and Tenure. The officers of the Corporation, except for officers serving *ex officio*, shall be elected annually at the first regular Board meeting of the fall semester of the College (the Annual Meeting). Each such officer shall hold office until the first regular Board meeting of the next fall semester and until a successor is duly elected and qualifies.

Section 3 – Chair. The College President, or his/her designee (who must be a Director of the Corporation), shall be the Chair of the Corporation. The Chair shall be the chief executive officer of the Corporation and shall preside at all meetings of the Board of Directors. The Chair shall be responsible for

the general supervision and control of the affairs of the Corporation and shall ensure that all policies, orders and resolutions of the Board of Directors are implemented. The Chair shall perform such other duties as requested by the Board of Directors or as are reasonably incidental to the office of chief executive officer and chair.

Section 4 - Vice Chair. The Assistant Vice President for Facilities Planning and Operations of the College shall be the Vice Chair of the Corporation. The Vice Chair shall perform the duties of the office of the Chair in case of a vacancy in the position of Chair, or in the Chair's absence or inability to act. The Vice Chair shall perform such other duties as assigned by the Board of Directors or the Chair.

Section 4 – Treasurer. The Associate Vice President for Budget and Planning and Chief Financial Officer of the College shall be the Treasurer of the Corporation. The Treasurer shall be the chief financial officer of the Corporation and shall have charge and custody of, and be responsible for, all the funds of the Corporation and shall keep full and accurate accounts of receipts and disbursements in books belonging to the Corporation and shall deposit all moneys and other valuable effects in the name of and to the credit of the Corporation in such banks or other depositories as are designated by the Board of Directors. The Treasurer shall disburse the funds of the Corporation as ordered by the Board of Directors, taking proper vouchers for the disbursements, and shall render to the Chair and Directors at the regular meetings of the Board of Directors whenever they may require it, a statement of all transactions as chief financial officer and an account of the financial condition of the Corporation. The Treasurer shall perform all other duties incident to the office of Treasurer and such other duties as assigned by the Board of Directors or the Chair.

Section 6 – Secretary. The College President shall appoint the Secretary of the Corporation from among the Directors. The Secretary shall issue notices of all meetings of the Board of Directors where notices are required by law or these By-laws. The Secretary shall attend and keep the minutes of the meetings of the Board of Directors, shall keep the seal of the Corporation and shall, when necessary, attest to the official acts of the Chair and the Board of Directors. The Secretary shall perform all other duties incident to the office of Secretary and such other duties as assigned by the Board of Directors or the Chair.

Section 7 - Compensation. No officer shall receive any compensation from the Corporation for services performed in his or her official capacity, but officers may be reimbursed for reasonable expenses incurred in the performance of official duties, subject to the approval of the Board of Directors.

ARTICLE V- COMMITTEES

Section 1 – Committees of the Board. The Board of Directors may, by resolution or resolutions adopted by a majority of the entire Board, establish such committees (including their term, duties and powers) as it shall deem necessary and advisable, each consisting of three or more Directors and each of which, to the extent provided in the resolution, shall have the authority of the Board, except that no committee shall have authority as to following matters: (a) any action related to the Certificate of Incorporation, (b) any amendments to, or repeal of, these By-laws, (c) the filling of vacancies in the Board or in any committee, (d) the fixing of compensation of Directors for serving on the Board or on any committee, (e) the amendment or repeal of any resolution of the Board which by its terms shall not be so amendable or repealable or (f) any action otherwise prohibited by law. The committees of the Board shall include the following:

(a) Executive Committee. The Board of Directors shall have an Executive Committee consisting of the officers of the Corporation. The Chair of the Corporation shall serve as Chair of the Executive Committee. The committee, when College classes are not in session and in emergency situations, shall have and may exercise all powers of the Board of Directors except as otherwise provided in this Section 1. The Executive Committee shall keep minutes of its proceedings and shall report on these proceedings to the Board at or before the next scheduled Board meeting.

(b) Budget and Contract Committee. The Board of Directors shall serve as the Budget and Contract Committee to develop all contract and budget allocation proposals.

Section 2. Committees of the Corporation. The Board of Directors may establish such other committees as it deems necessary and advisable. The Chair of the Corporation shall appoint the members of such committees. These committees shall be committees of the Corporation, not of the Board, and shall have only the powers specifically delegated to them by the Board and shall have no authority to bind the Board.

Section 3. Committee Operating Procedures. Each committee shall meet upon call of its chair or of any two (2) of its members upon such notice given to its members as is provided in these By-Laws for the giving of notice to Directors for meetings of the Board of Directors or upon such other notice, if any, as the committee may determine. A majority of members of a committee shall be present to constitute a quorum. The chair of each committee shall be appointed by its members unless appointed by the Board of Directors, the Chair of the Corporation, or otherwise set forth in these By-Laws. Acts and decisions of the committees shall be by majority vote of those present at the time of the vote, if a quorum is present at such time. The committees shall keep regular minutes of their proceedings and shall report to the Board upon request.

ARTICLE VI - BOOKS AND RECORDS; FINANCIAL MATTERS

Section 1 - Books. The Corporation shall keep complete books of all the business transactions of the Corporation and minutes of the proceedings of its Board of Directors and committees, as well as copies of its Certificate of Incorporation, these By-Laws, any and all annual financial statements of the Corporation, and any quarterly income statements or balance sheets of the Corporation prepared by it.

Section 2 - Fiscal Year. The fiscal year of the Corporation shall be July 1 through June 30.

Section 3 - Ownership of Assets. No director, officer or employee of the Corporation shall have any right, title or interest in any of the assets and funds of the Corporation; all assets and funds of the Corporation shall be owned exclusively by the Corporation.

Section 4 – Banks Accounts, Deposits. All funds of the Corporation shall be deposited in an account or accounts in the name of the Corporation in a bank or banks designated by the Board and shall be used solely to pay the proper expenses of the Corporation.

Section 6 - Signatures. All checks, drafts, notes, orders for the payment of money, withdrawals, and evidences of indebtedness of the Corporation shall be signed by signatories determined annually by the Board of Directors and approved by the College. Instruments regarding amounts over \$2,500 must be signed by two authorized signatories.

Section 7 - Contracts. No contract may be entered into on behalf of the Corporation unless and except as authorized by the Board of Directors; any such authorization may be general or confined to specific instances. The Chair, his or her designee from among the other Directors, and the Treasurer are authorized to sign contracts on the Corporation's behalf.

Section 8 – Investments. Any funds or other assets of the Corporation which, in the judgment of the Board of Directors, shall not immediately be required to effect the purposes of the Corporation, may be invested, reinvested, and administered by the Board of Directors in such investments as in the judgment of the Board of Directors are sound and proper. The Treasurer shall have the authority to invest and reinvest such funds in Bank Certificates of Deposit, Bank Savings Accounts, U.S. Treasury Notes and Certificates, or the CUNY Investment Pool, without further action of the Directors.

Section 9 - Financial Records and Accounts. The Corporation's financial records and accounts shall be kept in a form consistent with generally accepted accounting principles.

Section 10 - Audit. The Corporation's financial records and accounts shall be audited annually, and at such other times as directed by the Board of Directors, by an independent certified public accountant or firm designated by the Board of Directors.

ARTICLE VII - GOVERNANCE

The Corporation shall operate consistent with the bylaws, policies and regulations of The City University of New York and any policies, regulations and orders of the College, including by not limited to the Financial Management Guidelines for Auxiliary Enterprise Boards. Nothing contained in these By-Laws shall be construed as diminishing the rights, duties and intentions as defined in Article XVI of the By-laws of the Board of Trustees of The City University of New York.

ARTICLE VIII - AMENDMENTS

The Certificate of Incorporation and By-laws of the Corporation may be amended, altered or repealed in whole or in part, by the affirmative vote of at least seven Directors at any regular or special meeting of the Board, provided that written notice of the substance of the amendment is given with notice of the meeting, to all Directors, in accordance with the notice provisions set forth in Article III, Section 5 of these By-laws. Any proposed amendment to the Certificate of Incorporation or By-laws of the Corporation is subject to the approval of the Board of Trustees of The City University of New York.

ARTICLE IX - INSURANCE AND INDEMNIFICATION

Section 1 - Insurance. The Corporation will purchase appropriate insurance for the protection of the Directors, officers and employees of the Corporation.

Section 2 - Indemnification. The Corporation shall, to the full extent authorized by law, indemnify any person made, or threatened to be made, a party to any action or proceeding by reason of the fact that he or she was a director, officer, employee, or agent of the Corporation. The Corporation shall also indemnify to the full extent permitted by law any officer, director or employee serving any other corporation, partnership, joint venture, trust, employee benefit, or other enterprise in any capacity at the request of the Corporation.

ARTICLE X - DISSOLUTION

In event of dissolution of the Corporation, all of the remaining assets and property of the Corporation, after deduction of necessary expenses, shall be distributed, as determined by the Board of Directors and approved by order of a Justice of the Supreme Court of the State of New York, to The City University of New York for the use and benefit of the College or any successor thereof, or to an organization which supports the College or any successor thereof and which satisfies Section 501(c)(3) of the Internal Revenue Code of 1986, as amended.

Approved by the Corporation on November 6, 2014.

Approved by the CUNY Board of Trustees on _____

Report of Faculty, Staff, and Administration Committee Chair Valerie Beal:

I would like to report to the Board on the work of the **CUNY** Defined Contribution Investment Oversight Task Force. As you are aware, in January 2014 the Board adopted an Investment Policy Statement for the University's Optional Retirement Program (ORP) and Tax Deferred Annuity Plan (TDA). A Task Force comprised of individuals from the Board, the University's finance, legal and human resources groups, and the Faculty Senate has been working with a consultant, Cammack Retirement Group, to review the pension investment opportunities that **CUNY** offers its employees.

As I have previously reported, we have ascertained that the University is in compliance with all applicable laws and regulations, and the Task Force is moving ahead to establish policies, procedures and best practices to make **CUNY** a leader in this area.

The University's present program includes multiple vendors, hundreds of fund offerings, and numerous advisors and educational channels that compete with each other. A detailed evaluation of the benefits of this administrative model, its impact on the cost of funds and services, and the effectiveness of the education being provided to Plan participants, is being undertaken by the Task Force and Cammack to determine whether alternative approaches would be in the best interest of the University and its employees.

I would like to now share with you some of our preliminary findings. Some specific recommendations that are being considered are: adding mutual funds to the TIAA contract for elective deferrals to the TDA plan; adding a Roth option to the TDA plan to further enhance savings opportunities for participants; eliminating the 15-year catch-up election in the TDA plan. Currently, this option allows long-term employees—those with fifteen years or more of service and meet certain criteria—to put aside additional pre-tax funds in any calendar year. However, inaccurate administration of this feature can cause tax liabilities for the individual and penalties for the University as well as substantial abuse of this strategy by certain employees; and reviewing loan options in both the ORP and the TDA plans. Instances of loan over-utilization and defaults are high in the retirement plan, and can result in substantially reduced retirement accumulations and tax penalties. The Task Force will be reviewing these matters, and others, for recommendation to the Board.

In addition, we continue to examine the vast array of investment opportunities that **CUNY** offers its employees, and the fees associated with them. Finally, we are looking at the education and investment advice that **CUNY** employees receive, and we find that it varies widely according to the vendor, or in some instances is offered to only a small number of Plan participants. We will be looking at how availability of this important retirement education and advice can be expanded to all University employees, to ensure that they can retire with an adequate portfolio of investments.

I would like to take this opportunity to thank the members of the Investment Oversight Task Force for their diligent work on behalf of the University's employees: **UFS Chair and Trustee Martell, Vice Chancellor Gloriana Waters, Vice Chancellor Sapienza**, University Director for Benefits Leslie Williams, Attorney Richard Naddeo, and Chief Investment Officer Janet Krone.

Upon motion duly made, seconded and carried, the public meeting was adjourned at 5:32 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

(This is a detailed summary of the Board of Trustees' meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)

**MINUTES OF THE MEETING OF THE BOARD OF
TRUSTEES OF THE CITY UNIVERSITY OF NEW YORK**

HELD

MAY 4, 2015

**AT BARUCH COLLEGE VERTICAL CAMPUS
55 LEXINGTON AVENUE – BOROUGH OF MANHATTAN**

The Chairperson called the meeting to order at 4:33 P.M.

There were present:

**Benno Schmidt, Chairperson
Philip Alfonso Berry, Vice Chairperson**

**Valerie Lancaster Beal
Wellington Z. Chen
Rita DiMartino
Freida D. Foster
Judah Gribetz
Joseph J. Lhota**

**Hugo M. Morales
Brian D. Obergfell
Carol A. Robles-Roman
Barry F. Schwartz
Charles A. Shorter**

Joseph K. Awadje, ex officio

Terrence F. Martell, ex officio (non-voting)

**Frederick P. Schaffer, General Counsel and Senior Vice Chancellor for Legal Affairs
Jay Hershenson, Senior Vice Chancellor for University Relations and Secretary of the Board
Hourig Messerlian, Deputy to the Secretary
Towanda Lewis
Doris Wang**

**Chancellor James B. Milliken
Interim EVC and University Provost Julia Wrigley
EVC and C.O.O. Allan H. Dobrin
President Diane B. Call
President Scott E. Evenbeck
President Ricardo R. Fernández
President William J. Fritz
Interim President David Gomez
President Karen L. Gould
President Farley Herzek
President Russell K. Hotzler
President Marcia V. Keizs
Interim President Eduardo J. Marti
President Gail O. Mellow
President Antonio Pérez
President Jennifer Raab**

**President Felix V. Matos Rodriguez
President Chase Robinson
President Jeremy Travis
President Mitchel Wallerstein
Dean Michelle Anderson
Dean Ann Kirschner
Vice Chancellor Frank D. Sánchez
Vice Chancellor Matthew Sapienza
Vice Chancellor Pamela Silverblatt
Vice Chancellor Gloriana Waters
Interim Vice Chancellor Judy Bergtraum
Associate Vice Chancellor Brian Cohen
Associate VC Andrea Shapiro Davis
Senior University Dean John Mogulescu
RF President Richard F. Rothbard
Senior Advisor Marc V. Shaw**

The absence of Trustee Peter S. Pantaleo was excused.

Chairperson Benno Schmidt called the meeting to order, and read the following notice into the record of the meeting:

“The meetings of the Board of Trustees of The City University of New York are open to the public, and the Board welcomes the interest of those who attend. The public has ample opportunity to communicate with the Board. Public hearings on the Board’s policy calendar are scheduled one week prior to the Board’s regular meetings and members of the public who wish to communicate with the Board are invited to express their views at such public hearings.

Furthermore, the Board holds additional public hearings each year in all of the five boroughs at which members of the public may also speak. In addition, written communications to the Board are distributed to all Trustees.

The Board must carry out the functions assigned to it by law and therefore will not tolerate conduct by members of the public that disrupts its meetings. In the event of disruptions, including noise which interferes with Board discussion, after appropriate warning, the Chairman will ask the security staff to remove persons engaging in disruptive conduct.

The University may seek disciplinary and/or criminal sanctions against persons who engage in conduct that violates the University’s rules or State laws which prohibit interference with the work of public bodies.”

A. VIDEOTAPING OF BOARD MEETING: Chairperson Schmidt announced that as usual **CUNY-TV** is making available this important community service by transmitting the Public Session of this afternoon’s meeting of the **Board of Trustees** live on cable Channel 75. The meeting is also being webcast live at www.cuny.edu/livestream providing service worldwide through personal computers and mobile devices. The Public Session of this afternoon’s Board meeting will be available as a podcast within 24 hours and can be accessed through the **CUNY** website.

Chairperson Schmidt stated that later on in the agenda, **Trustee Carol Robles-Roman** will report on the many student honorees for this academic year, but would like to now highlight the continuing and overall strengthening of **CUNY**’s student accomplishments by citing two particular examples:

- In the last eleven years, twenty-one **CUNY** students have won Barry M. Goldwater scholarships, given to undergraduates excelling in the sciences, mathematics, and engineering. This scholarship provides \$7,500 per year in educational expenses. Three **CUNY** students were selected this year.
- In the last eleven years, one hundred **CUNY** students have won prestigious National Science Foundation Graduate Research Fellowships, which offer funding of up to \$132,000 to underwrite three years of graduate study in STEM disciplines. Fifteen **CUNY** students won this year. The University has cumulatively the highest number of student winners of any public university in the northeast.

Chairperson Schmidt stated that he would like to thank the faculty, staff and alumni of the University for their continuing work with **CUNY** student award winners.

Chairperson Schmidt stated that on Monday, April 27th, he visited the 13th Annual **CUNY/Daily News** Citizenship Now! Call-In at **Guttman Community College** and participated at a press conference with Governor Andrew Cuomo. The Governor launched the event with a powerful speech about the nation’s state of affairs regarding immigrants and immigration reform policies.

Chairperson Schmidt stated that Governor Cuomo praised the University's long-standing services on behalf of immigrants in New York—this was well-deserved as Citizenship Now!, since the first call-in thirteen years ago, has already served over 143,000 callers. *Daily News* Editor-in-Chief Colin Myler, who was present at the launch spoke highly of the **CUNY**-organized hotline. Thank you **Trustee Wellington Chen** for stopping by.

Chairperson Schmidt stated that on hand at the launch or during the week were **Chancellor James Milliken**, United States Senators Charles Schumer and Kirsten Gillibrand, United States Congress Members Joseph Crowley and Grace Meng, New York Secretary of State Cesar Perales, Assembly Speaker Carl Heastie, and New York City Council Speaker Melissa Mark-Viverito, State Controller Thomas DiNapoli, Manhattan District Attorney Cyrus Vance, Manhattan Borough President Gail Brewer, and many other local officials.

Chairperson Schmidt stated that he would like to thank **Senior Vice Chancellor and Board Secretary Jay Hershenson** and **Baruch College** Professor Allan Wernick for organizing a team of over 350 volunteers to operate the hotline for all five days of the past week. Overall, they helped 7,395 people seeking to improve their lives.

Chairperson Schmidt stated that he would like to extend his warmest congratulations on behalf of the Board to **Dean Michelle Anderson**, and to the faculty, staff and students of the **CUNY Law School** for achieving a first-time pass rate of 82 percent—eleven students took the bar for the first time and nine passed. These are terrific results! Bravo, **Dean Anderson**.

Chairperson Schmidt stated that in other good news, **Graduate School and University Center** doctoral student Gregory Pardlo won the 2015 Pulitzer Prize for Poetry. Mr. Pardlo, who is also a teaching fellow and MFA student at Columbia University, has also received fellowships from the New York for the Arts Foundation and the National Endowment for the Arts.

Chairperson Schmidt stated that he would like to thank Trustees, presidents, members of the chancellery, students and faculty who attended the spring 2015 Somos el Futuro Conference during the weekend of March 20th through March 22nd. The Board was represented by **Trustees Rita DiMartino and Hugo Morales**, and **University Student Senate (USS) Chair and Trustee Joseph Awadjie**.

Chairperson Schmidt stated that he would like to thank **Trustee Frieda Foster** for representing **CUNY** at its Big Apple Jobs Fair on Friday, April 17th, held at the Jacob Javits Conference Center. This is a great program that brings **CUNY** students in contact with prospective employers. Over 4,000 **CUNY** graduating seniors and recent alumni participated in the Jobs Fair. He added that he would also like to thank the Trustees, presidents, members of the chancellery, students and faculty who attended this event.

Chairperson Schmidt stated that he would like to thank **Trustee Charles Shorter** for representing **CUNY** at the Association of Governing Boards' 2015 National Conference on Trusteeship in Phoenix, from April 19th to April 21st.

Chairperson Schmidt stated that on behalf of the Board, he would like to congratulate Board **Vice Chairperson Philip Berry**, who was recently appointed as Chief Human Resources Officer for the Clinton Foundation. In fact, his first day of work was today! He added that he would also like to congratulate **Trustee Valerie Beal**, who was recently appointed as Chief Administration Officer at Data Capital Management, and **Trustee DiMartino**, who was recently asked to serve on the New York State Unified Court System's Commission to Study the Attorney Disciplinary System in New York.

Chairperson Schmidt stated that the Board held its Staten Island Borough Hearing on Monday, March 16th. **Trustee Rita DiMartino** chaired the hearing that was also attended by **Trustee Wellington Chen** and **USS Chair and Trustee Awadjie**, members of the chancellery, and **College of Staten Island President William Fritz** and others. The Board also held its Queens Borough Hearing on Monday, April

20th. **Trustee Judah Gribetz** chaired the hearing, which was also attended by **USS Chair and Trustee Awadje** and **University Faculty Senate (UFS) Chair and Trustee Terrence Martell**, members of the chancellery, the Queens college presidents and the **CUNY Law School** dean or their representatives. A summary of these proceedings has been circulated to the Trustees and to the Chancellor's cabinet, and transcripts are available in the **Office of the Secretary**. The next borough hearing will be in the Bronx on Monday, June 22nd at **Hostos Community College**.

Chairperson Schmidt stated that for the latest sports news: **Hunter College's** Cary Palmer, of the Hunter Hawks Wrestling Team defeated number two seed Drew Van Anrooy of Luther College, winning the 141-pound national championship. Mr. Palmer is the second ever national champion in **Hunter College** Athletics history. Go **Hunter!**

Chairperson Schmidt stated that it is with great sadness that the Trustees note the passing on March 6th of Carl Bryan, Jr., beloved father-in-law to **Vice Chairperson Berry**. Please accept the Board's deepest condolences. He added that the Board also mourns the passing in early April of labor leader Victor Gotbaum—a **Brooklyn College** alumnus, and Larry Gold—a former **CUNY** Central Office staff member. Please accept the Board's deepest condolences to both their families.

B. COLLEGE AND FACULTY HONORS: Chairperson Schmidt called on Trustee Valerie Lancaster Beal, who announced the following:

1. **Baruch College** School of Public Affairs Professor Hector Cordero-Guzman was recently appointed to the Board of Directors of the New York City Economic Development Corporation. Also, **Baruch College** has been ranked number one as "Best Bang for the Buck in the Northeast" in the *Washington Monthly College Guide*. Congratulations!
2. **LaGuardia Community College** Fine Arts faculty member Matt Blackwell has been awarded a John Simon Guggenheim Fellowship. Congratulations!
3. Three of the ten young startup companies selected from among 339 companies at "University Startups and Global 1000 Conference 2015" to present in the company showcase were **CUNY** startups. They are: A.R.L. Designs (**CSI**), InfoShield (**NYCCT**), and Vista Wearables (**CCNY**). Congratulations!
4. Also, two **CUNY** faculty-led teams received funding to help commercialize their clean energy projects through Powerbridge, a New York State energy research and development authority initiative. Both teams are from **City College** and were led by Professors Ardavan Yazdanbakhsh and Jorge E. Gonzalez. Congratulations to all!

C. STUDENT AND ALUMNI HONORS: Chairperson Schmidt called on Trustee Carol A. Robles-Roman, who announced the following:

1. Fifteen **CUNY** students have received National Science Foundation Graduate Research Fellowships. They are: Adam Ahmed Atia, Sankha Ghatak, Jannatun Nayem, Danielle Rivera, John Ruano-Salguero, and Adrianna Trusiak (**MHC**) of **City College**; Johnathan David Culpepper of **Medgar Evers College**; Evelyn Okeke of the **College of Staten Island**; Dalila Ordonez, Benjamin Coe Ruisch, and Alexander Teachey of **Hunter College**; Susybel Pimentel of **John Jay College**; Benjamin Rudsteyn and Chaya Stern of **Brooklyn College**; and Racheli Werberger of **Queens College**. Congratulations to all!
2. Seventeen **CUNY** students were selected for Fulbright grants for study for Teaching English Abroad. They are: Hogai Aryoubi (**CUNY BA/BS**), Arbore Haka, Natasha Masub, and Nathaniel Sibringa of **Brooklyn College**; Eric Becker, Carlsky Belizaire (**MHC**), Alyssa Blumenthal (**CUNY BA/BS** and **MHC**), Karissa Caputo (**MHC**), Sarah Chung, Kathryn Cox, and Carla Spensieri of **Queens College**;

Hugo Genes, Bianca Malhotra (**MHC**), and Margaret Slavin of **Hunter College**; Anastasia (Stacey) Korolkova (**MHC**) of **Baruch College**; Sabina Pringle of **City College**; and Jeffrey Peer of **The Graduate Center**. Congratulations to all!

3. Eleven **CUNY** students won the Jeannette K. Watson Fellowship. They are: Stacy Aguilar (**MHC**) and Kadeem Robinson of **John Jay College**; Nicky Chase and Alexa Marshall of **Brooklyn College**; Isaac Deonarine and Sarah Franco (**MHC**) of **Queens College**; Joseph Gyasi and Shahrukh Khan of the **College of Staten Island**; Elaine Johnson of **City College**; Jessica Toib of **Baruch College**; and Erika Wang of **Hunter College**. Congratulations!
 4. Five **CUNY** students were selected to receive a Jack Kent Cooke Foundation Undergraduate Transfer Scholarship that provide up to \$40,000 per year. They are: Kyle Chin-How, Daysi Proano, Silvia Salamone of **Queensborough Community College**; and Xavier Medina and Nathan Weiss of **LaGuardia Community College**. Congratulations!
 5. Four **CUNY** students won the prestigious Paul and Daisy Soros Fellowship for New Americans that range from \$25,000 to \$35,000 in stipend and tuition support. They are: Amal Elbakhar and Evgeniya Kim of **Macaulay Honors College at Hunter College**; Julie Zhu from **Hunter College**; and Polina Nazakinskaya from **The Graduate Center**. Congratulations!
 6. Four **CUNY** students were selected for the New York City Urban Fellows Program which provides a stipend of \$30,000 and health insurance during the nine month internship program. They are: Melanie Ho from **Macaulay Honors College at Baruch College** and Anabel Perez Jimenez from **Baruch College**; Brian Lamberta from **Macaulay Honors College at Hunter College**; and James Williams from **John Jay College**. Congratulations!
 7. Three **CUNY** students were awarded prestigious Barry M. Goldwater Scholarships that provide up to \$7,500 per year for educational expenses. They are: Zachary Z. Gershon of **Hunter College** and Antonios Mourdoukoutas of **City College**—both from **Macaulay Honors College**; and Sean Thatcher of the **College of Staten Island**. Congratulations!
 8. Five **CUNY** alumni have received prestigious fellowships from the Immigrant Justice Corps. Laura Rodrigues, an alumna of **City College** and Nabila Taj, a graduate of the **CUNY Law School**, received Justice Fellowships; Paula Garcia Salazar a **Macaulay Honors College at City College** alumna, Danyeli Rodriguez Del Orbe, a **John Jay College** alum, and Ji Won Park, a graduate of **Macaulay Honors College at Hunter College** won community fellowships. Congratulations to all!
 9. Last but not least,
 - From **Brooklyn College** student Sean Desvignes won a prestigious Beinecke Scholarship; Keelie Sheridan was selected for the George J. Mitchell Scholarship; and Jacob Levin won a Harry S. Truman Scholarship; and
 - **CUNY** BA/BS program and **Hunter College** student Joshua Trinidad won the prestigious Charles B. Rangel Graduate Fellowship. Congratulations to one and all!
- D. GRANTS: Chairperson Schmidt** presented for inclusion in the record the following list of grants and bequests of \$100,000 or above received by the University subsequent to the March 2, 2015 Board meeting.

BRONX COMMUNITY COLLEGE

1. \$338,635 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Barbara Martin, for “Student Support.”

2. \$122,795 **NEW YORK CITY HUMAN RESOURCE ADMINISTRATION** to Barbara Martin, for "Student Support."
3. \$117,634 **NEW YORK STATE EDUCATION DEPARTMENT** to Thomas Brennan, for "Student Support."

BROOKLYN COLLEGE

1. \$620,730.05 **FORGOV/DEPARTMENT OF FOREIGN AFFAIR, TRADE AND DEVELOPMENT (DFATD)** to Mark Ungar, for "Human Rights in Iran Unit."
2. \$284,301 **UNITED STATES DEPARTMENT OF EDUCATION** to Lorraine Mondesir, for "Child Care Access Means Parents in School Program (CCAMPIS)."
3. \$127,475 **NATIONAL AERONAUTICS AND SPACE ADMINISTRATION** to Rebecca Boger, for "Hydrosphere and Biosphere GLOBE Protocols: Citizen Science and Teacher Professional Development On-Line Tutorials."
4. \$114,928 **UNITED STATES DEPARTMENT OF AGRICULTURE** to Zhongqi Cheng, for "Soil Microbial Communities in the Urban Environment."

CITY COLLEGE

1. \$623,476 **NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES** to Mark Steinberg, for "MBRS/RISE at City College New York."
2. \$496,711 **RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION** to Camille Kamga, for "University Transportation Research Center."
3. \$341,734 **NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES** to Mitchell Schaffler, for "Osteocyte Integrity and Bone Remodeling."
4. \$299,553 **NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE** to Junghoon Kim, for "A Longitudinal Multi-Modal Neuroimaging Investigation of Functional Recovery."
5. \$276,201 **NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES** to Christine Sheffer, for "Reducing Socioeconomic Disparities in Tobacco Dependence Treatment Outcomes."

GRADUATE SCHOOL AND UNIVERSITY CENTER

1. \$1,638,750 **NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES** to John Mogulescu, for "Distance Learning, Media Prod, and Trg Systems."
2. \$703,400 **NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES** to John Mogulescu, for "IT Auxiliary Staff."
3. \$234,812 **HIGHER EDUCATION SERVICES CORPORATION** to John Mogulescu, for "Online Financial Literacy Course."
4. \$178,286 **NEW YORK CITY HUMAN RESOURCES ADMINISTRATION, CENTER FOR ECONOMIC OPPORTUNITY** to John Mogulescu, for "Program Management Forum."
5. \$166,250 **NEW YORK CITY DEPARTMENT OF ADMINISTRATIVE SERVICES DIVISION OF ENERGY MANAGEMENT** to John Mogulescu, for "Energy Management Training."

HOSTOS COMMUNITY COLLEGE

1. \$198,546 **DHHS/HEALTH RESOURCES AND SERVICES ADMINISTRATION** to Evelyn Fernandez-Ketcham, for "Health Resources and Services Administration."
2. \$150,000 **JP MORGAN CHASE FOUNDATION** to Eileen Newman, for "Center for Bronx Non-Profits."

HUNTER COLLEGE

1. \$350,036 **INSTITUTE OF INTERNATIONAL EDUCATION** to Der-lin Chao, for "K-12 Blended Learning Pilot Program."
2. \$350,000 **ROBIN HOOD FOUNDATION** to Roger Sherwood, for "Project for Return & Opportunities in Veterans Education."
3. \$273,896 **CDC/NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION & HEALTH PROMOTION** to Lorna Thorpe, for "New York University, City University of New York Health Promotion & Prevention."
4. \$202,000 **NEW YORK COMMUNITY TRUST** to Gail McCain, for "Hunter-Bellevue Nursing Fund."
5. \$150,000 **NEW YORK COMMUNITY TRUST** to Jennifer Tuten and Sherryl Graves, for "Assistance to 2nd Grade Teachers to Provide High Quality Reading Instruction in 3 East Harlem Schools with ELL."
6. \$140,698 **LAVELLE FUND FOR THE BLIND, INC.** to Rosanne Silberman, for "Training Program for Teachers of Orientation & Mobility Skills for People with Visual Impairments."
7. \$109,999 **NEW YORK STATE EDUCATION DEPARTMENT** to Matthew Caballero, for "Clinically-Rich Intensive Teacher Institute in Bilingual Education & English as a Second Language."

JOHN JAY COLLEGE OF CRIMINAL JUSTICE

1. \$610,013 **NATIONAL INSTITUTES OF HEALTH – NATIONAL INSTITUTE OF MENTAL HEALTH** to Philip Yanos, for "Randomized Controlled Trial of Treatment for Internalized Stigma in Schizophrenia."
2. \$376,846 **THE COMMUNITY FOUNDATION OF GREATER BIRMINGHAM ON BEHALF OF BIRMINGHAM, AL** to David Kennedy, for "Reducing Serious Violence in Birmingham, AL."
3. \$366,666 **PRITZKER FOUNDATION** to David Kennedy, for "Reconciliation and Truth-Telling in Chicago: Driving Real Change between the Chicago Police Department and Alienated Chicago Communities."
4. \$199,988 **THE JACOB & VALERIA LANGELOTH FOUNDATION** to Stephen Handelman, for "A Reporting and Training Program for Journalists Covering Issues Facing the Mentally Ill and their Involvement in the Criminal Justice System."
5. \$100,000 **NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES** to Ann Jacobs, for "Prison to College Pipeline (P2CP)."
6. \$100,000 **JACKSON COUNTY, MISSOURI** to David Kennedy, for "Reducing Serious Violence in Kansas City, Missouri."

KINGSBOROUGH COMMUNITY COLLEGE

1. \$422,680.20 **MANPOWER DEMONSTRATION RESEARCH CORPORATION** to Frank Milano, for "Evaluation of CUNY Start."
2. \$163,122.92 **NEW YORK CITY DEPARTMENT OF EDUCATION** to Heather Brown, for "UPK: Full-Day."
3. \$150,250 **NEW YORK STATE OFFICE OF TEMPORARY & DISABILITY ASSISTANCE** to Natalie Bredikhina and Christine Beckner, for "FSET: Venture to Success."
4. \$100,000 **THE PINKERTON FOUNDATION** to Babette Audant, for "Project Rise."

LAGUARDIA COMMUNITY COLLEGE

1. \$2,908,031 **OFFICE OF POSTSECONDARY EDUCATION** to Bret Eynon, for "Completa! Comprhnsive Support for Student Success."
2. \$400,382.70 **OFFICE OF POSTSECONDARY EDUCATION** to Bret Eynon, for "Making Transfer Connections Project."
3. \$309,147.91 **DEPARTMENT OF EDUCATION** to Yasser Hassebo, for "CILES."
4. \$300,000 **DEFENSE LOGISTICS AGENCY** to Edgard Hernandez, for "Procurement Technical Assistance."
5. \$250,000 **OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES** to Robert Hills, for "Interpreter Education Program."
6. \$120,000 **NEW YORK CITY DEPARTMENT OF SMALL BUSINESS SERVICES** to John Mogulescu, for "NCLEX-RN-ELL."
7. \$100,000 **RESEARCH FOUNDATION/SUNY** to Rosa Figueroa, for "The New York State Small Business Development."

LEHMAN COLLEGE

1. \$356,800 **NEW YORK STATE EDUCATION DEPARTMENT** to Harriet Fayne, for "Graduate Level Clinically Richer Teacher."
2. \$162,648 **HOSPITAL LEAGUE, LOCAL 1199** to Marzie Jafari, for "Health Care Careers Core Curriculum."
3. \$147,809 **HOSPITAL LEAGUE, LOCAL 1199** to Marzie Jafari, for "BSN RN Bridge."
4. \$114,403 **NATIONAL INSTITUTES OF HEALTH** to Thomas Kurtzman, for "Solvation Directed Design of Flavonoid Derivatives for Caspase Inhibition."

NEW YORK COLLEGE OF TECHNOLOGY

1. \$616,288 **NATIONAL SCIENCE FOUNDATION** to Urmi Ghosh-Dastidar, for "S-STEM: Advancing Student Futures."
2. \$190,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Bonne August, for "Smart Scholars – Cohort 3."
3. \$130,988 **NEW YORK CITY WORKFORCE DEVELOPMENT CORPORATION** to Marcela Katz Armoza, for "Scholars at Work."

4. \$118,430 **UNITED STATES ARMY** to Hamidreza Norouzi, for “The Potential of Using Microwave Emission in Detecting Freeze and Thaw States.”

QUEENS COLLEGE

1. \$520,000 **NEW YORK STATE EDUCATION DEPARTMENT** to Eleanor Armour-Thomas, for “RTTT Clinically Rich Graduate.”
2. \$261,000 **NATIONAL SCIENCE FOUNDATION** to Michael Mirkin, for “International Collaboration in Chemistry: Mechanistic Studies of Oxygen Electrocatalysis by Nanoelectrochemical Techniques.”
3. \$131,281 **NEW YORK UNIVERSITY SCHOOL OF MEDICINE** to Sherry Baron, for “Workplace Health Resource Network.”
4. \$105,000 **SMITH RICHARDSON FOUNDATION** to Morris Rossabi, for “China and Xinjiang, Tibet, and Inner Mongolia in the Xi Jinping Era.”
5. \$102,628 **IBM** to Andrew Rosenberg, for “Babel.”
6. \$100,000 **NEW YORK COMMUNITY TRUST** to Vivian Charlop, for “The Selma and Max Kupferberg Center for Visual and Performing Arts to Expand the CUNY Dance Initiative.”

COLLEGE OF STATEN ISLAND

1. \$212,636 **NEW YORK STATE DEPARTMENT OF HEALTH** to Zaghoul Ahmed, for “Spinal Cord Injury Research Institutional Support.”
2. \$208,100 **NATIONAL INSTITUTES OF HEALTH** to Zaghoul Ahmed, for “Spinal Sciatic Direct Current Stimulation Normalizes Muscle Tone in Spinal Cord Injured Animals with Spasticity.”

E. ORAL REPORT OF THE CHANCELLOR: Chancellor James B. Milliken presented the following report:

President Ricardo Fernández did a terrific job turning out a wonderful reception for President Barack Obama, including a representative of the **Lehman College’s** Urban Male Leadership Program Joseph Fordyce, who joined **President Fernández** and myself to welcome President Obama to campus. President Obama used this opportunity to announce the formation of the “My Brother’s Keeper Alliance”, which is a not-for-profit organization to support the, My Brother’s Keeper initiative announced last year. **CUNY** had the great opportunity to discuss with him **CUNY’s** Black Male Initiative and the Urban Male Leadership program, which have affected thousands of students and alumni at **CUNY**. So it was a terrific day for **CUNY** and **Lehman College**.

President Obama’s visit was an historic event, one of a handful of visits by US presidents to **CUNY** campuses, including Presidents Taft, Franklin D. Roosevelt and Clinton.

As **Chairperson Schmidt** mentioned, **CUNY** had its 13th Annual **CUNY/Daily News** Citizenship Now! Call-In last week. I had a chance to participate on Wednesday and greet the volunteers. It was an inspiring visit, 45 languages spoken in one room, with callers.

Tomorrow night, the University will host a reception for **CUNY’s** The DREAM.US scholarship winners. This year, **CUNY** led the nation in the number of applicants and recipients of this important scholarship. The University now has over 300 award winners. Students and their parents will be joined by New York Secretary of State Cesar Perales, Manhattan Borough President Gale Brewer, Donald E. Graham, the

founder of The DREAM.US, and William A. Ackman, founder of Pershing Square Foundation and a major benefactor of The DREAM.US, who helped make it possible for these students to attend **CUNY** next year.

Earlier in April, I joined City Council Speaker Melissa Mark-Viverito and representatives of Microsoft, for an announcement that Microsoft will provide free software, cloud storage, and mailboxes for all **CUNY** students. Under this agreement, every student and faculty member will have the ability to download the entire suite of Office 365 products on up to five different devices. This will be a great step in easing the lives of students burdened by work and family responsibilities and **CUNY** is pleased that Microsoft has provided this critical resource.

As to budget matters, on March 31st, the New York State Legislature enacted a FY2015-2016 budget that provides for a number of important improvements in operating and capital support. The operating budget provides \$12 million in additional state aid to assist the senior colleges in implementing performance improvement plans, and \$60.8 million in increased tuition revenue. Also included in the state appropriation is an additional \$3.9 million for the SEEK program, an additional \$0.5 million for the Joseph S. Murphy Institute, and an additional \$0.5 million for the **CUNY** LEADS initiative.

For the community colleges, the budget increases the base aid per student FTE by \$100, to \$2,597. This will generate an additional \$8.3 million in state aid for community colleges. Legislature also increased the state funding for the Accelerated Study in Associate Programs (ASAP) initiative, \$0.4 million for child care centers, and \$0.2 million for College Discovery.

Several new higher education initiatives were also included in the enacted budget, including foster care, student access, increases in student financial aid, merit scholarships, and loan forgiveness.

On the capital side, the budget provides \$103 for senior college critical maintenance and \$55 million for the third installment of the **CUNY** 2020 challenge Grant Program. The University will continue to work with all parties during the remainder of the legislative session on outstanding issues, including support for collective bargaining on behalf of **CUNY**'s faculty and staff. Also, the University will join the Governor in his continued efforts to have the Dream Act enacted in New York.

Last night, the Emmy Awards were announced. As you know, **CUNY-TV** and the **CUNY** website, **CUNY.edu**, had a record combined eight nominations. I am delighted to say that *Nueva York* and more specifically, Gisella Sandars-Alcantara received an Emmy last night for her segment on Autism. It was an outstanding piece and **CUNY** takes great pride in this accomplishment, which brings a total of 14 Emmy Awards for **CUNY-TV**. Congratulations to Bob Isaacson and everyone at **CUNY-TV**.

Vice Chairperson Berry stated that he would like to congratulate **Chancellor Milliken**, **Executive Vice Chancellor and Chief Operating Officer Allan Dobrin**, and **University Dean John Mogulescu** on the **CUNY** Service Corps. It was started based upon the devastation of Hurricane Sandy, so it is a nice transition for individuals to learn skills and to then move into the workforce.

Upon motions duly made, seconded and carried, the following resolutions were adopted: (Calendar Nos. 1 through 9)

NO. 1. CHANCELLOR'S UNIVERSITY REPORT: RESOLVED, That the Chancellor's University Report for May 4, 2015 (including Addendum and Errata Items) be approved:

NO. 2. APPROVAL OF MINUTES: RESOLVED, That the minutes of the regular Board meeting of March 2, 2015 be approved.

NO. 3. COMMITTEE ON FISCAL AFFAIRS: RESOLVED, That the following items be approved:

A. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - PURCHASE OF CHILLER AND FAN COIL UNITS:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Trane US, Inc. for the main building chiller and fan coil units at 199 Chambers Street against Schedule 84, GSA Federal Government Contract No.GS-07F-0248k for the term of the contract, which expires September 30, 2015, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$2,630,000 with the chiller cost (\$630,000) and the window fan coils (\$2 million) chargeable to CUNYfirst expense code 55004, budget code 80073.

EXPLANATION: Borough of Manhattan Community College will use this contract to replace the original 30+ year old 350-ton Carrier chiller and the window fan coil units (65 low boy units and 258 vertical and overhead fan coils) with more energy-efficient units as part of BMCC's commitment to EO88 and sustainability. The new chiller has a 1.73 year payback. The new window fan coils have multiple settings allowing the units to be turned off during periods of non-use. They have a 20-year useful life. This purchase will result in an annual energy cost savings of over \$308,000 arising from the 1356 kWh electricity and 9316 Mlbs of steam conserved.

B. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - HVAC SYSTEM UPGRADE:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Honeywell Building Solutions for the HVAC System upgrade at 199 Chambers Street against Schedule 84, GSA Federal Government Contract No.GS-07F-0063H for the term of the contract which expires July 29, 2018, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$966,750 chargeable to CUNYfirst expense code 51006, budget code 80061.

EXPLANATION: Borough of Manhattan Community College plans to install variable frequency drives (VFDs) on all of its large air handling units and pumps at 199 Chambers Street as part of its sustainability efforts. Instead of having these industrial motors run continuously 24-hours at full speed, the frequency drives will allow engineering staff to reduce, stagger or even turn off the motors. In addition to the \$606,982 annual cost savings arising from the 3,746,800 kWh electricity conserved, there is also a significant reduction in wear and tear of equipment and the need for costly, hard to find replacements.

C. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - LED LIGHTING UPGRADE - 199 CHAMBERS STREET:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Graybar Electric Company Inc. for the purchase of LED lights, lighting controls, sensors, and ballasts against New York State OGS Contract #PC66664 for the term of the contract, which expires March 31, 2016, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$780,000 chargeable to CUNYfirst expense code 51006, budget code 80061.

EXPLANATION: Borough of Manhattan Community College will install energy-efficient LED lights, lighting controls, sensors, and ballasts to replace current lighting systems at 199 Chambers Street as part of its commitment to EO88 and sustainability efforts. The new LED lights will have occupancy sensors, customizable settings depending on room use, daylighting harvesting controls, and connectivity to the Building Management System that allows for the remote shut down of lights during periods of inactivity. The annual estimated savings is \$128,300 per year resulting from an estimated 792,000 kWh electricity conserved.

D. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - LIGHTING CONVERSION PROJECT - MURRAY STREET CAMPUS:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders to Fastenal Company for the purchase of LED lighting fixtures, motion sensors, controls and energy management package against New York City DCAS Contract No. 20121203256 for the term of the contract which expires May 31, 2017, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$900,900 chargeable to CUNY first expense code 51002, budget code 80061.

EXPLANATION: Borough of Manhattan Community College will install LED lighting fixtures with motion sensors to replace the existing lighting system and install the energy management package to integrate the LED fixtures with the existing Building Management System that will then be able to use the sensors to monitor occupancy/vacancy, daylight harvesting, and temperature as part of its commitment to EO88 and sustainability efforts. The LED fixtures to be installed use 40-65% less energy and have a longer useful life than the current fluorescent fixtures, and integration of the LED fixtures with the Building Management System will allow the college to improve its control of its electrical consumption, resulting in an estimated savings of \$117,209 per year.

E. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - INSTRUCTIONAL TELEVISION STUDIO EQUIPMENT UPGRADE AND REHABILITATION - 199 CHAMBERS STREET CAMPUS:

RESOLVED, That the Board of Trustees of The City University of New York authorize Borough of Manhattan Community College to issue purchase orders for the purchase and installation of studio equipment against New York State OGS Contracts, pursuant to law and University regulations. Such purchase shall not exceed a total estimated cost of \$1,500,000 chargeable to CUNY first expense code 55006, budget code 80075.

EXPLANATION: Borough of Manhattan Community College will replace obsolete, end-of-life production equipment in its main instructional television studio at 199 Chambers Street Campus with professional grade equipment found in today's television and multi-media studios to better prepare students in The Video Arts and Technology Program and the Media Arts Department to enter the broadcasting, film and television workforce.

F. BROOKLYN COLLEGE - RELEASE OF RESTRICTIONS ON VARIOUS FUNDS TO BE USED FOR SPECIFIED PURPOSES:

RESOLVED, That the Board of Trustees of the City University of New York authorizes the release of restrictions on various funds at Brooklyn College (as listed on Schedule A annexed hereto) and further authorizes those funds to be used for the purposes indicated on Schedule A, upon prior notice to the New York State Attorney General, as and if required by the New York Prudent Management of Investment Funds Act. The General Counsel is authorized to sign any documents necessary in connection with the authorizations granted hereby.

EXPLANATION: The New York Prudent Management of Investment Funds Act (NYPMIFA), enacted in 2010 as part of the New York Not-for-Profit Corporation Law, provides for the release or modification of donor-imposed restrictions on gifts under certain circumstances. Under NYPMIFA, funds that are more than 20 years old and have balances of less than \$100,000 may be repurposed by CUNY without the need for court approval. The funds listed on Schedule A meet that criteria. All of the funds are being proposed for alternative uses because the current uses are either unlawful, impractical, wasteful, or their current purposes are impossible to comply with.

The CUNY Office of the General Counsel has worked closely with the College in this repurposing effort, and has reviewed the available donation instruments and other materials in support of the proposed changes.

An earlier version of Section A was approved by the Board on May 5, 2014. The Office of the Attorney General requested certain changes to that version. The annexed Schedule A has been approved by the Office of the Attorney General.

NOTE: The repurposing schedule for the Brooklyn College release of restrictions on various funds to be used for specified purposes is appended to these minutes.

G. LEHMAN COLLEGE - RELEASE OF RESTRICTIONS ON VARIOUS FUNDS TO BE USED FOR SPECIFIED PURPOSES:

RESOLVED, That the Board of Trustees of the City University of New York authorizes the release of restrictions on various funds at Lehman College (as listed on Schedule A annexed hereto) and further authorizes those funds to be used for the purposes indicated on Schedule A, upon prior notice to the New York State Attorney General, as and if required by the New York Prudent Management of Investment Funds Act. The General Counsel is authorized to sign any documents necessary in connection with the authorizations granted hereby.

EXPLANATION: The New York Prudent Management of Investment Funds Act (NYPMIFA), enacted in 2010 as part of the New York Not-for-Profit Corporation Law, provides for the release or modification of donor-imposed restrictions on gifts under certain circumstances. Under NYPMIFA, funds that are more than 20 years old and have balances of less than \$100,000 may be repurposed by CUNY without the need for court approval. The funds listed on Schedule A meet that criteria. All of the funds are being proposed for alternative uses because the current uses are either unlawful, impractical, wasteful, or their current purposes are impossible to comply with.

The CUNY Office of the General Counsel has worked closely with the College in this repurposing effort, and has reviewed the available donation instruments and other materials in support of the proposed changes.

An earlier version of Section A was approved by the Board on May 5, 2014. The Office of the Attorney General requested certain changes to that version. The annexed Schedule A has been approved by the Office of the Attorney General.

NOTE: The repurposing schedule for the Lehman College release of restrictions on various funds to be used for specified purposes is appended to these minutes.

H. THE CITY UNIVERSITY OF NEW YORK - INVESTMENT PORTFOLIO EXPENDITURE APPROPRIATION:

RESOLVED, That the Board of Trustees has determined, in accordance with CUNY's Spending Policy (which is Exhibit B to the Investment Policy), that it is prudent and appropriate to maintain an appropriation of 4.5% for the funds in the Portfolio for the fiscal year ended June 30, 2016.

NOTE: A copy of the Investment Policy is on file in the Office of the Secretary of the Board, along with the disposition of summaries of the meetings of the Fiscal Affairs Committee and the Subcommittee on Investment on April 6, 2015.

EXPLANATION: The CUNY Portfolio is a pooled investment vehicle for multiple individual accounts that include both endowed and non-endowed funds received by CUNY for its general purposes, funds received by CUNY for the benefit of a specific CUNY College and funds belonging to one or more of the

College Foundations that have chosen to invest in the Portfolio. CUNY's policies and procedures with respect to spending from the Portfolio shall comply with the New York Prudent Management of Institutional Funds Act [NYPMIFA]. Decisions with respect to spending from CUNY Funds in the Portfolio shall be made by CUNY's Board of Trustees on recommendation from the CUNY Subcommittee on Investments (the "Subcommittee"). Decisions with respect to spending from College Foundations invested in the Portfolio must be made by the respective foundation boards, though each is asked to consider, to the extent possible and prudent, the spending rate of the Portfolio in order to preserve the long-term value of the funds in the Portfolio.

In making any recommendation or decision to appropriate funds, the Subcommittee and Board must act in good faith with the care that an ordinarily prudent person in a like position would exercise under similar circumstances. Also, to ensure compliance with NYPMIFA, CUNY Funds in the Portfolio are categorized into groups of similarly situated funds according to purpose. The Subcommittee reviews the categories and the composition of each group to ensure the Funds are properly classified. It then deliberates on the prudence of the spending rate for each of the categories based upon the eight prudence factors mandated by NYPMIFA, and listed in Exhibit B Subsection 1A of this Policy, prior to making its recommendation to the Board for the next fiscal year. The Subcommittee on Investment and the Fiscal Affairs Committee have both reviewed and approved the proposed expenditure appropriation.

I. THE CITY UNIVERSITY OF NEW YORK - AMENDMENT TO THE 2013 INVESTMENT POLICY - APPENDIX A:

RESOLVED, That the Board of Trustees approve an amendment to the University's Investment Policy ["Policy"] adopted in June 2013, revising the Asset Allocation [which is Exhibit A] as follows: the target asset allocation for U.S. Equity is changed from 26% to 21% and the range is unchanged at 15%-30%; the target asset allocation for Global ex U.S. Equity is changed from 21% to 18% and the range is unchanged at 10%-25%; the target asset allocation for Emerging Market Equity is changed from 10% to 8% and the range is unchanged at 5%-12%; the target asset allocation for Hedge Funds is changed from 13% to 18% and the range is 10%-25%; and the target asset allocation for Fixed Income is changed from 15% to 10% and the range is 5%-15%. The real asset target is unchanged and the range therefore also unchanged. A new 10% target for Private Equity has been added with a range of 0%-15%

NOTE: A copy of the Amended Investment Policy is on file in the Office of the Secretary of the Board, along with the disposition of summaries of the Fiscal Affairs Committee and the Subcommittee on Investment on April 6, 2015.

EXPLANATION: The Fiscal Affairs Committee and the Subcommittee on Investments met for the annual review of Policy. In consultation with CUNY's investment consultant, Cambridge Associates, it was determined that the allocations for U.S. Equity, Non-U.S. Equity, Emerging Market Equity, Hedge Funds and Fixed Income should be revised. The Subcommittee on Investment and the Fiscal Affairs Committee have both reviewed and approved the proposed amended Investment Policy.

Report of Fiscal Affairs Committee Chair Joseph Lhota:

The **Committee on Fiscal Affairs** and the **Subcommittee on Investment** met in a joint session on April 6, 2015. Following the approval of action items by the **Committee on Fiscal Affairs**, **Vice Chancellor Matthew Sapienza** gave a report on the 2015-2016 State Budget. Following his report, the **Subcommittee on Investment** was convened and after the approval of the minutes of its February 2, 2015, the Subcommittee went into Executive Session where University **Chief Investment Officer Janet Krone**, with Mark Fowler, and Thomas Smitt-Jepesen, Managing Directors at Cambridge Associates, gave an asset allocation review to the subcommittee.

NO. 4. COMMITTEE ON ACADEMIC POLICY, PROGRAMS AND RESEARCH: RESOLVED, That the following items be approved:

A. BOROUGH OF MANHATTAN COMMUNITY COLLEGE - A.A. IN SOCIOLOGY, A.A. IN ART HISTORY, A.A. IN MODERN LANGUAGES, AND A.S. IN STUDIO ART:

RESOLVED, That the programs in Sociology, Art History and Modern Languages leading to the Associate of Arts and the program in Studio Art leading to the Associate of Science at Borough of Manhattan Community College be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: BMCC is proposing transfer majors in specific traditional academic fields already taught at the College. This move away from having all students in one major named "Liberal Arts" will allow the college to align students earlier with faculty advisors in the students' intended area of study and facilitate articulation agreements with CUNY senior colleges. Since the courses in these programs already exist, this is a low cost initiative that is expected to improve retention and graduation rates.

B. YORK COLLEGE - M.S. IN PHARMACEUTICAL SCIENCE AND BUSINESS:

RESOLVED, That the program in Pharmaceutical Science and Business leading to the Master of Science at York College be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: Responding to the educational and professional needs of graduates of its own and other Pharmaceutical Science undergraduate programs as well as the human resource needs of the pharmaceutical and related industries and the government regulating agencies, York College is proposing a graduate program leading to the M.S. in Pharmaceutical Science and Business. This professional master's degree combines the science of pharmaceuticals with coursework in regulatory affairs, intellectual property and the business of the pharmaceutical industry. The college intends to build upon its existing collaborations with the Northeast Regional Laboratory of the U.S. Food and Drug Administration located on the college's campus.

C. JOHN JAY COLLEGE - B.A. IN SPANISH:

RESOLVED, That the program in Spanish leading to the Bachelor of Arts at John Jay College be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: As part of its strategic agenda to offer a full array of liberal arts majors, John Jay College is proposing a B.A. in Spanish. Students will specialize in either the more traditional option, literature and culture of Spanish speaking peoples, or a more professional option on translation and interpretation. Forty-one percent of John Jay students are from heritages in which Spanish is the dominant language, the highest percentage of all of the senior colleges. The Department of Modern Languages and Literature does not anticipate needing to hire any additional faculty members and very few new courses will need to be created for this program, making this a low cost program with an anticipation of strong enrollment.

Trustee Hugo Morales stated that he would like to congratulate **President Jeremy Travis** on this important and excellent program. He added that he would also like to congratulate **President Travis** for his continued interest in educating police in the Dominican Republic.

D. LAGUARDIA COMMUNITY COLLEGE - A.A.S. IN ENERGY TECHNICIAN:

RESOLVED, That the program in Energy Technician leading to the Associate of Applied Science at LaGuardia Community College be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: Graduates of the AAS in Energy Technician will be qualified for entry level positions in research and development of high-tech products, aerospace product and parts development, and

manufacturing of navigational, measuring, electromedical and control instruments, to mention only a few. This proposal is a product of partnerships. First, it is a partnership with the New York City Department of Education. In addition to the program being offered to students admitted directly to LaGuardia, it will be offered at the new Energy Tech High School in Long Island City, Queens, not far from LaGuardia. Second, industry partners, Con Edison and National Grid supported the development of this program, both at LGCC and at Energy Tech, and will provide internships with the possibility of direct employment upon graduation.

E. MEDGAR EVERS COLLEGE - B.S. IN FINANCIAL ECONOMICS:

RESOLVED, That the program in Financial Economics leading to the Bachelor of Science at Medgar Evers College be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: Graduates of this proposed program will be well versed in the highly quantitative areas of finance and economics. Specialized coursework in micro and macroeconomics will prepare students for private sector careers in real estate, financial services and banking as well as public sector careers in public finance, compliance and regulatory practices in addition to graduate study in business, economics and law. Enrollment in courses in economics and finance have increased steadily over the past few years and it is therefore expected that this program will be a welcome addition to the college's offering.

F. COLLEGE OF STATEN ISLAND - B.S. IN EARTH AND ENVIRONMENTAL SCIENCE, AND B.S. IN EARTH SCIENCE/ADOLESCENT EDUCATION (7-12):

RESOLVED, That the program in Earth and Environmental Science and the program in Earth Science/Adolescent Education (7-12) both leading to the Bachelor of Science at the College of Staten Island be approved, effective May 4, 2015, subject to financial ability.

EXPLANATION: The growing local job market in the fields of geology and environmental science will provide good opportunities for graduates of this program. Currently available jobs include geologists, geoscientists, environmental scientists, environmental technicians and engineers, many of which require only a bachelor's degree. There is also currently a shortage of Earth Science teachers in New York City and the program in Earth Science for teachers of grades 7 – 12 would help to fill this need.

G. THE CITY UNIVERSITY OF NEW YORK - INDEPENDENT STANDING AND DEGREE AUTHORITY FOR THE CUNY SCHOOL OF PROFESSIONAL STUDIES:

RESOLVED, That the CUNY School of Professional Studies ("SPS"), established and currently operating within the CUNY Graduate School and University Center ("GSUC"), be allowed to seek an independent registration as an institution of Higher Education with the New York State Department Education, with an independent degree authority for its academic programs as well as an independent accreditation status with the Middle States Commission on Higher Education. A corresponding request will be filed with NYSED, including a Master Plan Amendment as necessary. The academic programs currently being offered by the SPS and registered to the GSUC will be transferred to the SPS following its establishment as a stand-alone institution.

EXPLANATION: The CUNY School of Professional Studies (SPS) was created in 2003 by CUNY's Board of Trustees with the purpose of meeting the educational needs of working adults, organizations, and employers. Since then, SPS has developed into a well-established school serving New York City through the provision of innovative, and academically rigorous programs of study designed to address new or unmet needs. Home to CUNY's first fully online undergraduate degrees, groundbreaking master's degree programs, customized programs for city and state agencies, and the nationally renowned Joseph S. Murphy Institute, SPS has found new ways of fulfilling CUNY's mission of access.

Similar to other cross-campus initiatives, SPS was initially established within the GSUC. However, SPS has been operating with a high degree of independence from the beginning. SPS has its own local governance body which is independent from the governance body of the Graduate School as well as other entities comprising the University Center. SPS provides student support and most administrative services independently of the GSUC. In addition, there is no overlap between academic programs at the SPS and the GSUC.

After the formal separation of SPS from the GSUC, all faculty and administrative staff are expected to remain in place. The main campus of SPS will continue to be at 119 West 31st Street, where the University is currently leasing 68,000 square feet of space on five floors. This space is complemented by two floors of administrative space leased by the University at 101 West 31st Street, and the Murphy Institute's facility located at 25 West 43rd Street.

The proposed action brings the formal organizational structure up to date with the operational and academic procedures currently in place. SPS has reached the point of institutional maturity at which it is ready to be registered as a stand-alone institution. This action will simplify a number of administrative matters and allow greater visibility for SPS programs, which are meant to attract students not only locally, but nationally and internationally.

H. HONORARY DEGREES: RESOLVED, That the following honorary degrees, approved by the appropriate faculty body, the college president and recommended by the Chancellor, be presented at the commencement exercise as specified:

COLLEGE

DEGREE

QUEENS COLLEGE

Andrew Goodman	Doctor of Humane Letters
Jonathan Kozol	Doctor of Humane Letters

(To be conferred at the commencement ceremony on May 28, 2015)

COLLEGE OF STATEN ISLAND

Sally Williams	Doctor of Humane Letters
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(To be conferred at the commencement ceremony on May 28, 2015)

BARUCH COLLEGE

David Krell	Doctor of Humane Letters
Luis Alberto Moreno	Doctor of Letters

(To be conferred at the commencement ceremony on June 3, 2015)

MEDGAR EVERS COLLEGE

Medgar Wiley Evers	Doctor of Humane Letters
Bruce C. Ratner	Doctor of Humane Letters

(To be conferred at the commencement ceremony on June 2, 2015)

CUNY SCHOOL OF LAW

Seymour Boyers	Doctor of Laws
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(To be conferred at the commencement ceremony on May 15, 2015)

B. COLLEGE OF STATEN ISLAND - NAMING OF THE GERALDO RIVERA FUND FOR SOCIAL WORK AND DISABILITY STUDIES:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Geraldo Rivera Fund for Social Work and Disability Studies” at the College of Staten Island.

EXPLANATION: Geraldo Rivera is a New York-based journalist, talk show host, author and philanthropist. Mr. Rivera has a long association with the site of the College of Staten Island (“CSI”) campus, which was previously the location of the Willowbrook State School. He earned a Peabody Award in 1972 for his exposé on the inhumane conditions at Willowbrook, which was closed down in 1987. Mr. Rivera has been an active advocate for people with disabilities ever since. He was invited by social work students to speak at their commencement in Spring 2014 and was inspired by the students and programs at CSI designed to serve people with disabilities, including a new Master’s of Social Work program with a unique focus on meeting the needs of people with disabilities.

In December 2014, Mr. Rivera pledged a gift of \$250,000 to the CSI Foundation to establish a fund to support conferences, symposia, lectures, and publications that will inform public policy and contribute to the improvement of services for people with disabilities. The goal of the fund is to make a significant impact on the development and dissemination of knowledge and practice in the fields of social work and disability studies by bringing together an interdisciplinary array of scholars, practitioners, policy makers, thought leaders, and the public for in-depth exploration and information sharing. In recognition of his generosity, CSI requests that the Board approve the naming of the “Geraldo Rivera Fund for Social Work and Disability Studies” in his honor.

C. HUNTER COLLEGE - NAMING OF THE JONATHAN FANTON EXECUTIVE DIRECTOR AT THE ROOSEVELT HOUSE PUBLIC POLICY INSTITUTE:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Jonathan Fanton Executive Director” at the Roosevelt House Public Policy Institute at Hunter College.

EXPLANATION: Jonathan Fanton, the new President of the American Academy of Arts and Sciences, was instrumental in launching the Roosevelt House Public Policy Institute at Hunter College. Serving as its initial Executive Director when it was first created, he turned the Institute into a leading public policy center in just five years. The Roosevelt House Public Policy Institute provides a platform from which high quality scholarship effectively informs and influences public debate and public life.

In addition to his duties as President of the American Academy of Arts and Sciences, Mr. Fanton currently serves as a Franklin D. Roosevelt Visiting Fellow at Hunter College. In November 2014, he accepted an honorary doctorate in humane letters from Hunter College. Prior to his association with Hunter, Mr. Fanton served as President of The MacArthur Foundation from 1999-2009. He holds a Ph.D. in American History from Yale University, where he taught and was Chief of Staff to President Kingman Brewster. He also served as Vice President of Planning at the University of Chicago, and for 17 years was President of The New School for Social Research.

Generous donations totaling \$500,000 have been provided to the Hunter College Foundation to endow the “Jonathan Fanton Executive Director” at the Roosevelt House Public Policy Institute. In recognition of his service, Hunter College therefore requests that the Board approve the naming of the “Jonathan Fanton Executive Director” in his honor.

D. QUEENS COLLEGE - NAMING OF THE OLGA AND CONSTANTINE BROWN ENDOWED PROFESSOR AND THE OLGA AND CONSTANTINE BROWN DIRECTOR OF THE CENTER FOR BYZANTINE AND MODERN GREEK STUDIES:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the “Olga and Constantine Brown Endowed Professor” and the “Olga and Constantine Brown Director of the Center for Byzantine and Modern Greek Studies” at Queens College.

EXPLANATION: Constantine Brown bequeathed \$1 million to the Queens College Foundation to establish the “Olga and Constantine Brown Endowed Professor” and the “Olga and Constantine Brown Director of the Center for Byzantine and Modern Greek Studies” at Queens College. This gift is intended to support the study of Greek and Byzantine culture and history and their influence on other civilizations throughout the world. The individual selected to be the Endowed Professor will also serve as the Director of the Center for Byzantine and Modern Greek Studies at the College.

Mr. Brown’s wife, Olga, was a Queens College graduate of the class of 1949, and his daughter, Themis, also graduated from Queens College in 1992. Mr. Brown earned a B.A. from Columbia University while working full-time for the New York City Housing Authority Police.

E. QUEENS COLLEGE - NAMING OF THE STEVE ERRERA '69 CONFERENCE ROOM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of Room 333 in Powdermaker Hall as the “Steve Errera '69 Conference Room.”

EXPLANATION: Steve Errera graduated from Queens College in 1969 with a B.A. in Economics. The former president of Energy Futures, Inc., Mr. Errera is considered an expert in that field, having been a lecturer, consultant, and author with many publications to his credit including a book, *Fundamentals of Trading Energy Futures & Options*, now in its second edition. Mr. Errera is also a former president of the New York Mercantile Exchange, where he was heavily involved in marketing.

Mr. Errera has made gifts to the Queens College Foundation totaling \$135,000 for the “Steve Errera Scholarship Fund” to support economics majors. In addition to his generous financial support, Mr. Errera has also returned to campus numerous times to give lectures to students in the risk management program. In further recognition of Mr. Errera’s generosity and service, the College requests that the Board approve the naming of the “Steve Errera '69 Conference Room.”

F. QUEENS COLLEGE - NAMING OF THE CAROL AND RICHARD HOCHMAN CONFERENCE ROOM:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of Room 138 in Powdermaker Hall at Queens College as the “Carol and Richard Hochman Conference Room.”

EXPLANATION: Carol J. Hochman graduated from Queens College in 1971 with a B.A. in Elementary and Early Childhood Education. Mrs. Hochman has spent the majority of her career in the corporate world of retail, including six years with May Department Stores International, eighteen years with Liz Claiborne, Inc., where she held the position of Group President of Accessories, and ten years with Triumph Apparel Corp. (formerly Danskin, Inc.), where she served as President and Chief Executive Officer. Mrs. Hochman is currently President of RHH Capital & Consulting, Inc.

Mrs. Hochman has been a Queens College Foundation Board trustee for more than 20 years, and has served as the Foundation Board’s Chair for the last three years. A loyal donor, Mrs. Hochman has made gifts to the Queens College Foundation totaling \$255,847. In 2007, she and her husband, Richard, made a pledge of \$100,000 to establish the “Carol and Richard Hochman Scholars Fund.” When the pledge was fulfilled, Mr. and Mrs. Hochman made an additional pledge of \$100,000 to renew the scholarship,

which provides support to students who have demonstrated high achievement and are part of the College's honors program.

In further recognition of the Hochmans' generosity and Mrs. Hochman's longstanding service to Queens College, the College requests the Board's approval to name this conference room in honor of Carol and Richard Hochman.

G. QUEENS COLLEGE - NAMING OF THE JAMES L. MUYSKENS COLLEGE CONFERENCE CENTER:

RESOLVED, That the Board of Trustees of The City University of New York approve the naming of the "James L. Muyskens College Conference Center," located within the Summit Residence Hall at Queens College.

EXPLANATION: Dr. James Muyskens was Queens College's ninth and longest-tenured president, serving from July 2002 through December 2013. Dr. Muyskens began his long association with CUNY as an assistant professor of philosophy at Hunter College, where he eventually served as Acting Provost. During his long academic career, he also held the position of Senior Vice Chancellor for Academic Affairs for the University of Georgia. Dr. Muyskens earned his bachelor's degree from Central College in Iowa, a Masters of Divinity from Princeton Theological Seminary, and his Ph.D. from the University of Michigan.

Dr. Muyskens has made a pledge to the Queens College Foundation in the amount of \$250,000 to establish the "James Muyskens Summit Scholarship Endowment Fund." Dr. Muyskens' gift will provide awards to students of modest means to reside in the College's Summit Residence Hall.

In further recognition of the generosity of this contribution from Dr. Muyskens, as well as his years of service to Queens College, the College requests the Board's approval to name the "James L. Muyskens College Conference Center," located within Summit Residence Hall at Queens College.

Report of Faculty, Staff and Administration Committee Chair Valerie Beal:

I would like to take this opportunity to report to the Board on the University's second Faculty Diversity and Inclusion Conference, which was held on March 20th at **The Graduate Center**. Sponsored by **Vice Chancellor Gloriana Waters'** Office of Human Resources Management and its Recruitment and Diversity unit led by Dean Jennifer Rubain, this biennial event attracted some 250 faculty members and 50 administrators from across the University. The theme was "Promoting Diversity, Equity, and Inclusion at **CUNY**," and many of the eighteen concurrent sessions were led by **CUNY** faculty members. One well-attended interactive session, presented by Cornell University's Interactive Theatre Ensemble and entitled "It Depends on the Lens: Unconscious Bias in the Faculty Search Process," featured film clips, live actors and active audience participation. Other sessions addressed topics such as diversity in the context of aging, sexual orientation and gender identity, and Muslim awareness initiatives on the campuses. Keynote speaker Kenji Yoshino, the Chief Justice Earl Warren Professor of Constitutional Law at New York University and a self-described "gay law professor," spoke about "uncovering talent" and "rediscovering inclusion" in the academy. **Dean Ann Kirschner** talked about the economic case for diversity. **Vice Chancellor Waters** led a panel discussion on empowering women in leadership roles in higher education, which featured remarks by **President Chase Robinson**. I am pleased to note that **Chancellor Milliken** and **Vice Chairperson Berry** were enthusiastic participants and speakers as well. This is the type of thought-provoking "meetings of minds" that sets **CUNY** apart, and I am so pleased that **Vice Chancellor Waters** and Dean Rubain had the full support of the administration in convening this important event. The next diversity and inclusion conference will take place in 2017, and I strongly encourage all Board members to continue and remain involved in this ever-evolving conversation.

NO. 6. COMMITTEE ON STUDENT AFFAIRS AND SPECIAL PROGRAMS: RESOLVED, That the following items be approved:

A. GRADUATE SCHOOL OF JOURNALISM - FORMALIZATION OF STUDENT ACTIVITY FEE:

RESOLVED, That the student activity fee of \$50.00 per semester, paid by students during the Fall, Spring and Summer semesters at the Graduate School of Journalism be formalized, in accordance with the following schedule:

Earmarking		Allocating Body
Health Care Services	\$12.00	Graduate School & University Center
Student Government Fee	\$36.55	Student Government
University Student Senate	\$01.45	University Student Senate
Total Student Activity Fee	\$50.00	

EXPLANATION: Students at the Graduate School of Journalism held a referendum to formally establish the continuation of a \$50.00 student activity fee, effective Fall 2015.

A special referendum was held February 24 through March 1, 2015, in accordance with University Bylaw Section 16.12, at a time other than in conjunction with student government elections. The vote on the referendum was 38 in favor and 1 opposed, with 31% of the eligible students voting on the referendum. The Dean supports this fee.

The \$36.55 fee allocated by Student Government funds a variety of extracurricular activities, programs, student clubs, social events and educational conference attendance for graduate journalism students. Through an arrangement with the CUNY Graduate Center, Graduate Journalism students pay a \$12.00 fee for Health Care Services that provides them access to Health and Wellness Services that are available to students at the Graduate Center.

The earmarkings set forth in this resolution may only be changed by further Board action.

B. NOTICE OF AMENDMENTS TO THE BOARD OF TRUSTEES BYLAWS:

Notice is served of proposed amendments to the Board Bylaws, Article XVI, regarding Student Activity Fees and Auxiliary Enterprises of the Bylaws:

SECTION 16.5. COLLEGE ASSOCIATION.

a. The college association shall have responsibility for the supervision and review over college student activity fee supported budgets. All budgets of college student activity fees, except where earmarked by the board to be allocated by another body, should be developed by a college association budget committee and recommended to the college association for review by the college association prior to expenditure. The college association shall review all college student activity fee, including student government fee allocations and expenditures for conformance with the expenditure categories defined in Section 16.2. of this article and the college association shall disapprove any allocation or expenditure it finds does not so conform, or is inappropriate, improper, or inequitable.

b. A college association shall be considered approved for purposes of this article if it consists of thirteen (13) regular, voting members and up to six (6) alternates, its governing documents are approved by the college president and the below following requirements are met. Notwithstanding the foregoing, a college association that is not separately-incorporated may have a governing board of thirteen (13) members consisting of the individuals listed in 1(i) through 1(iv) below, plus one additional administrative member

and one additional faculty member, and is not required to have the audit committee referenced in 3 below:

1. The governing board of the college association is composed of:

(i) The college president or his/her designee as chair.

(ii) Two ~~Three~~ administrative members and one administrative alternate, appointed by the college president.

(iii) Two ~~Three~~ faculty members and up to two faculty alternates appointed by the college president from a panel whose size is twice the number of seats (including the alternates) to be filled and the panel is elected by the appropriate college faculty governance body.

(iv) Six student members and up to three student alternates comprised of the student government president(s) and other elected students with the student seats allocated on a basis which will provide representation to each government, where more than one exists, as nearly as practicable in proportion to the student activity fees provided by the students from the respective constituencies.

(v) Two independent directors appointed by the college president. An independent director shall be a former employee of the college or the association, a college alum, a community member, or any other individual, who, pursuant to Section 102 of the Not-for-Profit Corporations Law: (A) has not been within three years of his or her appointment to the governing board of the association, an employee of the association, CUNY or the Research Foundation of CUNY; and (B) does not have a relative who is, or has been within three years of the individual's appointment to the governing board, a key employee of the association, CUNY or the Research Foundation of CUNY; and (C) has not received, and does not have a relative who has received, in any of the three fiscal years prior to the individual's appointment to the governing board, more than \$10,000 in direct compensation from the association, CUNY or the Research Foundation of CUNY (other than reimbursement for expenses reasonably incurred as a director or reasonable compensation for service as a director as permitted by the Not-for-Profit Corporations Law); and (D) is not a current employee of or does not have a substantial financial interest in, and does not have relative who is a current officer of or has a substantial financial interest in, any entity that has made payments to, or received payments from, the association, CUNY or the Research Foundation of CUNY for property or services in an amount which, in any of the three fiscal years prior to the individual's appointment to the governing board, exceeds the lesser of \$25,000 or 2% of such entity's consolidated gross revenues. For purposes of this definition, "payment" does not include charitable contributions.

(vi) The alternates may attend meetings of the governing board, and each shall be entitled to vote on such matters that come before the governing board to the extent that the alternate is substituting for an member of the same constituency.

2. The college association structure provides a budget committee composed of members of the governing board, at least a majority of whom are students selected in accordance with section 16.5.(b) (1)(iv) of these bylaws. The budget committee shall be empowered to receive and review student activity fee budget requests and to develop a budget subject to the review of the college association. The college association may choose to not approve the budget or portions of the budget if in their opinion such items are inappropriate, improper, or inequitable. The budget shall be returned to the budget committee with the specific concerns of the college association noted for further deliberation by the budget committee and subsequent resubmittal to the college association. If the budget is not approved within thirty (30) days those portions of the budget voted upon and approved by the college association board will be allocated. The remainder shall be held until the college association and the budget committee agree.

3. Every separately-incorporated college association shall have an audit committee consisting of the two independent directors and one student member elected by the governing board who meets the criteria for independence set forth in 16.5.(b)(1)(v)(A) through (D). The audit committee shall oversee the accounting and financial reporting processes of the association and the audit of the association's financial statements and shall have such other duties as set forth in Section 712-a of the Not-for-Profit Corporations Law.

~~4.3-~~ The governing documents of the college association have been reviewed by the board's general counsel and approved by the board.

SECTION 16.10. AUXILIARY ENTERPRISES CORPORATION ~~BOARD~~.

a. The auxiliary enterprises ~~corporation~~ board shall have responsibility for the oversight, supervision and review over college auxiliary enterprises. All budgets of auxiliary enterprise funds and all contracts for auxiliary enterprises shall be ~~developed by the auxiliary enterprise budget and contract committee and reviewed by the auxiliary enterprises corporation~~ board prior to expenditure or execution.

b. The auxiliary enterprises ~~corporation~~ board shall be considered approved for the purposes of this article if it consists of at least eleven (11) members, its governing documents are approved by the college president and the following requirements are met:

1. The governing board is composed of the college president or his/her designee as chair, plus an equal number of students and the combined total of faculty and administrative members, and two independent directors.

2. The administrative members are appointed by the college president.

3. The faculty members are appointed by the college president from a panel whose size is twice the number of seats to be filled and the panel is elected by the appropriate college faculty governance body.

4. The student members are the student government president(s) and other elected students and the student seats are allocated on a basis which will provide representation to each government, where more than one exists, as nearly as practicable, in proportion to the student enrollment by headcount from the respective constituencies.

5. The independent directors are appointed by the college president. An independent director shall be a former employee of the college or the auxiliary enterprises corporation, a college alum, a community member, or any other individual, who, pursuant to Section 102 of the Not-for-Profit Corporations Law: (A) has not been within three years of his or her appointment to the governing board of the auxiliary enterprises corporation, an employee of the auxiliary enterprises corporation, CUNY or the Research Foundation of CUNY; and (B) does not have a relative who is, or has been within three years of the individual's appointment to the governing board, a key employee of the auxiliary enterprises corporation, CUNY or the Research Foundation of CUNY; and (C) has not received, and does not have a relative who has received, in any of the three fiscal years prior to the individual's appointment to the governing board, more than \$10,000 in direct compensation from the auxiliary enterprises corporation, CUNY or the Research Foundation of CUNY (other than reimbursement for expenses reasonably incurred as a director or reasonable compensation for service as a director as permitted by the Not-for-Profit Corporations Law); and (D) is not a current employee of or does not have a substantial financial interest in, and does not have relative who is a current officer of or has a substantial financial interest in, any entity that has made payments to, or received payments from, the auxiliary enterprises corporation, CUNY or the Research Foundation of CUNY for property or services in an amount which, in any of the three fiscal years prior to the individual's appointment to the governing board, exceeds the lesser of \$25,000 or 2% of such entity's consolidated gross revenues. For purposes of this definition, "payment" does not include charitable contributions.

~~5. The auxiliary enterprise board structure provides for a budget and contract committee composed of a combined total of faculty and administrative members that is one more than the number of student members. The budget and contract committee shall be empowered to develop all contract and budget allocation proposals subject to the review and approval of the auxiliary enterprise board.~~

6. The auxiliary enterprises corporation shall have an audit committee consisting of the two independent directors and one student member elected by the governing board who meets the criteria for independence set forth in 16.10.(b)(5)(A) through (D) . The audit committee shall oversee the accounting and financial reporting processes of the auxiliary enterprises corporation and the audit of the auxiliary enterprises corporation's financial statements and shall have such other duties as set forth in Section 712-a of the Not-for-Profit Corporations Law.

76. The governing documents of the auxiliary enterprises corporation~~board~~ have been reviewed by the board's general counsel and approved by the board.

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SECTION 16.11. THE REVIEW AUTHORITY OF COLLEGE PRESIDENTS OVER STUDENT ACTIVITY FEE ALLOCATING BODIES AND AUXILIARY ENTERPRISES CORPORATIONS~~BOARDS~~.

a. The president of the college shall have the authority to disapprove any student activity fee, including student government fee, or auxiliary enterprise allocation or expenditure, which in his or her opinion contravenes the laws of the city, state, or nation or any bylaw or policy of the university or any policy, regulation, or order of the college. If the college president chooses to disapprove an allocation or expenditure, he or she shall consult with the general counsel and vice chancellor for legal affairs and thereafter communicate his/her decision to the allocating body or auxiliary enterprise board.

b. The president of the college shall have the authority to suspend and send back for further review any student activity fee, including student government fee, allocation or expenditure which in his or her opinion is not within the expenditure categories defined in section 16.2. of this article. The college association shall, within ten (10) days of receiving a proposed allocation or expenditure for further review, study it and make a recommendation to the president with respect to it. The college president shall thereafter consider the recommendation, shall consult with the general counsel and vice chancellor for legal affairs, and thereafter communicate his/her final decision to the allocating body as to whether the allocation or expenditure is disapproved.

c. The chancellor or his/her designee shall have the same review authority with respect to university student activity fees that the college president has with respect to college student activity fees.

d. All disapprovals exercised under this section shall be filed with the general counsel and vice chancellor for legal affairs.

e. Recipients of extramural student activity fees shall present an annual report to the chancellor for the appropriate board committee detailing the activities, benefits and finances of the extramural body as they pertain to the colleges where students are paying an extramural fee.

* * *

SECTION 16.13. DISCLOSURE.

a. The college president shall be responsible for the full disclosure to each of the student governments of the college of all financial information with respect to student activity fees.

b. The student governments shall be responsible for the full disclosure to their constituents of all financial information with respect to student government fees.

c. The student activity fee allocating bodies shall be responsible for the full disclosure of all financial information to its membership, to the college and to the student governments with respect to all of its activities.

d. The auxiliary enterprises ~~corporation~~^{board} shall be responsible for the full disclosure of all financial information to its membership, to the college and to the student governments with respect to auxiliary enterprises.

e. For purposes of the foregoing paragraphs, full disclosure shall mean the presentation each semester of written financial statements which shall include, but need not be limited to, the source of all fee income by constituency, income from other sources creditable to student activity fee accounts, disbursements, transfers, past reserves, surplus accounts, contingency and stabilization funds. Certified independent audits performed by a public auditing firm shall be conducted at least once each year.

NOTE: Matter underlined is new; matter struck through is to be deleted.

EXPLANATION: Effective July 1, 2014, New York State adopted the Not-for-Profit Revitalization Act of 2013 (NPRA), an act that amends the Not-for-Profit Corporations Law. On September 29, 2014, the Board approved a resolution permitting CUNY's college associations and auxiliary enterprise corporations to make necessary changes to their bylaws in order to meet requirements under NPRA. One requirement of NPRA is that certain types of non-profits, including CUNY's college associations and auxiliary enterprise corporations, have an audit committee comprised of "independent directors." Article XVI of the Board's bylaws mandates the composition of the boards of the college associations and auxiliary enterprise corporations. Under the current composition, the only "independent directors"--as that term is defined in NPRA--are students. The audit committee has significant responsibility regarding the corporation's annual financial audit and also has the authority to bind the board. In order to avoid having an audit committee composed solely of students, this resolution amends Article XVI to include two independent directors on the college association and auxiliary enterprise corporation boards. These two directors, along with one student director, shall comprise the audit committee. In order to maintain the proportionate representation of students on the boards of the college associations, the number of administrative and faculty representatives serving on the college association boards has been reduced. This resolution also changes all references in Article XVI to the "auxiliary enterprise boards" to the "auxiliary enterprises corporations," which is a more accurate description. It also deletes the Article XVI requirement that an auxiliary enterprise corporation have a budget and contract committee to develop all contract and budget allocation proposals for review and approval by the corporation's board, because it adds an unnecessary administrative step to the budget and contracting process.

NO. 7. EXECUTIVE COMMITTEE:

* **Notice of Actions Taken by the Board of Trustees' Executive Committee on March 26, 2015; included for informational purposes:**

A. THE CITY UNIVERSITY OF NEW YORK - APPROVAL OF START-UP NY PARTICIPATING BUSINESS:

RESOLVED, That the Executive Committee of the Board of Trustees of The City University of New York approve the selection of OnForce Solar, Inc. ("OnForce") to participate in the Start-Up NY program (the "Program") through Bronx Community College. The President of Bronx Community College and the General Counsel are each authorized to execute and submit all documents that are necessary or useful to effectuate OnForce's participation in the Program. OnForce's participation in the Program shall be subject to all University and New York State requirements. All Program documents to be executed for the University shall be subject to approval as to form by the University Office of General Counsel.

EXPLANATION: The Program authorizes the creation of tax-free zones on eligible university campuses in New York State for new and expanding businesses. Under New York State law five CUNY campuses, including Bronx Community College, have been designated by the Board to participate in the Program. CUNY campuses other than the five designated by the Board may apply to host tax-free zones in competition with other universities.

Tax-free zones may be located within eligible College campuses, affiliated business incubators, and certain off-campus space affiliated with participating Colleges. Businesses locating within these tax-free zones will be generally exempt from State taxes, and the income paid to new employees of such businesses will be fully or partially exempt from State and local income taxes, for a period of ten years. In order to participate in the Program, businesses must be either a start-up or a high-tech business, must create new jobs, must not compete with any existing business within the immediate community but outside the tax-free zone, must be aligned with or further the academic mission of the host campus, and must provide positive community and economic benefits. Businesses applying to participate in the Program are subject to approval by CUNY and the NYS Commissioner of Economic Development.

The five campuses designated by the Board are permitted to include an unlimited amount of campus and affiliated space in the Program. CUNY has worked with New York State Empire State Development, which administers the Program, to solicit potential businesses on an ongoing basis, and the College identified OnForce as offering a particularly strong fit for its academic programs. Pursuant to University policy, OnForce was evaluated and recommended for selection into the Program by a committee of College and Central Office staff, and by the College President and the Vice Chancellor for Facilities Planning, Construction and Management (or their designees).

B. THE CITY UNIVERSITY OF NEW YORK - TRANSFER OF BROOKDALE PROPERTY TO CITY OF NEW YORK:

RESOLVED, That the Executive Committee of the Board of Trustees of The City University of New York (the "Executive Committee") authorizes The City University of New York ("CUNY") to cause the transfer (the "Transfer") of the Brookdale Campus (identified as Block 962, part of Lot 100) (the "Brookdale Campus") to the City of New York (the "City"); and be it further

RESOLVED, That the Executive Committee authorizes CUNY, in connection with the Transfer, to amend those certain master agreements dated as of July 15, 1986, May 25, 1994, August 16, 2000 and January 22, 2003 among the Dormitory Authority of the State of New York ("DASNY"), City University Construction Fund ("CUCF") and CUNY (collectively and as amended, the "Master Lease") to withdraw the Brookdale Campus from same, and to procure any necessary approvals for such amendment; and be it further

RESOLVED, That the Executive Committee authorizes CUNY, in connection with the Transfer, to notify and certify to the Commissioner of General Services of the State of New York (the "Commissioner") and the Director of the Office of Management and Budget of the City of New York (the "Director") that the Brookdale Campus has ceased to be used for senior college purposes; and be it further

RESOLVED, That the Executive Committee authorizes CUNY, in connection with the Transfer, to request that DASNY transfer the Brookdale Campus to New York State (the "State") and the State transfer the Brookdale Campus to the City pursuant to a confirmatory deed; and be it further

RESOLVED, That the Executive Committee authorizes the execution by CUNY of all agreements, notices and certifications, and the taking of all actions, in connection with the Transfer, subject to their approval by the Office of General Counsel; and be it further

RESOLVED, That the Executive Committee authorizes CUNY to request that CUCF, the Commissioner, the Director, the City and/or the State to concur in the manner of Transfer; and be it further

RESOLVED, That the Executive Committee requests CUCF to authorize and execute any documents related to the Transfer, if necessary or desired by CUNY, including those in connection with the withdrawal of the Brookdale Campus from the Master Lease.

EXPLANATION: CUNY has entered into a public-private project (the "Project") with Memorial Sloan-Kettering Cancer Center ("MSKCC") to acquire that certain vacant land at 525 East 73rd Street (Manhattan Block 1485, Lots 15 and 115) (the "73rd Street Property") from the New York City Economic Development Corporation ("NYCEDC") and jointly develop the 73rd Street Property. The Project was approved by the Board on June 25, 2012 pursuant to Cal. No. 6.D. The Project provides that CUNY's contribution to the acquisition of its portion of the 73rd Street Property will be the transfer of the Brookdale Campus to the City. This resolution authorizes and implements that transfer.

* **Notice of Action Taken by the Board of Trustees' Executive Committee on April 6, 2015; included for informational purposes:**

A. THE CITY UNIVERSITY OF NEW YORK – APPOINTMENT OF EXECUTIVE VICE CHANCELLOR FOR ACADEMIC AFFAIRS AND UNIVERSITY PROVOST:

RESOLVED, That the Board of Trustees approve the appointment of Vita Carulli Rabinowitz to be Executive Vice Chancellor for Academic Affairs and University Provost, effective July 1, 2015, at a compensation to be recommended by the Chancellor to the Board, subject to financial ability.

EXPLANATION: After a national search chaired by City College President Lisa S. Coico, the search committee recommended five individuals to be considered by the Chancellor as the Executive Vice Chancellor for Academic Affairs and University Provost. Dr. Vita Carulli Rabinowitz is currently the Provost and Vice President for Academic Affairs at Hunter College and has served in that position since 2005. She has held a number of other positions at Hunter College, including that of Chair of the Psychology Department and has been a member of the doctoral program in psychology at The Graduate Center since 1989. Dr. Rabinowitz has published widely, and has been the recipient of a number of significant grants including a National Science Foundation Institutional Transformation Award in the amount of \$4,500,000 (with Professor Virginia Valian) for what became known as the Gender Equity Project. Dr. Rabinowitz earned a Bachelor's degree from Douglas College and a Master's degree and Ph.D. from Northwestern University.

NO. 8. [ADDED ITEM] SALK SCHOLARSHIPS: RESOLVED, That the Board of Trustees approve the award of the Jonas E. Salk Scholarships to the following graduates who have been recommended by the Chancellor:

WITH STIPEND

Ebrahim Afshinnekoo	Macaulay Honors College at Queens College
Syed Farrukh Haider	City College
Diane Kogan	Hunter College
Anna Kozlova	Macaulay Honors College at Brooklyn College
Anton Mararenko	Macaulay Honors College at the College of Staten Island
Hope Miodownik	Queens College
Kyla-Gayle Pinnock	York College
Esther Rong	Macaulay Honors College at Hunter College

NO. 9. THE CITY UNIVERSITY OF NEW YORK - PLAN FOR EXPERIENTIAL OR APPLIED LEARNING ACTIVITIES:

RESOLVED, That the Board of Trustees of The City University of New York hereby directs that the University shall develop a plan to make available to students enrolled in an academic program of the University, beginning in the 2016-17 academic year, approved experiential or applied learning activities, as required by State law. Such experiential or applied learning activities may include completion of activities related to students' program of study, including, but not limited to, service-learning activities completed as part of a course, paid or unpaid internships, faculty-supervised undergraduate projects and

activities leading to publication of research in journals or similar publications, production or performance of creative works, and iterative “co-op” partnerships that explicitly link the curricula to a temporary, paid position in industry or the public sector. Such plan, to be completed by June 1, 2016, shall be developed in consultation with the University Faculty Senate, the University Student Senate and other stakeholders. Such plan shall define approved experiential or applied learning activities, methods of faculty oversight and assessment, responsibilities of business, corporate, non-profit or other entities hosting students, and shall include a requirement for collecting and reporting data associated with such experiential or applied learning activities. Such plan shall examine the feasibility of including such experiential or applied learning activities as a degree requirement. In developing such plan, the University shall examine its ability to administer and provide such opportunities to students, the local community’s capacity to support such experiential or applied learning activities, the impact such requirement would have on the local workforce, if any, the potential for such a requirement to enhance learning outcomes for students, and whether adding such a requirement would cause potential delays in graduation for students.

EXPLANATION: The 2015-16 adopted New York State Budget requires the adoption of a plan for experiential or applied learning activities by amending Section 6206 of the Education Law to add a new subdivision 18. This resolution complies with that requirement.

Chancellor Milliken stated that this was a provision in the Executive budget. Originally, it went through some amendment in the legislative process, which provides now for the Board to take into consideration as part of its plan, the feasibility of both offering and requiring students to have experiential learning as part of their curriculum, also impact on time to degree, on the local workforce, and other factors. With the adoption of this resolution, the administration will undertake a plan to be submitted to the Board within the following year, taking into consideration all of the factors that were provided for. He added that the opportunity for experiential learning for **CUNY** students is a great advantage, and that all things being equal, it is one that the University would like to provide. These are important elements for the University to require as part of the planning process, particularly for students at **CUNY**. With the Board’s support, the University looks forward to developing a plan and bringing it back to the Board.

Upon motion duly made, seconded and carried, the public meeting was adjourned at 5:27 P.M.

SECRETARY AND SENIOR VICE CHANCELLOR JAY HERSHENSON

(This is a detailed summary of the Board of Trustees’ meeting. The tapes of the meeting are available in the Office of the Secretary of the Board for a period of three years.)

BROOKLYN COLLEGE

Fund Number	Fund Name	Donor	Year of Gift	Date of Original BHE/BOT Approval	Market Value as of 12/31/14	Major Purpose Description [Award/Scholarship]	Original Gift Restriction	Further Board Action	Problem	Proposed Modification(s)/New Restriction	Donor Notice?	AG approval received?
605	VEXLER, FELIZ, MEMORIAL AWARD	CUNY (Brooklyn College Dept of Romance Languages)	1934	3/20/1934	\$405.81	Award	Interest on fund to be used for the purchase of books to be awarded annually as a prize to that member of the graduating class of Brooklyn College who in the judgment of those in the Department of Romance Languages has been most active in arousing interest in French life and letters. (Source: 1934 BHE reso)	None	Impracticable - very little money in account.	Combine with Fund #612 and rename "The L. Haessler & F. Vexler Award." <u>New restriction</u> to be: "An annual award to graduating students for outstanding work in Modern Languages."	No - donor is CUNY. However, Dept of Modern Languages has approved this change.	Not required - donor (CUNY) has approved.
606	KUTZ, THE SALLY E., AWARD	CUNY (Brooklyn College Dept of Physiology and Hygiene) and Sally E. Kutz by bequest	1934 & 1973 (add'l gift by Kutz bequest)	circa 1934	\$45,701.41	Award	Interest on fund to be given to the graduating student whose general scholarship has been high and whose work in the Department of Physiology and Hygiene has been outstanding. In addition, the student must be in sound health. (Source: 1934 letter to BHE)	In 1952 BHE approved change in name of award from The Women's Health and Physical Education Prize to The Sally E. Kutz Award. In 1970 the College modified restriction to as follows: \$100.00 annually to that graduating student whose general scholarship is high, whose health is excellent, and whose work in the Dept. is outstanding.	<u>Unlawful</u> : Implication is that award is limited to women and is restricted to those in "sound" or "excellent" health. <u>Impracticable</u> : dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual award to a graduating student for outstanding work in the Physical Education Department"	No - non-CUNY donor is deceased.	Yes
608	BROOKLYN COLLEGE SENIOR AWARD	Brooklyn College Women's Division Student Council	1935 & 1937 (add'l funds)	9/25/1935	\$50,929.67	Award	Interest to be used as a scholarship award to a woman graduate for graduate studies. Award to be \$50 - \$150. Recipient to be woman graduate who has achieved the highest general academic standard, is recommended by the head of her department as a student of great promise, has rendered outstanding services to the college, and who will use the award for graduate study. (Source: 1935 letters to College President and to BHE)	In 1978 BHE approved change in name and description of award to: \$100 to a graduating senior who (1) has achieved a high academic record, (2) is recommended by an instructor as showing great promise in his/her special field, (3) has rendered outstanding service to the College and (4) will use the award for graduate study. The recipient will be selected by the Committee on Honors, Citations and Awards.	<u>Impracticable</u> : dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual award to a graduating senior with a high academic record who has rendered outstanding service to the College, to pursue graduate study."	No - no longer exists	Yes
609	CHEMISTRY SOCIETY SCHOLARSHIP FUND	CUNY (Chemistry Society of Brooklyn)	1936	3/31/1936	\$23,093.42	Scholarship	The income of this fund is to be used to provide a scholarship in chemistry for a deserving graduate, to assist him in the continuation of his study of Chemistry; said fund is to be administered by a committee consisting of the Chairman of the Department of Chemistry, the Faculty Adviser of the Chemistry Society and one other member of the Faculty to be selected at the discretion of the President of Brooklyn College. (Source: 1936 letter from College to BHE)	None	<u>Impracticable</u> : difficult to give as a scholarship	<u>New restriction</u> : "An annual award to a graduating student for outstanding work in Chemistry."	No: donor is CUNY. However, Chemistry Dept has approved the change.	Not required - donor (CUNY) has approved.
611	BILDERSEE, DEAN ADELE, SCHOLARSHIP	George Cukor	1937	circa 1937	\$3,515.21	Scholarship	Annual \$100 scholarship to member of the graduating class who has achieved the highest distinction in scholarship and who, without a scholarship, would be unable to continue his or her studies." (Source: 1937 letter from College to BHE)	In Nov 1983 BHE approved change in description to: "one or more partial tuition scholarships for graduate study at Brooklyn College for students who have achieved high distinction in their studies."	<u>Impracticable</u> : very little money in account.	Combine with Fund #691 and name it the Dean Bildersee & S. Kagen Award. <u>New restriction</u> : "An annual scholarship to a graduating student with with an outstanding academic record for graduate studies at Brooklyn College."	No - donor is deceased.	Yes
612	HAESSLER, LUISE SENIOR AWARD	Brooklyn College German Department	1938	6/6/1938	\$42,725.06	Award	Principal and interest to fund two prizes: Luise Haessler Graduate prize of \$40 to outstanding student of German in Graduate Division and Luise Haesse Senior prize of \$25 to graduating senior who has specialized in German and who has done outstanding work in the subject. (Source: 1938 BHE reso)	None	<u>Impracticable</u> : dollar amount should be removed so that award may be given if less money is available and to take inflation into account. Also, few students study German.	Combine with Fund #605 and rename "The L. Haessler & F. Vexler Award." <u>New restriction</u> to be: "An annual award to graduating Brooklyn College students for outstanding work in Modern Languages."	No - donor is CUNY. However, Dept of Modern Languages has approved this change.	Not required - donor (CUNY) has approved.

615	MANDELL, DORIS, MEMORIAL AWARD	friends and relatives of Doris Mandell	1941	4/21/41	\$14,623.23	Award	Prize of books provided from the income to be awarded annually at the June Commencement to that graduating student of Spanish of either the February or the June class who, in the judgment of the Department of Romance Languages, has special excellence in Spanish, has participated in extracurricular activities in this field, and has been most active in fostering interest in it. (Source: 1941 BHE reso and letter to award committee)	None	Impracticable: College would prefer to give money instead of books.	<u>New restriction</u> : "An annual award to graduating students for outstanding work in Spanish."	No: specific donors unknown. Insufficient information to locate Chair of Award Committee (David Stein) and given age of gift he is presumed deceased.	Yes
618	FREEMAN, CAROLYN, R.	friends and classmates of Carolyn Freeman	1941	6/16/41	\$12,912.23	Award	Prize of \$5.00 in books on psychology to be awarded at each June Commencement to the member of the graduating class most proficient in psychology, the winner to be judged by the Department of Psychology. (Source: 1941 BHE reso)	None	Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account. Also, College would prefer to give money instead of books.	<u>New restriction</u> : An annual award to a graduating senior for outstanding work in Psychology.	No: specific donors unknown. Insufficient information to locate representative of donors (Mrs. Evelyn Kaplan Schneck) and given age of gift she is presumed deceased.	Yes
619	LEVINE, LORRAINE, MEMORIAL AWARD	CUNY (Brooklyn College Mathematics Department & friends and relatives of Lorraine Levine	1942	5/18/1942	\$11,546.42	Award	Award of a prize of \$25.00 annually at the June Commencement to that girl who has completed integral Calculus but has not yet entered her senior year, and who is as judged by the department to be most outstanding in mathematical ability, general scholarship, personality, character. If a suitable candidate is not available in any year, no award will be made. (Source: 1942 BHE reso)	In 1954 BHE changed description to a \$50 award to an undergraduate woman student who has completed Integral Calculus and who, in the judgment of the Department of Mathematics, is deemed most outstanding in mathematical ability, general scholarship, personality and character.	<u>Unlawful</u> : restricted to women; <u>Impracticable</u> : Dollar amount should be removed so that award may be given if less money is available and to take inflation into account.	<u>New restriction</u> : An annual award to an undergraduate student who has shown commitment to women's advancement in mathematics.	No: specific donors unknown and Math Department has approved the change.	Not required - donor (CUNY) has approved.
620	PUTNAM, WM. LOVELL, FUND IN MATHEMATICS	PUTNAM, WM. LOVELL, FUND IN MATHEMATICS	1942	3/17/1942	\$67,219.62	Award	To establish a fund for the granting of scholarships and loans to students of Mathematics in the Graduate School of Brooklyn College. (Source: 1942 BHE reso & letter from Dept of Math)	None	Math Department wants to expand to include undergrads	<u>New restriction</u> : "Scholarships and loans to undergraduates and graduate students studying math."	No: donor is CUNY. However, Math Department has approved the change.	Not required - donor (CUNY) has approved.
621	SCHWABE, JULIA MEMORIAL AWARD	Ida Schwabe (daughter)	1942 & 1945 (add'l funds)	2/4/43	\$28,559.02	Award	To establish two funds: 1) income from a \$500 fund is to be used to foster extracurricular activities connected with the study of German, including the Deutscher Verein, so long as it continues to function and 2) \$500 revolving fund to be used for pedagogical purposes of the German Department, such as the publication of the departmental projects to serve in the study of the German language and literature, the purchase of books by the Department for the College Librery, and the like. The 2 funds are to be kept distinct and separate, and no part of either fund may be transferred to the other. To be invested in US Govt Defense Series "G" Bonds. (Source: various letters)	In 1960 the BHE changed description to a \$200 annual award to be given to an outstanding student of German for the purpose of improving his knowledge of German language and literature through summer study or through travel abroad.	Impracticable: College has few students studying German and dollar amount should be removed to permit flexibility and to take inflation into account.	Combine with Fund #627. <u>New restriction</u> to be: "An annual award to a student for outstanding work in Modern Languages.	No: donor presumed deceased. Donor was a retired College professor in 1945, and the 1940 census shows a 59 year old Ida Schwabe living in Brooklyn.	Yes
622	WHITESIDE, DONALD G., POETRY AWARD	?	cir 1965	4/26/1965	\$43,134.63	Award	A prize of books to cost not less than \$50 is awarded annually to the undergraduate student who writes the best poem in a contest conducted by the Department of English. (Source: 1965 BHE reso)	None	Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account. College would prefer to give cash rather than books.	<u>New restriction</u> : Senior awards for outstanding work in poetry as selected annually by the English Department	No: donor(s) unknown. However, English Department has approved change.	Yes

625	ROSENBERG, ARTHUR, AWARD	students, alumni and colleagues of Dr. Rosenberg	1943	5/17/43	\$18,733.51	Award	An award of approximately \$5 in cash, to be known as the Arthur Rosenberg Award, to be awarded to that member of the graduating class (Feb or June) who in the judgment of the Department of History is most outstanding in curricular and extra curricular work in history. (Source: 1943 BHE reso)	In 1956 the BHE approved change of award from cash to books. In 1958 the BHE approved a change in description of the award to a \$25 annual award to that member of the graduating class who, in the judgment of the Department of History, is most outstanding in scholarship and in extra-curricular work in history.	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account. Also, College would prefer to give cash rather than books.	New restriction: "An award to a graduating senior for outstanding work in History."	No: specific donors unknown	Yes
627	SCHWABE, JULIA MEMORIAL AWARD	Ida Schwabe (daughter)	?	2/15/43	\$40,999.50	Award	To establish two funds: 1) income from a \$500 fund is to be used to foster extracurricular activities connected with the study of German, including the Deutscher Verein, so long as it continues to function and 2) \$500 revolving fund to be used for pedagogical purposes of the German Department, such as the publication of the departmental projects to serve in the study of the German language and literature, the purchase of books by the Department for the College Library, and the like. The 2 funds are to be kept distinct and separate, and no part of either fund may be transferred to the other. To be invested in US Govt Defense Series "G" Bonds. (Source: 1943 BHE reso)	None	<u>Impracticable</u> : College has few students studying German and dollar amount should be removed to permit flexibility and to take inflation into account.	Combine with Fund #621. <u>New restriction</u> to be: "An annual award to a student for outstanding work in Modern Languages."	No: donor presumed deceased.	Yes
632	KAPPA PHI AWARD	Kappa Phi Club of Brooklyn	1946	9/23/46	\$26,094.08	Scholarship	The income of a fund of \$1000 to be awarded annually to a graduating woman senior of the February or the June class, who, in the opinion of the Department of Biology, is outstanding in character, personality and scholarship. (Source: 1946 BHE reso)	In 1947 BHE approved added restriction that award to be for graduate study. This restriction was removed by the BHE in 1970. In 1971 BHE approved change of size of award from income of fund to annual award of \$100.	<u>Unlawful</u> : restricted to women; <u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	New restriction: "An annual award to a graduating student for outstanding work in Biology."	Club appears to be defunct. No one in Biology Department is familiar with the club.	Yes
642	LITTLEFIELD, THE RICHARD, ACCOUNTING	friends and colleagues of Richard Littlefield	1955	4/18/55	\$9,878.15	Award	"An award of twenty-five dollars United States Savings Bond from a fund established by his friends and colleagues is made annually to an outstanding student enrolled in the curriculum leading to the degree of B.S. with a major in Accounting." (Source: 1955 BHE reso)	None	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account. College would prefer to give cash rather than savings bond.	Combine with Fund #643 and name it "The Richard Littlefield Memorial Award in Accounting." <u>New restriction</u> : "Annual awards to students for outstanding work in Accounting."	No: specific donors unknown. However, Accounting Department has approved change.	Yes
643	LITTLEFIELD, THE RICHARD, MEMORIAL	friends and colleagues of Richard Littlefield	1955	4/18/55	\$22,344.22	Award	"An award of twenty-five dollars United States Savings Bond from a fund established by his friends and colleagues is made annually to an outstanding student specializing in th field of Accounting Records in the Division of Vocational Studies." (Source: 1955 BHE reso)	None	<u>Impossible</u> : College no longer has a specialization in Accounting Records. <u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account. College would prefer to give cash rather than savings bond.	Combine with Fund #642 and name it "The Richard Littlefield Memorial Award in Accounting." <u>New restriction</u> : "Annual awards to students for outstanding work in Accounting."	No: specific donors unknown. However, Accounting Department has approved change.	Yes
645A	ISAAC ALBERT NUSING SCIENCE LOAN FUND	Isaac Albert?	cir 1956	12/17/56	\$140,131.46 (aggregate of 645A,B & C)	Loan Fund	Loans are available to students who show promise of successful completion of the Nursing Science course of study and who are deserving of financial aid. (Source: 1956 BHE reso)	None	<u>Impossible</u> : College has not had a nursing program for many years.	Combine with Funds 645B and 645C and rename the "Isaac Albert Pre-Nursing Award." <u>New restriction</u> : "An annual award to students for outstanding work in Pre-Nursing"	No: donor deceased.	Yes

645B	ISAAC ALBERT NURSING SCIENCE SCHOLARSHIP	Isaac Albert?	cir 1956	12/17/56	See above	Scholarship	\$100 scholarship awarded annually to a student matriculated for the Associate in Applied Science Degree specializing in Nursing Science, who shows outstanding competence after a year of study and who needs financial aid. (Source: 1956 BHE reso)	None	Impossible: College has not had a nursing program for many years. Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account.	Combine with Funds 645B and 645C and rename the "Isaac Albert Pre-Nursing Award." <u>New restriction:</u> "An annual award to students for outstanding work in Pre-Nursing"	No: donor deceased.	Yes
645C	NURSING SCIENCE AWARDS	Nursing Alumni Affiliate of the Brooklyn College Alumni Association	cir 1958	3/17/1958	See above	Award	Two annual awards of \$25 each; one to the nursing science graduate student in the January class and the other to the graduating student in the June class who possesses the greatest nursing potentialities based on a) clinical proficiency, b) academic achievement, c) good inter-personal relationships with staff, patients, and fellow-students, and d) leadership qualities evidenced in extra-curricular activities related to the nursing science program. (Source: 1958 BHE reso)	None	Impossible: College has not had a nursing program for many years. Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account.	Combine with Funds 645A and 645B and rename the "Isaac Albert Pre-Nursing Award." <u>New restriction:</u> "An annual award to students for outstanding work in Pre-Nursing"	Yes, and approval granted.	Yes
647	SMITH ADAM AWARD	Brooklyn business men and other anonymous donors	circa 1955	?	\$17,415.86	Award	Unknown	In 1955 BHE approved a change to award description to a gold medal, provided initially by a group of Brooklyn business men and provided subsequently by the income of a fund established by anonymous donors, to be awarded to that member of the graduating class deemed most outstanding in economics by the Department.	Impracticable: College would prefer to give cash instead of gold medal.	<u>New restriction:</u> "An annual award to a graduating student for outstanding work in Economics."	No: specific donors unknown.	Yes
650	GREBANIER, OTTILLIE, DRAMA AWARD	Prof. Bernard Grebanier	1956 & 1959 (additional funds)	1/23/56	\$81,150.52	Award	"The sum of \$50.00 derived from a fund established through the efforts of Professor Bernard Grebanier and in honor of his mother, is awarded annually to the undergraduate who writes the best play in a contest conducted by the Department of English." (Source: 1956 BHE reso)	None	Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "An annual award to the student who has written the best play, as determined by the Department of English."	No: donor deceased.	Yes
656	COHEN, SOL, MEMORIAL	Mrs. Judith C. Stang	1957 & 1962 (additional shares of stock)	5/20/57	\$52,290.62	Award	Income earned from 3 shares of AT&T stock to fund an "award of \$25.00 to a graduating senior who has distinguished himself in the field of mathematics." (Source: 1957 BHE reso)	None	Impracticable: Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "An annual award to a graduating student for outstanding work in Mathematics."	No: insufficient information to locate donor, if still alive.	Yes
657	CLASS OF 1957 AWARD IN HONOR OF LEM	Class of 1957	1957	1/20/58	\$87,571.53	Award	"awards annually a \$50 U.S. Government Bond, to a graduating senior, who has maintained a creditable academic record, has received a major Varsity letter, and who has displayed an active interest in college-wide activities." (Source: 1958 BHE reso)	In May 1958 the BHE approved change to description from two \$25 bonds, one each to a man and a woman student who are graduating seniors.	Illegal: Awards are gender-restricted. As a Division III school, can't give sports scholarships. Impracticable: Dollar amount should be removed so that award may be given if less money available and to take inflation into account. College would prefer to give cash rather than bonds.	<u>New restriction:</u> "An annual award to a graduating student for outstanding work in Physical Education."	No: specific donors unknown.	Yes

658	SEPTOFF, BETTY SOLOWICK MEMORIAL AW	friends and family of Betty Solowick	1958	1/11/58	\$14,419.80	Award	Award annually a \$50 U.S. Government Bond to the graduating woman student who has been of unobtrusive but meaningful service to the school and the community, while maintaining a good scholastic record. (Source: 1958 BHE reso)	In 1970 the BHE approved revised description to an award of \$100 annually to the "graduating woman student who has contributed a meaningful service to the college and the community, while maintaining a high scholastic average."	<u>Illegal</u> : Award is gender-restricted. <u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual award to an outstanding graduating senior."	No: specific donors unknown.	Yes
663	ABRAMOWITZ, MILTON J., MEMORIAL AWA	friends from the National Bureau of Standards	1960	2/20/61	\$22,773.79	Award of books	"Annual award of books, in memory of Dr. Abramowitz, to an outstanding undergraduate in the College of Liberal Arts and Sciences or the School of General Studies on the basis of a competitive exam in mathematics." (Source: 1961 BHE reso)	None	<u>Impracticable</u> : College would prefer to give cash instead of books and to remove the exam requirement. Also, the School of General Studies no longer exists.	<u>New restriction</u> : "An annual award to student for outstanding work in Mathematics."	No: specific donors unknown.	Yes
671	KOBER, ALICE E., AWARD	?	1958	1/20/58	\$35,087.25	Award	"A prize of \$25 established in the memory of Alice E. Kober, formerly a membe of the Department of Classics, is awarded annually to a graduating senior for superior work in courses in Classics offered in the Department of Classics and World Literature." (Source: 1958 BHE reso)	In 1970 the BHE approved increase in size of prize to \$100.	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "Annual awards to students for outstanding work in Classics."	No: donor(s) unknown.	Yes
672	COHEN, THE ROSE, AWARD	Mrs. Sydonia Presser (daughter)	1963	2/18/63	\$24,427.54	Award	"An award of \$50 is offered annually by Mrs. Sydonia Presser in memory of her mother to the graduating senior majoring in the Department of Speech and Theater who, in the judgment of that Department, is deemed outstanding in scholastic attainment and in the fields of Theater and Oral Interpretation." (Source: 1963 BHE reso)	In 1970 the BHE approved increase in size of award to \$100	<u>Impracticable</u> : Dollar amount should be removed so that award may be given if less money available and to take inflation into account. Few students major in Speech.	<u>New restriction</u> : "An annual award to graduating students for outstanding work in Speech and/or Theater."	No: donor deceased.	Yes
675	GOODMAN SHORT STORY AWARD, BERTHA A	Prof. Randolph Goodman	1964	6/15/1964	\$4,426.73	Award	\$1,000 bond, "the annual income from which is to be used to support two additional [sic] prizes (a second prize of \$30, and a third prize of \$20) to be offered each year under the Bertha and Philip S. Goodman Short Story Award, which award is currently offering one prize of \$50." (Source: 1964 letter from BHE to donor)	None	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "Annual awards to students who write the best short stories, as determined by the English Department."	No: donor deceased.	Yes
677	MINTZ, BONNIE PERLSWEIG MEMORIAL AW	Mr. and Mrs. Harry Perlsweig (parents) and Brooklyn Chapter of Alpha Epsilon Phi	before April 1970	?	\$40,701.96	Award	\$100 annually to a student of high scholastic standing doing graduate work in English at Brooklyn College. (Source: 1970 BHE reso)	In 1970 the BHE approved revised description from "doing graduate work in English at Brooklyn College" to "at CUNY." (Source: 1970 BHE reso)	<u>Impracticable</u> : Dollar amount should be removed so that award may be given if less money available and to take inflation into account.	<u>New restriction</u> : "An annual scholarship to an outstanding student for graduate work in English at Brooklyn College."	No: donors presumed deceased.	Yes
678	JF KENNEDY HUMAN RELATIONS AWARD	B'nai B'rith Hillel Foundation of Brooklyn College	1964	4/20/1964	\$91,954.18	Award	"An expanding fund, starting with \$2500 established by the B'nai B'rith Hillel Foundation at Brooklyn College through the generosity of the friends of Mr. Harry Enits; the interest accruing to be awarded annually or biannially to an outstanding undergraduate or graduate student who has contributed to the advancement of intergroup unity and cooperation; for study in this field." (Source: 1964 BHE reso)	None	<u>Impracticable</u> : Dollar amount should be removed so that award may be given if less money available and to take inflation into account.	<u>New restriction</u> : "A significant award to an outstanding student majoring in Judaic studies, who has rendered meaningful human service to the school or community"	Yes.	Not required - donor has approved.
679	ALEXANDER, DOROTHY MEMORIAL AWARD IN ENGLISH	?	1965	4/26/65	\$68,059.30	Award	"\$100 awarded annually to a graduating senior with high scholarship and outstanding personal qualities to pursue graduate studies in the field of English." (Source: 1965 BHE reso)	In 1970 the BHE approved a revised description to delete the phrase "to pursue graduate studies in the field of English."	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "A scholarship to one or more outstanding graduating students for graduate studies in English at Brooklyn College."	No: donor(s) unknown. However, English Department has approved change.	Yes

680	COMPARATIVE LITERATURE AWARD	?	1964	11/16/64	\$18,783.69	Award	"The income of a fund of One Thousand Dollars is awarded annually by the Department of Classics and Comparative Literature to a graduating senior who has done superior work in Comparative Literature." (Source: 1964 BHE reso)	In 1970 the BHE approved increase in size of award from \$50 to \$100.	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "An annual award to a graduating senior who has done superior work in Comparative Literature."	No: donor unknown. However, English Department has approved change.	Yes
681	SMITS, T. A. MEMORIAL AWARD IN ASTRONOMY	colleagues, friends & relatives of Theodore A. Smits	1964	?	\$11,668.68	Award	"\$50 in cash, awarded annually and an illuminated scroll presented to the outstanding student of Astronomy in recognition of excellence of achievement, integrity of effort, independent study, and enthusiastic and inspirational encouragement of others in this field." Candidates to be selected by a committee composed of designated representatives of the Physics Department. (Source: 1964 document from College)	In 1970 the BHE approved increase in size of award from \$50 to \$100.	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account. Illuminated scroll requirement is impracticable. Also, few students study Astronomy.	<u>New restriction:</u> "An annual award, to be named the "T.A. Smits Memorial Award in Physics," to a student for outstanding work in Physics, with a preference for outstanding work in astrophysics or cosmology."	No: donors unknown	Yes
682	LOWENFISH, ROSE MEMORIAL AWARD	Husband of Rose Lowenfish	before Dec 1966	?	\$6,210.68	Award	"Income from a fund of \$2,000 awarded annually to a deserving sophomore or junior with high scholastic attainments in the humanities, in memory of the donor's wife, Rose Lowenfish." (Source: 1971 College document)	None documented.	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "An annual award to a sophomore or junior at Brooklyn College for outstanding work in Humanities."	No: donor presumed deceased.	Yes
683	FURMAN, MR. & MRS. STEPHEN R. AWARD	Mr. and Mrs. Stephen Furman	1965	?	\$57,038.72	Scholarship	Annual award of \$100 "to supply financial assistance to a worthy & deserving student." The candidate will be selected by a committee of 3 chosen and headed by the Dean of the Faculties. (Source: 1965 letter to donor.)	In 1981, the donor approved a change in restriction to: "An annual award of \$1,000 to any incoming freshman or a student currently in attendance at Brooklyn College for outstanding academic achievement and potential." Unclear whether BHE approved.	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "A scholarship to an incoming freshman or undergraduate Brooklyn College student for outstanding academic achievement and potential."	No: insufficient information to locate donors.	Yes
687	WALLENROD, REUBEN MEMORIAL AWARD	Mrs. Rae Wallenrod (wife)	1967	?	\$20,096.01	Scholarship	A scholarship in the name of Mrs. Wallenrod's deceased husband, Professor Reuben Wallenrod. The annual award will be made to an outstanding student who will pursue graduate studies in Hebrew and will consist of the interest on the \$1,000. (Source: 1967 letter from Chair of Modern Languages Dept to College Bursar)	None	<u>Impossible:</u> College does not have a program in Hebrew, a prerequisite for graduate-level Judaic Studies	<u>New restriction:</u> "An annual award to outstanding students who plan to pursue graduate studies in Judaic Studies."	No: insufficient information to locate donor and presumed deceased.	Yes
691	KAGEN, SAMUEL N. AWARD	friends and relatives of Prof. Kagen	1968	?	\$524.83	Scholarship	"the sum of \$100.00 will be awarded annually to a graduating senior in the area of the humanities who has achieved a high scholastic record and who is in need of financial assistance for graduate studies." (Source: 1968 Proposed New Award document)	None	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account. Small amount in account and College wishes to spend it down.	Combine with Fund #611 and name it the Dean Bildersee & S. Kagen Award. <u>New restriction:</u> "A scholarship to a graduating student with an outstanding academic record, for graduate studies at Brooklyn College."	No: specific donors unknown.	Yes
698	IRVING FELDMAN MEMORIAL AWARD	?	1971	?	\$28,456.81	Award	"\$2,500.00 is to be kept in perpetuity, and all funds earned above that sum are to be used to the annual award."	None	Has historically been used for awards by Chemistry Department, but no official documentation reflecting that restriction except references in commencement programs.	<u>New restriction:</u> "Annual awards to outstanding and deserving Chemistry majors."	No: donor(s) unknown	Yes
699	SCHIFF, STANLEY MEMORIAL SCHOLARSHIP	multiple individuals	?	?	\$84,811.25	Award	Unclear as documentation is missing. College notes indicate that purpose is "\$450 awarded to an outstanding graduating senior majoring in Judaic Studies who plans to continue these studies in an accredited graduate school."	None	<u>Impracticable:</u> Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction:</u> "An annual award to an outstanding graduating senior majoring in Judaic Studies who plans to continue these studies in an accredited graduate school."	No: insufficient donor detail.	Yes

700	ABRAHAM S GOODHARTZ SCHOLARSHIP	colleagues, students, friends & family of Abraham Goodhartz	circa 1971; add'l funds from estate of Hannah Kraus in 1974	2/22/71	\$70,130.09	Scholarship	ASG Scholarship, consisting of a minimum of \$250, annually, given by colleagues, students, friends and family to a student at Brooklyn College committed to the pursuit of Judaic learning in the area of the culture, history and tradition of the Jewish people. Selection of candidates shall be made by a committee of instructors appointed by the Judaic Studies Program Director. Any increase in the annual scholarship of \$250 shall be decided by the Committee. The criteria to be used in selecting a candidate must include motivation, professional pursuit, scholastic ability. (Source: 1971 BHE reso; College's "Proposed New Scholarship" document)	In 1974 BHE accepted additional funds for the scholarship. Resolution indicates that "Abraham S. Goodhartz Scholarship Fund for Judaic Studies" is to be given an annual awards of \$100 to a needy and worthy student. (Source: 1974 BHE reso)	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual scholarship to a student pursuing Judaic Studies."	No: specific donors unknown and donor representative is deceased.	Yes
707	POLLISTER, PRISCILLA FREW SCHOLARSHIP	Helen Guttman	1972	?	\$22,744.34	Scholarship	Existing documentation is unclear regarding restrictions, if any.	None	Restriction, if any, is unknown.	<u>New restriction</u> : "An annual award to students in good academic standing."	No: unable to locate donor. A Helene Guttman believed to be donor was contacted and responded to College by phone that she was not the donor.	Yes
710	SOLOMON H HALPERN	Mr. and Mrs. Nathan Halpern	1974	?	\$25,753.65	Award	"An award of \$100.00 presented each year to that member of the KEN staff who has made an outstanding contribution to the paper." (Source: 1974 College "Proposed New Award" document)	None	<u>Impossible</u> : KEN (student newspaper) no longer exists. <u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual award to a student who has made an outstanding contribution to one of the College's newspapers."	No: insufficient information to locate donors.	Yes
713	BERNARD GREBANIER SONNET AWARD	Bernard Grebanier	1975	?	\$19,116.74	Award	Annual award of \$100.00. (Source: 1975 letter from Office of the President to Dir of Development)	None	<u>Impracticable</u> : Dollar amount should be removed to permit flexibility and to take inflation into account.	<u>New restriction</u> : "An annual award to a student who writes the best sonnet or poem, as determined by the English Department."	No: donor deceased.	Yes

TOTAL \$1,558,161.22

LEHMAN COLLEGE

Fund Number	Fund Name	Donor	Year of Gift	Date of Original BHE/BOT Approval	Market Value as of 12/31/14	Major Purpose Description [Award/Scholarship]	Original Gift Restriction	Further Board Action	Problem	Proposed Modification(s)/New Restriction	Donor Notice?	AG approval received?
114	WHITE MARY NEIL SCHOLARSHIP FUND	Varian White Blumberg (bequest)	1952	6/16/1952	\$33,931.65	Scholarship	For the purpose of estblishing the Mary Neil White Scholarship, the interest on the bequest be made available annually to some worthy girl designated by the President of "Hunter" College. (Source: 1952 BHE reso)	None	Unlawful: limited to women.	New restriction: a scholarship to a worthy student attending Lehman	No - donor deceased.	Yes
115	MOSHER ANNA WEUSTOFF FUND	Elizabeth L. Weusthoff (bequest)	1936	unknown	\$55,466.27	Award	Income to be used by the authorities of the College for needy and deserving students whose major field of study is in the Department German. (Source: 1936 letter from D. Bennett to College)	In 1948 BHE modified restriction to "worthy students who have completed nine credits of German major courses, and for whom such a a grant would be helpful in the further pursuit of their studies."	Impracticable: few students study German language	New restriction: Under the supervision of the Languages and Literatures Department, an annual award to a Lehman College student for outstanding work in German studies	No - donor deceased.	Yes
122	WOOD WM MEM FRENCH PRIZE	Helen W. Watts and Margaret Barclay Wilson	1899 & 1920	unknown	\$19,719.39	Award	Originally this was two gifts from two donors and combined at some point. The Watts gift of \$1,000 was to fund the William Wood Memorial French Prize to be awarded to the student having the highest record for the entire French course. The Wilson gift of \$2,500 was to establish the William Wood Memorial Fund, the income from which is to be spent annually for the purpose of English literature books for the library.	None	Impracticable: few students study French	Fund to be renamed the William Wood Memorial Fund. New restriction: one third of the income from the fund to be awarded annually to an outstanding student in French and known as the William Wood Memorial Prize, and two-thirds of the income from the fund to be used annually for the purpose of purchasing English Literature for the Library.	No - donors presumed deceased.	Yes
325	BORDEN HOME ECO FUND	The Borden Company	1944, 1946, 1957 & 1964	10/23/1944	\$5,315.36	Scholarship	Award of \$300 to an eligible student majoring in Home Economics who, upon entering her senior year of study, has achieved the highest average grade of all similarly eligible students in all preceding college work. Eligible students have included in their curricular two or more courses in food and nutrition. (Source: 8/28/44 letter agreement with Borden Company)	None	Impossible: College no longer has a Home Economics major	New restriction: An award to a student majoring in Dietetics, Food and Nutrition who, upon entering her senior year of study, has the highest grade average. Fund to be renamed the Borden Dietetics, Food and Nutrition Fund.	No - Borden Company no longer exists.	Yes
332	THE HENRY D THOMPSON AWARD GEOGRAPHY	?	1969?	unknown	\$41,393.62	Award	\$25 Award to be given each semester to one or two students selected by Department of Geology and Geography. Students must be registered as matriculated graduate students in Geology or Geography, or a field acceptable to the concensus of the Geology and Geography Department. (Source: description of award dated July 1969)	None	Impracticable: Dollar value should be removed so that award may be given if less money available and to take inflation into account.	New restriction: An award for outstanding work to a graduating senior majoring in Earth, Enviromental, and Geospatial Sciences who has been accepted into a graduate program in Geography or Geology. Fund to be renamed the Henry D. Thompson Award.	No - donor unknown	Yes

TOTAL \$155,826.29